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SDSS J013127.34–032100.1: a candidate blazar with an 11 billion solar mass black hole at $z = 5.18$

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ABSTRACT
The radio-loud quasar SDSS J013127.34–032100.1 at a redshift $z = 5.18$ is one of the most distant radio-loud objects. The radio to optical flux ratio (i.e. the radio-loudness) of the source is large, making it a promising blazar candidate. Its overall spectral energy distribution, completed by the X-ray flux and spectral slope derived through Target of Opportunity Swift/X-ray Telescope observations, is interpreted by a non-thermal jet plus an accretion disc and molecular torus model. We estimate that its black hole mass is $(1.1 \pm 0.2) \times 10^{10} M_\odot$ for an accretion efficiency $\eta = 0.08$, scaling roughly linearly with $\eta$. Although there is a factor $\gtrsim 2$ of systematic uncertainty, this black hole mass is the largest found at these redshifts. We derive a viewing angle between 3 and 5 deg. This implies that there must be other (hundreds) sources with the same black hole mass of SDSS J013127.34–032100.1, but whose jets are pointing away from Earth. We discuss the problems posed by the existence of such large black hole masses at such redshifts, especially in jetted quasars. In fact, if they are associated with rapidly spinning black holes, the accretion efficiency is high, implying a slower pace of black hole growth with respect to radio-quiet quasars.

Key words: quasars: general – X-rays: general.

1 INTRODUCTION
Yi et al. (2014, hereafter Yi14) discovered SDSS J013127.34–032100.1 (hereafter SDSS 0131–0321) as a radio-loud quasar, a redshift $z = 5.18$ characterized by a large radio to optical flux ratio (i.e. the so-called radio-loudness) $R \sim 100$. Yi14 selected the source through optical–IR selection criteria based on Sloan Digital Sky Survey (SDSS) and Wide-Field Infrared Survey Explorer (WISE) photometric data (Wu et al. 2012), and then observed it spectroscopically in the optical and the IR. The IR spectrum revealed an absorbed broad Ly$\alpha$ line and a broad Mg II line, that allowed us to estimate the black hole mass of the objects though the virial method, yielding $M_{\text{BH}} = (2.7-4) \times 10^9 M_\odot$. If this were the real black hole mass, then the source would emit above the Eddington limit.

A very large black hole mass found in a jetted quasar at such large redshifts is somewhat puzzling. This is because it is commonly believed that the jet is associated with rapidly spinning black holes, in order to let the Blandford & Znajek (1977) mechanism work. But, if this were the case, the efficiency of accretion would be large, implying that less mass is required to emit a given luminosity. The black hole would then grow at a slower rate, and it becomes problematic to explain large black hole masses at high redshifts (see e.g. Ghisellini et al. 2013). This motivates our interest in jetted quasars at high redshifts with large black hole masses.

Up to now the three blazars known at $z > 5$ are Q0906+6930 ($z = 5.47$; Romani et al. 2004), B2 1023+25 ($z = 5.3$; Sbarrato et al. 2012, 2013), and SDSS J114657.79+403708.6 ($z = 5.005$; Ghisellini et al. 2014a). All these sources have a well-visible accretion disc emission in the IR–optical part of the observed spectral energy distribution (SED), including strong broad emission lines. A large radio-loudness $R$ is a good indicator of the alignment of their jets with the line of sight, that boosts the non-thermal emission because of relativistic beaming. Values $R \gtrsim 100$, as in the case of SDSS 0131–0321 (Yi14), make the source a good blazar candidate, i.e. a source whose jet is seen under a viewing angle $\theta_v$ smaller than the beaming angle $1/\Gamma$, where $\Gamma$ is the bulk Lorentz factor of the jet. This definition is somewhat arbitrary, but has the merit to divide in a simple way blazars from their parent population, i.e. quasars with jets pointing away from us. The divide at $\theta_v = 1/\Gamma$ implies that for each blazar there are other $2\Gamma^2$ sources of similar intrinsic properties but pointing elsewhere. However, the radio-loudness alone does not guarantee the classification of the source as a blazar: $R > 100$ could in fact correspond to a source seen at $\theta_v > 1/\Gamma$, but with a particularly weak intrinsic optical luminosity, or, vice versa, with

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a particular strong intrinsic radio-luminosity. To confirm the blazar nature of these high-redshift powerful sources we require also a strong and hard X-ray flux, because it is an additional signature of a small viewing angle (due to the specific emission mechanism though to produce the X-ray emission, see Dermer 1995; Sbarrato et al. 2015). For this reason, we asked and obtained a Target of Opportunity observation in the X-ray band with the Swift satellite. In this Letter, we discuss these data in the broader context of the entire SED, deriving a robust estimate on the black hole mass and on the possible range of viewing angles.

In this Letter, we adopt a flat cosmology with $H_0 = 70$ km s$^{-1}$ Mpc$^{-1}$ and $\Omega_M = 0.3$.

2 SDSS 0131–0321 AS A BLAZAR CANDIDATE

SDSS 0131–0321 was selected by Yi14 as a high-$z$ quasars on the basis of the SDSS and the WISE photometric data. The optical and near-infrared spectroscopy performed by Yi14 showed a broad Ly$\alpha$ and an Mg II line, at $z = 5.18$. From the FIRST (Faint Images of the Radio Sky at Twenty-cm; Becker, White & Helfand 1995) catalogue the radio flux is 33 mJy at 1.4 GHz, corresponding to a $L_{\text{R1}}$ luminosity of $1.3 \times 10^{24}$ erg s$^{-1}$. Yi14 measured a radio-loudness of $\sim 100$, by assuming that the radio-to-optical spectrum follows an $F_{\nu} \propto \nu^{-\alpha }$ power law, and calculating this way the flux at the rest frame frequencies of 5 GHz and 4400 Å (Kellermann et al. 1989). The source is not detected by the Large Area Telescope (LAT) onboard the Fermi satellite. In high-$z$ and powerful radio sources, a radio-loudness equal or larger of 100 strongly suggests that we see the jet radiation with a small viewing angle. This makes SDSS 0131–0321 a good blazar candidate, but in order to confirm it, we need to check if its X-ray emission, produced by the jet, is strong relative to the optical, and if its slope is harder than the one of a typical accretion disc corona (i.e. $\alpha_x < 0.8$–1).

2.1 Swift observations

To confirm the blazar nature of SDSS 0131–0321 we asked to observe the source with the Swift satellite (Gehrels et al. 2004). In fact the X-ray spectra of blazars beamed towards us are particularly bright and hard, with an energy spectral index $\alpha_x \sim 0.5 \left[F(\nu) \propto \nu^{-\alpha_x}\right]$ (see e.g. Ghisellini et al. 2010; Wu et al. 2013). The observations were performed between October 23 and December 9 (ObsIDs: 00033480001–00033480014).

Data of the X-ray Telescope (XRT; Burrows et al. 2005) and the UltraViolet Optical Telescope (UVOT; Roming et al. 2005) were downloaded from High Energy Astrophysics Science Archive Research Center (HEASARC) public archive, processed with the specific SWIFT software included in the package HEASOFT v. 6.13 and analysed. The calibration data base was updated on 2014 June. We did not consider the data of the Burst Alert Telescope (BAT; Barthelmy et al. 2005), given the weak X-ray flux.

From all the XRT observations we extract a single spectrum with total exposure of 20.2 ks. The mean count rate was $(2.3 \pm 0.3) \times 10^{-3}$. Given the low statistics, the fit with a power-law model with Galactic absorption ($N_H = 5.7 \times 10^{20}$ cm$^{-2}$; Kalberla et al. 2005) was done by using the likelihood statistic (Cash 1979).

The output parameters of the model were a spectral slope $\Gamma = (\alpha_x + 1) = 1.56 \pm 0.38$ and an integrated de-absorbed flux $F_{\nu_1,0} = (1.4 \pm 0.5) \times 10^{-13}$ erg cm$^{-2}$ s$^{-1}$. The value of the likelihood was 43.9 for 45 dof. The X-ray data displayed in the SED (Fig. 2) have been rebinned to have at least $3\sigma$ in each bin.

The source was not expected to be detected in any of the UVOT filters; therefore, the various observations were performed with different filters (i.e. the observations were performed with the set-up ‘filter of the day’), usually W1 or M2 UV-filters. In four cases also the $u$ filter was used, while the $b$ and $v$ filters were used once during the observation of 2014 November 12 for a total exposures of 223 and 512 s, respectively. The source was never detected. For the $v$ filter we derive a $3\sigma$ upper limit of $F < 0.023$ mJy, without accounting for the likely absorption along the line of sight due to intervening matter.

2.2 The accretion disc luminosity

Fig. 1 shows the IR–UV part of the SED, that can be well described as thermal emission from an accretion disc. The peak of this thermal emission lies redwards of the hydrogen Ly$\alpha$ frequency (vertical ochre line), implying that it is not an artefact of the absorption due to intervening Ly$\alpha$ clouds. This allows us to derive the luminosity of the disc rather accurately: $L_d = 4.1 \times 10^{44}$ erg s$^{-1}$. The rest frame peak frequency of the disc emission is $\nu_{d,\text{peak}} \sim 1.7 \times 10^{18}$ Hz. Note that $L_d$ is roughly half of the bolometric luminosity $L_{\text{bol}}$ estimated by Yi14 on the basis of the (rest-frame) 3000 Å continuum luminosity, using the prescription suggested by Richards et al. (2006): $L_{\text{bol}} = 5.18 L_d (3000 \text{Å})$. As discussed in Calderone et al. (2013), $L_{\text{bol}}$ considered by Richards et al. (2006) includes the IR re-emission by the torus and the X-ray emission, while the ‘pure’ disc luminosity is $L_d \sim 0.5 L_{\text{bol}}$.

The WISE low-frequency detection point lies in-between the contribution of the accretion disc and the torus emission as modelled by Ghisellini & Tavecchio (2009), who considered that the torus...
emits as a simple blackbody at the temperature of \( T_{\text{torus}} = 370 \, \text{K} \). In reality, the torus emission is more complex, with emission up to the sublimation dust temperature \( T_{\text{subl}} \sim 2000 \, \text{K} \) (e.g. Peterson 1997). Calderone et al. (2012) found that composite WISE data of a large sample of quasars were consistent with the sum of two blackbodies with average temperatures \( T = 308 \) and 1440 K and similar luminosities. We have added a blackbody with \( T = 1300 \, \text{K} \) to the model SED, having a luminosity similar to the colder blackbody of 370 K. This scenario is probably still oversimplified, but can account for the observed data.

Fig. 1 shows also that the Two Micron All Sky Survey (2MASS; Skrutskie et al. 2006) point at \( \log (\nu/\text{Hz}) \sim 15.05 \) (rest frame) is above the modelled disc emission. This discrepancy is due to the Mg \( \text{II} \) broad emission line (at 2800 Å rest frame), falling in the \( H \)-band filter of 2MASS (Cohen, Wheaton & Megeath 2003).

The grey stripe in Fig. 1 shows the \( L_\delta \) value inferred from the Ly\( \alpha \) and Mg \( \text{II} \) broad lines. We assumed that \( L_\delta \sim 10L_{\text{BLR}} \) (Baldwin & Netzer 1978; Smith et al. 1981), where \( L_{\text{BLR}} \) is the luminosity of the entire broad-line region (BLR), that we derive following the template by Francis et al. (1991): setting to 100 the contribution of the Ly\( \alpha \) line, the broad Mg \( \text{II} \) contribution is 34, and the entire \( L_{\text{BLR}} \) is 555. For the template calculated by Vanden Berk et al. (2001) the contribution of all broad lines to \( L_{\text{BLR}} \) is similar, with the exception of the Ly\( \alpha \) whose contribution is about half the one calculated by Francis et al. (1991).

We then took the logarithmic average of the values derived separately for the Mg \( \text{II} \) and the Ly\( \alpha \) line (see data in Yi14), assuming that we see only half of it (i.e. the non-absorbed part). We assumed an uncertainty of 0.2 dex on this estimate of \( L_\delta \).

### 2.3 The black hole mass

We can set a lower limit to the black hole mass of SDSS 0131–0321 by assuming that its disc emits at or below the Eddington level. This implies \( M_{\text{BH}} \geq 3.2 \times 10^9 \, M_\odot \), Yi14, using the virial method (e.g. Wandel 1997; Peterson et al. 2004) applied to the Mg \( \text{II} \) broad line, derived \( M_{\text{BH}} = (2.7–4.0) \times 10^9 \), and concluded that the source is emitting at a super-Eddington rate (they also considered \( L_{\text{accretion}} \) as the disc luminosity).

The IR–optical SED of the source shows a peak and has a low-frequency slope consistent with the emission from a simple, Shakura 
\& Sunyaev disc (1973) model. Fig. 2 shows that the non-thermal, possibly highly variable, continuum is not contributing to the IR–UV flux. Since the accretion disc is much less variable than the jet emission, we expect that the IR–UV data, although not simultaneous, give a good description of the disc emission. This depends only on \( M_{\text{BH}} \) and the mass accretion rate \( \dot{M} \). The latter is traced by the total disc luminosity \( L_\delta = \eta \dot{M} c^2 \), where \( \eta \) is the efficiency, that depends on the last stable orbit, hence on the black hole spin (with \( \eta = 0.057 \) and \( \eta = 0.3 \) appropriate for a non-rotating and a maximally spinning black hole, respectively; Thorne 1974).

Having already measured \( L_\delta \) (hence \( M \), assuming \( \eta \sim 0.08 \)), the only free parameter is \( M_{\text{BH}} \), whose value determines the peak frequency of the disc emission. For a fixed \( M \), a larger \( M_{\text{BH}} \) implies a larger disc surface, hence a lower maximum temperature. Therefore a larger \( M_{\text{BH}} \) shifts the peak to lower frequencies. Then the best agreement with the data fixes \( M_{\text{BH}} \) (e.g. Calderone et al. 2013).

Fig. 1 shows the disc emission for three (slightly) different values of \( M_{\text{BH}} \): 9, 11 and 14 billion solar masses. Outside this range we do not satisfactorily account for the observed data, while the agreement between the data and the model is rather good within this mass range. We conclude that the black hole mass is well determined and is \( M_{\text{BH}} = (1.1 \pm 0.2) \times 10^9 \, M_\odot \) for \( \eta = 0.08 \). We obtain \( M_{\text{BH}} = 8 \times 10^9 \, M_\odot \) for \( \eta = 0.057 \) and \( M_{\text{BH}} = 2 \times 10^9 \, M_\odot \) for \( \eta = 0.15 \) (see also Section 4). There is thus a factor of \( \gtrsim 2 \) of systematic uncertainty.

### 3 OVERALL SED

The overall SED of SDSS 0131–0321 from radio to X-rays is shown in Fig. 2. To guide the eye, the dashed line in the radio domain show a radio spectrum \( F_\nu \propto \nu^a \). The 5-year limiting sensitivity of Fermi/LAT is shown by the lower boundary of the grey hatched area. The solid and long-dashed lines correspond to the one-zone, leptonic model described in detail in Ghisellini & Tavecchio (2009).

The model assumes that most of the emission is produced at a distance \( R_{\text{dam}} \) from the black hole, where photons produced by the BLR and by the torus are the most important seeds for the inverse Compton scattering process, that dominates the high-energy luminosity. The region moves with a bulk Lorentz factor \( \Gamma \) and has a tangled magnetic field \( B \). The distribution of the emitting electrons is derived through a continuity equation, assuming continuous injection, radiative cooling, possible pair production and pair emission, and is calculated at a time \( t_c \) after the start of the injection, where \( t_c = \psi R_{\text{dam}} \) is the size of the source, and \( \psi (=0.1 \, \text{rad}) \) is the semi-aperture angle of the jet, assumed conical. The accretion disc component is accounted for, as well the infrared emission reprocessed by a dusty torus and the X-ray emission produced by a hot thermal corona sandwiching the accretion disc.

The three models shown in Fig. 2, whose parameters are listed in Table 1, differ mainly from the value of \( \theta_e \) and \( \Gamma \). This highlights how the predicted SED changes, especially in the hard X-ray band, by varying \( \theta_e \) even by a small amount. The two \( \theta_e = 5^\circ \) cases indicate the maximum viewing angle that can well explain the existing data. The \( \theta_e = 5^\circ \), \( \Gamma = 10 \) and the \( \theta_e = 3^\circ \) cases correspond to a viewing angle smaller than \( 1/\Gamma \), that would allow us to classify SDSS 0131–0321 a blazar. To refine our possible choices we need observations in the hard X-ray band, such as the one that the
The SED of SDSS 0131–0321 is compared to the SEDs of 10.3, appropriate for a maximally spinning and accreting black hole in the same sky area. The four non-thermal jet SEDs are rather similar, but the \( \gamma_1 \) shows how the SED of SDSS 0131–0321 compares with the set of parameters used for the other three known blazars also, for the ease of the reader, the parameters we used to fit the SED (in the rest frame) of the three other blazar known at \( z > 5 \). Table 1 reports the change of the accretion disc emission redwards of the Ly\( \alpha \) limit. The only uncertainty concerns the use of a simple Shakura & Sunyaev (1973) model, that assumes a no (or a modestly) spinning black hole. On the other hand the resulting fit is good, and we stress that in the case of a fast-spinning black hole, with a corresponding high accretion efficiency \( \eta \), we would derive a larger black hole mass. This is because, for a given \( M_{BH} \) and \( M \), a Kerr black hole would produce more optical–UV flux (with respect to a non-spinning black hole), then exceeding the observed data points at the peak of the optical–UV SED. One must lower \( M \), but this leads to the under-representation of the IR–optical point, that can be recovered by increasing the mass of the IR–optical point, implying a smaller temperature. We believe that the black hole mass we found through the disc-fitting method is very reliable, since we do see the peak of the accretion disc emission redwards of the Ly\( \alpha \) limit. The only uncertainty concerns the use of a simple Shakura & Sunyaev (1973) model, that assumes a no (or a modestly) spinning black hole. On the other hand the resulting fit is good, and we stress that in the case of a fast-spinning black hole, with a corresponding high accretion efficiency \( \eta \), we would derive a larger black hole mass. This is because, for a given \( M_{BH} \) and \( M \), a Kerr black hole would produce more optical–UV flux (with respect to a non-spinning black hole), then exceeding the observed data points at the peak of the optical–UV SED. One must lower \( M \), but this leads to the under-representation of the IR–optical point, that can be recovered by increasing the mass (i.e. increasing the disc surface, implying a smaller temperature).

How can such a large mass be produced at \( z = 5.18 \)? At this redshift the Universe is 1.1 Gyr old. Fig. 4 shows the change of the black hole mass in time, assuming different efficiencies \( \eta \). If the black hole is not spinning, and \( \eta < 0.1 \), then it is possible to grow a black hole up to 11 billion solar masses starting from a 100 \( M_\odot \) seed if the accretion proceeds at the Eddington rate all the time. But if \( \eta = 0.3 \), appropriate for a maximally spinning and accreting black hole (Thorne 1974), then the growth is slower, and an Eddington limited accretion cannot produce a 1.1 \( \times 10^{10} M_\odot \) black hole at \( z = 5 \), unless the seed is 10\(^8\) \( M_\odot \) at \( z = 20 \).

This poses the problem: jetted sources are believed to be associated with fast-spinning black holes, therefore with highly efficient

### Table 1

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\(^1\) For the jet powers, we here consider the sum of power of each of the two jets, while in the original papers discussing these sources, the power of only one jet was reported.

### Figure 3

The SED of SDSS 0131–0321 is compared to the SEDs of the other known blazars at \( z \geq 5 \). SDSS 0131–0321 stands out by having the most powerful disc. The radio and the X-ray data, on the other hand, have luminosity similar to the other blazars. The figure shows also the interpolating models, whose parameters are listed in Table 1.
The black hole mass as a function of time in system characterized by an Eddington limited accretion rate, and by different values of the efficiency $\eta$ (see also fig. 3 in Trakhtenbrot et al. 2011). Values of $\eta = 0.06$ and $\eta = 0.3$ correspond to no spinning Schwarzschild and maximally spinning Kerr black holes, respectively. All cases corresponds to $M_{BH} = 1.1 \times 10^{10} M_\odot$ at $z = 5.18$, the mass of SDSS 0131–0321. This figure shows that if the accretion disc efficiency $\eta_d$ is small we can grow a large mass black hole in the required time starting from a reasonable seed. On the other hand, this may not imply a non-rotating black hole.

In this scenario, the jet is required if very large masses are to be reached at redshifts, together with a vast reservoir of mass that can be accreted. In this respect, the recent finding of Punsly (2014), namely that radio-loud objects have a deficit of UV emission with respect to radio-quiet quasars of similar disc luminosity, is very intriguing, pointing to the possibility that the inner part of the accretion disc around a Kerr black hole is producing much less luminosity than expected, because the produced energy does not go into heating the disc, but in other forms, such as amplifying the magnetic field of the inner disc, a necessary ingredient to let the Blandford & Znajek process work efficiently.

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