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THE STELLAR INITIAL MASS FUNCTION AT $0.9 < z < 1.5$

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ABSTRACT

We explore the stellar initial mass function (IMF) of a sample of 49 massive quiescent galaxies (MQGs) at $0.9 < z < 1.5$. We base our analysis on intermediate resolution spectro-photometric data in the GOODS-N field taken in the near-infrared and optical with the *Hubble Space Telescope* Wide Field Camera 3 G141 grism and the Survey for High- z Absorption Red and Dead Sources. To constrain the slope of the IMF, we have measured the TiO₂ spectral feature, whose strength depends strongly on the content of low-mass stars, as well as on stellar age. Using ultraviolet to near-infrared individual and stacked spectral energy distributions, we have independently estimated the stellar ages of our galaxies. Knowing the age of the stellar population, we interpret the strong differences in the TiO₂ feature as an IMF variation. In particular, for the heaviest $z \sim 1$ MQGs ($M > 10^{11} M_{\odot}$), we find an average age of 1.7 ± 0.3 Gyr and a bottom-heavy IMF ($\Gamma_b = 3.2 \pm 0.2$). Lighter MQGs ($2 \times 10^{10} < M < 10^{11} M_{\odot}$) at the same redshift are younger on average (1.0 ± 0.2 Gyr) and present a shallower IMF slope ($\Gamma_b = 2.7^{+0.3}_{-0.4}$). Our results are in good agreement with the findings about the IMF slope in early-type galaxies of similar mass in the present-day universe. This suggests that the IMF, a key characteristic of the stellar populations in galaxies, is bottom-heavier for more massive galaxies and has remained unchanged in the last ~ 8 Gyr.

Key words: galaxies: evolution – galaxies: formation – galaxies: fundamental parameters – galaxies: high-redshift – galaxies: stellar content

1. INTRODUCTION

The initial mass function (IMF) dictates the distribution of stellar masses for any single star formation event in a galaxy. Consequently, it determines the number of massive stars formed and being responsible for the feedback and chemical processes. The IMF also fix the numbers of low-mass stars, which dominate the total stellar mass of a galaxy.

Growing evidence support a nonuniversal IMF in the nearby universe, where massive early-type galaxies (ETGs) show an enhanced fraction of dwarf stars in the center compared to the Milky Way (van Dokkum & Conroy 2010). Moreover, the dwarf-to-giant ratio, i.e., the IMF slope, correlates with the central velocity dispersion (Cenarro et al. 2003; Treu et al. 2010; Cappellari et al. 2012; Ferreras et al. 2013; La Barbera et al. 2013; Conroy et al. 2013; Spiniello et al. 2014). These results challenge the existence of a universal IMF inferred from resolved stellar population analysis in the Local Group (Kroupa 2002; Bastian et al. 2010; Kroupa et al. 2013).

To have a consistent picture of galaxy evolution, it is absolutely necessary to investigate the IMF at different redshifts. So far, the IMF of $z \lesssim 1$ galaxies has been studied indirectly using virial masses (Renzini 2006; van de Sande et al. 2013) or elaborated dynamical models (Shetty & Cappellari 2014). These

works point to a Salpeter (1955) IMF for massive galaxies at intermediate redshift. Other indirect IMF-sensitive observables have also been used in the topic. For instance, the consistency between the cosmic stellar mass and star formation rate densities (Davé 2008; Pérez-González et al. 2008) and the luminosity evolution of massive ETGs (van Dokkum 2008) are better described by a flatter (i.e., with a relatively larger number of massive stars) IMF at higher look-back times. Even in star-forming galaxies, the constancy of the IMF is in tension with observations (Hoversten & Glazebrook 2008; Meurer et al. 2009).

Here we explore, for the first time, the IMF slope at $z \gtrsim 1$ using stellar populations synthesis models in massive quiescent galaxies (MQGs). To achieve this goal, we study the TiO₂ IMF-sensitive spectral feature (Mould 1976). In Section 2, we describe the data. The IMF inference is explained in Section 3. In Section 4, we discuss our results. We adopt a standard cosmology: $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$, $\Omega_m = 0.3$, and $\Omega_{\Lambda} = 0.7$.

2. SAMPLE AND DATA DESCRIPTION

To facilitate the determination of the IMF slope at high- z , we study galaxies with no signs of recent star formation (quiescent galaxies). These objects have simpler star formation histories (SFHs) than star-forming galaxies and are sufficiently well

represented by a single stellar population (SSP) model (e.g., Whitaker et al. 2013).

MQGs at $0.9 < z < 1.5$ were selected with two criteria: (1) the UVJ diagram complemented with fluxes in the MIR/FIR; and (2) a sSFR vs. stellar mass plot. We worked with the mass selected sample presented in Pérez-González et al. (2008). From this work, we took the spectral energy distributions (SEDs), stellar population and dust emission models for all IRAC sources in GOODS-N. Those SEDs were complemented with medium-band optical photometry from the Survey for High- z Absorption Red and Dead Sources, SHARDS (Pérez-González et al. 2013). The broad- and medium-band photometry was fitted with a variety of stellar population models to obtain photometric redshifts, stellar masses, SFRs, and rest-frame synthetic colors (see Barro et al. 2011a, 2011b). Thanks to the ultra-deep medium-band data from SHARDS, the quality of our photometric redshifts is excellent: the median $\Delta z/(1+z)$ is 0.0067 for the 2650 sources with $I < 25$ (P. G. Pérez-González et al., in preparation; Ferreras et al. 2013). SFRs were calculated for all galaxies using various dust emission templates and the *Spitzer*-MIPS and *Herschel*-PACS/SPIRE fluxes, jointly with UV-based measurements for nondetections in the MIR/FIR. The UV-based SFRs were corrected for extinction with the UV slope β and an extrapolation of the IR- β (IRX) relationship (Meurer et al. 1999). The extrapolation technique was developed to recalibrate the IRX- β relation using faint IR emitters (more similar to MIR-undetected galaxies) at the same redshifts. Details about the selection will be given in H. Domínguez Sánchez et al. (in preparation).

Using this data set, we selected galaxies at $0.9 < z < 1.5$ having stellar masses $M > 2 \times 10^{10} M_{\odot}$ (Kroupa 2001 IMF), and rest-frame UVJ colors within the quiescent galaxy wedge ($U - V > 1.3$, $V - J < 1.6$, $U - V > 0.88 \times (V - J) + 0.59$; Whitaker et al. 2011). The mass cut was chosen to allow measuring the TiO₂ absorption in the grism spectra described below. The UVJ -selected sample was complemented with galaxies with sSFR $< 0.2 \text{ Gyr}^{-1}$, our limit for quiescence. Galaxies with MIPS detections were removed from the sample, as the MIR emission indicates active/residual star formation or nuclear activity, which would complicate the stellar population analysis. Using these two criteria, we selected 124 sources in the 112 arcmin² covered simultaneously by GOODS, SHARDS, CANDELS, and *Herschel*-GOODS.

The TiO₂ spectral index was measured in stacked Wide Field Camera 3 (WFC3)/G141 grism data (covering $1.1 \lesssim \lambda \lesssim 1.6 \mu\text{m}$) from the AGHAST survey (PI: Weiner). We selected all galaxies with $H < 25.5$ mag from the F160W imaging in CANDELS (Grogin et al. 2011; Koekemoer et al. 2011) and reduced the grism data to extract two-dimensional spectra using the aXe software (version 2.3). Then we collapsed the data to obtain one-dimensional spectra using our own dedicated software. The reduction used $0.064 \text{ arcsec pixel}^{-1}$ and $23.5 \text{ \AA pixel}^{-1}$. The one-dimensional extractions were optimized for each galaxy using its effective radius, position angle, and the contamination map provided by aXe. Visual inspection helped to remove spectra with significant contamination and/or artifacts, leaving 97 galaxies with usable G141 spectra. We kept the spectra with signal-to-noise ratio (S/N) $> 5 \text{ pixel}^{-1}$. Our final sample is composed by 57 galaxies with $2 \times 10^{10} < M/M_{\odot} < 10^{11.5}$ ($\langle M \rangle = 10^{10.6} M_{\odot}$) and $0.9 < z < 1.5$ ($\langle z \rangle = 1.1$). Reliable spectroscopic redshifts were available for 33 galaxies; the median quality of the photo-redshifts for $M > 10^{10.5} M_{\odot}$ galaxies is $\Delta z/(1+z) = 0.0047$.

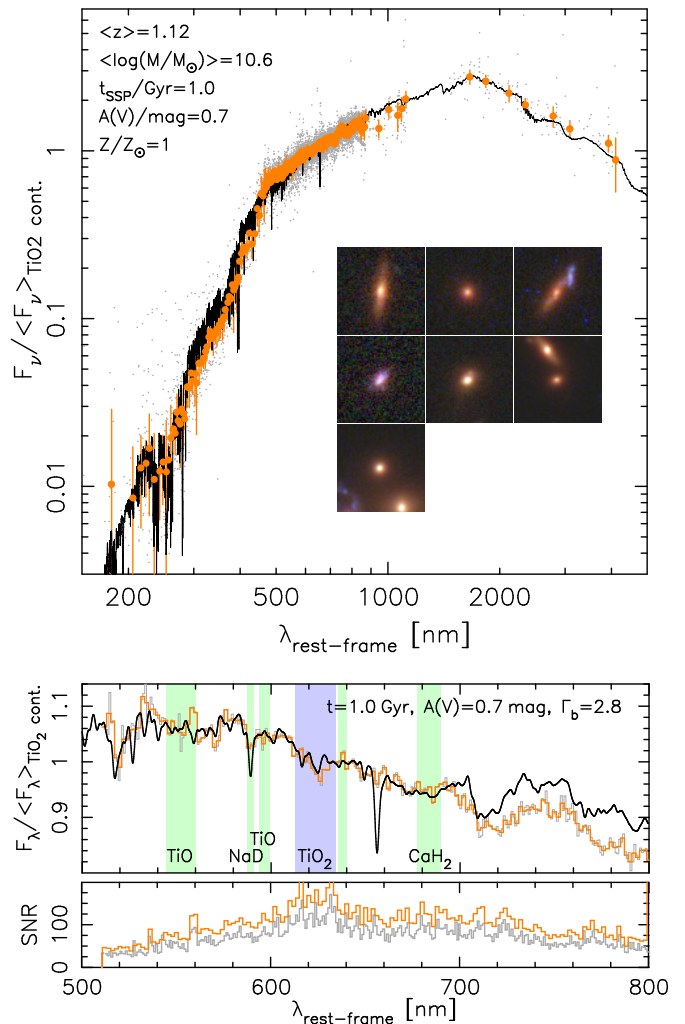


Figure 1. Stacked SEDs (normalized to the average TiO₂ continuum flux) for MQGs at $0.9 < z < 1.5$ in GOODS-N for the low-mass sample. We show the complete UV-to-NIR stack on top, with data for individual galaxies (gray dots) and average fluxes in bins of 20 photometric data points (orange), including 2σ bars. The black line shows best-fitting SSP models (BC03/XMILES, Kroupa IMF; Calzetti et al. 2000 attenuation law). We provide $5'' \times 5''$ RGB postage stamps for representative examples of the sample. At the bottom, we show the WFC3/G141 grism data including stacked (gray) and smoothed (orange) spectra (using 10 and 20 Å bins, respectively), and their S/N. The black line shows best-fitting MIUSCAT SSP models. Shaded regions mark the TiO₂ absorption (blue), and other IMF-sensitive indices (green). Deviations from an SSP appear beyond 700 nm, where a small fraction ($\sim 10\%$) of a younger population can significantly affect the continuum, but barely changes (0.004 mag) the TiO₂ value.

Measurements were carried out in stacked spectra of these 57 $z \sim 1$ MQGs. We dissected the sample to probe the lowest and highest mass regimes with two stacked spectra of similar S/N (Figures 1 and 2). The high-mass sample was composed by 7 galaxies with $M > 10^{11} M_{\odot}$ ($H = 19.7\text{--}21.3$ mag), and the low-mass spectra by 50 galaxies with $M < 2 \times 10^{10} M_{\odot}$ ($H = 20.3\text{--}22.4$ mag). To build the stacks, we first de-redshifted all individual observed spectra, then normalizing them to the TiO₂ continuum (see the next section). We calculated flux averages and errors in rest-frame wavelength bins of 10 Å. Finally, we smoothed the stacks with a 20 Å boxcar kernel. The average S/N per resolution element of the final stacked (smoothed) spectra is 70 (100), 100 (140) around the TiO₂ absorption.

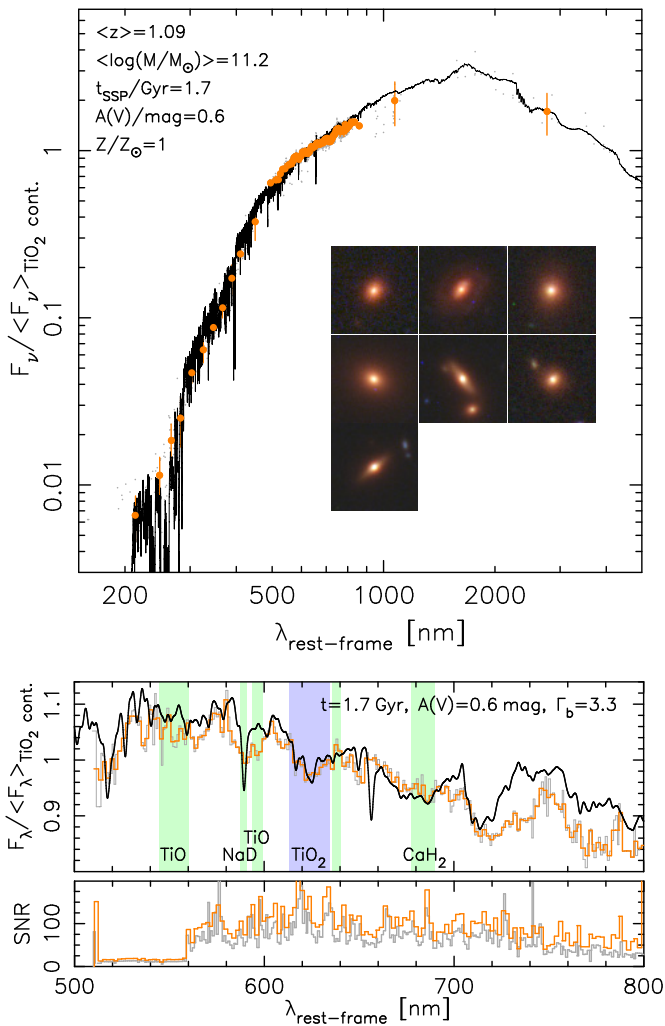


Figure 2. Same as Figure 1 but for the high-mass sample.

3. SED ANALYSIS: AGES AND IMF SLOPE

The integrated spectral properties of a SSP are defined by four parameters: age, metallicity ($[Z/H]$), IMF and α -elements overabundance ($[\alpha/Fe]$). In this Letter, we analyze the TiO_2 absorption, an IMF-sensitive feature which depends very weakly on $[Z/H]$ and $[\alpha/Fe]$ (Thomas et al. 2011; La Barbera et al. 2013). We present the age and IMF constraints for $z \sim 1$ MQGs based on this TiO_2 spectral index as well as on the ultraviolet to near-infrared SEDs. In Section 4, we discuss the impact of the unknown values of $[Z/H]$ and $[\alpha/Fe]$ on our results. The TiO_2 absorption is wide and deep enough to be measured with WFC3 grism data. Measurements for other IMF-sensitive features (see Figures 1 and 2) would be compromised by low S/N at $\lambda_{rf} < 500$ nm, the low spectral resolution in the case of NaD, or the proximity to emission features in the case of CaH_2 . Thus, we concentrate our IMF analysis on TiO_2 measurements.

3.1. Age Determination

To constrain the age of the stellar population, we used three different methods. First, we fitted the G141 grism stacked spectra constrained to the rest-frame wavelength range $500 < \lambda_{rf} < 800$ nm (Figures 1 and 2). We used the Bruzual & Charlot (2003, hereafter BC03) models fed with the XMILES library (S. Charlot & G. Bruzual 2014, private communication). We

assumed a SSP with solar and super-solar metallicities, and a Calzetti et al. (2000) attenuation law, and fitted the data to obtain ages, extinctions, and metallicities. We tested how the results were affected by: (1) using Salpeter (1955), Kroupa (2001), and Chabrier (2003) IMFs; (2) different attenuation recipes, namely, Calzetti et al. (2000), appropriate for starburst galaxies, and the more general law from Charlot & Fall (2000); and (3) different stellar population synthesis libraries and codes, namely, BC03 using XMILES and STELIB (Le Borgne et al. 2003) libraries, and MIUSCAT (Vazdekis et al. 2010). In all cases, we found negligible differences in the estimated ages (<0.1 Gyr) and extinctions (0.1 mag). Our fitting method included a Monte Carlo algorithm to analyze uncertainties and degeneracies (see Pérez-González et al. 2013). Given the short wavelength range probed by the grism data, the dust extinction was not well constrained. Indeed, we found a strong age–extinction degeneracy. For example, for the high-mass stack, equally good fits were obtained for stellar populations with relatively young ages (~ 1 Gyr) and large extinctions ($A(V) > 1.5$ mag) and for older ages and lower extinctions (1–2 Gyr and $A(V) < 1$ mag). Constraining the extinction to $A(V) < 1$ mag, we found that the stacked high-mass spectrum was best fitted by a SSP with solar metallicity, $t = 1.6 \pm 0.2$ Gyr, and $A(V) = 0.5 \pm 0.3$ mag. The low-mass stack was best fitted with solar metallicity, $t = 1.0 \pm 0.2$ Gyr, and $A(V) = 0.7 \pm 0.3$ mag.

Our second age determination method used the whole UV-to-NIR stacked SED (Figures 1 and 2). The SHARDS medium-band and grism data allow accurate measurements of both the 4000 Å break and the Mg_{UV} absorption, two very good age estimators (see Pérez-González et al. 2013; Hernán-Caballero et al. 2013; Ferreras et al. 2013, and references therein). The wider spectral range resulted in better constraints on the age and the extinction. The best-fitting BC03/XMILES SSP model provided $t = 1.77 \pm 0.17$ Gyr, $A(V) = 0.60 \pm 0.06$, and $t = 1.02 \pm 0.15$ Gyr and $A(V) = 0.70 \pm 0.06$ for the high-mass and low-mass samples, respectively (solar metallicity in both cases). Again, very similar results were obtained with other IMFs, extinction recipes, and stellar population libraries. Under an unrealistic assumption of $A(V) = 0$, the best-fitting ages were $t = 1.5$ Gyr and $t = 2.6$ Gyr for the low- and high-mass stacks, respectively. These solutions provide, based on the χ^2 values, worse fits, and do not affect our main conclusions (see Section 4).

Finally, we measured the stellar population ages fitting the whole UV-to-NIR SED for each individual galaxy also using the Monte Carlo method, and calculating average properties for the low and high-mass subsamples. These were remarkably and reassuringly similar (within the uncertainties) to the ones obtained with the other methods: $t = 1.0 \pm 0.2$ Gyr with $A(V) = 0.9 \pm 0.2$ mag and $t = 1.5 \pm 0.3$ Gyr with $A(V) = 0.9 \pm 0.3$ mag for the low-mass and high-mass samples, respectively.

Our age estimations are completely consistent with those obtained by Whitaker et al. (2013) using a stacked G141 grism spectrum around the $H\beta$ absorption also for UVJ -selected MQGs, but at $1.4 < z < 2.2$. They find ages between 0.9 Gyr and 1.6 Gyr for blue and red massive galaxies, very similar to the ranges we find for our two subsamples. Consistent ages are also found for MQGs at $z > 1$ (selected in a variety of ways and counting with heterogeneous data) by Onodera et al. (2012), van de Sande et al. (2013), Bedregal et al. (2013), and Marchesini et al. (2014). In summary, the ages of the UVJ - and sSFR-selected $z \sim 1$ MQGs are confidently constrained to be < 2 Gyr.

3.2. IMF Estimation

Once average ages were determined, we proceeded to the IMF analysis based on the TiO_2 absorption. Given that this molecular band dominates the spectrum of cool-dwarf stars between 600 and 640 nm, it has been widely used to infer the IMF slope in unresolved stellar systems (Ferreras et al. 2013; La Barbera et al. 2013; Spiniello et al. 2014).

We used the MILES SSP models (Vazdekis et al. 2010), where the IMF is parameterized as a single power law, truncated (i.e., flattened out) for stellar masses below $M < 0.6 M_\odot$. This bimodal IMF is completely described by a single parameter, Γ_b (see Vazdekis et al. 1996). Under this parameterization, the Kroupa (2001) IMF is recovered for $\Gamma_b = 1.3$. The main advantage of the bimodal IMF, compared to a regular single power-law (Salpeter-like) IMF, is the fact that, even when dealing with very high Γ_b values, the M/L ratio remains within the observational limits suggested by dynamical studies (Ferreras et al. 2013). From the point of view of the stellar population properties, both bimodal and uni-modal IMF parameterizations are indistinguishable.

MILES models cover a range from -2.32 dex to $+0.22$ dex in metallicity, 0.06–17 Gyr in age, and $\Gamma_b = 0.3$ –3.3 in IMF slopes. Given the weak dependence of the TiO_2 index with metallicity, we fixed it to solar (as suggested by the SED fitting).

The classical definition for the TiO_2 spectral index expands along $\sim 400 \text{ \AA}$, making it extremely sensitive to the adopted flux calibration (see Section 5 in Martín-Navarro et al. 2014). To improve the signal, we redefined the blue and red TiO_2 pseudo-continua, making them contiguous to the central bandpass. The adopted blue and red pseudo-continua are 613.0–617.2 nm and 629.3–634.5 nm, respectively. Figure 3 presents, for both stacks, the data and fits to the TiO_2 spectral region.

The analysis of the TiO_2 absorption was based on fits to the six spectral elements ($P_{\text{obs}}(\lambda)$) within the central band of our TiO_2 index definition, after removing the continuum. The models were degraded to the same spectral resolution ($P_{\text{SSP}}(\lambda)$). The goodness of the fit was estimated with a χ^2 function:

$$\chi^2(\Gamma_b, \text{age}) = \sum_{\lambda} \frac{[P_{\text{obs}}(\lambda) - P_{\text{SSP}}(\lambda)]^2}{\sigma_{\text{obs}}^2(\lambda)}, \quad (1)$$

where $\sigma_{\text{obs}}(\lambda)$ represents the estimated error of the flux in each spectral bin. The χ^2 maps in the age–IMF slope plane for the low- and high-mass samples are shown in Figure 4.

4. DISCUSSION

Figure 4 shows our constraints on the stellar population age and IMF slope for MQGs at $z \sim 1$. As expected, there is a clear IMF/age degeneracy: similar TiO_2 values are obtained by either an old population with a standard Kroupa-like IMF or with a steeper IMF and younger ages. To further constrain the IMF, we use the age determinations from the SED fitting.

For the high-mass sample, Figure 4 shows that our age determination of 1.7 ± 0.3 Gyr combined with the TiO_2 index measurements strongly suggest that the IMF of $M \gtrsim 10^{11} M_\odot$ MQGs at $z \sim 1$ is bottom-heavy. The IMF slope is $\Gamma_b = 3.2 \pm 0.2$, very similar to that measured for present-day ETGs (La Barbera et al. 2013; Spiniello et al. 2014). For the low-mass stack, considering a typical age of 1.0 ± 0.2 Gyr, we find that the IMF is flatter: $\Gamma_b = 2.7_{-0.4}^{+0.3}$. The uncertainty in this case is larger, mainly because the degeneracies between age and IMF increase for younger ages and flatter IMFs. Using these IMF values, and assuming a bimodal parameterization, the mass-limits of our

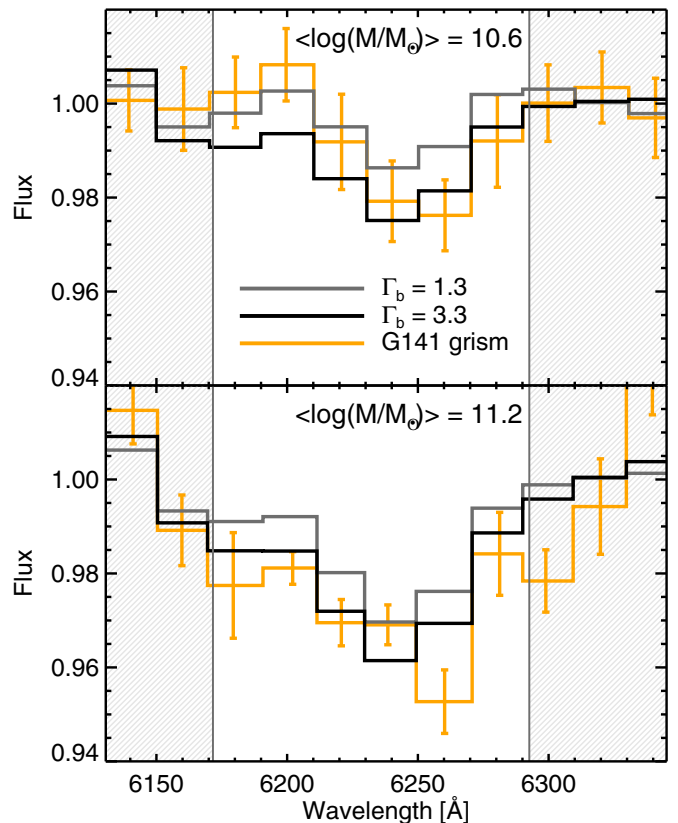


Figure 3. TiO_2 spectral region of the low- (top) and high-mass (bottom) stacks, as observed through the WFC3 G141 grism (orange solid line). Data points are compared to models (smoothed to the same resolution) with a bottom-heavy (black histogram) and a standard Kroupa-like IMFs (gray). The observed spectra and models were normalized to the flux in the continuum bands (gray shaded regions). Ages were fixed to the results discussed in Section 3.1.

stacks change to $M > 10^{11.5} M_\odot$ and $10^{10.7} < M < 10^{11.5} M_\odot$ for the high- and low-mass stacks, respectively. Although our age constraints are rather conservative (see Section 3.1), an offset of 0.5 Gyr in the lighter stack would leave the IMF slope unconstrained below $\Gamma_b \sim 3$. Such a large error in a 1 Gyr old population is not expected, but the IMF determination of this lighter stack should be considered more tentative than that for the massive stack. Furthermore, low-mass galaxies tend to have more extended SFHs (Thomas et al. 2005) and therefore, their SED may be less well represented by a single SSP. Note also that the departure from a SSP is expected to become larger if galaxies are observed closer to their formation age. This slightly extended star formation history in the lighter stack increases the scatter in the UV region, as shown in the upper left panel of Figures 1 and 2. In addition, at lower stellar masses the nature of galaxies becomes more heterogeneous, increasing the likelihood of having systems following different evolutionary tracks (e.g., disks and spheroids with different assembly histories maybe affecting the IMF).

Two main caveats should be considered before further interpreting our data: the effect of α -element enhancement and metallicity. Our fits do not account for nonsolar α -elements abundances. Massive galaxies exhibit an enhanced fraction of α -elements compared to the solar neighborhood, commonly interpreted as an imprint of a fast formation process (Thomas et al. 2005). For a 1–2 Gyr old population, an overabundance of ~ 1 dex in $[\text{Ti}/\text{Fe}]$ would be needed to mimic the effect of

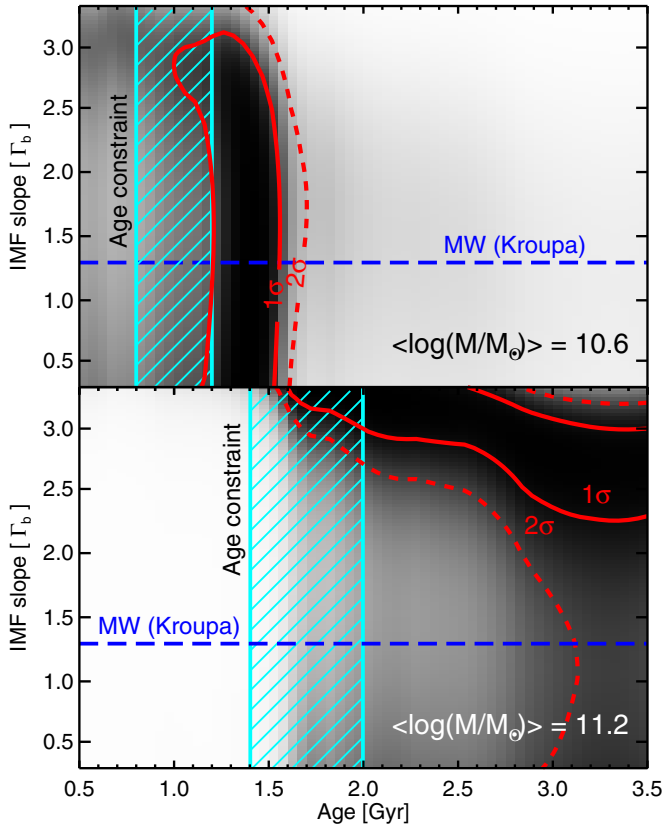


Figure 4. χ^2 values in the IMF slope vs. age plane for the low-mass (top) and the high-mass (bottom) samples. Darker tones indicate more probable SSP solutions. The solid and dashed red lines enclose the 1 and 2σ probability contours. Dashed cyan regions mark the age range inferred from SED fitting. The combination of the TiO_2 index measurements and the stellar ages indicates that the IMF of massive quiescent galaxies at $z \sim 1$ is bottom-heavy. For the low-mass galaxies, degeneracies are larger and the IMF slope determination is significantly more uncertain.

a $\Gamma_b = 3.2$ IMF (Thomas et al. 2011). However, La Barbera et al. (2013) found an excess of only ~ 0.2 dex in $[\text{Ti}/\text{Fe}]$ for massive galaxies at $z \sim 0$. Therefore, unless the situation is totally different at high- z (but see Choi et al. 2014), our TiO_2 measurement is unlikely to be explained with a standard IMF plus a non-solar $[\text{Ti}/\text{Fe}]$ abundance. The second caveat relates to the fact that we have used models with fixed solar metallicity. The effect of the metallicity on the TiO_2 line is very weak but not null. In this sense, we find steeper IMFs when assuming larger metallicities. However, neither our SED fits, nor $z \sim 0$ massive galaxies (La Barbera et al. 2013) suggest a strong departure from solar metallicity. On the contrary, an overestimation of the actual metallicity would weakly mimic the effect of a step IMF slope on the TiO_2 feature. However, subsolar metallicities can be ruled out considering that galaxies as massive as those in our sample, show almost no metallicity evolution since $z \sim 1$ (Choi et al. 2014), being metal-rich at $z \sim 0$ (La Barbera et al. 2013). Thus, our results are robust against a poor metallicity determination.

In a more qualitative way, in Figure 5 we compare our results with the IMF slope versus velocity dispersion relation found in the nearby universe (Ferreras et al. 2013). We have translated our stellar mass scale to velocity dispersion using individual measurements for our galaxies and statistical properties for samples at the same redshift and selected in similar way. Based

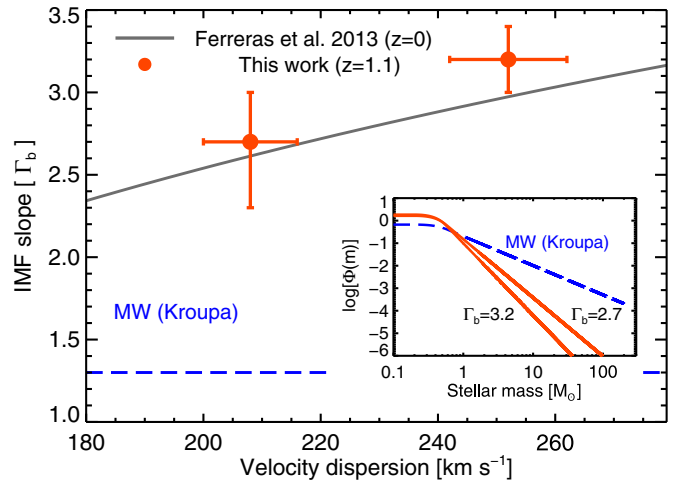


Figure 5. IMF slope vs. velocity dispersion for MQGs at $z \sim 1$, compared to the relation found for present-day ETGs (Ferreras et al. 2013) and a Kroupa (2001) IMF. The inset explicitly shows the differences among all these IMFs.

on measurements found in the literature (mainly in van de Sande et al. 2013; Belli et al. 2014) for galaxies with similar masses at similar redshifts, we obtain an average velocity dispersion of $252 \pm 10 \text{ km s}^{-1}$ and $208 \pm 8 \text{ km s}^{-1}$ for our high- and low-mass stacks, respectively. In addition, individual velocity dispersions have been measured for two galaxies contributing to our low-mass stacked spectrum (Newman et al. 2010). The mass of one of these galaxies is $M = 10^{10.6} M_\odot$ and its velocity dispersion $\sigma = 206 \text{ km s}^{-1}$, and for the other $M = 10^{10.9} M_\odot$ and $\sigma = 239 \text{ km s}^{-1}$. According to Figure 5, our $z \sim 1$ IMF estimations are in good agreement with the IMF slope in ETGs of similar mass in the present-day universe. This suggests a direct evolutionary link between both populations and that the IMF, a key characteristic of the stellar populations in galaxies, have remained unchanged in the last ~ 8 Gyr.

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