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# Probing the Physics of the Solar Atmosphere with the Multi-slit Solar Explorer (MUSE). I. Coronal Heating

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## Abstract

The Multi-slit Solar Explorer (MUSE) is a proposed mission composed of a multislit extreme ultraviolet (EUV) spectrograph (in three spectral bands around 171 Å, 284 Å, and 108 Å) and an EUV context imager (in two passbands around 195 Å and 304 Å). MUSE will provide unprecedented spectral and imaging diagnostics of the solar corona at high spatial ( $\leq 0''.5$ ) and temporal resolution (down to  $\sim 0.5$  s for sit-and-stare observations), thanks to its innovative multislit design. By obtaining spectra in four bright EUV lines (Fe IX 171 Å, Fe XV 284 Å, Fe XIX–Fe XXI 108 Å) covering a wide range of transition regions and coronal temperatures along 37 slits simultaneously, MUSE will, for the first time, “freeze” (at a cadence as short as 10 s) with a spectroscopic raster the evolution of the dynamic coronal plasma over a wide range of scales: from the spatial scales on which energy is released ( $\leq 0''.5$ ) to the large-scale ( $\sim 170'' \times 170''$ ) atmospheric response. We use numerical modeling to showcase how MUSE will constrain the properties of the solar atmosphere on spatiotemporal scales ( $\leq 0''.5$ ,  $\leq 20$  s) and the large field of view on which state-of-the-art models of the physical processes that drive coronal heating, flares, and coronal mass ejections (CMEs) make distinguishing and testable predictions. We describe the synergy between MUSE, the single-slit, high-resolution Solar-C EUVST spectrograph, and ground-based observatories (DKIST and others), and the critical role MUSE plays because of the multiscale nature of the physical processes involved. In this first paper, we focus on coronal heating mechanisms. An accompanying paper focuses on flares and CMEs.

*Unified Astronomy Thesaurus concepts:* [Solar coronal heating \(1989\)](#); [Theoretical models \(2107\)](#); [Solar instruments \(1499\)](#)

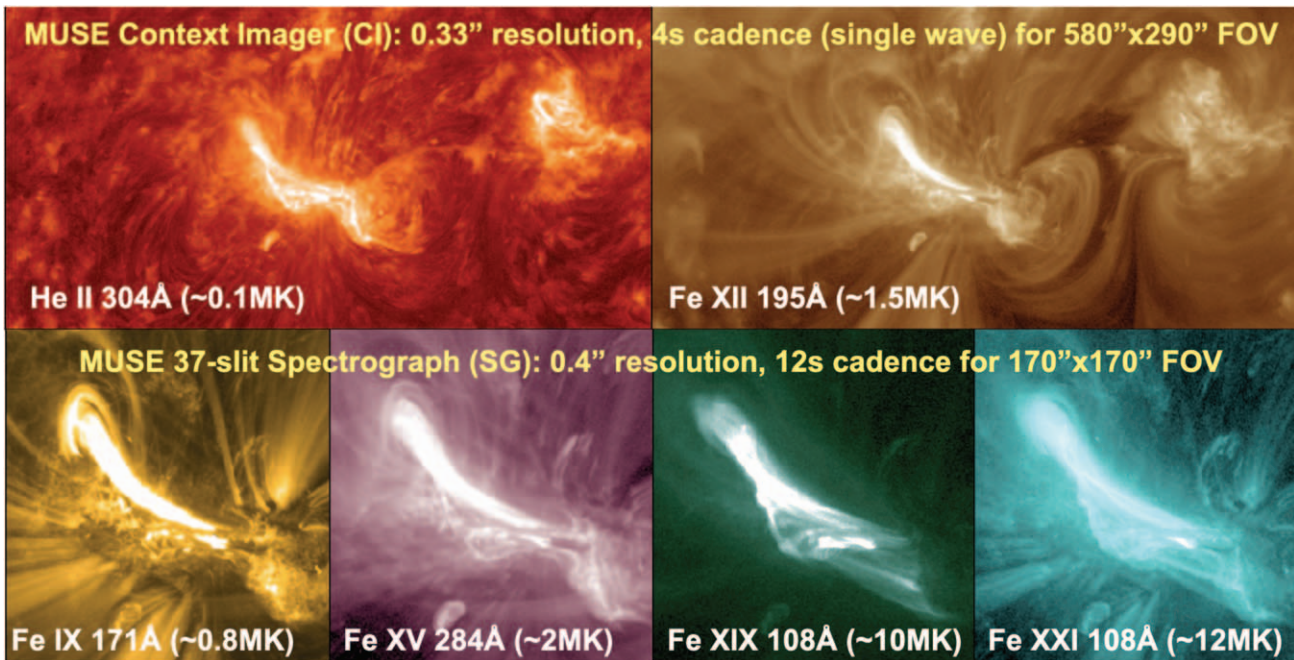
*Supporting material:* animations

## 1. Introduction

The physical processes at work in the solar outer atmosphere, leading to the heating of coronal plasma to millions of degrees, to the acceleration of the solar wind, and to dynamic events such as flares and coronal mass ejections (CMEs), are still poorly understood. Although significant progress has been made recently,

thanks to the ever-increasing quality of solar observations as well as continuous advances in numerical modeling (e.g., Reale 2014; Van Doorselaere et al. 2020; De Pontieu et al. 2021), the lack of spectroscopic measurements with sufficient spatial and temporal resolution and spatial coverage has hampered progress in understanding these phenomena, because they involve many spatial and temporal scales at once. The Multi-slit Solar Explorer (MUSE; Cheung et al. 2019a; De Pontieu et al. 2020), a mission proposed to NASA as a Medium-class Explorer and now in a Phase A study, aims to overcome these shortcomings of single-slit spectrometers by using an innovative approach using multiple slits and several

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**Figure 1.** Illustration of MUSE EUV observations, including FOV and typical cadence, for the two instruments: the Context Imager (CI) and the 37-slit Spectrograph (SG).

different and narrow extreme ultraviolet (EUV) spectral bands. These novel observations of the solar corona, coupled with state-of-the-art numerical modeling, will provide unprecedented constraints on the physical mechanisms driving coronal heating and space weather events and will allow MUSE to address its science goals:

1. Determine which mechanism(s) heat the corona and drive the solar wind.
2. Understand the origin and evolution of the unstable solar atmosphere.
3. Investigate fundamental physical plasma processes.

MUSE’s 37-slit EUV spectrograph (MUSE SG) operates at three wavelength bands (108, 171, and 284 Å) and will provide active-region (AR) scale (with a field of view, FOV, of  $170'' \times 170''$ ) dense spectral rasters with a spatial resolution of  $0''.4$  along the slits and at  $0''.4$  spatial sampling across the slits, all at a cadence as fast as 12 s (see Figure 1) for AR targets. Fainter targets may require longer cadences of order 20–30 s, while for flare targets, dense rasters may be obtained at cadences of order 8 s. In sit-and-stare mode, the spectrograph cadence can be as low as 0.5 s, while context imaging will typically be obtained at a cadence of 4 s per wavelength for AR targets.

Each parallel slit (along the  $y$ -direction of the detector) produces its own two-dimensional spectral image on the detector but offset from each other in the  $x$ -direction of the detector. By choosing isolated spectral lines, optimizing for the interslit spacing, and by using a compressed sensing method, it has been shown that the detector signal can be processed to retrieve physical properties (intensity, Doppler velocity, and line broadening) simultaneously sampled by the 37 slits at the required accuracy (see De Pontieu et al. 2020 and Cheung et al. 2019a for a more detailed discussion).

This innovative multislit design allows MUSE to return AR-scale rasters at  $\sim 30\times$ – $100\times$  the speed of existing EUV spectrographs, e.g., SOHO/SUMER (Wilhelm et al. 1995), Hinode/EIS (Culhane et al. 2007), and the upcoming Solar-C/EUVST

(Shimizu et al. 2019). This allows MUSE to effectively freeze the plasma dynamics in the corona and transition region (TR) over a field of view (FOV) that is the size of an AR while delivering key spectroscopic information about the fundamental physical processes. In addition to the SG, the Context Imager (MUSE CI) will provide  $0''.33$  resolution narrowband images over a larger FOV ( $580'' \times 290''$ ) in the 304 or 195 Å bands at 4 s cadence continuously. An even larger FOV of  $580'' \times 580''$  can be obtained at 10 s cadence for a single passband. All of these observations can be supported indefinitely, enabled by the high data rate of MUSE ( $21 \text{ Mbit s}^{-1}$  continuously).

In this and a companion paper (Cheung et al. 2022), we highlight MUSE’s unique capabilities for addressing the mission’s science goals. In addition, we consider the crucial contribution MUSE would make toward realizing the science objectives of the Next Generation Solar Physics Mission (NGSPM). The following subsections provide a brief background on the NGSPM, the role of MUSE in the Heliophysics Systems Observatory, and the current understanding of the processes driving coronal heating.

The remainder of the paper is structured as follows. In Section 2 we briefly describe the numerical models we use to predict MUSE observables and diagnostics, further details are given in Appendix A. In Section 3, we discuss the unique MUSE contributions to addressing the NGSPM science objectives (listed in Table 1) and present case studies highlighting the synergies with EUVST and the 4 m Daniel K. Inouye Telescope (DKIST; Rimmele et al. 2020; Rast et al. 2021). In Section 4 we summarize our results and draw our conclusions.

### 1.1. NGSPM

The NGSPM is a mission concept developed by a panel of solar physics experts designated by JAXA, NASA, and ESA.<sup>19</sup> Following townhalls at international solar physics conferences

<sup>19</sup> [https://hinode.nao.ac.jp/SOLAR-C/SOLAR-C/Documents/NGSPM\\_report\\_170731.pdf](https://hinode.nao.ac.jp/SOLAR-C/SOLAR-C/Documents/NGSPM_report_170731.pdf)

**Table 1**  
NGSPM Science Objectives and Corresponding Mission Science Objectives

NGSPM Science Objectives <sup>a</sup>	Mission Science Objectives		
	MUSE <sup>b</sup>	EUVST <sup>c</sup>	DKIST <sup>d</sup>
<b>I. Formation mechanisms of the hot and dynamic outer solar atmosphere</b>			
I.1 Understand the formation mechanism of chromospheric fine-scale dynamic structures and their influence in the corona	1 c	I-3-[1,2]	5.1
I.2 Test the nanoflare-heating hypothesis	1b,3a	I-1-[1,2,3,4]	5.2
I.3 Test the wave-heating hypothesis	1a,3b	I-2-[1,2,3]	5.2
I.4 Understand the role of magnetic flux emergence in the heating of the chromosphere, TR, and corona	1 c		5.5
I.5 The sources and driving mechanisms of the solar wind	1a,1 c	I-4-[1,2]	5.2
I.6 Formation mechanisms of solar prominences	3b		6.1

**Notes.**

<sup>a</sup> [https://hinode.nao.ac.jp/SOLAR-C/SOLAR-C/Documents/NGSPM\\_report\\_170731.pdf](https://hinode.nao.ac.jp/SOLAR-C/SOLAR-C/Documents/NGSPM_report_170731.pdf)

<sup>b</sup> De Pontieu et al. (2020).

<sup>c</sup> [https://hinode.nao.ac.jp/SOLAR-C/SOLAR-C/Documents/2\\_Concept\\_study\\_report\\_part\\_I.pdf](https://hinode.nao.ac.jp/SOLAR-C/SOLAR-C/Documents/2_Concept_study_report_part_I.pdf)

<sup>d</sup> DKIST objective refers to the section number in Rast et al. (2021).

and dozens of whitepaper submissions from the community, the Science Objectives Team (NGSPM SOT) developed NGSPM science objectives (SOs) based on several criteria, including the impact on solar physics and other disciplines and research fields, the relevance to NASA/JAXA/ESA objectives, and the interest within the international solar physics community. The NGSPM Science Objectives are generally focused on understanding how the interplay between plasma and magnetic fields causes heating in the solar atmosphere and leads to flares and CMEs. In Table 1 we list the NGSPM science objectives relevant to the coronal heating issue addressed in this paper (i.e., “I. Formation mechanisms of the hot and dynamic outer solar atmosphere”), together with the associated science objectives of the various NGSPM components. The companion paper (Cheung et al. 2022) has an analogous table listing the objectives relevant to flares and eruptions (i.e., “II. Mechanisms of large-scale solar eruptions and foundations for prediction”).

Flowing down from the SOs, the NGSPM report identifies a list of observational tasks, and the “minimum set of instruments with which the NGSPM can address the greatest number of high-priority tasks consistent with the objectives of small length- and timescale activity.” The NGSPM report highlighted the need for simultaneous observations of the whole solar atmosphere from the photosphere to the hot corona. The suite of instruments identified by the NGSPM report as the most suitable to address the prioritized SOs are the following:

1. 0''3 resolution coronal/transition region spectrograph.
2. 0''2–0''6 coronal imager.
3. 0''1–0''3 resolution chromospheric/photospheric magnetograph/spectrograph.

The NGSPM report proceeds to recommend that the suite of instruments either be implemented on a single platform (e.g., the original Solar-C mission) or on multiple platforms as a “distributed NGSPM mission.” In this and a companion paper, we discuss the unique contributions of MUSE, as both a high-resolution coronal/TR spectrograph and coronal imager, to the NGSPM science goals, and how MUSE, together with EUVST, DKIST, and other ground-based observatories (GBOs), more than matches the capabilities of a “distributed NGSPM mission” to satisfactorily address the NGSPM SOs. At the time of writing, DKIST is undergoing commissioning and EUVST has been selected for implementation by JAXA and NASA with a planned

2026 launch. MUSE is currently in a Phase A study as a medium-class Heliophysics Explorer mission, with a planned launch date of 2026 or 2028 (if selected).

EUVST is a traditional single-slit spectrograph covering various wavelength ranges in the EUV and FUV, enabling extensive thermal coverage from 20,000 K to 15 MK without significant gaps, allowing the tracing of plasma over a wide range of temperatures.<sup>20</sup> It will obtain spectra along a single slit and achieve 0''4 resolution over the central 140'' of the slit and 0''8 resolution along the rest of the 280'' long slit. EUVST is expected to achieve typical exposure times of order 1–5 s (depending on target), so that dense rasters over an FOV of 170'' × 170'' at full resolution will take of order 7–35 minutes. MUSE, on the other hand, will obtain similarly dense rasters (at selected temperatures) with a similar FOV but every 12 s, i.e., of order 30×–100× faster than EUVST. EUVST can obtain high raster cadences similar to those of MUSE (e.g., 12 s) but only over a very small FOV of order 4'' × 140'', which is most often too small to capture loops, wave propagation, flares, and CMEs. An advantage of the extensive line list of EUVST is that, over this small FOV (or a larger FOV at much lower cadence), it can trace the dynamic evolution, temperature, composition, and density of plasma from chromospheric to flaring temperatures. For sit-and-stare observations during flares, EUVST can achieve cadences of 200 ms. Similarly, during flares, MUSE can obtain sit-and-stare observations along half the length of its 37 slits at cadences of order 500 ms. EUVST will obtain slit-jaw images in photospheric and chromospheric passbands (using a slit-jaw imager like on IRIS), and thus not obtain any coronal context, rendering interpretation of EUVST coronal and TR spectra very challenging, one of the reasons why the NGSPM report called for a coronal imager with similar resolution to the spectrograph. High-resolution coronal and TR images from MUSE will provide the necessary context.

The latest generation of ground-based telescopes would not provide the extensive coronal diagnostics of EUVST or MUSE nor the seeing-free, high-quality time series that space-based observatories can provide, but has a capability to provide spectropolarimetric and spectroscopic measurements of the photosphere and chromosphere, providing a wealth of information on the energetics and dynamics of magnetic field and plasma, at high

<sup>20</sup> <https://solar-c.nao.ac.jp/en/>

(<0.2) spatial resolution and high cadence (<10 s). Foremost among these observatories is DKIST, which can achieve an angular resolution as high as 0.03. DKIST is equipped with several instruments focused on spectropolarimetry, such as DL-NIRSP and ViSP. Such measurements, coupled with inversion approaches, provide information about the photospheric and chromospheric magnetic field. In addition, measurements of the magnetic field in the corona (off-limb) can be made with Cryo-NIRSP (Kuhn et al. 2013) and COSMO (Tomczyk et al. 2016) when the latter becomes available by 2026. High-resolution photospheric and chromospheric spectropolarimetry can also be obtained from other ground-based telescopes like the Swedish 1 m Solar Telescope (SST, e.g., using CRISP and HeSP; Schamer et al. 2003), the Goode Solar Telescope (Goode & Cao 2013, GST, at Big Bear, e.g., using NIRIS and FISS), GREGOR (Schmidt et al. 2012), and others. Further out into the future, the 4 m European Solar Telescope (EST; Matthews et al. 2016) is expected to start around 2028, further enhancing the opportunity for coordinated observations of the magnetic field and lower atmospheric dynamics.

### 1.2. MUSE $\times$ EUVST Synergies

The complementary approach to spectroscopy (thermal coverage for EUVST, large FOV, and high cadence for MUSE) and imaging (photosphere and chromosphere for EUVST TR and corona for MUSE) means that EUVST and MUSE will have major synergies. This synergy was recognized by the NGSPM report and will be further described in Section 3. The combination of EUVST and MUSE observations will allow us to address the multiscale and multithermal nature of critical physical processes in the solar atmosphere at the required high cadence. Energy is released and eruptions are triggered on small scales, often from driving processes in the lower atmosphere (which can be captured by EUVST and DKIST), and the energy release mechanism shows spatiotemporally coherent behavior ( $\sim 10$  s and  $\sim 400$  km) that MUSE will resolve. The response of the atmosphere and magnetic field most often occurs on much larger spatial scales from jets (5–20 Mm) and AR loops (e.g., quiescent and flaring loops, 20–50 Mm) to AR-sized scales (e.g., CMEs, 50–150 Mm), which MUSE’s extremely rapid imaging spectroscopy over an AR will be able to capture for the first time.

### 1.3. MUSE in the Heliophysics Systems Observatory

In this paper we focus on the unique contributions to NGSPM science objectives and the synergies with the missions highlighted in the NGSPM. However, there are also strong synergies with other missions that are part of the Heliophysics Systems Observatory, such as the Parker Solar Probe (PSP; Velli et al. 2020), Solar Orbiter (Müller et al. 2020), PUNCH (DeForest et al. 2020), Solar Dynamics Observatory (SDO; Pesnell et al. 2012), and the Interface Region Imaging Spectrograph (IRIS; De Pontieu et al. 2014).

MUSE’s high-resolution imaging and spectroscopy of the corona will fill a crucial gap in the capabilities of the Heliophysics System Observatory (HSO). The high-resolution spectroscopy of the corona will be a great complement to spectroscopy and imaging of the chromosphere and TR with IRIS and the full-disk imaging at lower resolution by SDO’s Atmospheric Imaging Assembly (AIA, Lemen et al. 2012). Similarly, MUSE will be observing the source regions of the solar wind, measuring

interchange reconnection, jets, and Alfvén waves, all of which are thought to feed into the wind, thus providing key information for in situ measurements of the solar wind properties with PSP and Solar Orbiter. MUSE measurements of solar activity such as flares and CMEs will provide critical information of the upstream drivers of solar wind disturbances that are measured by PUNCH, PSP, and Solar Orbiter.

MUSE also is very complementary to remote-sensing instruments on board Solar Orbiter: It will provide continuous high-resolution spectroscopy and imaging enabled by its very high sustained data rate, which is more than  $30\times$  that of IRIS, EUVST, and even higher multiplication factors for Solar Orbiter. In addition, coordinated observations of MUSE and Solar Orbiter offer the possibility of stereoscopic imaging or even spectroscopy during short time intervals when viewing angles from instruments like the Extreme Ultraviolet Imager (EUI; Rochus et al. 2020) or the Spectral Imaging of the Coronal Environment (SPICE; Spice Consortium et al. 2020) on board Solar Orbiter are favorable and when Solar Orbiter is close to perihelion and obtains its highest resolution.

These exciting synergies will be described in more detail in a future publication.

### 1.4. Outstanding Challenges in Understanding Coronal Heating

The detailed nature of the processes that power the corona and solar wind remains poorly constrained even though access to both new observations and numerical models has advanced the field rapidly the last 10 yr (Reale 2014; Carlsson et al. 2019; Van Doorselaere et al. 2020; De Pontieu et al. 2021). We know that mechanical driving in the lower atmosphere transports sufficient energy flux to sustain the million-degree corona (De Pontieu et al. 2007; Rast et al. 2021) and that waves, currents, and reconnection may carry or release substantial energy, but it remains unclear how important each is for the local energy balance, how this depends on the ambient environment (e.g., ARs, quiet Sun), and how the conversion of nonthermal to thermal energy works in detail. While previous missions like Hinode, SDO, and IRIS have shown tantalizing glimpses of how magnetoconvective energy generated in the interior of the Sun drives solar activity and energizes the low solar atmosphere and corona, currently available observations lack coronal coverage and/or spatiotemporal resolution and are unable to arbitrate between competing theories of coronal heating (e.g., Hinode Review Team et al. 2019; De Pontieu et al. 2021). These theories invoke processes like wave propagation, mode conversion, and dissipation through turbulence or resonant absorption, field-line braiding and magnetic reconnection, or nonthermal particle acceleration. As coronal heating models are beginning to be extrapolated to modeling the coronae of exoplanet host stars (Alvarado-Gómez et al. 2016; Garraffo et al. 2017; Dong et al. 2018) to assess their habitability, it is timely to validate theories that work and rule out those that do not.

Recent observations have confirmed the presence of many different types of waves throughout the corona that may carry a substantial amount of energy (Van Doorselaere et al. 2020). Incompressible waves, e.g., Alfvénic waves, are suspected to be important in heating the corona (Matsumoto 2016) and driving the solar wind (McIntosh et al. 2011), but it remains unclear what role they play, as the limited spatiotemporal resolution of current observations leaves wave-heating models poorly constrained (Asgari-Targhi et al. 2014). with the exact wave energy content uncertain and direct observations of wave dissipation elusive

(McIntosh & De Pontieu 2012; Hahn & Savin 2013; Antolin et al. 2018b). Several competing numerical models of wave heating exist: (i) resonant absorption of kink-mode waves and subsequent heating from wave dissipation through Kelvin–Helmholtz instability (KHI) vortices (first seen with IRIS observations of prominence oscillations; Antolin et al. 2015b; Okamoto et al. 2015), and (ii) dissipation of Alfvén waves, generated in the photosphere and propagating into the corona, through a turbulent cascade from the interaction between counterpropagating waves (e.g., van Ballegooyen et al. 2011). However, current instrumentation cannot resolve the predicted signatures in the corona and cannot properly constrain the models. In both models, the dissipation occurs on scales that are smaller than can be directly observed, but the predicted spatiotemporal intermittency, phase relations, amplitudes, and dominant periods are clearly different on scales of  $0''.5$  and 20 s, as demonstrated in this paper. MUSE will be able to determine whether such waves exist, and, if they do, to discriminate between these models (Section 3.3).

The dissipation of magnetic stresses in small-scale reconnection events (“nanoflares”) driven by the braiding of field lines (Parker 1988) is another major candidate process for coronal heating. Direct observations of this process have been rare: it is not clear whether the single case of organized, large-scale braided loops observed by Hi-C (Cirtain et al. 2013) and seen to lead to unwinding of the field and heating of the plasma is a common occurrence in the corona. From advanced models of heating from braiding or reconnection, it is known that turbulent current sheets can spontaneously form down to scales well below the spatial scales accessible to remote-sensing instruments. While MUSE will not directly observe the smallest scales, turbulent reconnection simulations indicate that MUSE has the spatiotemporal resolution to detect the dynamic response of the plasma to heating (Hansteen et al. 2015, 2010; Pontin et al. 2017) and the resulting substructure of loops, and to identify the presence and pervasiveness of turbulent current sheets in the solar corona. MUSE observations will provide unprecedented observational constraints for braiding models. For example, recent models (Hansteen et al. 2015) predict that turbulent braiding can lead to outflow jets ( $v \sim 100 \text{ km s}^{-1}$ ). Such jets have now been detected in cool plasma with IRIS (Antolin et al. 2021), but it is unclear how prevalent they are in the coronal volume. Similarly, some models predict faint emission of transient high-temperature plasma produced in response to heating events from braiding, for which observational evidence has been difficult to obtain, and it is not known how often such events occur (e.g., Reale et al. 2009; Guarrasi et al. 2010; Testa et al. 2011; Miceli et al. 2012; Testa & Reale 2012; Brosius et al. 2014). Braiding and resulting reconnection is also expected to provide clear signatures in the 1 MK upper TR of AR loops (the so-called “moss”), which because of its small volume suffers less from superposition. Bidirectional reconnection outflows can cause line broadening, which IRIS has provided tantalizing glimpses of in slow (30 minute cadence, 60 s exposure) rasters of moss (Testa et al. 2016), but firm evidence remains lacking because of observational limitations. Observations of the upper TR moss also have revealed signatures of the deposition and thermalization of nonthermal electrons generated by reconnection (Testa et al. 2014, 2020), but at the currently observed resolution of the corona, it remains unclear how common such events are (Graham et al. 2019).

A Poynting flux into the corona can be provided by both “AC” wave mechanisms or “DC” braiding as convective motions push the subphotospheric and photospheric field, but

the emergence of a horizontal field into the outer atmosphere will also generate a Poynting flux and hence a possible source of coronal heating as newly emergent field interacts with the preexisting ambient coronal field. Models of this interaction show that it will drive reconnection events where the reconnecting field lines can meet at large angles, giving rise to bursty brightenings, large flow velocities up to the Alfvén speed, various types of waves, and large nonthermal line widths (e.g., Hansteen et al. 2019). These events will be followed by the draining of mass brought up by the emerging field, visible in the chromospheric lines but also lines formed at coronal temperatures such as Fe IX. The clearest examples of these interactions are found in the vicinity of newly forming ARs, but the role of flux emergence in powering the AR corona in general is unclear. Similarly, recent studies of the  $H\beta$  line at the SST have shown that flux emergence with associated (though weak) Ellerman bomb–like reconnection events may be ubiquitous, even in the quiet Sun (Joshi et al. 2020). In the latter case, it may be the field generated by the near-surface local dynamo that is the source of the emerging field. Fairly weak fields do not easily emerge into the chromosphere, and it is currently not clear how much energy such events deposit in the chromosphere (e.g., Gošić et al. 2021) and corona.

Another source of high-angle reconnection could be cancellation events, where photospheric fields of opposite polarity are driven together by photospheric motions resulting in reconnection strong enough to generate nanoflares and thus coronal heating (Chitta et al. 2018; Priest et al. 2018).

Recent advanced models encompassing the whole solar atmosphere, including important processes such as ion–neutral coupling, also predict heating to coronal temperatures associated with chromospheric spicules (De Pontieu et al. 2017a; Martínez-Sykora et al. 2018). Such heating has been suggested based on imaging observations (De Pontieu et al. 2017a, 2009, 2011) but contested using lower-resolution spectra (Madjarska et al. 2011). The heating associated with such jets is predicted to be caused by a complex sequence of events including dissipation of electrical currents (De Pontieu et al. 2017a) and Alfvénic waves (Antolin et al. 2018a). Current observations are not adequate to settle this issue.

Some of these processes may also play a role in providing energy to the solar wind. For example, spicules and other jets (e.g., coronal jets) occur at the roots of the fast solar wind. Higher-resolution observations are key to address how such jets are formed and test models for jet generation (Sterling et al. 2015; De Pontieu et al. 2017a) and their role in the solar wind (Cirtain et al. 2007; Wang 2020). It is known that an extra acceleration beyond the critical point is needed to achieve the high velocities measured (Hansteen & Velli 2012) in the fast solar wind at 1 au. The source of this additional acceleration has long been thought to lie in Alfvén waves, but the properties, propagation, and dissipation of these waves have remained elusive. Alfvénic waves have been seen at the roots of the corona along spicules and coronal jets at high amplitudes (Cirtain et al. 2007; De Pontieu et al. 2007) but coronal spectroscopic observations that could elucidate the propagation, damping, and dissipation of such waves have been scarce or at low resolution (Tomczyk et al. 2007; Hahn & Savin 2013). High-resolution observations are required to provide insight into this important energy source at the roots of the solar wind.

Such observations will also be able to constrain the driver and intermittency of “AR outflows” that feed into the slow

solar wind (Bryans et al. 2010) and the role of opening of closed loops through reconnection in driving the slow wind (Tu et al. 2005).

## 2. Numerical Simulations

Advanced numerical modeling is of crucial importance for the interpretation of solar observations. In this paper, we show how comparisons between MUSE observables and predictions from models will provide stringent tests of state-of-the-art models and will allow us to distinguish between competing models. The MUSE science team includes modeling experts with access to several advanced numerical models, able to simulate a broad range of solar features (from spicules, to warm and hot loops, to coronal jets) and with a variety of physical mechanisms leading to atmospheric heating (from magnetic braiding to Alfvén waves to flux emergence). We exploit this large set of numerical models to highlight novel MUSE diagnostics that will lead to significant breakthroughs in our understanding of the processes leading to the formation and energization of the solar corona. Here we focus on the simulations used to model the nonflaring solar corona, while additional models of flares and CMEs are used in the companion paper (Cheung et al. 2022).

The range of models we use includes self-consistent 3D and 2.5D radiative MHD models, such as the ones using the Bifrost (Gudiksen et al. 2011) and MURaM codes (Rempel 2014, 2017), as well as 3D MHD models of loops (e.g., Reale et al. 2016; Karamelas et al. 2019a) and 1D HD models computed using the RADYN code (e.g., Carlsson & Stein 1992; Allred et al. 2005; Testa et al. 2014; Polito et al. 2018; Testa et al. 2020). Some of the latter models, although idealized, are more flexible and well suited to study some specific physical processes not easily modeled or isolated in the more complex atmospheric models.

In Table 2 we provide a concise overview of the models used here to synthesize MUSE observables and showcase the diagnostic potential of MUSE. A description of the properties of the numerical models, and codes, including references to previous papers that have analyzed them in detail, are provided in Appendix A.

## 3. Unique MUSE Contributions to NGSPM Science Objectives

### 3.1. Impact of Chromospheric Fine-scale Dynamic Structures on the Formation of the Corona

The most common chromospheric fine-scale dynamic structures that protrude into the corona are chromospheric spicules. Some theoretical models predict that significant heating to coronal temperatures occurs in association with spicules, e.g., through the reconnection and/or the dissipation of electrical currents (Martínez-Sykora et al. 2017b) or transverse waves (e.g., from resonant absorption and resulting Kelvin–Helmholtz instabilities; Antolin et al. 2018b). All of these processes are predicted to occur on short timescales of the order of 20 s, with the heating occurring over a wide range of spatial scales, typically offset by up to tens of arcseconds from the injection of spicular material (Figures 2 and 3 and the associated animation).

These predictions highlight the desire for a comprehensive NGSPM approach where each instrument provides important observations to address whether spicules play a significant role

in the heating of the corona. DKIST (or other GBOs) will provide measurements of the magnetic field in the photosphere (ViSP scans covering a few arcseconds at  $\sim 1$  minute cadence) and chromosphere (DL-NIRSP scans of  $30'' \times 30''$  at  $\sim 30$  s cadence) to understand the magnetic field conditions that lead to spicule formation, while DL-NIRSP and VTF scans through chromospheric lines will provide the timing and location of spicular upflows. Such measurements will also provide insight into the magnetic and shock waves expected during spicule formation.

The thermal evolution of the spicular plasma from chromospheric to coronal temperatures can be studied using rapid EUVST rasters covering  $2'' \times 140''$  at 10 s cadence. While these rasters will seamlessly cover all temperatures from 20,000 to several million degrees at high cadence, their small FOV will make it difficult to track the impact of spicules on the TR and corona without context imaging at TR (e.g., He II 304 Å, Figure 3 and associated animation) and coronal temperatures. In addition, such small rasters can only track the spicular signal close to the loop footpoints, missing the dissipation of currents and Alfvén waves that leads to heating along the associated coronal loop (De Pontieu et al. 2017b; Martínez-Sykora et al. 2017b). Models predict this to occur quickly after spicular injection, but up to tens of arcseconds away from the footpoints as electrical currents and waves propagate away from the spicule and are dissipated. In Figure 2 (top row) and the animation associated with Figure 3, the spicular upflows are visible as short-lived brightenings and associated strong upflows in Fe IX 171 Å. The coronal loops that form in response to spicules are relatively short ( $30''$ ) in this simulation because of the imposed magnetic field geometry. However, in the solar atmosphere, such loops will typically be much longer (20–100 Mm). EUVST context images only cover photospheric and chromospheric temperatures and thus cannot capture the full coronal response. Rapid MUSE rasters covering  $170'' \times 170''$  at  $\sim 20$  s cadence, combined with TR and coronal images, will capture the coronal loops (Figure 2, bottom row) associated with the spicular injection (De Pontieu et al. 2017b) at the required high resolution.

MUSE Fe IX 171 Å spectra at the loop footpoints (third panel of bottom row of Figure 3 and third column of Figure 2) will show strong blueward excursions when spicules are initially launched, while statistical analysis of Doppler shifts and broadening can be used to determine whether there is a significant coronal response along the loops (e.g., evaporative upflows, bottom-left panel of Figure 2, right column of Figure 2, and the animation associated with Figure 3). In addition, if there is a coronal loop forming, the spatiotemporal evolution of intensity, Doppler shift, and broadening can be used to determine whether the dissipation of magnetic waves (as deduced from phase relationships between the plane of the sky, POS, and line of sight, LOS, oscillations; Antolin et al. 2018b), shock waves (as deduced from propagation speed; Skogsrud et al. 2016), or electrical current dissipation (as deduced from propagation speed; Martínez-Sykora et al. 2017b) is the dominant mechanism for the coronal heating. MUSE images in He II 304 Å will show the TR counterparts of chromospheric spicules (left column, Figure 3), while the Fe XII 195 Å images will show both the formation of the hot loop through evaporation and the subsequent cooling, as shown toward the end of the time range of Figure 3 (second column).

By providing spectroscopy over the whole length of coronal loops, including both footpoints, MUSE will be able to capture

**Table 2**  
Overview of Numerical Simulations

Code	Model	Region	Properties	NGSPM SO	References. <sup>a</sup>
Bifrost	B_en024048_hion <sup>b</sup>	Quiet Sun network, braiding	3D MHD, non-eq. H	I.2-I.5	[1]
	B_en024031_emer3.0	Network, braiding, flux emerg.	3D MHD	I.1,I.2,I.4	[2]
	B_en096014_gol <sup>b</sup>	Plage, spicules	2.5D MHD, GOL	I.1-I.5	[3,4]
	B_nw072100	Network, braiding, flux emerg.	3D MHD	I.1,I.2,I.4	[5]
	B_npdns03	Coronal hole, bright point	2D MHD	I.5	[6]
MURaM	MURaM_p1E	Plage, braiding, flux emergence	3D MHD	I.2-I.4	[7,8]
PLUTO	PL_loop_twist	AR loops, braiding	3D MHD	I.2	[9]
	PL_nanojets	Loops interaction, braiding	3D MHD	I.2	[10]
	PL_waves	AR loops, waves	3D MHD, TWIKH	I.3	[11]
CipMOCCT	Cip_waves	AR loops, waves, impulsive driver	3D MHD, TWIKH	I.3	[12]
Lare3d	La_DC	AR loops, braiding	3D MHD	I.2,I.3	[13]
	La_AC	AR loops, waves	3D MHD	I.2,I.3	[13]
	VBA_AWT	AR loops, waves	3D RMHD, AWT	I.3	[14]
	Mat-AC	QS loops, waves	3D MHD	I.3	[15]
RADYN	C1, E1, E2, H1	AR loops, nanoflares	1D HD, w/ NTE	I.2	[16,17]

**Notes.** GOL: generalized Ohm’s Law; non-eq. H: nonequilibrium hydrogen ionization; TWIKH: transverse-wave-induced Kelvin–Helmholtz rolls; AWT: Alfvén wave turbulence; RMHD: reduced MHD; NTE: nonthermal electrons.

<sup>a</sup> References: [1] Carlsson et al. (2016); [2] Hansteen et al. (2019); [3] Martínez-Sykora et al. (2017a); [4] Martínez-Sykora et al. (2020); [5] Hansteen et al. (2020); [6] D. Nobrega-Siverio (2021, in preparation); [7] Rempel (2017); [8] Danilovic (2020); [9] Reale et al. (2016); [10] Antolin et al. (2021); [11] Karamelas et al. (2019b); [12] Antolin & Van Doorselaere (2019); [13] T. Howson & I. De Moortel (2021, in preparation); [14] van Ballegooijen et al. (2017a); [15] Matsumoto (2018); [16] Polito et al. (2018); [17] Testa et al. (2020).

<sup>b</sup> Publicly available at <http://sdc.uio.no/search/simulations>.

the full history of loops, including the preceding spicular activity and resulting current or wave dissipation, as well as subsequent cooling. In this fashion, MUSE will provide the missing link to firmly establish whether any associated heating along coronal loops can statistically be tied to spicular injection and determine its importance in the global energy balance of the corona.

### 3.2. The Nanoflare-heating Hypothesis

Nanoflares are small-scale impulsive events in which energy ( $10^{24}$ – $10^{26}$  erg) is released as a result of magnetic reconnection caused by braiding. Models for nanoflares predict clear observables that can be used to not only test the model accuracy but also to constrain the properties of the energy release. The rapid reconfiguration involved in braiding events and the resulting plasma response are expected to occur on short timescales of the order of 20 s and a wide range of spatial scales, from  $0''.5$  to several tens of arcseconds as reconnection leads to strong plasma outflows in the form of nanojets (Antolin et al. 2021), loops are heated and filled with plasma, and conjugate loop footpoints respond to the energy release (Testa et al. 2014, 2020).

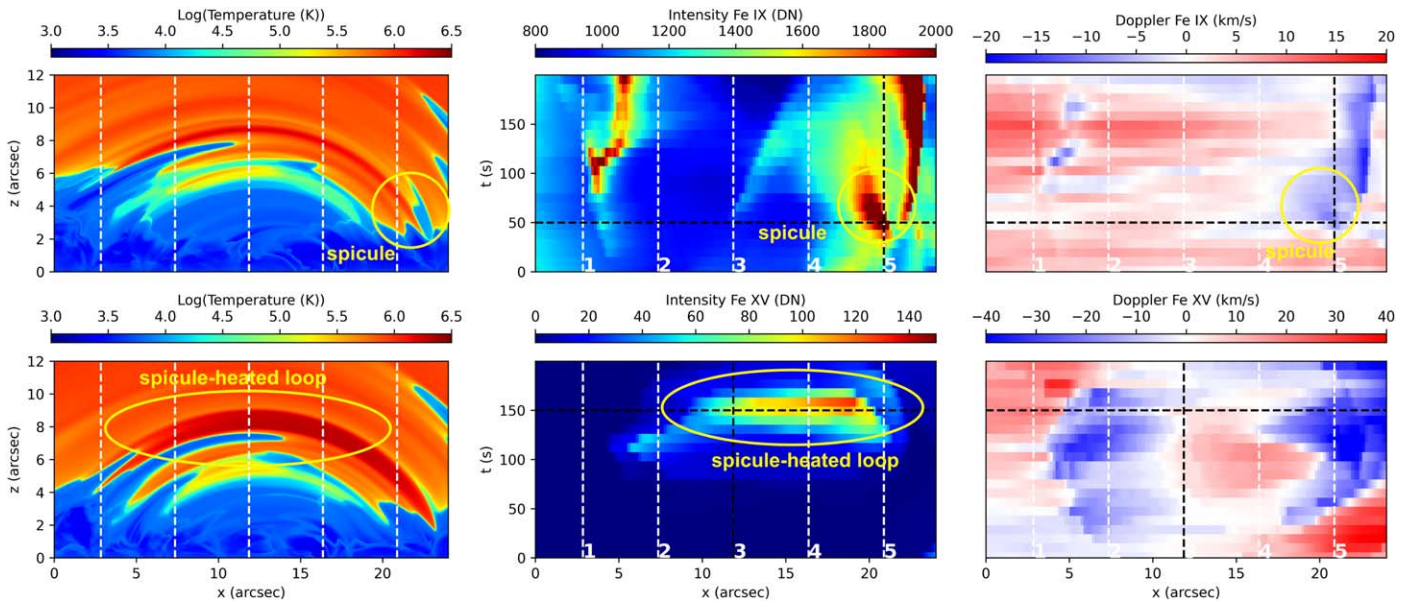
Both theoretical predictions from models and recent observations indicate that a combined NGSPM approach is desired to determine the properties of these events and their importance for the coronal energy balance. Measurements of the evolution of the magnetic field configuration (e.g., using field extrapolations based on DKIST ViSP or DL-NIRSP measurements) are important to understand the overall topology. This will help determine to what extent the events are caused by large-angle reconnection (e.g., resulting from flux emergence; see Section 3.4) or more gentle braiding events.

#### 3.2.1. Spatiotemporal Correlations Related to Braiding

In numerical models heated by braiding (see Figure 4 and, e.g., Hansteen et al. 2010, 2015), reconnection occurs between field lines that are nearly parallel to each other as they are jostled by granular and supergranular motions in the photosphere and below where the field lines are tied. Current sheets form and are dissipated, which leads to heating of plasma.

To trace the full thermal evolution of plasma in coronal loops or loop footpoints, EUVST sit-and-stare observations or rasters, both at high cadence ( $\sim 10$ – $20$  s), can be used. Given the cadence requirement, such rasters will necessarily be limited in FOV to  $<5'' \times 140''$ , capturing only a single-loop footpoint region or small parts of a loop, not both footpoints at the same time, nor the evolution of the full loop. EUVST data of this type, when fortuitously targeted, can help discriminate the evaporative response (to heating events) from plasma cooling into a passband, determine plasma densities, or study the highly variable emission in very hot coronal lines ( $>5$ – $10$  MK) that is expected to occur in loops with low-frequency nanoflares (see, e.g., Reale et al. 2019a, 2019b; Testa et al. 2020; Testa & Reale 2020, and Figure 10). However, the small FOV of the high-cadence EUVST rasters and lack of coronal context will in many cases render interpretation ambiguous or impossible without MUSE’s simultaneous high-resolution ( $0''.5$ ) and high-cadence ( $\sim 10$  s) coronal images and spectra over the full length of the coronal loop. For example, high-cadence MUSE CI 195 Å images will reveal whether events caught under the EUVST slit are the result of loops that are visibly braided (as seen with Hi-C; Certain et al. 2013) or whether the field is relaxed as braiding continuously occurs (as some models predict; e.g., Hansteen et al. 2015), which remains an open question.





**Figure 2.** Advanced models predict that coronal heating associated with chromospheric spicules is fundamentally a multiscale process that cannot be tracked without MUSE’s multislit spectroscopy at high cadence and large FOV. Here we show the temperature structure (left panel, side view) of model `B_en096014_gol` (see Appendix A for details) and synthetic MUSE Fe IX 171 Å and Fe XV 284 Å intensity (middle panel, as viewed from above) and Doppler shift (right panel, as viewed from above) for two times 100 s apart. The initial spicule impact is detectable as a small-scale blueshifted brightening in Fe IX, while the dissipation of spicule-related currents and waves occurs quickly thereafter, forming a loop (through evaporation, as evident in the blueshifted Fe XV emission at the footpoints, in the bottom-right panel) at large distances, offset from the spicule location. The MUSE intensities are calculated by assuming a time integration of 10 and 20 s for Fe IX and Fe XV, compatible with a sit-and-stare mode in which spectra are obtained along all 37 slits simultaneously. Count rates are calculated as described in Appendix B.

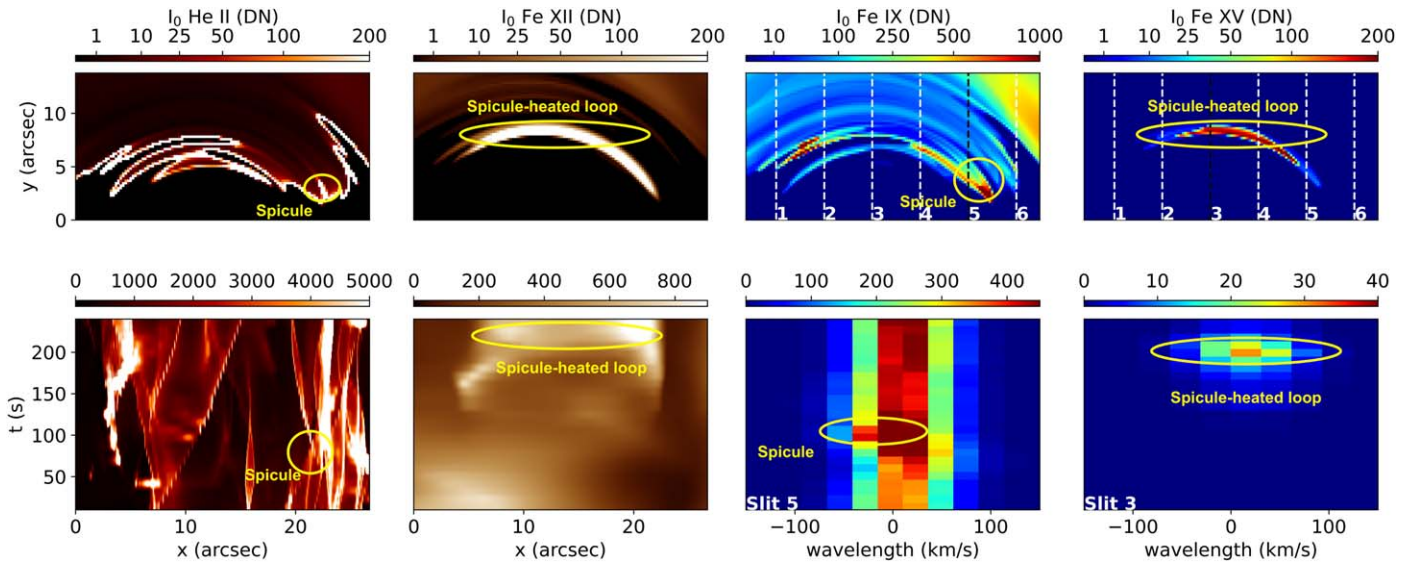
Such braiding models predict that the current sheets are highly filamentary but show collective behavior on timescales of the order of 20 s from the subgranular ( $\sim 0''.5$ ) to the supergranular scale ( $\sim 10''\text{--}40''$ ). As shown in Figure 4, heating and resulting flows associated with such current dissipation (right panel) are predicted to cause strong spatially correlated changes in the zeroth (e.g., strong heating), first (e.g., rapid outflows, nanojets, plasmoids), and second (e.g., from turbulence or plasmoids related to reconnection) moments of coronal spectral lines across scales from  $0''.5$  to  $40''$ . Given the rapid evolution and large spatial scales, MUSE observations are key to test this prediction of braiding models. This is illustrated in Figure 5, which shows that the heating in these models rapidly changes on timescales of  $\sim 60$  s and spatial scales of at least  $40''$ . In addition, the current dissipation and associated heating leads to strong brightenings and broadening of the MUSE spectral lines (Fe XV 284 Å in this example).

More generally, large-FOV, high-resolution MUSE CI images are needed to determine whether the events under the EUVST slit are local in nature or in response to events outside of the EUVST raster. For example, MUSE images and spectra can capture the spatial distribution and temporal evolution of heating events and the resulting flows and turbulence all along loops on timescales of 10–20 s and thereby determine whether these events are caused locally by flux cancellation (e.g., as deduced from magnetograms from GBOs) and whether such cancellation is a major driver for coronal heating, as recently proposed (Chitta et al. 2018, 2019, 2020). Coronal imaging, at the same resolution as EUVST or the MUSE spectra and at high cadence, is key for this purpose.

Some braiding models suggest that twisting of loops can lead to heating (e.g., Reale et al. 2016). In Figures 6 and 7 we show two more examples of how MUSE spectral observations of the plasma emission and dynamics over the full length of coronal loops, at high spatial and temporal resolution, constrain the heating

properties. We use a 3D MHD model of coronal loops heated by reconnection caused by the twisting that is triggered by the photospheric plasma flow patterns (model `PL_loop_twist`; see Section 2 and Appendix A). One prediction of such models is that short-lived ( $\sim 20\text{--}60$  s) currents in the lower atmosphere (visible as heating patterns in chromospheric images from, e.g., DKIST) should correlate well with heating and turbulence at the upper TR footpoints of coronal loops (“moss”), which can be determined from MUSE spectroscopic observations. In Figure 6, the maps of the current density from the model are compared to the Doppler map (component along  $z$ ) and the intensity in the MUSE Fe IX 171 Å line, at the loop footpoint. At the time shown, the current density is above the threshold for dissipation in most of the layer and shows fine-scale structuring due to the irregular twist pattern. The related heating has triggered the evaporation of dense plasma from the chromosphere upwards to fill the coronal part of the loop. This is clearly tracked by the blue patterns in the Doppler map and by the bright structures in the intensity maps, which closely resemble the current patterns. Clearly, MUSE Fe IX 171 Å observations of upper TR moss will be able to determine whether such correlations between intensity and Doppler shifts occur and whether they are associated with heating in the chromosphere (e.g., through comparison with DKIST measurements of plasma temperature in the upper chromosphere).

The side view of this loop (see Figure 7) illustrates diagnostic signatures associated with the twisting of the loop, which is characterized by Doppler shift patterns that rapidly change over short timescales ( $<1$  minute) along and across the full extent ( $\sim 90''$ ) of the loop. The intensity images (left column) are not uniform along the loop because of substantial transient flows coming up from the footpoints (right column). The Doppler map (upper right panel) shows alternating (across the loop diameter) blue and red patterns because the flows follow the twisted field lines and a cross-loop speed component appears (therefore



**Figure 3.** MUSE synthetic observables for a 2.5D Bifrost model (B\_en096014\_gol; see Table 2, Appendix A, and Figure 2) producing spicules and associated coronal heating. The top row shows side views (i.e., as seen at the limb) of the intensity at  $t = 240$  s, from left to right, in the two MUSE CI passbands (He II 304 Å and Fe XII 195 Å), the MUSE SG lines (Fe IX 171 Å and Fe XV 284 Å). The second row shows the top view (i.e., as seen on disk) of the spacetime intensity maps in the two MUSE CI passbands (He II 304 Å and Fe XII 195 Å) in the left two panels. The right panels show the temporal evolution of the MUSE SG Fe IX and Fe XV spectra along slit 5 (at the footpoint, where the spicule occurs) and slit 3 (near loop top), respectively (see Figure 2). Time integration is 10 s for all Fe IX and for the limb view of Fe XV, and 20 s for Fe XV in the bottom-right panel. Count rates are calculated as described in Appendix B. The associated animation shows the time evolution of the temperature (top left) and velocity (bottom left) of the simulation, including the side view of the intensities shown in the top row of this figure (right four panels). An animation of this figure is available and shows the events circled in the figure during the temporal evolution of the spicule and subsequent coronal loop formation (as also described in the text).

(An animation of this figure is available.)

explaining the lack of significant velocities along the loop central axis). The Doppler patterns are not uniform because of loop fine structuring, driven by the irregular heating across the footpoints. The plasma velocities associated with these twisting motions change rapidly on timescales of order 60 s along the full length of the loop, as illustrated in the bottom two rows, which show the temporal evolution of intensity across the loop at two different slit locations. These rapid changes are a key diagnostic of this type of driver and any resulting heating, and can only be captured with MUSE spectral rasters.

Recently, the Extreme Ultraviolet Imager on board Solar Orbiter has found ubiquitous small-scale brightenings in the quiet-corona 174 Å imaging passband (covering similar temperatures to the MUSE, Fe IX 171 Å passband but without spectroscopy) near disk center. These brightenings have been named “campfires” (Berghmans et al. 2021) and may represent events caused by braiding. MUSE spectroscopic measurements of intensity, Doppler shifts, and line broadening over its full field of view are needed to confirm that such campfires indeed are generated through braiding caused by the motions of well separated footpoints. Such measurements will provide strict constraints on forward models and determine whether they have a spatiotemporal filling factor that is sufficient to produce energy sufficient to heat the quiet Sun and network-dominated corona.

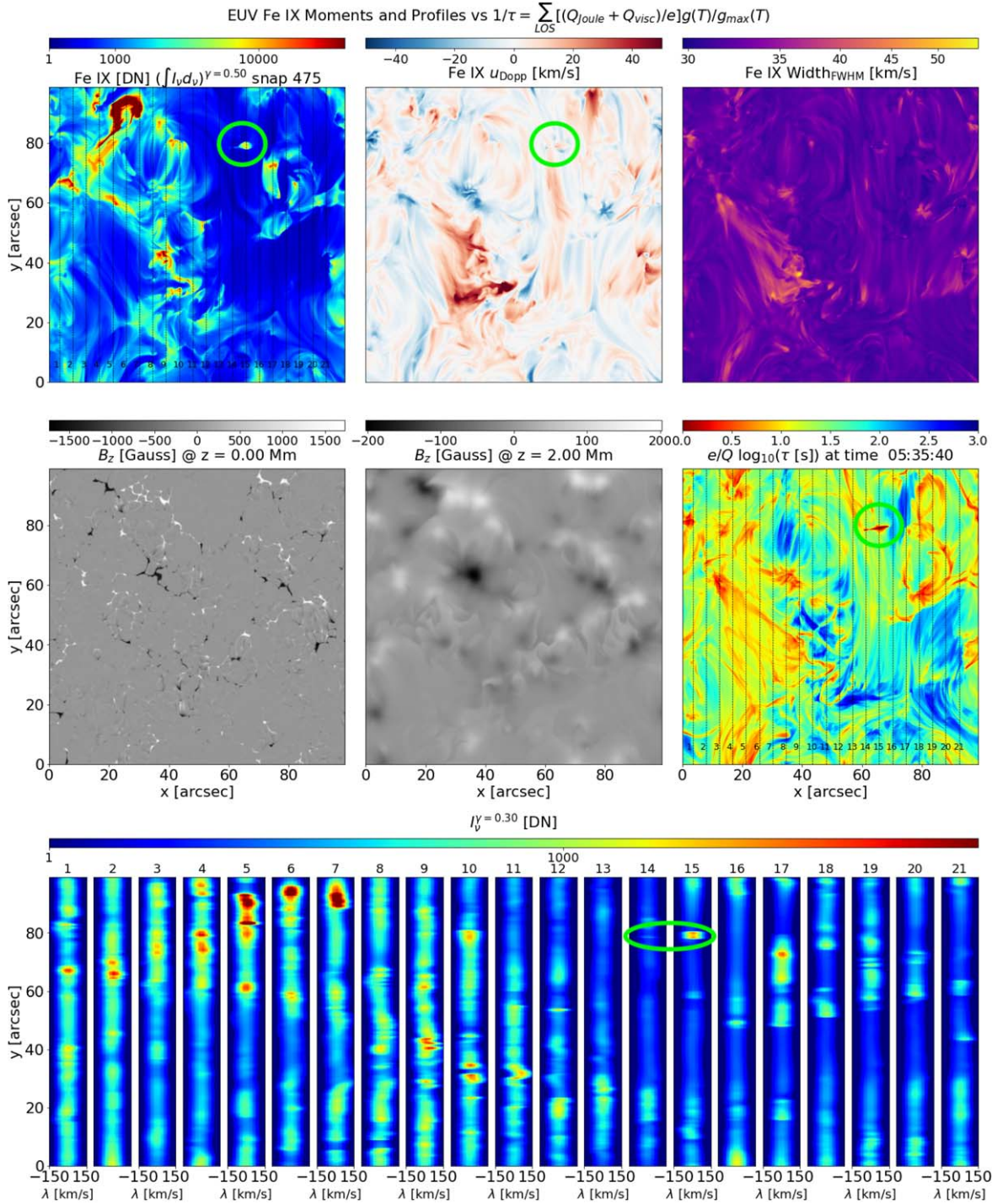
### 3.2.2. Strong Flows and Nanojets

Some braiding models suggest that reconnection resulting from braiding can lead to strong flows on small spatial and temporal scales. Such small-scale ( $< 1''$ ) high-velocity ( $100 \text{ km s}^{-1}$ ) events have been spectroscopically detected with IRIS (Antolin et al. 2021), but only at TR temperatures in cooling loops (coronal rain). However, this has not been

detected in coronal loops of a typical AR yet because of the poor spatiotemporal resolution of current coronal observations. It is also unclear to what extent the observed jets were uniquely formed because of the peculiar magnetic field environment or topology.

Magnetic field measurements at high spatial and temporal resolutions, e.g., from DKIST, can help elucidate the role of topological changes in generating nanojets. However, the main challenge is to determine, through high-resolution coronal and TR spectroscopy and imaging, how common these types of events are and whether they are as common as predicted by braiding models.

MUSE will provide key diagnostics of nanojets, as illustrated in Figure 8, where we show MUSE synthetic observables from a 3D MHD model where magnetic reconnection is caused by the interaction of AR loops (PL\_nanojets; see Section 2, Table 2, and Appendix A) and produces reconnection-driven “nanojets” ejected from the reconnection site. As an example, we show MUSE Fe XV 284 Å spectra along two slits, one located at the center of the domain, where the jet velocities are the strongest, and another one located  $\sim 30''$  away from the center, where the dynamics of the slower braiding phase are observed before the jet can be detected. The plasma LOS velocities show distinctive signatures of the ongoing braiding of the field lines with the two loops tilting in opposite directions. Note that the chosen LOS leads to a significant overlap between the two jet components directed in opposite directions, which in turn leads to Doppler velocities smaller than the actual jet speed and an increase in the line width, clearly observable in Fe XV 284 Å, at the location of the jets (slit 10). The temporal evolution of the observed emission along the two slits clearly shows the heating of the loops, as revealed by an increase of the hotter Fe XV emission (and a decrease of the cooler Fe IX emission, not shown here). The



**Figure 4.** MUSE synthetic observables, from Bifrost 3D MHD model  $B_{nw072100}$  (see Table 2 and Appendix A), diagnosing heating through braiding. The upper three panels show the intensity, line shift, and line width of the Fe IX 171 Å line, while the second row of panels shows the photospheric field, the field 2 Mm above the photosphere, and a measure of the heating rate in the temperature range where this line is formed:  $(Q_{Joule} + Q_{visc})/e \times G(T)/G_{max}(T)$ , filtered over the normalized  $G(T)$  for the line in question and summed over the line of sight. The upper-left and lower-right panels also show the potential location of 21 of the 37 MUSE slits for a “sit-and-stare” observation. The bottom row of panels shows the line profiles of the Fe IX line at these locations. Braiding events occur throughout the simulated region as the magnetic field is driven by photospheric motions. Particularly strong heating is found in a small region near the top of the domain where  $x \sim [20, 40]$  and  $y \sim [85, 95]$  and along a diagonal that stretches from  $(x, y) \approx (15'', 60'')$  to  $(x, y) \approx (40'', 50'')$ , which outlines a long current sheet that shows continual episodic heating events. Note that the Fe IX line width responds strongly to these heating events all along the current sheet. Nanojets caused by reconnection resulting from braiding can also be found throughout this simulation. An example is shown in the two left panels of the top row, and the right panel of the middle row. The assumed exposure time is 1.5 s. Count rates are calculated as described in Appendix B. An animated version of this figure is available and shows the spatiotemporal coherence of heating patterns caused by braiding and their effects on the MUSE observables, as described above. The animated version also includes the time series of the Fe XV and Fe XIX spectral bands.

(An animation of this figure is available.)

MUSE emission at the time and location of the nanojets is characterized by (a) two spatially resolved peaks in Fe XV, which correspond to two opposite and neighboring Doppler velocity enhancements; and (b) a sudden and significant increase in line width.

High-speed flows resulting from reconnection caused by braiding are also predicted in Bifrost models of an AR, as illustrated by Figure 9. In this case, a current sheet is formed (left panel) and the associated reconnection leads to heating and strong flows that are visible in the Fe XIX 108 Å line, in part helped by the lack of other 10 MK plasma along the LOS. This particular case occurs in an AR with low coronal densities so the predicted count rates are low ( $\sim 10$  DN in 30s). However, density variations of order a factor of 3 can be expected at these temperatures, which would increase the count rate to  $\sim 100$  DN.

It is thus clear that while nanojets caused by braiding events are relatively small scale with a spatial extent of several arcseconds, the resulting heating of the loop in which the nanojet quickly deposits energy occurs over spatial scales of tens of arcseconds along the loop and  $0''.5$  across the loop and can only be captured with MUSE. Furthermore, these events are predicted to occur all along loops (as shown by IRIS observations), thereby making multislit observations essential for capturing their spatiotemporal distribution. MUSE observations of the spatiotemporal distribution of nanojets will also help determine whether the reconnection driving these nanojets occurs as a result of an MHD avalanche, excessive braiding, and the role of instabilities (e.g., kink or KHI; Antolin et al. 2021; A. R. C. Sukarmadji 2021, in preparation).

### 3.2.3. Hot Loops and Footpoint Diagnostics of Nonthermal Particles

Simultaneous high-cadence MUSE spectra over a large FOV that capture the full extent of loops are also critical to fully diagnose the properties of coronal nanoflares and nonthermal electrons from the energy deposition at loop footpoints (Testa et al. 2014). EUVST measurements at a single footpoint can be useful to help constrain those properties by using forward modeling, but they cannot fully constrain all free parameters in such models (Testa et al. 2020). In addition, it is difficult to interpret the footpoint variability without context imaging of the hot corona. It is the combination of EUVST rasters with MUSE imaging and spectra at both conjugate footpoints and along the whole loop (including the very hot  $\sim 10$  MK plasma generated by the nanoflares) that provide the strict constraints. These NGSPM measurements will address the occurrence frequency of such events, their importance for the coronal energy budget, and the properties of the nonthermal particles accelerated during nanoflares. In addition, the MUSE observations can determine whether the heating occurs in the coronal volume or closer to the footpoints (e.g., from local reconnection) by measuring time differences between variations of spectral line properties at conjugate footpoints.

The hot emission of coronal loops in the AR core, in nonflaring conditions, is typically observed to be transient (e.g., Testa & Reale 2012; Ugarte-Urra & Warren 2014; Ugarte-Urra et al. 2019; Testa & Reale 2020). Short-lived and localized brightenings (underscoring the need for high spatial and temporal resolution) have been observed, with Hi-C (Testa et al. 2013), IRIS (Testa et al. 2014, 2020), and AIA (Graham et al. 2019), at the footpoints of these AR core loops in their initial heating phases. IRIS spectral observations of these footpoint brightenings have provided new diagnostics of

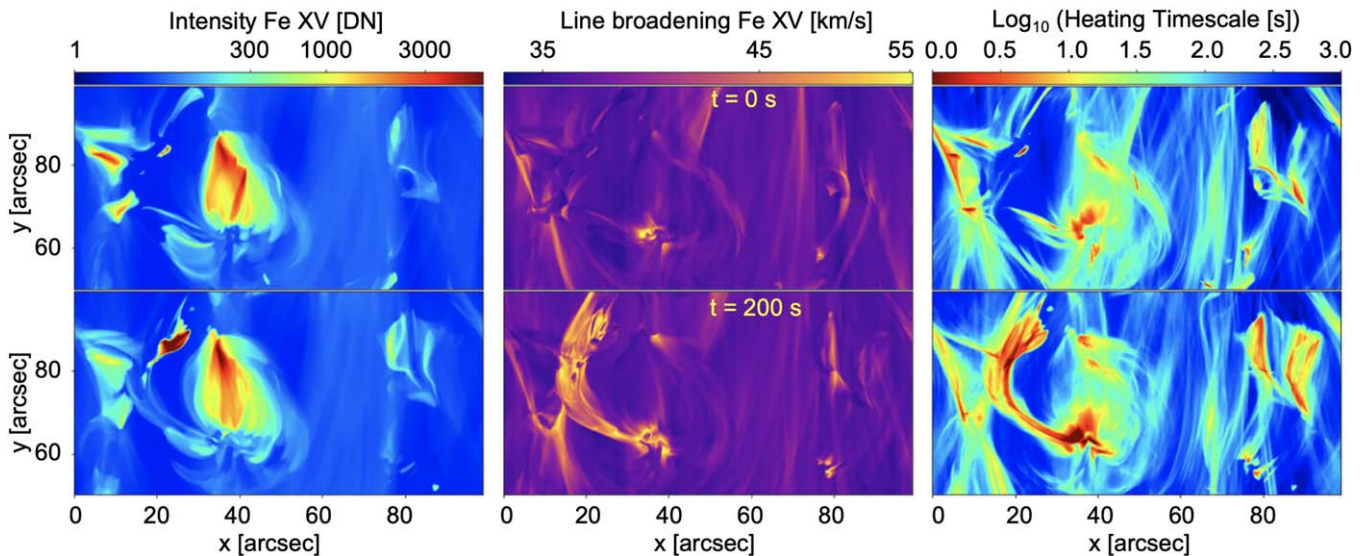
particle acceleration in nanoflares and NTE properties (Testa et al. 2014, 2020). MUSE will significantly improve on the existing single-slit diagnostics provided, e.g., by IRIS, in several ways. For instance, the multislit nature of MUSE observations will provide for the first time simultaneous spectral observations at both footpoints, which will allow us to constrain the location of the heating and, together with high-resolution DKIST magnetic field data, will allow us to explore the scenario of magnetic reconnection in the corona (e.g., in braiding and flux emergence) versus a flux cancellation scenario (Syntelis et al. 2019). The multislit observations will also constrain much more tightly the properties of the heating by observing (1) the evolution and dynamics of the plasma over the whole loop length (from the TR to the corona), at high temporal cadence, in different lines, and (2) many more of these footpoint brightenings, including brightenings in the same overall event (see, e.g., Testa et al. 2020), which will constrain the spatial and temporal distribution of the heating.

Three-dimensional MHD simulations of the solar atmosphere can produce hot transient loops, similar to the ones observed in AR cores, as we show here for instance for model MURaM\_p1E (see Section 2 and Appendix A). Note that the hot loops in this simulation have projected lengths of  $\sim 15$  Mm, on the lower end of the observed range (e.g., Testa et al. 2020 observe projected loop lengths in a range of  $\sim 7$ – $70$  Mm). MUSE synthetic observables from this model (Figure 10) show how MUSE multislit spectral observations will simultaneously sample the footpoint brightenings observed at the beginning of the heating event (e.g., in Fe IX, bottom-left panel) and the rapid evolution of the hotter plasma in the coronal portion of the loop (see Fe XIX intensity maps and spectra) and associated plasma flows (see, e.g., maps of Fe XIX broadening, and Fe XIX spectra).

However, it is challenging to include heating by NTE in 3D MHD simulations (but see Bakke et al. 2018; Frogner et al. 2020 for first attempts, although so far applied only to cooler coronal conditions). Therefore, here we use RADYN 1D loop models to explore the novel MUSE diagnostics of nanoflare heating (see Section 2, Table 2, and Appendix A). In Figure 11 we show examples of how the heating properties and mechanism of energy transport (e.g., thermal conduction versus NTE) can be diagnosed with MUSE and shows interesting differences with respect to IRIS diagnostics (Testa et al. 2014; Polito et al. 2018; Testa et al. 2020). For instance, while in IRIS low-TR spectra (Si IV) the case of direct heating (and energy transport by thermal conduction, TC) was virtually indistinguishable from heating by NTE with low values for the low-energy cutoff  $E_c$  ( $\sim 5$  keV), in MUSE the latter produces blueshifted and very broadened Fe IX 171 Å emission while the former (TC) causes redshifted and narrow emission. The MUSE CI will provide necessary complementary imaging, revealing both the coronal morphology and the spatial and temporal variability of the footpoints at high resolution. As discussed above, EUVST will provide important additional constraints (including density) by observing in a broad temperature range at high spatial resolution in the smaller areas covered by its slit, but will miss most events and not capture the associated coronal loop.

### 3.3. The Wave-heating Hypothesis

Various types of waves (e.g., shock waves and Alfvén waves) are generated in the lower atmosphere through the interaction between magnetic flux concentrations and convective motions, or



**Figure 5.** Models predict that coronal heating associated with braiding and flux emergence is highly dynamic and shows spatial coherence on a wide range of scales (from  $0''.5$  to  $40''$ ), leaving telltale signatures that cannot be tracked without MUSE’s multislit spectroscopy and imaging at high cadence and large FOV. Here we show an example from model `B_nw072100`, in which current dissipation leads to drastic changes in the heating rate (right), which, in turn, causes rapid brightenings (left) and turbulent motions (middle) that can be captured with MUSE, e.g., in the Fe XV 284 Å line shown here. The assumed exposure time is 1.5 s. Count rates are calculated as described in Appendix B.

reconnection. The propagation and eventual dissipation of these waves play an important role in the dynamics and energetics of the chromospheric footpoints of coronal loops. The subsequent rapid propagation ( $\sim 1000 \text{ km s}^{-1}$ ) along coronal loops ( $\sim 100 \text{ Mm}$ ) and the eventual dissipation of transverse MHD waves in particular have been proposed as a heating mechanism for the corona (Van Doorselaere et al. 2020). A combined NGSPM approach is desired to address whether or where wave heating dominates the local energy balance, from the photosphere all the way into the corona, and for various types of regions on the Sun.

Such an approach can track waves from the photosphere through the plasma  $\beta = 1$  layer in the chromosphere (Bogdan et al. 2003), e.g., along spicules or other chromospheric features, using DL-NIRSP or ViSP spectropolarimetric data, VTF scans, or other GBO observations. Rapid ( $\sim 20 \text{ s}$  cadence) EUVST rasters centered at loop footpoints (with a FOV of  $4'' \times 140''$ ) can be used to determine which fraction of the wave energy flux (from density and velocity measurements) is reflected by the steep gradients of the TR or transmitted into the low corona (e.g., Matsumoto 2018). Correlations between heating signatures and decreasing line broadening along relatively short or fortuitously slit-aligned spicules as they undergo rapid temperature changes can elucidate signatures of wave-related heating. However, because of the high propagation speed, large transverse motions, and curved coronal loop morphology, EUVST’s small FOV of high-cadence rasters and lack of high-resolution coronal imaging will prevent it from properly capturing the subsequent propagation and signatures of dissipation along coronal loops.

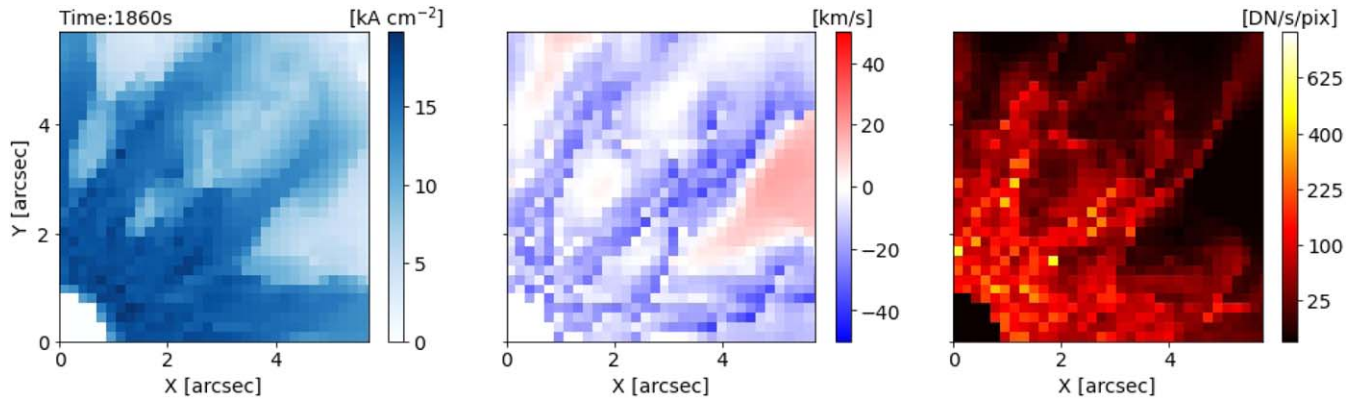
High-cadence MUSE images ( $\sim 5 \text{ s}$ ) and multislit spectral scans ( $\sim 12 \text{ s}$ ) or sit-and-stare (along 37 slits with a cadence of 2 s) over the whole length of the loop are key to distinguishing between DC (braiding) and AC (wave) models of heating. For example, comparisons between MUSE observables predicted by idealized numerical loop models in which loop footpoints are shuffled by either driver on DC or AC timescales, i.e., either faster or slower than the loop-crossing time for an Alfvénic wave, illustrate that both mechanisms (braiding versus

waves) can, in principle, heat plasma to coronal temperatures. However, observables at the high spatial resolution, high cadence, and large FOV of MUSE show clear differences, as illustrated in Figure 12: correlated oscillatory signals at separate locations along loops are predominant in AC models (right column) and rare in DC models (second column).

In addition, these types of measurements are key to revealing the propagation and fate of these waves and their role in heating the loops (if any). They provide key constraints for, and allow us to discriminate between, the various more advanced numerical models that have recently been developed to describe the propagation and dissipation of waves, and subsequent heating of plasma.

### 3.3.1. Dissipation Mechanisms

For example, model predictions of FFT wave power spectra obtained simultaneously along a full loop differ sharply between various mechanisms, requiring MUSE multislit measurements. This is illustrated in Figure 13, which shows (i) a model of wave mode coupling, propagation, and dissipation in a coronal loop in quiet-Sun conditions, in which the dissipation mostly occurs through Alfvén wave turbulence (AWT; Matsumoto 2018; model `Mat-AC`), (ii) a model in which a loop is continuously driven with a transverse driver, which couples to an azimuthal mode through resonant absorption, followed by the development of a KHI instability and subsequent dissipation (Karamelas & Van Doorselaere 2018; model `PL_waves`), (iii) a model in which a loop is impulsively driven with a single pulse of a kink mode, followed by resonant absorption and dissipation through KHI (Antolin et al. 2017; model `Cip_waves`), and (iv) a model of AWT in an AR coronal loop assuming RMHD (Asgari-Targhi et al. 2014; model `VBA_AWT`). As shown in this figure, simultaneous measurements of oscillatory wave power (e.g., from Doppler shifts) along the length of coronal loops can discriminate between these various models with some showing specific frequencies, others a broadband spectrum, and all showing different dependence of the wave power along the loop length.



**Figure 6.** Diagnostics of heating by braiding in a 3D MHD model of twisted loops. Horizontal cross section at one footpoint of a twisted coronal loop (model `PL_loop_twist`; see Table 2, and Appendix A). We show the current density from the model (left), as well as the synthetic MUSE Fe IX 171 Å Doppler velocity (middle), and intensity (right), assuming a 1 s integration time. The line emission is integrated over a layer 2000 km thick above the TR. Count rates are calculated as described in Appendix B. An animated version of this figure is available. It shows the rapid temporal variations of the intensity, Doppler shift, and line broadening at the footpoints of hot loops, and in particular the predicted association between changes in brightness and blueshifts.

(An animation of this figure is available.)

For example, in the quiet-Sun AWT simulation, low frequencies are less likely to reach the corona because of reflections below the TR. The continuously driven kink model shows harmonics of the primary driving frequency, in contrast to the impulsively driven kink model. The AR AWT model (`VBA_AWT`) shows a power-law distribution with the slope varying depending on the location along the loop. MUSE observations that are simultaneous along 37 slits and that cross a loop along its full length are key to providing these types of constraints.

A more detailed study shows that the predicted spatial and temporal patterns of the intensity, Doppler shift, and broadening can also provide critical constraints and allow discrimination between these various wave-dissipation mechanisms. For example, the random oscillatory signals (Figure 14) predicted by the Alfvén wave turbulence model `VBA_AWT` are qualitatively and quantitatively very different from those predicted by resonant absorption models (Figure 16). In the latter models, loops are driven by kink waves, which couple to azimuthal motions that lead to the formation of the KHI and spatially extended TWIKH (transverse-wave-induced KH) rolls. Wave dissipation then occurs through turbulence established via, e.g., the KHI and phase mixing, which, as models predict, has unique observable consequences, including spatiotemporal correlations between variations in intensity, Doppler shift, and broadening (Antolin et al. 2018b; Karamelas et al. 2019a, 2019b; Guo et al. 2019).

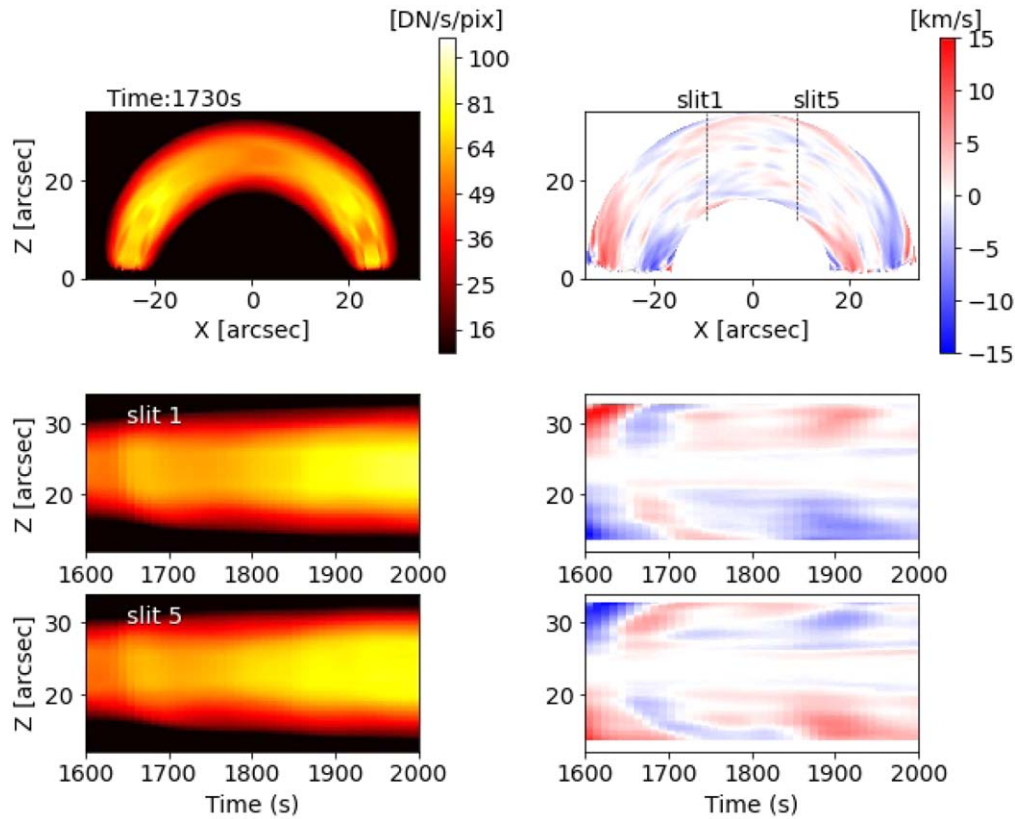
This is shown in a set of figures that illustrate two models of a loop: (i) one that is initially driven by a continuous kink-mode driver (model `PL_waves`; Figures 16 and 19), and (ii) the other driven by an impulsive kink-mode driver (model `Cip_waves`; Figures 16, 17, and 18), both leading to KHI and subsequent turbulence. The geometry and viewing angles are illustrated in Figure 15.

The continuously driven model simulates an AR coronal loop, during its cooling phase, undergoing a decay-less transverse oscillation (e.g., Anfinogentov et al. 2013). In this model, the continuously driven transverse oscillation leads to the development of the KHI and of spatially extended TWIKH rolls. These TWIKH rolls disrupt the initially monolithic loop profile, leading to a turbulent cross section with a wide temperature range. Inside this loop cross section, both energy cascade and extensive plasma mixing take place, giving rise to

heating effects from energy dissipation and mixing of plasma with different temperatures (see also Karamelas et al. 2019a) and leading predominantly to an apparent heating effect. This has an effect on the synthesized loop emission and can lead to a gradual appearance or disappearance from view for parts of the loop. The evolution of the zeroth, first, and second moments can lead to observational evidence for the development of the KHI in oscillating coronal loops, while observations at different passbands can constrain heating (Antolin et al. 2016).

The impulsively driven model is very similar in general setup, except that the driver is now a single impulse leading to a kink mode (red arrow in Figure 15) and that we have a coronal loop hotter and denser than its environment. In this model, the global kink-mode oscillation rapidly damps due to resonant absorption, and most of the wave power is transferred to the loop boundaries, where it couples with localized azimuthal Alfvén waves (Goossens et al. 2009). A reduction/increase in the Doppler velocity along the loop axis/loop boundary is therefore expected, contrary to the continuously driven kink model for which the continuous input of energy counterbalances the damping. The KHI sets in after a couple of periods, energized by resonant absorption (Antolin & Van Doorselaere 2019), and is characterized by its stranded structure in all moments and appearance of high-frequency perturbations, only detectable at high resolution (Antolin et al. 2017, 2018b). The TWIKH rolls appear first at the apex and the compressive, localized twists propagate downwards as azimuthal Alfvén waves. The magnetic field is reshuffled and sound waves are generated, leading to localized, high-speed steady upflows/downflows at the loop core/boundary. Such upflows/downflows are mostly absent in the continuous kink driver model, due to the constantly changing boundary conditions at the loop’s resonant frequency.

Figure 16 shows the two resonant absorption models when a loop is viewed from the side (face view of Figure 15). The top panels of Figure 16 show the loops at a time when the KHI is already well developed. Note here that due to gravitational stratification and the mixing of plasma due to the KHI, only the loop footpoint is visible for model `PL_waves` (also see Karamelas et al. 2019b). The panels in the second row track the evolution of the oscillation along the boundary of each loop. The effects of resonant absorption, phase mixing, and the



**Figure 7.** The Doppler velocity patterns across and along the loop for a twisted coronal loop model (PL\_loop\_twist; see Table 2, and Appendix A) change rapidly and need high-cadence spectroscopy over a large FOV to be detected. The top panels show the side view of the MUSE Fe XV 284 Å intensity and Doppler velocity maps. The bottom panels show the temporal evolution of the MUSE Fe XV intensity (left) and Doppler shift (right) across two slits  $\sim 20''$  apart. As in Figure 6, we show the MUSE observables assuming  $0.167$  pixels. Count rates are calculated as described in Appendix B.

KHI are shown in the panels of the first moment, with the herringbone pattern and the stronger values found higher up along each loop.

It is clear from Figure 16 that the phase relationship between the intensity and Doppler shift is fundamentally different from that predicted by the AWT (Figure 14). Transverse MHD waves subject to resonant absorption typically lead to strongly periodic oscillatory Doppler shift signals (Figure 16), in contrast to random signals in the Alfvén wave turbulence model (VBA\_AWT). Clear wedge-like features are seen in the Doppler shift time series (bottom two rows), as well as strand-like features in the intensity (left and third columns, bottom two rows).

There are also clear differences between the predicted signals of intensity and Doppler shift of spectral lines in both resonant absorption models. This is illustrated in the face view of both models (Figure 16), but also in the top view of Figures 17, 18, and 19. For example, in Figure 16 we can observe qualitative differences between the driven oscillation of model PL\_waves, with the strong Doppler shifts found across the whole loop cross section and the impulsive oscillation of model Cip\_waves, where the Doppler shifts are more prominent near the loop edge as resonant absorption and the KHI develop. These differences can be detected at MUSE’s high spatial resolution.

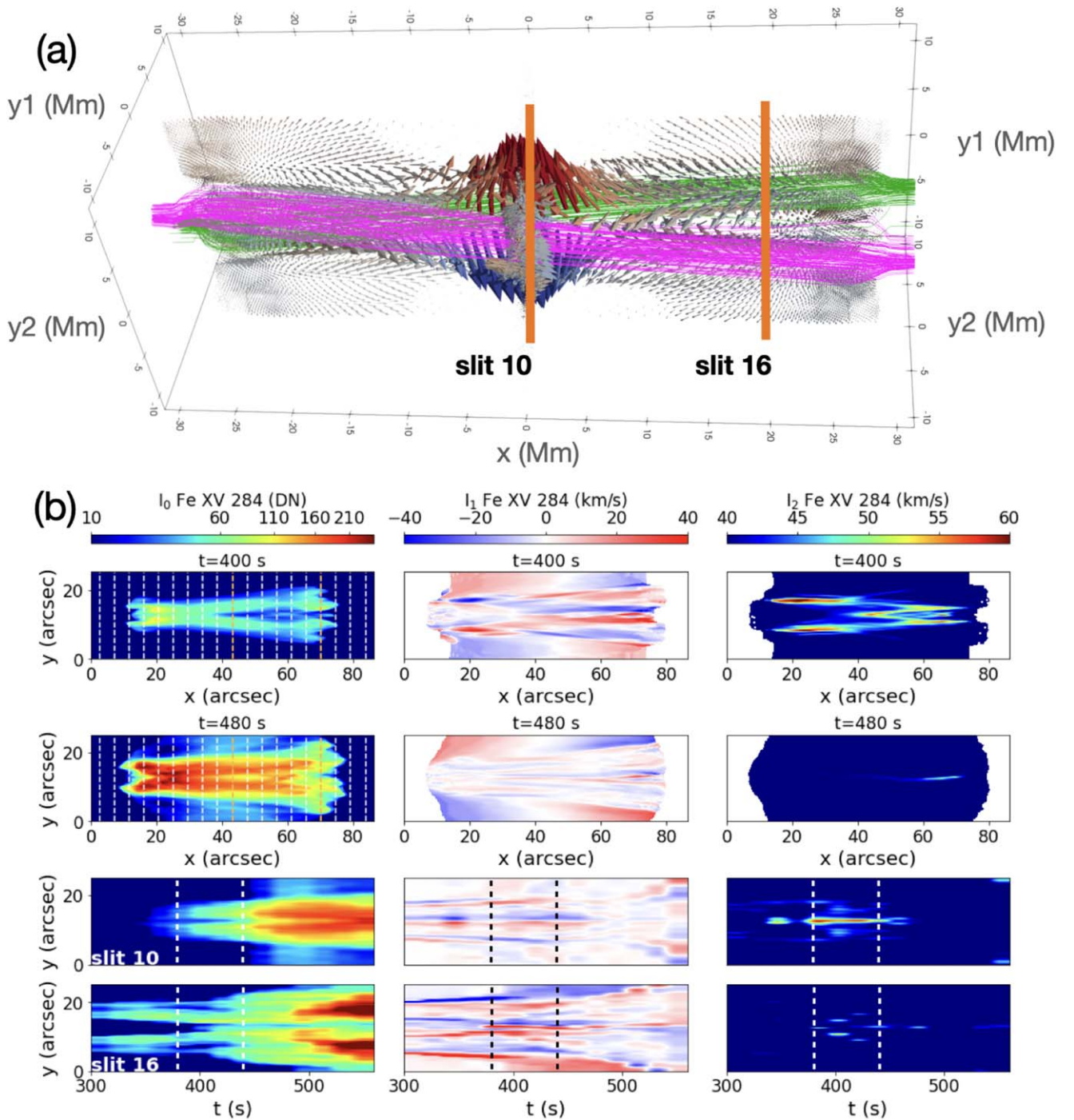
In addition, simultaneous measurements at the footpoints and all along the loop to disentangle viewing angle effects will reveal differences between both models in terms of the complex signatures of the transverse motions associated with the KHI and the subsequent dissipation and field-aligned flows. Such measurements are needed to detect propagation effects

between loop apex and footpoints predicted by the impulsively driven model, but not by the continuously driven model. These differences are illustrated in Figures 17, 18, and 19.

Figures 17 and 18 show the top view (see Figure 15) of the MUSE Fe XV 284 Å emission for the impulsively driven model (Cip\_waves). Figure 17 shows the long-term evolution, including the gradual onset of resonant absorption and the sudden onset of the KHI (around  $t = 700$  s), while Figure 18 is focused on the time interval around the onset of the KHI.

In Figure 17, we can see the coupling of the kink mode to the azimuthal motions expected from resonant absorption (bottom row, Doppler shift along slit 19, black arrows), which leads to the wedge-like features in the time–distance plot in the bottom row. In addition, we see the sudden onset of the KHI around  $t = 700$  s, which manifests itself through a distinct change in the properties of the Doppler shift and broadening. This predicted behavior is qualitatively very different from the continuously driven model (bottom two rows, Figure 19).

When we focus on the time at which the KHI suddenly occurs, Figure 18 shows further interesting differences for the impulsively driven model. The top row shows a snapshot at  $t = 950$  s when the KHI is fully developed across the loop. The first KHI vortices appear at the start of the time sequence shown. Steady downflows (redshifts) and upflows (blueshifts), accompanied with increased nonthermal line widths occur at the edge of the loop, due to the twisting of the field lines and reshuffling of the magnetic field from the KHI. The KHI-induced magnetic shear at the footpoint leads to heating and



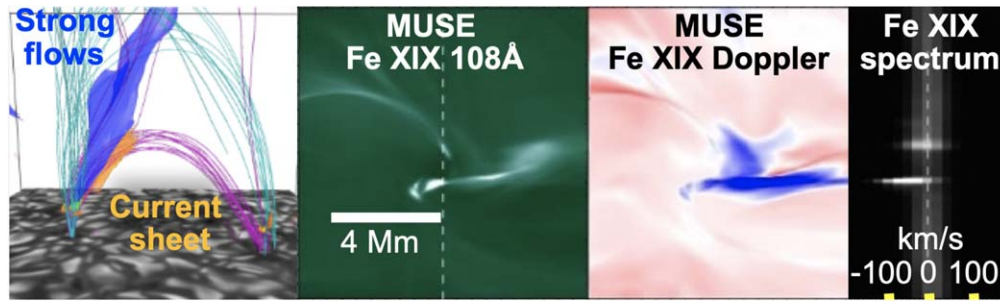
**Figure 8.** MUSE observables for nanojets resulting from magnetic reconnection, as predicted by model `PL_nanojets`, which simulates interacting AR loops (see Table 2 and Appendix A). The setup and geometry of the model are shown in panel (a), which shows the velocity field at  $t = 400$  s in the 3D MHD simulation (blue and red arrows, with values ranging from  $-200$  [blue] to  $200$   $\text{km s}^{-1}$  [red]) and some magnetic field lines (green and magenta) representing the two tilted flux tubes. Orange lines identify the MUSE slits configuration we analyze. In the first two rows of (b), we show the moments of the Fe XV 284 Å line (at  $t = 400$  s and at  $t = 480$  s) with the 3D MHD simulation rotated by  $45^\circ$  (in the  $y_1y_2$  plane), similar to panel (a). The bottom two rows of (b) show the temporal evolution of the Fe XV moments along the two selected slits. We assume an exposure time of 8 s and a pixel size  $0''.167$ . The vertical dashed lines mark the time window during which the jets occur. Count rates are calculated as described in Appendix B. An animated version of this figure is available. It shows the rapid temporal variations in the MUSE observables resulting from the formation of a nanojet, including strong Doppler shifts, line broadening, and heating of the loop.

(An animation of this figure is available.)

increased emission across the loop width, captured by slit 2 (third row, left panel). Compressive effects from the KHI vortices also lead to steady Doppler velocity and line width enhancements in the corona (captured by slit 10, shown in the fourth row).

A comparison of the temporal evolution of Doppler shift and broadening for the two selected slit positions (bottom two rows of the second and third columns of Figure 18) shows the propagation in a short time ( $\lesssim 50$  s) over a projected length of  $\sim 35''$ . High-cadence multislit MUSE spectral observations will





**Figure 9.** MUSE will provide observations of flows and heating resulting from reconnection of braided magnetic field, constraining radiative MHD models, and determining the role of braiding in coronal heating. We show MUSE Fe XIX 108 Å synthetic observables from a 3D MHD Bifrost (model `B_en024031_emer3.0`), in particular spatial maps of Fe XIX intensity and Doppler shift (middle panels), and the MUSE Fe XIX spectrum along a slit (right panel). In the left panel, we show the magnetic field configuration from the model. The model spans a region that covers  $24 \times 24 \times 17$  Mm. For this relatively weak event, the maximum intensity is of order 10 DN for exposure times of 30 s, while the Doppler range is  $[-50, 50]$  km s $^{-1}$ . The count rates are calculated as described in Appendix B.

be able to capture these effects. These propagation effects are not predicted by the continuously driven model (`PL_waves`), for which the corresponding top view (for two-thirds of the loop) is shown in Figure 19. Unlike the `Cip_waves` model, strong upflows (blueshifts) and downflows (redshifts) can be observed across the entire loop cross section, due to the continuous periodic driving. One of the results of the KHI is the continuous mixing of plasma across the loop cross section. This process increases the loop temperature for this model, gradually reducing the emission in the Fe IX 171 Å line, as shown in Figure 19 for the intensity (shown here in a square-root scale) at the later stages of the time series. The development of the KHI across the loop can be tracked through the time–distance maps for the intensity, and the Doppler shift, as shown in the two bottom rows of panels, getting stronger signatures higher up the loop.

It is clear from the above that MUSE observations of intensity, Doppler shift, or broadening will have sufficient spatial and temporal resolution to detect the differences between the predictions of these wave-based models. Further constraints will come from MUSE high-resolution images and spectra, which can detect rapid heating through tracing of loop strands as they heat through the MUSE 171, 195, and 284 Å passbands (covering temperatures from 0.7 to 2.5 MK). Tracing any heating will be further facilitated by exploiting the temperature coverage of EUVST, using rapid but small-scale rasters across loops. Similarly, quantification of the wave energy flux will be facilitated through density measurements from EUVST density-sensitive line pairs (from large-scale rasters using a wider slit) and determination of wave amplitudes and group speed (using  $\omega$ – $k$  diagrams or time–distance seismology) from MUSE multislit measurements over the whole loop length (Tomczyk & McIntosh 2009).

### 3.3.2. Wave Generation

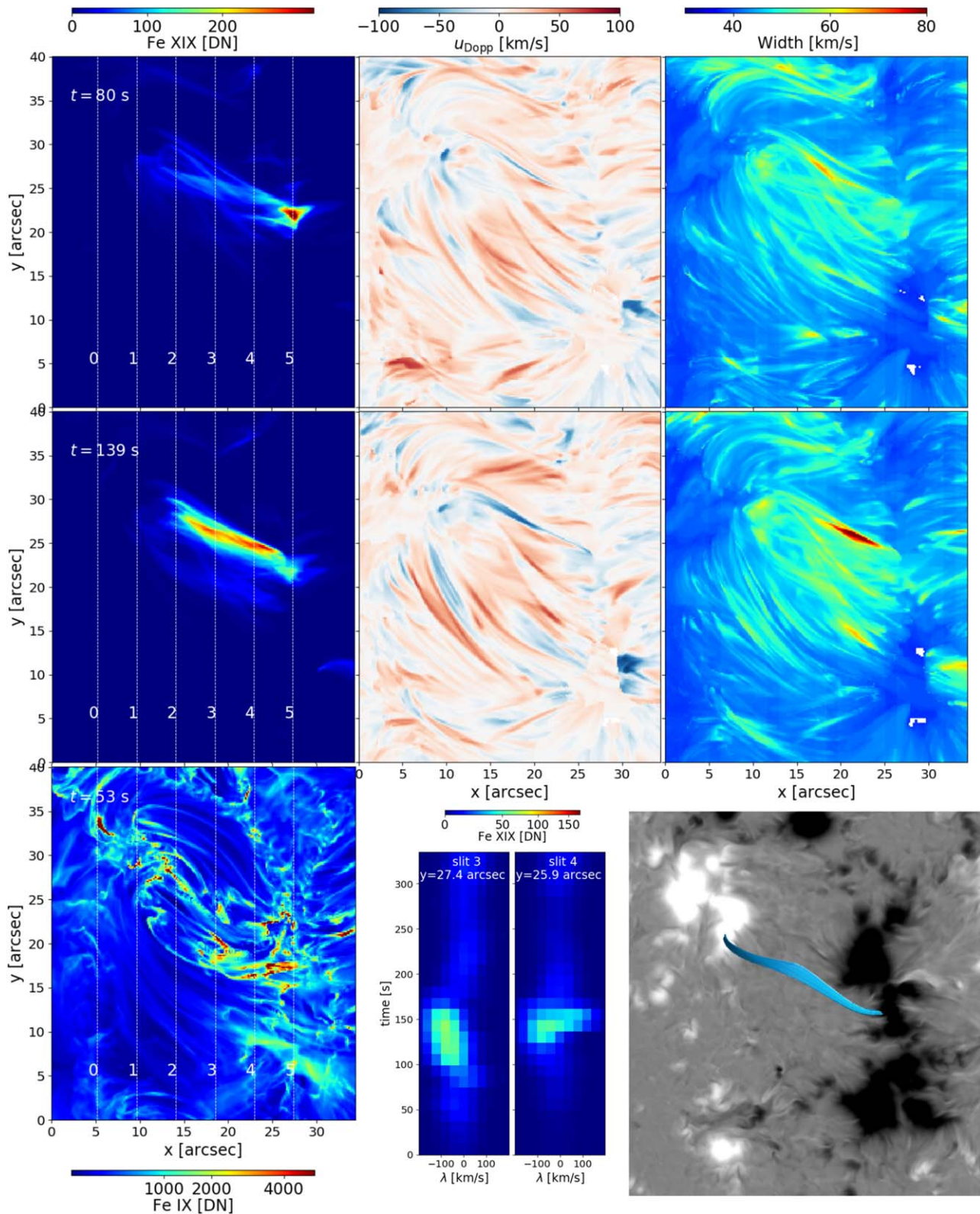
The powerful combination of DKIST (or other GBOs), EUVST, and MUSE will also elucidate the source of the waves, whether they are propagating into the corona from the lower atmosphere or generated locally in the corona, and whether their generation is impulsive (Antolin et al. 2018a) or continuous (Karampelas et al. 2019a, 2019b). For example, DKIST spectropolarimetric measurements can be used to determine wave modes and energy flux in the chromosphere, while EUVST can provide the link between the chromosphere and the large-scale coronal volume observed with MUSE. This

will be important to determine the dominant wave processes in the lower layers, identifying the wave modes that are transmitted into the corona as well as providing constraints on the available wave energy flux.

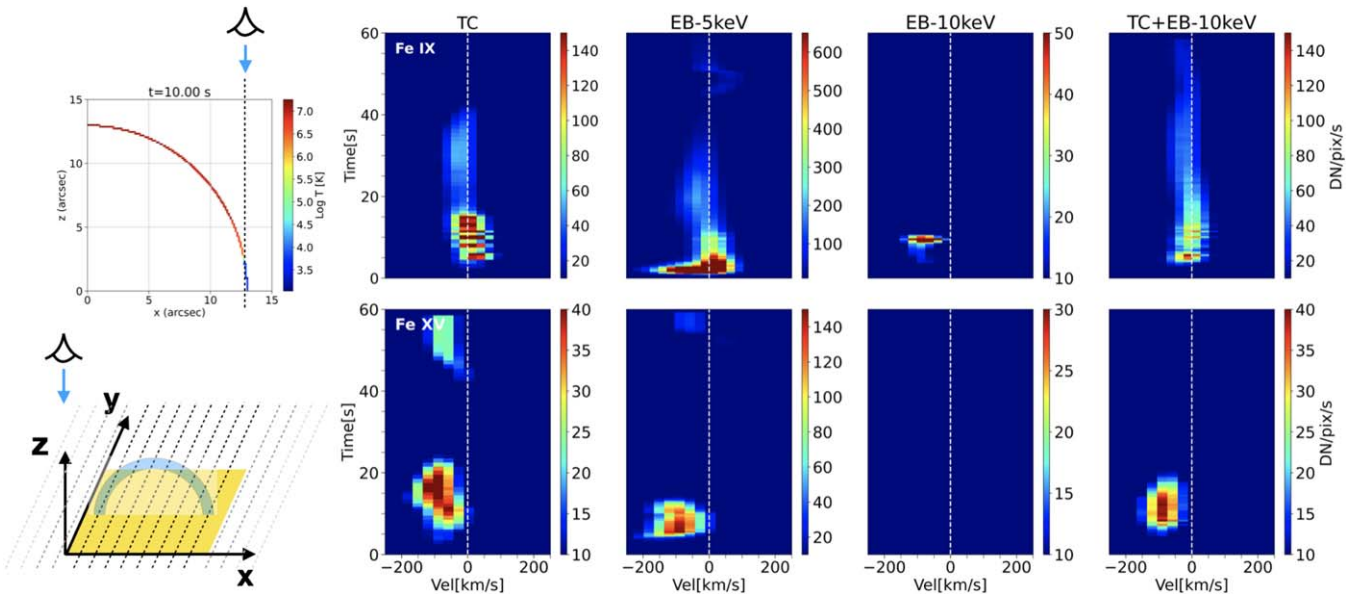
The high-cadence multislit MUSE observations of the propagation of oscillatory intensity, velocity, and broadening signals as well as transverse displacements will reveal wave propagation along the whole loop length. MUSE can be used to track individual wave packets (Tomczyk & McIntosh 2009) and determine the wave group speed and the direction of wave propagation. This will allow discrimination between slow-mode and other waves (based on group speed), and between fast-mode, Alfvénic waves (compressibility and propagation direction), and sausage modes (phase relationships, compressibility; Hinode Review Team et al. 2019). Combining MUSE observations with estimates of the time-averaged density (from EUVST) and the time-averaged magnetic field (from Cryo-NIRSP coronal spectropolarimetry) will assist with wave-mode identification. Doppler shift and broadening measurements at high cadence along loops and in footpoints can also establish how common wave generation is in the corona, a key requirement for models of the first ionization potential (FIP) effect, the relative enrichment of low FIP elements in the corona (Laming 2015, 2017). More generally, MUSE observations at a high spatial and temporal resolution of the energy flux carried by Alfvénic waves will provide key constraints for models of the FIP effect, which invoke the ponderomotive force associated with Alfvén waves (see also Section 3.5).

### 3.3.3. Coronal Seismology

The NGSPM approach of coordinated DKIST, EUVST, and MUSE observations will also lead to exciting advances in our capability to diagnose conditions in the corona using the properties of waves. Until now coronal seismology has mostly depended on coronal imaging (e.g., using AIA; Morton & McLaughlin 2013) or low-resolution coronal spectroscopy (using imagers like CoMP; Tomczyk & McIntosh 2009). The enormous increase in spatial resolution (0.5 versus 12'' for CoMP) and the multiplexing advantage offered by MUSE allows unprecedented tracking of propagation and damping of Alfvénic waves in the corona (De Moortel et al. 2014; Liu et al. 2015). First, at the spatial resolution of MUSE, such waves will have larger amplitudes of order 10–20 km s $^{-1}$  (based on AIA measurements; McIntosh et al. 2011). Second, MUSE will have 600 spatial resolving elements for each of CoMP’s and 150 for



**Figure 10.** MUSE emission for AR core hot loops in nonflaring conditions (from model `MURaM_p1E`; see Table 2, and Appendix A). Multiple-slit configurations can simultaneously sample the footpoint brightenings in TR lines caused by the short-lived heating events (see also Figure 11 for the corresponding 1D RADYN simulations) and the flows and heating in the coronal portion of the loops. Synthetic MUSE observations of Fe XIX 108 Å at two different times (top two rows show Fe XIX moments) reveal rapidly evolving hot loops, and the Fe IX 171 Å intensity map (bottom-left panel) shows brightenings at the loop footpoints at the beginning of the heating event. We also provide two examples of MUSE Fe XIX spectra as a function of time (bottom middle panels) showing the dynamic nature of the Fe XIX emission. The bottom-right panel displays the vertical magnetic field in the chromosphere (black and white plate) with overlaid magnetic field lines of the hot loop shown in blue. We assume an exposure time of 6 s for Fe XIX and 1 s for Fe IX. Count rates are calculated as described in Appendix B.



**Figure 11.** RADYN simulations of nanoflare-heated loops predict that MUSE footpoint brightenings provide key diagnostics of the heating mechanism(s). The left panels show the geometry: we mapped the 1D loop simulations to a 2D semicircular loop (lying in the  $xz$  plane; the top-left panel shows the simulated plasma temperature along half-loop at  $t = 10$  s for model H1), and we are assuming the line of sight coincides with the  $z$ -direction (i.e., the MUSE slits are in the  $xy$  plane, see the sketch in the bottom-left panel). MUSE will allow the atmospheric response to impulsive heating of the loop plasma in different loop locations to be observed simultaneously and uniquely constrain the properties of the heating events (see also Figure 10). Here we show the temporal evolution of synthetic MUSE spectra at the loop footpoint ( $x \sim 13''$ , i.e., on the right-hand side in the top-left panel) in Fe IX 171 Å (top row) and Fe XV 284 Å (bottom row), assuming 1 s integration times, for four different assumptions about the heating properties (in all cases, the heating duration is 10 s and the heating flux is  $1.2 \times 10^9$  erg cm $^{-2}$  s $^{-1}$ ): thermal conduction (TC), nonthermal electrons (NTE) with low-energy cutoff  $E_C = 5$  keV, NTE with  $E_C = 10$  keV, and a hybrid model with TC and NTE with  $E_C = 10$  keV (from left to right; they correspond to models C1, E1, E2, and H1 of Table 2, respectively). Count rates are calculated as described in Appendix B.

each of the upgraded UCoMP instrument that is being commissioned in 2021. MUSE’s FOV is large enough to capture coronal loops and transequatorial loops and the roots of polar plumes in coronal holes (De Moortel et al. 2014; Liu et al. 2015), thus complementing the global-scale observations of (U)CoMP and providing key information to link with in situ observations with PSP and Solar Orbiter.

MUSE’s capability of measuring POS and LOS motions as well as unresolved motions (from broadening), combined with  $\omega$ - $k$  diagrams and time–distance seismology, will settle many of the unresolved issues. MUSE will be able to determine the ratio of the inward- and outward-propagating wave power and determine whether wave-mode coupling or dissipation play a role in the imbalance observed with CoMP (Tomczyk & McIntosh 2009). This can be done by studying the various spatiotemporal correlations between intensities, velocities, and broadening predicted by wave-dissipation models (Antolin et al. 2018b; Hinode Review Team et al. 2019).

In addition to propagating Alfvénic waves permeating the solar atmosphere, ubiquitous low-amplitude decay-less transverse MHD oscillations have recently been discovered (Anfinogentov et al. 2015), which open the path for coordinated EUVST and MUSE spectra to estimate the magnetic field in the corona at high cadence and resolution over a large FOV (Anfinogentov & Nakariakov 2019). This is difficult to accomplish through direct methods, even with DKIST’s Cryo-NIRSP instrument, which is a single-slit spectrograph at medium spatial resolution. It can, however, be achieved on a routine basis using measurements of the coronal density from relatively fast EUVST rasters at 0.8 resolution and wave-group speeds from MUSE’s time–distance seismology.

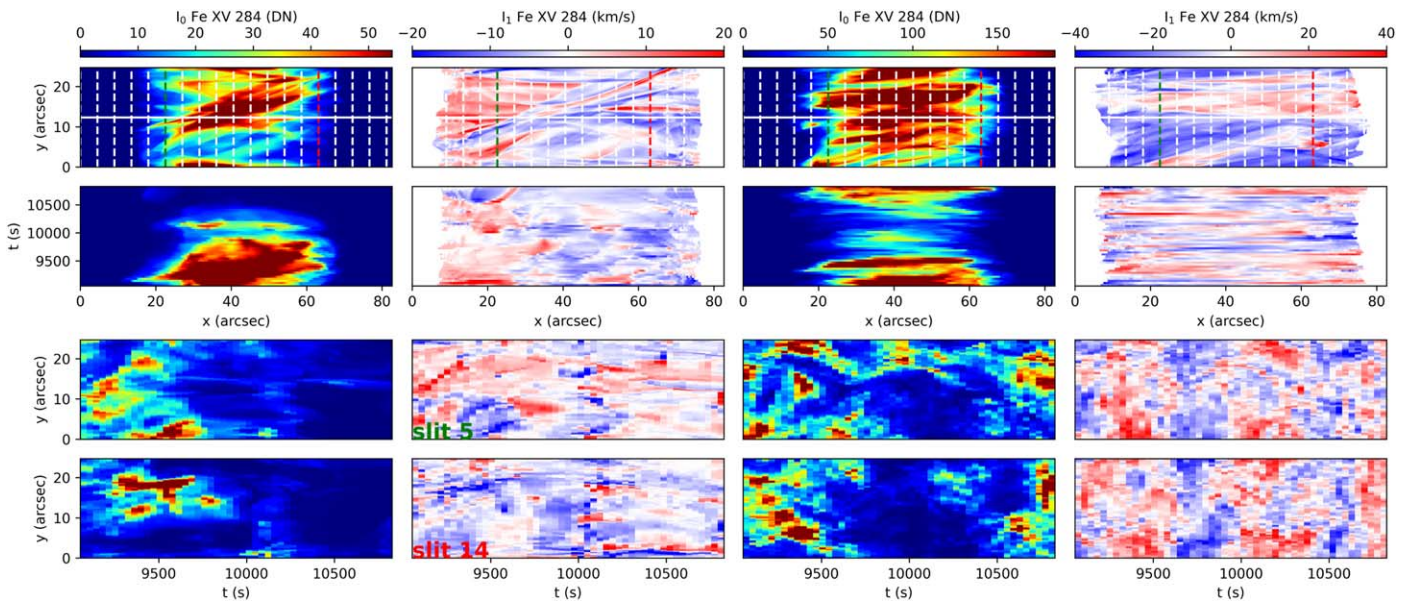
Similar synergies between EUVST and MUSE will provide unique coronal diagnostics by exploiting the presence of slow-mode waves. EUVST measurements of the temperature and

density can provide insight into the coronal heating function (Zavershinskii et al. 2019; Duckenfield et al. 2021). MUSE measurements of the damping of slow-mode waves as they propagate along coronal loops help determine the thermal conduction and polytropic index, both issues that have been the subject of controversy, but key to modeling the corona.

Combined DKIST, EUVST, and MUSE observations of slow-mode waves can also be used to determine the magnetic field conditions above sunspots (Jess et al. 2016) by measuring the wave phase speed (from MUSE’s multislit measurements), the local sound speed (from EUVST’s temperature diagnostics), and the local density (from EUVST density-sensitive line pairs). Such measurements provide much-needed unambiguous constraints for and lead to improvements of magnetic field extrapolation methods (e.g., based on magnetograms from DKIST) that are used to track the evolution of free energy in ARs that are prone to flaring or eruptions.

### 3.4. The Role of Magnetic Flux Emergence in the Heating of the Solar Atmosphere

The emergence of magnetic flux onto the photosphere and, later, higher up into the atmosphere occurs on a wide range of spatial scales from subgranular to supergranular. When newly emerged flux reaches the coronal volume, reconnection with the preexisting magnetic field may play an important role in heating the plasma. However, the contribution of flux emergence relative to that of braiding, spicules, and waves remains unclear and will certainly also depend on the type of region considered (e.g., quiet Sun, newly emerging or mature AR, or plage). Because emerging flux can traverse many layers in the atmosphere and its impact is felt on a wide range of scales, this topic benefits greatly from the combined NGSPM approach.



**Figure 12.** Comparisons between MUSE observables predicted by idealized numerical loop models in which loop footpoints are shuffled by either driver on DC-like (model  $I_a\_DC$ ; see Section 2 and Appendix A) or AC-like (model  $I_a\_AC$ ) timescales (see Howson et al. 2020 for a detailed explanation of the driving timescales)—i.e., mimicking heating by braiding or waves, respectively—show clear differences. We show the intensity and Doppler velocity for the DC (left two columns) and AC (right two columns) model in the MUSE Fe XV 284 Å line integrated over 1.5 s. The top row shows a snapshot at  $t = 9054$  s where  $x$  represents the field-aligned coordinate. The LOS has been taken to be perpendicular to the magnetic field. The second row shows a time–distance image along the central  $y$  coordinate (horizontal white line in the top row). The third and fourth rows show time–distance images in the cross-field direction along slit 5 (vertical dashed green line in the top row) and slit 14 (vertical dashed red line in the top row). Correlated oscillatory signals at separate locations along loops are predominant in AC models (right column) and rare in DC models (second column). Count rates are calculated as described in Appendix B.

Key measurements are the time evolution of the structure and strength of the photospheric and possibly the chromospheric fields over an extended period of time and preferably over a spatial extent at least the size of a typical AR. These field measurements must be complemented by the intensity, velocity, and line width of coronal lines spanning a wide range of temperatures that cover a similar spatial extent in order to see how the corona reacts to the introduction of new fields.

The emergence of flux into the corona leads to a reorganization of the coronal magnetic field. This can rapidly produce highly dynamic local effects at the site of emergence, e.g., large-angle reconnection that produces strong flows and heating. However, emergence can also impact the corona at larger distances from the site of emergence through its impact on the magnetic field connectivity and topology, and the rapid distribution of heat, flows, and waves along loops (Archontis & Hansteen 2014; Hansteen et al. 2019).

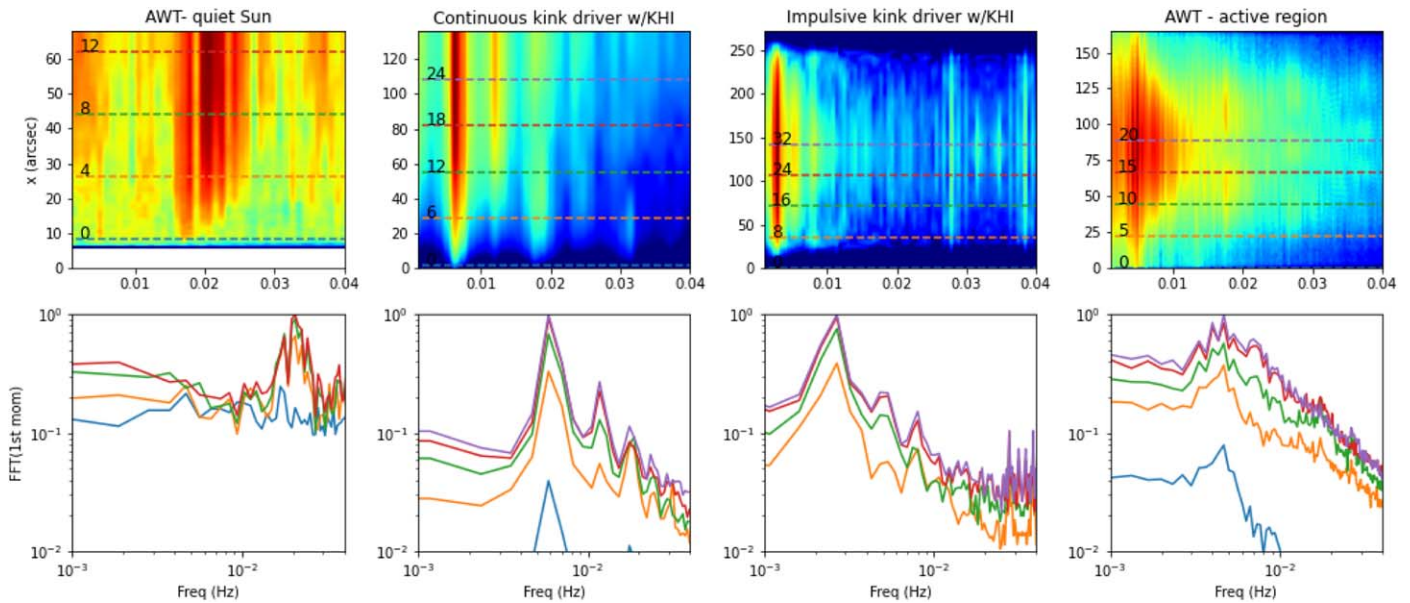
Highly sensitive measurements of the magnetic field in the photosphere (ViSP) and chromosphere (DKIST/DL-NIRSP or SST/HeSP) can, for example, track the emergence of flux concentrations of a wide range of strengths. Simultaneously, MUSE can observe coronal spectra along 37 slits at high cadence (of order 10–20 s), providing the required view of both the local and nonlocal effects of flux emergence.

An example of the sort of data this observation would provide is shown in Figure 20 where the synthetic observables from a numerical model including flux emergence are shown: magnetic elements break through the photosphere roughly 1 hr before the time of Figure 20, expanding and forming bubbles of initially cool photospheric plasma that are lifted by the magnetic field into a region containing preexisting network fields. At the time of the synthetic observation, the field has entered the corona and is strong enough to push the preexisting field aside or, where field strengths are more or less equal,

cause significant reconnection. This is visible as a set of 20'' long features as observed with MUSE in the Fe XV 284 line, in the upper-left quadrant of Figure 20. The intensity, Doppler velocity, and line width all show the impact of the emerging expanding field as it interacts with the ambient coronal field. We see high upflow velocities along a coherent structure, which also is clearly visible in the increased line width there. These are signatures of reconnection and high heating rates along a current sheet that has formed as the newly emerging flux interacts and reconnects with the preexisting field. Several sites of very broad and/or strongly shifted line profiles are visible along the current sheet.

To fully follow and disentangle the complicated set of events that the emergence from the photosphere into the corona causes, such observations should be complemented with MUSE context images (at both He II 304 Å TR and Fe XII 195 Å coronal temperatures). Simulations show that bright, low-lying, short-lived He II, Fe IX, and Fe XII loops will form, possibly partially obscured by EUV absorption from overlying cool plasma lifted into the coronal volume as the field rises. At sites where the angle of the reconnecting fields is large and temperatures are high, we expect to see short-lived Fe XV loops as well and may also see flashes of Fe XIX as temperatures can reach 10 MK or more for a few tens of seconds at the reconnection site.

When a significant amount of field emerges, as in a newly forming AR or ephemeral AR, the topology of the coronal field will change rapidly, driven both by reconnection and by footpoint separation. These changes will be visible in all bands except perhaps Fe XIX. Much of the material carried up by the rising field will eventually drain out of newly heated coronal loops, and there will be flow patterns associated with this draining concentrated near loop footpoints. This is another key measurement that distinguishes between effects from braiding and flux emergence.



**Figure 13.** MUSE can simultaneously observe oscillatory (e.g., velocity) signals all along loops. Comparison of the observed FFT power spectrum (top row, with selected loop locations shown in the bottom row) with model predictions provides a rigorous test for various wave-dissipation models. The top row shows maps of the FFT power spectrum (of Doppler shift vs. time at a specific location) for each location along the loop. The bottom row shows the FFT power spectrum at specific slit positions marked on the top row. From left to right, the loop models shown are `Mat-AC` (Alfvén wave turbulence—AWT—model in a quiet-Sun coronal loop), `PL_waves` (continuous AC driver with KHI formation), `Cip_waves` (impulsive AC driver with KHI formation), and `VBA_AWT` (AWT model in an AR coronal loop).

Flux emergence will drive a host of highly dynamic events such as jets, surges, waves, and other brightenings that MUSE will capture. MUSE can also detect any signatures of oscillatory reconnection through the associated varying flow and heating patterns. Such reconnection is predicted by numerical simulations of reconnection around coronal null points (Hegglund et al. 2009; Murray et al. 2009).

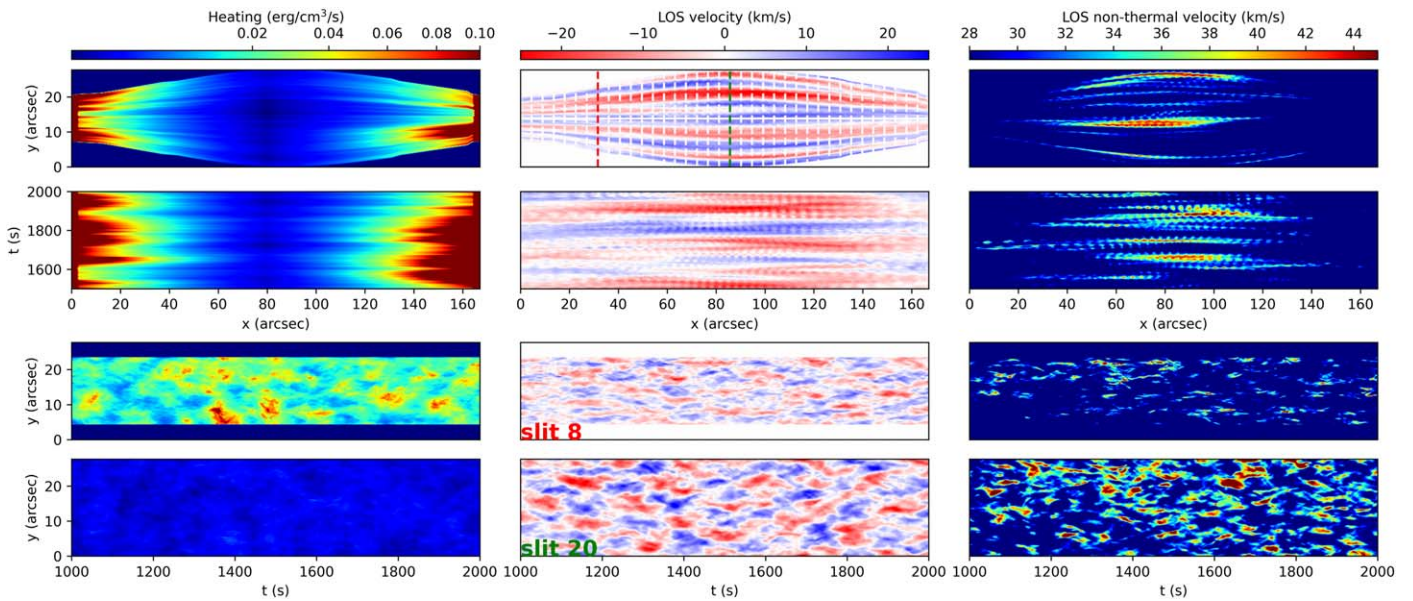
Studies of flux emergence will benefit greatly from the NGSPM approach. The magnetic field measurements with DKIST will provide insight into the amount of flux emerging and will constrain the field configuration. At the same time, fortuitously placed high-cadence dense EUVST rasters over a necessarily small FOV of order  $5''\text{--}10'' \times 140''$  could capture some of the local effects such as flows, waves, and heating, as loops emerge and traverse the chromospheric, TR, and coronal temperature regimes. Coronal context from MUSE is required to interpret these local measurements and capture the multitude of nonlocal effects at high spatial resolution. MUSE’s multislit spectra over a  $170'' \times 170''$  FOV would thus be highly complementary to the local measurements that EUVST can provide for a range of temperatures spanning the chromosphere to the hot corona. MUSE will be able to detect not only the very strong flows ( $>100 \text{ km s}^{-1}$ ) expected from large-angle reconnection through Doppler shift and line-broadening measurements (Tian et al. 2018; Hansteen et al. 2019) but also the predicted hot plasma ( $\sim 10 \text{ MK}$ ), which often does not occur right at the emergence site. In addition, MUSE can cover the full extent of the emerging loops and make the critical distinction between local flows caused by field reorganization and evaporative flows that occur in response to local and nonlocal heating events. Complementary higher-cadence lower-resolution rasters with EUVST would not capture the large-scale effects of emergence at high resolution but would provide low-resolution diagnostics, such as the evolution of densities and abundances as the emergence progresses. These combined measurements will thus

be able to capture the multiscale process of flux emergence and help distinguish it from other heating processes.

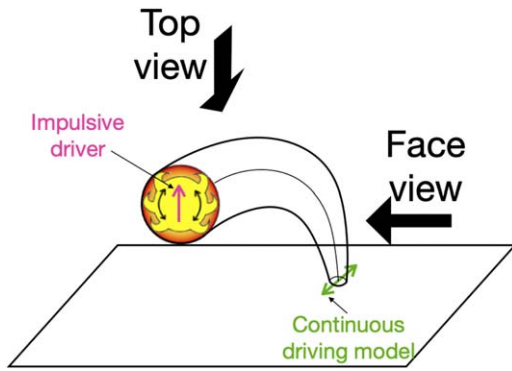
### 3.5. Driving Mechanisms at the Roots of the Solar Wind

There are many candidate processes that are thought to feed mass and energy into the roots of the solar wind. For example, jets of various sizes, from spicules to coronal jets, are ubiquitous, highly dynamic on timescales of order 10–20 s, and associated with strong Alfvén waves (De Pontieu et al. 2007) that propagate into the fast solar wind along, e.g., large-scale polar plumes at speeds of hundreds to thousands of  $\text{km s}^{-1}$  (Cirtain et al. 2007). These jets are thought to originate from the interaction between magnetic fields on very small spatial scales (e.g., from flux emergence; Nóbrega-Siverio et al. 2016), but they extend over  $15''\text{--}80''$  at maximum length (Cirtain et al. 2007), and some appear to involve large-scale eruptions of mini filaments into the solar wind (Sterling et al. 2015). Similarly, the origin of some of the mass supply to the slow solar wind is thought to lie in the AR outflow regions (Dosc hek et al. 2008), strong coronal upflows most often found at both the leading and trailing edges of ARs. While such regions persist for days, observations show a prevalence of highly dynamic events at the TR and low coronal roots of such regions on granular scales (De Pontieu et al. 2009; McIntosh & De Pontieu 2009a, 2009b; Ugarte-Urra & Warren 2011; Polito et al. 2020). However, models predict that large-scale connectivity changes (including over distances of order  $100''$  between the opposite edges of the AR) play a key role in their formation, through interchange reconnection (Baker et al. 2009, 2017).

A combined NGSPM approach is thus desired to address how these phenomena at the roots of the solar wind form and how they contribute to the mass and energy budget of the wind. DKIST measurements of the magnetic field in the photosphere and chromosphere (ViSP and DL-NIRSP) will determine the



**Figure 14.** Predicted spatial and temporal properties of heating rate, Doppler shift, and nonthermal line broadening from a reduced-MHD model based on Alfvén wave turbulence (VBA\_AWT; see Table 2 and Appendix A). In the top row, we show the side view (the loop footpoints are at the two extremes of the  $x$  range and the loop top at the center, i.e.,  $x \sim 80''$ ) of the heating rate (left column), line-of-sight velocity, and nonthermal broadening. The second row shows a time–distance image along the central  $y$  coordinate of the top row. The third and fourth rows show the time–distance images in the cross-field direction, along slits 8 and 20, marked in the top middle panel by a red and blue vertical line, respectively.

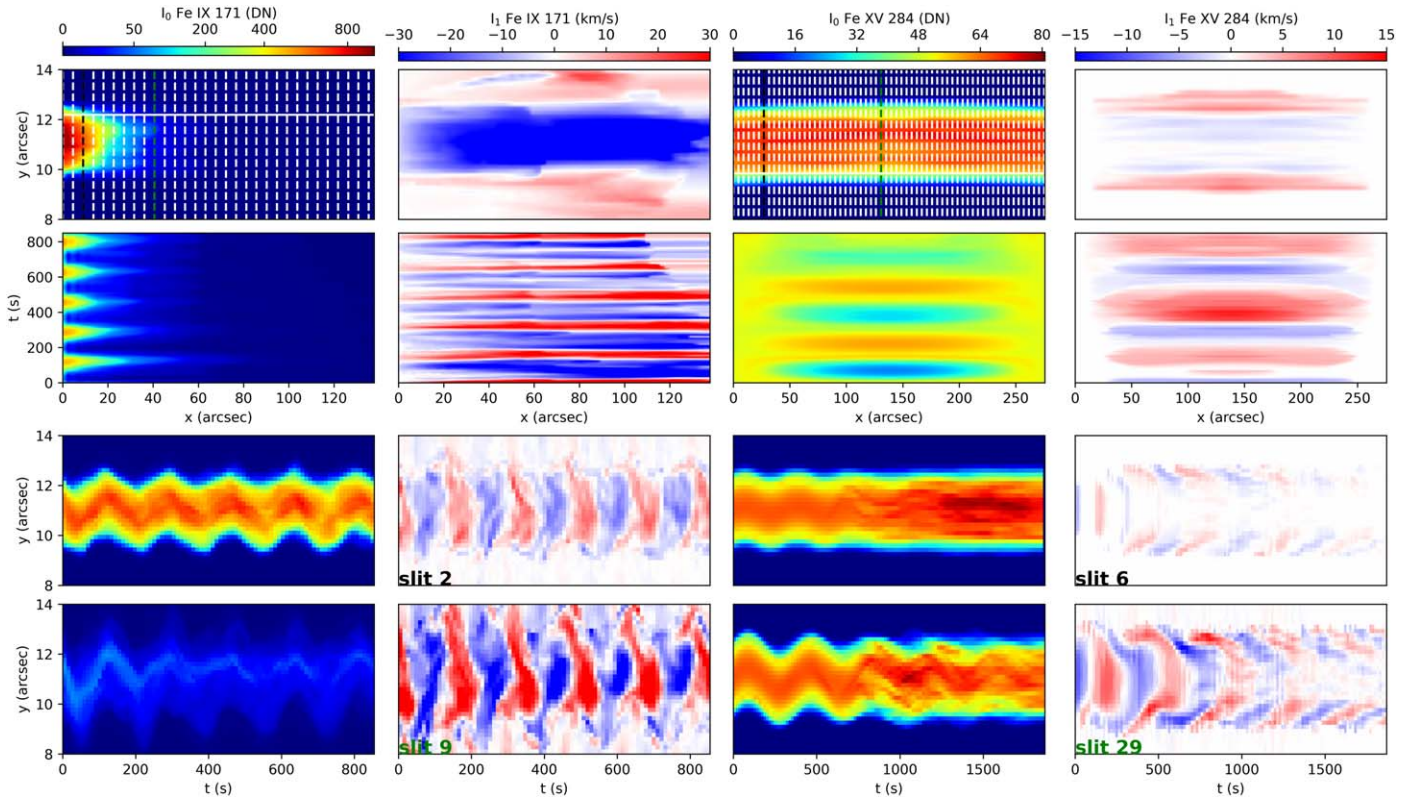


**Figure 15.** Sketch to illustrate the geometry of straightened loop models (e.g., PL\_waves, Cip\_waves) mapped to a curved, semicircular, loop, and different lines of sight: the face view is perpendicular to the loop plane (essentially coinciding with the typical side view of straightened loop models; see Figure 16); the top view implies significant integration of the loop length when close to the loop footpoints and allows more of the field-aligned flows to be observed (see Figures 17, 18, 19).

relative role of various mechanisms in generating jets: flux emergence, flux cancellation, and the formation (and subsequent destabilization) of mini filaments through small-scale interactions between neighboring flux concentrations (Sterling et al. 2015, 2016; Moore et al. 2018). Such highly sensitive measurements will also shed light on the likelihood of interchange reconnection, both at the roots of coronal holes and in AR outflow regions. Dense EUVST rasters at cadences of order 30 s over regions of order  $5'' \times 140''$  will provide insight into the temporal evolution of the strong plasma flows (and thus mass flux, from density-sensitive line pairs) and heating associated with jets. However, high-cadence MUSE rasters and coronal images over a large FOV ( $170'' \times 170''$ ) are needed to capture the further expansion of these flows and their impact on the large-scale coronal structures feeding into the solar wind. In particular, MUSE’s capability of time–distance

seismology (Tomczyk & McIntosh 2009), tracing of wave packets, and determination of group speeds of waves is key to determine the properties of Alfvén waves (including wave energy flux by combining with time-averaged densities derived from slower large-scale EUVST rasters), thereby constraining numerical models of the solar wind (Matsumoto & Suzuki 2014; van der Holst et al. 2014; Shoda et al. 2019). MUSE measurements will also help determine the role of unidirectional wave propagation (Magyar et al. 2017) or counter-propagating waves in generating turbulence and higher frequencies (De Moortel et al. 2014; Shoda & Yokoyama 2018), a key topic for solar wind studies (Banerjee et al. 2021). Similarly, MUSE observations will have the cadence and resolution to investigate the interplay between Alfvénic waves and field-aligned motions (e.g., from spicules and other jets; Liu et al. 2015) at the root of the solar wind. All of these MUSE measurements of the Alfvén waves and plasma properties at the roots of the solar wind will provide key constraints for in situ measurements in the solar wind with PSP and Solar Orbiter, including studies of the nature of switchbacks (Kasper et al. 2019), which are difficult to trace back to the corona without high-resolution spectroscopy of the corona.

To illustrate MUSE’s capabilities in terms of studying the driving mechanisms of the solar wind, Figure 21 shows a coronal hole jet in the B\_npdns03 simulation. A bidirectional hot jet (panel (A)) is launched from the reconnection site at high speeds (panel (D)) as a by-product of magnetic flux emergence and reconnection. The coronal jet propagates upwards, even reaching the top of the numerical box at  $88''$ , thus potentially constituting a source of significant mass and energy input to the solar wind (see the recent review by Raouafi et al. 2016). The strong shocks generated by the reconnection outflows produce a detachment process in which cool material from the emerged region peels off and is launched as a surge. These shocks are key to giving the jet the canonical inverted-Y (or Eiffel tower) shape typically found in coronal hole jets (see Nóbrega-Siverio et al. 2016 for details about



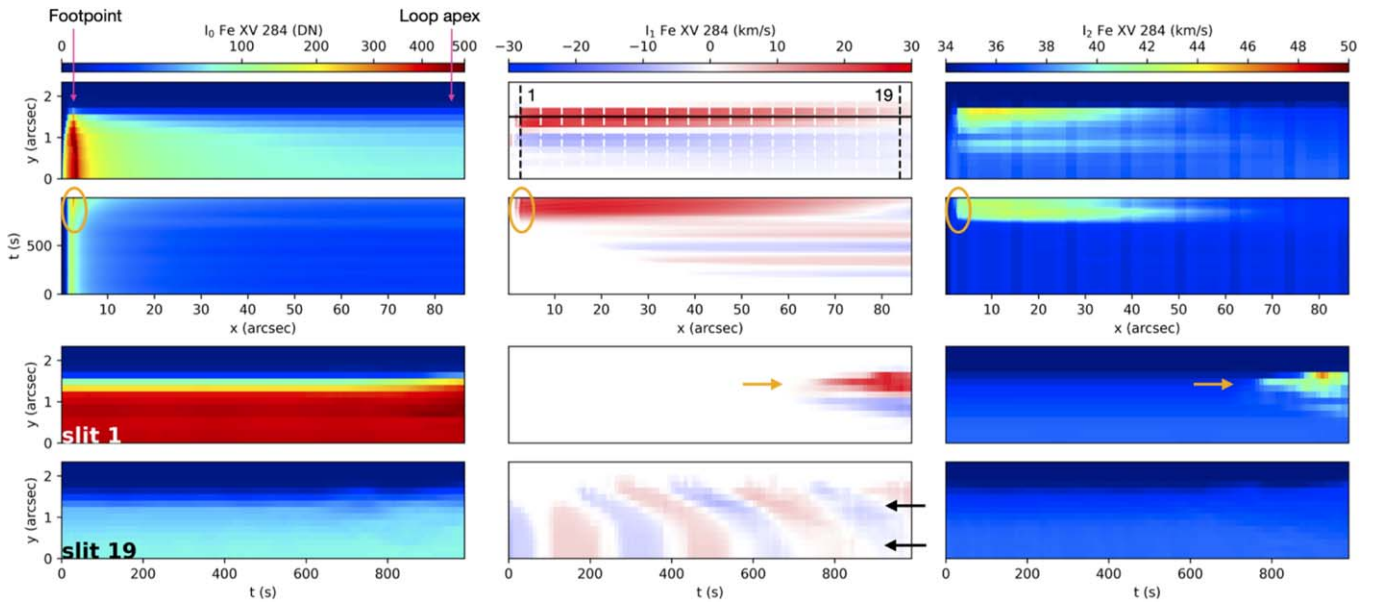
**Figure 16.** The comparison of MUSE observables predicted from two models of oscillating loops shows clear detectable differences between the continuously driven ( $PL\_waves$ ) and the impulsively driven ( $Cip\_waves$ ) cases, providing clear diagnostics for distinction. We show the zeroth (first and third columns) and first moment (second and fourth columns) for MUSE Fe IX 171 Å (model  $PL\_waves$ , left two columns) and Fe XV 284 Å (model  $Cip\_waves$ , right two columns). The  $x$  coordinate is along the loops, and we show half the loop for model  $PL\_waves$  (footpoint located on the right end of the  $x$ -axis), and the full loop for model  $Cip\_waves$  (footpoints located at the two extremes of the  $x$ -axis). The LOS corresponds to the face view (see Figure 15) but is at a  $45^\circ$  inclination with respect to the kink oscillation direction. The integration times are 1.5 s and 5 s for the  $PL\_waves$  and  $Cip\_waves$  models, respectively. The top panels show the loops at a time when the KHI is already well developed, while the second row of panels tracks the evolution of the oscillation along the boundary of each loop. Note here that due to gravitational stratification and the mixing of plasma due to the KHI, only the loop footpoint is visible for model  $PL\_waves$  (also see Karamelas et al. 2019b). The two bottom rows show time–distance maps for the zeroth and first moments, at two different heights (and slits, counting from left to right) along the loops. Note the increase in amplitude with height for the first moment, with the herringbone patterns and small-scale structure best visible toward the apex. Note also the damping of the oscillatory motion in the zeroth moment and decrease/increase in the first moment at the loop core/boundary due to resonant absorption, only visible in the impulsively driven model. Count rates are calculated as described in Appendix B. An animation of this figure is available. It shows the difference in the spatiotemporal patterns of the oscillatory signals between both models and the formation of the KHI, as illustrated in the figure and described in the text.

(An animation of this figure is available.)

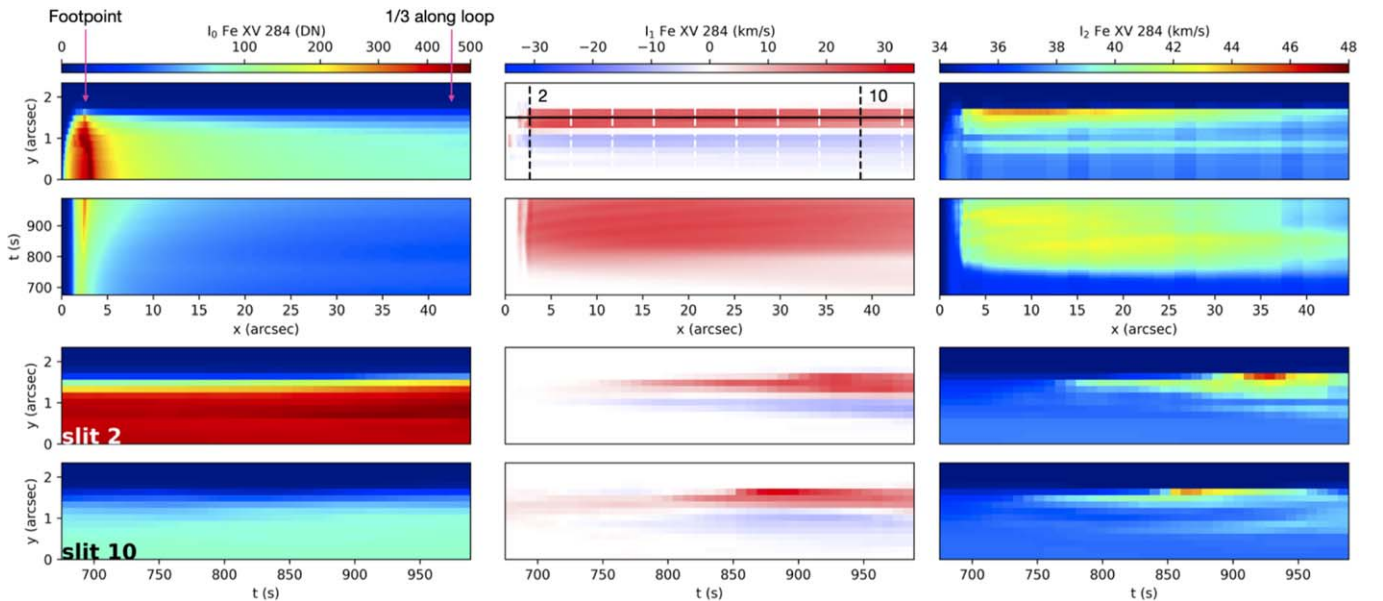
this mechanism). As a consequence also from the reconnection, new hot retracting loops (post-reconnection loops) are created and piled up in the lower atmosphere (see, e.g., Moreno-Inertis & Galsgaard 2013). The regions with enhanced intensity in Fe IX and Fe XV seen from the limb (panels (B)–(C), respectively) are located at the detachment region, the hot jet, and the post-reconnection loops. Plasmoids are also visible in Fe IX in the attached movie. Spectral maps seen from the top (panels (E)–(F)) reveal strong blueshifts (from  $-100$  to  $-400$  km  $s^{-1}$ ) associated with the upflow jet and the reconnection site as well as redshifts ( $\sim 100$  km  $s^{-1}$ ) located at the detachment region and the retracting post-reconnected loops.

Panels (G) and (J) show the Fe IX spectral–time maps in two different slits (colored ones in the context maps) that scan the reconnection site and show the rapid evolution of plasmoids (see Cheung et al. 2022 for details about MUSE’s capabilities concerning plasmoids). In panels (H)–(I), we have mimicked a MUSE dense raster with 2 s and  $0''.4$  step raster, considering a  $0''.4$  slit width and  $0''.167$  pixels along the slit. Despite the very fast plasma dynamics (see the associated movie), MUSE is capable of spatially and temporally resolving the structure and evolution of all the regions that are critical for understanding

this potential source of fast solar wind. For comparison, panels (K)–(L) contain a synthetic dense raster from the single slit of EUVST assuming the same exposure time as for MUSE but a much longer raster cadence to cover the whole FOV of the numerical domain ( $88''$ ). In this case we assume that EUVST is fortuitously placed and covers the emerging flux region and associated jet in the center of its FOV. Such an assumption is not required for MUSE because its large FOV of  $170'' \times 170''$  can comfortably cover a region that is four times as large as this numerical domain. Despite this advantageous assumption for EUVST, it cannot capture the highly dynamic evolution and key phenomena associated with the flux emergence and resulting jet, as shown in panels (K)–(L). The high-cadence rasters of MUSE, in contrast, capture the dynamic evolution fully. Consequently, and as mentioned above, probably the most optimal observing program for EUVST is a dense raster of  $5'' \times 140''$  to provide density diagnostics, chemical composition, and better temperature coverage of the strong upflows. The combination of MUSE and EUVST diagnostics of, respectively, the Alfvénic wave propagation and abundance variations will allow studies that trace the connectivity between the low corona and the solar wind (Brooks & Warren 2011).



**Figure 17.** Long-term characteristics of MUSE synthetic observables (top view; see Figure 15) for a simulation modeling a coronal loop undergoing an impulsively excited and vertically polarized kink oscillation ( $C_{ip\_waves}$ ; see Table 2 and Appendix A). The loop coordinate axis is transformed onto a curved trajectory to mimic a semicircular coronal loop (as in the RADYN models of Figure 11). Here we show the Fe XV 284 Å synthesis for half of the loop with a time integration of 5 s, with  $x = 0$  (and slit 2) near the left footpoint and larger  $x$  (higher slit number) corresponding to rays crossing the loop higher up in the corona, up to the loop apex. The top row shows a snapshot at  $t = 950$  s when the KHI is fully developed across the loop. The second row shows the time–distance maps of the moments, at the boundary of the loop (marked by the horizontal black line in the top middle panel). Note the distinct change in the first and second moments at  $t \approx 700$  s, indicating the onset of the KHI. An increase in the intensity at the footpoints is marked with an orange circle. The two bottom rows show time–distance maps of the Fe XV 284 Å moments, for two slits at different heights along the loops: slit 1 is at the footpoint, while slit 19 is at the apex (half of the loop). The black arrows mark the kink-mode attenuation and accompanied amplitude increase of the Alfvén waves at the boundary due to resonant absorption. The orange arrows mark the KHI onset. Count rates are calculated as described in Appendix B.

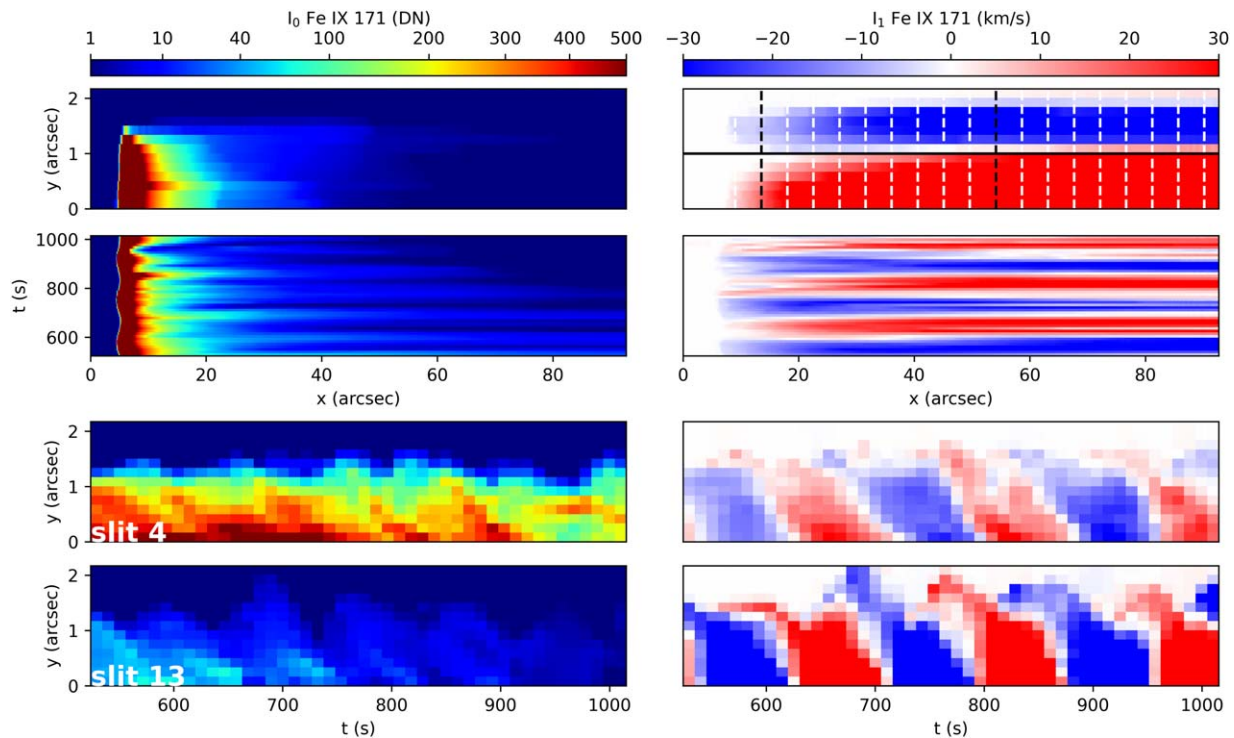


**Figure 18.** Short-term characteristics of MUSE synthetic observables (top view; see Figure 15) for a simulation modeling a coronal loop undergoing an impulsively excited and vertically polarized kink oscillation ( $C_{ip\_waves}$ ; see Section 2 and Appendix A). The panel configuration, distance and time axis, LOS view, and exposure time are the same as in Figure 17 for the curved loop. Here we show the Fe XV 284 Å synthesis for the first one-third of the curved loop, focusing on a 5 minute interval during which the KHI develops. The KHI leads to steady upflows (blueshifts)/downflows (redshifts) with increased line widths at the loop boundary all along the loop (slits 2 and 10). Count rates are calculated as described in Appendix B.

High-cadence EUVST rasters of single-AR outflow regions will elucidate the intermittency and dynamic nature of these outflows, an important constraint for theoretical models, e.g., those based on interchange reconnection between open and closed fields. However, MUSE observations are critical to determine the

large-scale coupled dynamics, topology, and connectivity between outflow regions on opposite sides of the AR (i.e., over spatial scales of  $100''$  or more), that is predicted by some interchange reconnection models (Baker et al. 2009, 2017). Doppler shifts from MUSE spectra and coronal context images at high resolution





**Figure 19.** MUSE synthetic observables of intensity (left) and Doppler shift (right) for a top view (see Figure 15) for model *PL\_waves* (see Section 2 and Appendix A), similar to model *Cip\_waves* of Figure 18 but with a continuously driven transverse oscillation. Here we show the Fe IX 171 Å synthesis for two-thirds of the loop, with  $x = 0$  (and low slit numbers) near the footpoint and larger  $x$  (higher slit numbers) toward the apex. The exposure time is 1.5 s. The top row shows the loop at time  $t = 1015$  s, when the KHI has developed over the entire loop cross section. The second row shows the time–distance maps of the moments, close to the loop boundary of the loop (marked by the horizontal black line in the top-right panel). The two bottom rows show time–distance maps of the Fe IX moments for two slits at different heights along the loops (marked by the vertical black dashed lines in the top right panel): slit 4 is close to the footpoint, while slit 13 is higher in the corona. Count rates are calculated as described in Appendix B.

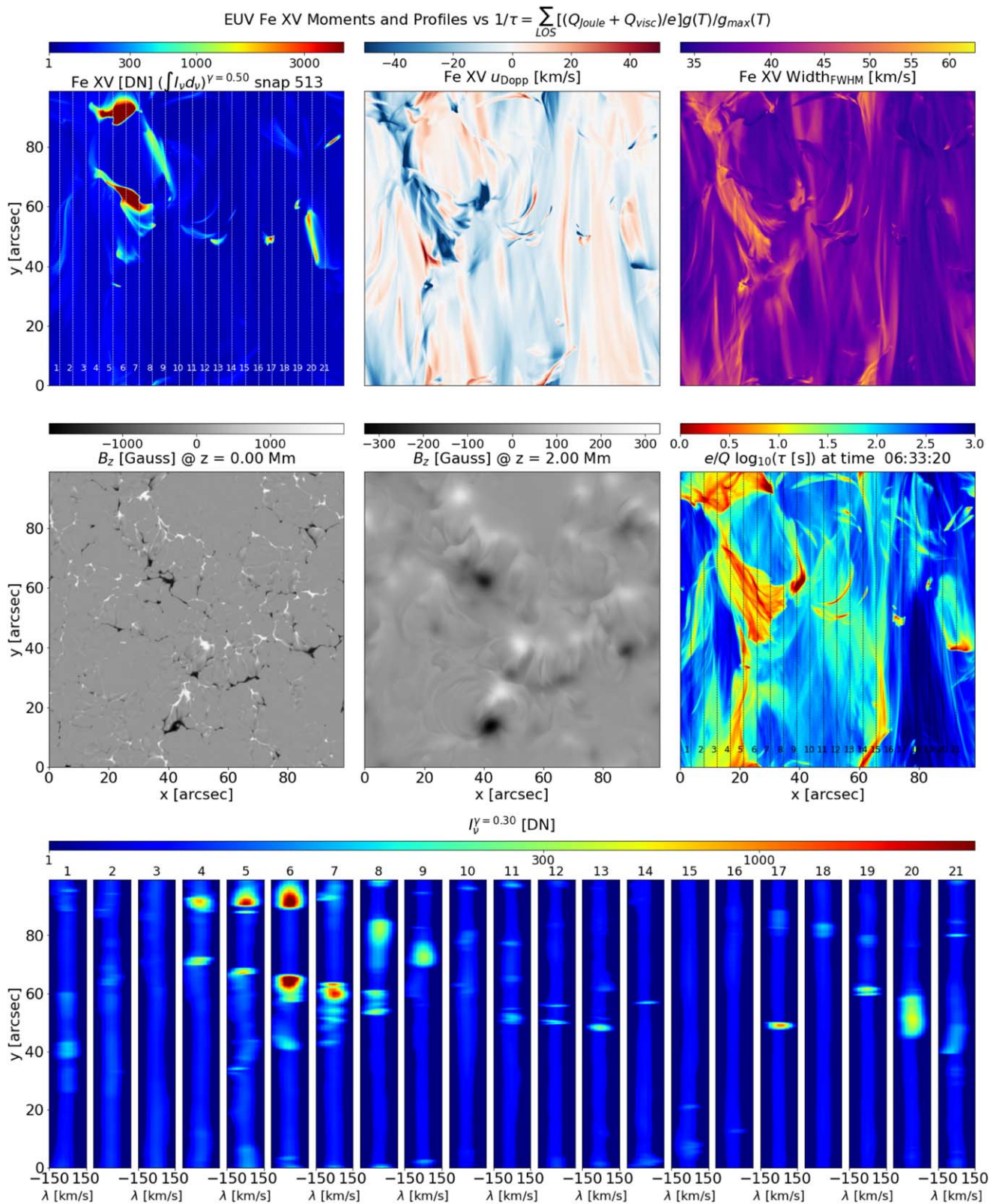
will elucidate whether some of these upflows are on closed small-scale loops, resulting from reconnection around the quasi-separatrix layer as predicted by some models (Baker et al. 2009, 2017).

### 3.6. Formation Mechanisms of Solar Prominences

Prominences play a key role in the solar atmosphere; when they become unstable, they are particularly important for understanding space weather. However, a full understanding of the formation of prominences remains a major challenge, mostly because it is difficult to capture at high resolution and high cadence all aspects of these complex, multiscale, and multithermal phenomena that traverse all layers of the solar atmosphere. A combined NGSPM approach is thus important to address major outstanding challenges. For example, highly sensitive measurements of the magnetic field (e.g., from DKIST) are required to understand the formation of flux ropes (e.g., from cancellation; van Ballegoijen & Martens 1989; Green et al. 2011; Chintzoglou et al. 2019). Such measurements will also elucidate the overall topology of the magnetic field (including dips and twisted flux ropes) thought to be required for the accumulation of cool plasma at coronal heights (Liu et al. 2012; Keppens & Xia 2014). Similarly, high-cadence rasters of EUVST and simultaneous MUSE rasters and coronal images are key to constraining the evaporation–condensation model (also known as thermal nonequilibrium, TNE), the leading candidate mechanism for prominence and coronal rain formation (Antolin 2020), and the recently discovered long-

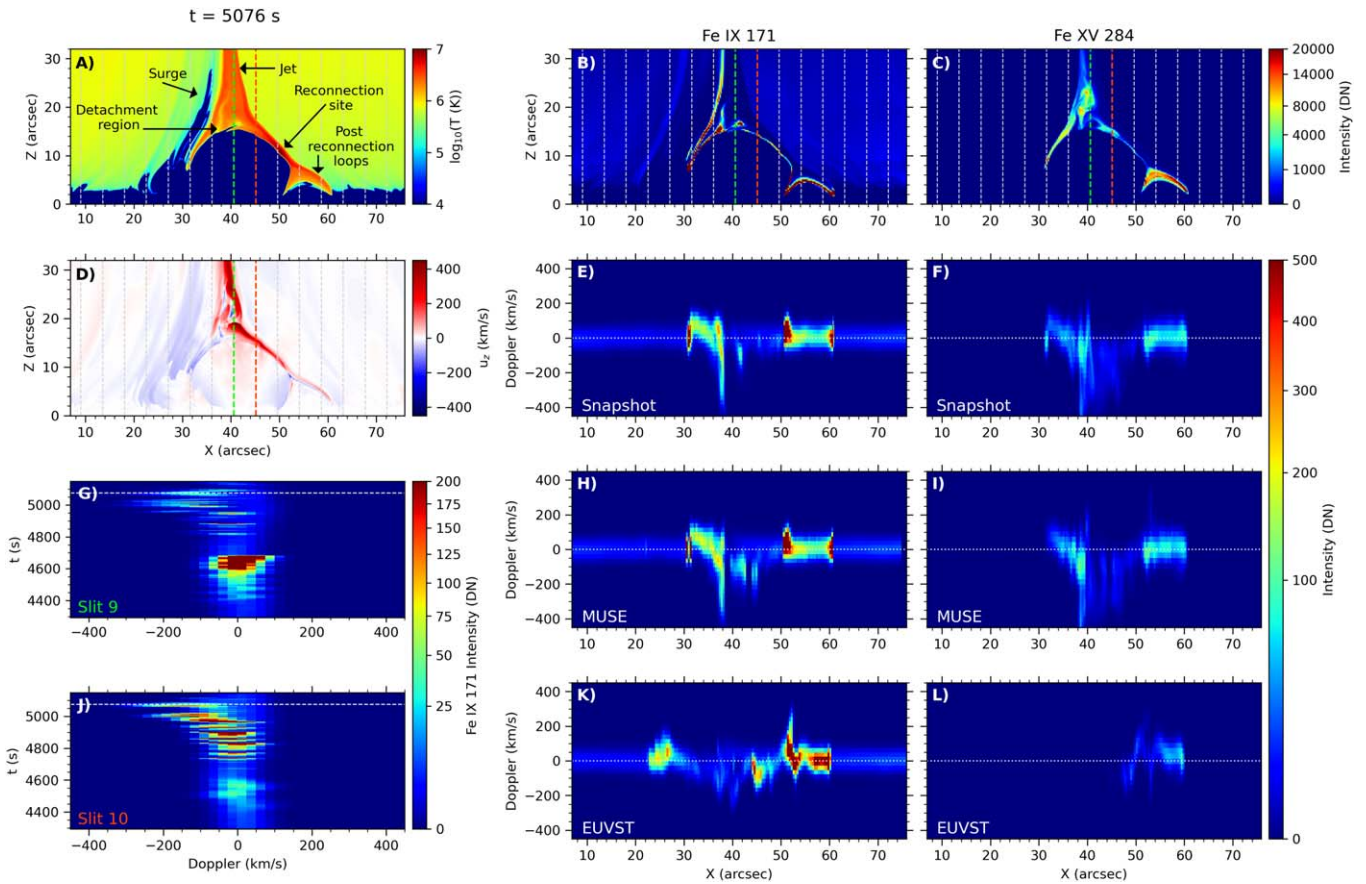
period intensity pulsations (Froment et al. 2015). In this model, sustained high-frequency heating (typically longer than the radiative cooling time of the structure) concentrated toward the footpoints of coronal structures is thought to lead to cycles of heating and cooling around an equilibrium. During one cycle, the evaporation of material caused by the heating ultimately condenses in the solar corona via thermal instability during the cooling phase (e.g., Müller et al. 2003).

Dense EUVST rasters at high cadence will capture the triggering and formation of coronal rain as it cools from MK to chromospheric temperatures (Antolin et al. 2015a), while Doppler shift measurements will reveal waves and dynamic instabilities (e.g., KHI; Antolin et al. 2015a; Okamoto et al. 2015) and reconnection-driven nanojets (Antolin et al. 2021) thought to play a role in heating prominence plasma. MUSE TR and coronal images and multislit spectra will elucidate the overall mass and energy circulation at the extreme spatial and temporal scales produced by the TNE and the signatures of energy dissipation through various dynamic instabilities and reconnection processes. This includes impulsive flare events where coronal rain is observed and difficult to explain (Reep et al. 2020). Such data will also reveal the buildup of twist and braiding in the flux rope, key properties for understanding the (in)stability of prominences (Cheng et al. 2015; Schmieder et al. 2017). In addition, MUSE observations will allow studies of the impact of jets on prominences and the resulting large-amplitude oscillations and counterstreaming flows in prominences (Luna & Moreno-Insertis 2021).



**Figure 20.** Coronal heating resulting from flux emergence: magnetic flux is emerging in this simulation (model  $B_{\text{nw072100}}$ ; see Table 2 and Appendix A), in particular in the upper-left quadrant of this figure. As this flux penetrates into the corona, it interacts with the preexisting coronal field, causing increased heating rates. This causes increased intensities and line widths as well as accelerating plasma to high velocities more or less simultaneously along the “wall” of reconnecting field where the newly emerged and preexisting fields collide and are of equal strength. Here we show synthetic observables in the same format as for Figure 4, but for the Fe XV 284 Å line. The assumed exposure time is 1.5 s. Count rates are calculated as described in Appendix B. An animated version of this figure is available and shows the spatiotemporal coherence of heating patterns caused by braiding and their effects on the MUSE observables, as described above. The animated version also includes the time series of the Fe XV and Fe XIX spectral bands.

(An animation of this figure is available.)



**Figure 21.** A coronal hot jet, from model `B_npdns03` (see Section 2 and Appendix A) at time  $t = 5076$  s. The limb-view maps of the temperature, Fe IX and Fe XV emission, and vertical velocity are shown in panels (A), (B), (C), and (D) respectively, with superimposed dashed lines indicating the location of the MUSE slits. In panel A, arrows mark the location of the most important regions of the simulation. Panels (E)–(F) contain the top view of spectral profiles of Fe IX 171 Å and Fe XV 284 Å at that particular time in the simulation ( $t = 5076$  s), assuming 2 s exposure times. Panels (G) and (J) show Fe IX maps of wavelength vs. time for the colored slits 9 and 10 (shown in panels (A) through (D)), where the horizontal line indicates the time of the maps of panels (A)–(D). Panels (H)–(I) mimic a MUSE dense raster while panels (K)–(L) correspond to an EUVST dense (single slit) raster, with 2 s slit-dwelling time and  $0''.4$  steps (assuming for both instruments a  $0''.4$  slit width and  $0''.167$  pixels along the slit). The raster duration to scan the whole numerical domain ( $88''$ ) for MUSE and EUVST is, respectively, 22 s and 440 s. The EUVST raster does not capture the highly dynamic evolution of this type of event, as also shown in the animated version of this figure.

(An animation of this figure is available.)

#### 4. Discussions and Conclusions

Understanding the heating of a stellar atmosphere like the Sun’s corona remains a major open issue in astrophysics (e.g., Testa et al. 2015). This is in part because many different complex physical processes are thought to play a role, which until recently have been difficult to capture in theoretical models. In addition, the spatial and temporal scales on which these processes occur have been difficult to capture with existing instrumentation, as these processes often couple plasma across widely different temperatures (from the 0.01 MK chromosphere to the 10 MK corona) and couple a wide range of spatial scales from the very small ( $\sim 0''.5$ ) subgranular scale to AR-size scale ( $\sim 140''$ ), all on short timescales (of order 20 s).

The past few years have seen major advances in the complexity and realism of numerical modeling of the physical processes that are thought to play a role in heating the solar atmosphere. The advances in algorithms and computing facilities now allow physics-based models, often based on state-of-the-art radiative MHD simulations, which include enough realism to allow the calculation of synthetic observables. Comparisons with observations allow direct diagnostics of the primary physical processes in the model and provide constraints on the models.

The model predictions indicate that imaging observations that only capture the intensity of spectral lines are not enough: Doppler shifts and line broadening are needed to provide key diagnostics of waves, reconnection-driven flows, heating, etc. Similarly, spectroscopic observations of the corona at either low cadence over a large FOV (minutes over  $140'' \times 140''$ ), at high cadence over a small FOV (20 s over  $5'' \times 140''$ ), or at low spatial resolution ( $>1''$ ) are not sufficient to discriminate between the various models and determine which physical mechanisms dominate the heating of the solar corona.

Because of the multiscale nature of the physical processes involved, high-resolution ( $0''.5$ ) coronal imaging spectroscopy at high cadence (20 s) and over a large FOV ( $> 100''$ ), as well as high-resolution ( $0''.5$ ), high-cadence context coronal imaging are required to discriminate between these various mechanisms. As described in Section 3, the most recent models indicate that this is the case for heating associated with chromospheric jets, such as spicules (Figure 2, 3); heating caused by magnetic reconnection from braiding (Figures 4, 5, 7); dissipation of Alfvénic waves (Figures 13, 14, 16, 17, 18); flux emergence (Figures 20, 21); or cancellation. Braiding models indicate that the current sheets (and associated heating and flow signatures) caused by the jostling of magnetic fields show spatiotemporal

**Table 3**  
Predicted MUSE Diagnostics for Various Models of Heating Mechanisms

Mechanism	Predicted Diagnostic	$\lambda^a$ [Å]	Figures
Spicules	- Type I and type II spicules	304	2, 3
	- Short-lived blueshifted brightenings at loop footpoints associated w/spicules	171	2, 3
	- Propagation of Alfvénic waves (Doppler and POS motions), triggered by spicules, along loops	304, 171, 195, 284	13a, 16–18
	- Dissipation of Alfvénic waves through impulsively driven KHI	171, 284	13, 16–18
	- Formation of coronal loop, associated with spicules	171, 195, 284	2, 3
	- Evaporative flows at loop footpoints, associated with spicules	284	2, 3
Braiding	- Visibly braided loops	All	7
	- Spatiotemporal coherence of intensity and line width along loops (20–60 s, $\sim 5''$ – $30''$ )	171, 284, 108	4, 5, 10
	- Short-lived ( $\approx 20$ s single, $\approx 60$ s cluster) nanojets: high velocities ( $< \sim 100$ km s $^{-1}$ ) and line widths, transverse to guide field	171, 284, 108	4, 8, 9
	- Loop formation associated with nanojets	171, 195, 284, 108	8
	- Twisting and unwinding motions	171, 195, 284	7
	- Evaporative flows in loops	171, 284, 108	6, 10, 11
	- Nanoflare-driven short-lived brightenings at loop footpoints and associated short-lived hot loop emission	171, 284, 108	10, 11
Waves	- Propagating or standing oscillatory displacements of loops and jets	304, 171, 195, 284	16–19
	- Oscillations in velocity, line width along loops	171, 284	12–14, 16–19
	- Spatial dependence of FFT power spectrum along the loop	171, 284	13
	- Propagation of Doppler shift oscillations along loops	171, 284	14, 16–18
	- Spatiotemporal coherence of velocities and line width along loops from wave propagation	171, 284	12, 14, 16–18
	- Specific phase relationships between intensity, velocity, and line width	171, 284	16–19
	- Concentration of wave power at the edge of flux tubes (KHI, RA)	171, 195, 284	16–18
	- Steady downflows/upflows around the edge of flux tubes (KHI, RA)	171, 195, 284	16–18
Flux Emerg.	- Short-lived, low-lying loops, possible EUV absorption from overlying cool plasma	304, 171, 195, 284	20
	- Flow patterns associated with draining of rising loops and topological evolution including footpoint separation	171, 284, 195	20, 21
	- Strong short-lived brightenings and bidirectional flows ( $> 100$ km s $^{-1}$ ), large line widths (from large-angle reconnection)	171, 284, 108	20, 21
	- Spatiotemporal coherence of highly dynamic “storms” of sudden brightenings and line width increase ( $10''$ – $30''$ , 20 s)	304, 171, 195, 284, 108	20, 21
	- Various types of jets, including erupting (mini) filaments	304, 171, 195, 284	20, 21

**Note.**

<sup>a</sup> For 304 and 195, imaging is desired. For 171, 284, and 108, intensity, Doppler shift, and line broadening are typically desired.

coherence over spatial scales of order  $40''$  and temporal scales of order 20 s. Similar coherence (often on even larger scales) occurs when the magnetic field reconnects as a result of new flux emerging into the atmosphere on scales between granules and supergranules. Nanojets caused by reconnection from braiding lead to coronal loop formation many tens of Mm away from the reconnection site. Furthermore, Alfvénic waves, whether generated in the low atmosphere or corona, propagate over tens of Mm on timescales of order 20 s, dissipate over longer or similar spatial scales, and lead to telltale signatures that can only be identified when observing the whole loop. Finally, heating associated with spicules is predicted to occur many Mm away from the original spicular injection site into the corona. This is also illustrated nicely in Figure 22, which shows that, at a spatial resolution of  $0''.5$ , typical timescales (top row) on which the three moments of coronal lines (in this case Fe IX 171 Å) in the numerical models significantly vary (see Appendix D for a definition) are not only short (of order 20–60s) but also show spatial coherence over distances up to  $60''$  (bottom row). Similar timescales have also been found for other simulations (Einaudi et al. 2021).

All of these findings strongly indicate the need for an instrument like MUSE to discriminate between these various mechanisms. Table 3 attempts to capture in one table the various observable consequences for MUSE for the different

mechanisms that have been described throughout Section 3. It is not straightforward to capture all of the intricate predictions for each model into one small table, so we refer the reader to the various subsections and figures for more details. Nevertheless, this table shows that MUSE will be able to provide critical constraints to these models on the spatiotemporal scales on which they make distinguishing and testable predictions. Detailed comparisons between MUSE observations and these model predictions will also allow us to determine to what extent these phenomena occur on the Sun. Large-scale statistical studies of such comparisons for various solar targets will establish how common each of these phenomena is in the solar atmosphere and establish the dominant processes in various solar targets (ARs, quiet Sun, coronal holes, etc.).

The predictions from the numerical models also indicate that coordinated observations across the whole atmosphere are desirable to address the science objectives outlined in the JAXA–NASA–ESA NGSPM study. First and foremost are measurements of the magnetic field and its dynamical evolution. Such measurements are easiest in the photosphere but, with the advent of DKIST (and other GBOs), are also becoming available at chromospheric and even coronal heights. Many of the science objectives we describe in Section 3 benefit from coordinated observations between MUSE and DKIST. For example, measurements of the magnetic field and plasma

dynamics will greatly benefit our understanding of the driving mechanism of spicules, which can augment our understanding of the coronal impact of these ubiquitous features. Similarly, magnetic field measurements are important to help distinguish between heating caused by reconnection driven by recent flux emergence or cancellation, versus heating caused by other mechanisms. Measurements of the wave dynamics in the lower atmosphere can elucidate the source of Alfvén waves.

Similarly, coordinated observations of MUSE and the recently selected single-slit EUVST spectrograph are desirable. While MUSE can capture both the small and large spatial scales at high cadence, and simultaneously provide the coronal context, the large and seamless temperature coverage of EUVST will allow tracking of plasma as it rapidly heats and cools across a wide range of temperatures, from 10,000 K to 15 MK, while its slit-jaw imaging will provide access to the photospheric and chromospheric context. Combined MUSE–EUVST studies will benefit our understanding of spicules, with EUVST rasters tracking the heating from chromospheric to coronal temperatures and MUSE capturing the large-scale coronal loop formation. Similar synergies exist for braiding, flux emergence, and waves, as described in Section 3. For example, EUVST rasters will help identify the dominant wave processes in the lower solar atmosphere responsible for the observed Alfvénic waves in the corona and provide stringent constraints on the transmitted wave energy flux available for coronal heating. Such measurements will be a great complement to the multislit rasters and imaging from MUSE that tracks the propagation and dissipation of such waves when they reach the corona.

Current observations most often do not properly constrain the models because they lack the resolution or throughput. Without new constraints at the right resolution and cadence, progress will remain limited as there is less of a driving need for improvements. All of these models, by necessity, make simplifying assumptions. It is simply not feasible from an algorithmic or computational point of view to capture all physical processes in one comprehensive model. For example, many of the 3D magnetoconvective simulations have limited spatial resolution for computational reasons. While these models typically predict heating associated with reconnection from braiding, the low spatial resolution implies that the generation, propagation, and dissipation of waves are likely not properly captured. This in turn requires higher-resolution models focused on wave-dissipation processes that ignore self-consistent magnetoconvection. Similarly, the generation and impact of nonthermal electrons, thought to be a key component of heating resulting from reconnection, are most often not captured in 3D radiative MHD models, necessitating more simplified 1D approaches that capture the physics of the accelerated particles much better. All these types of models are highly valuable but require observational input to constrain the initial or boundary conditions (e.g., magnetic field distribution, input power spectrum for waves, etc.) and to allow further development in the right direction. Such development comes naturally as the models are challenged by discrepancies between predictions and novel constraints from high-resolution observations at the right scales and of the right nature (spectroscopy). This has, for example, been demonstrated by the interplay between observations with IRIS and ground-based observations of chromospheric spicules (and their impact on the TR and corona) and successive improvements to numerical models using the Bifrost code (e.g., Hansteen et al. 2006; Martínez-Sykora et al. 2017b; Nóbrega-Siverio et al. 2020),

including the introduction of ion–neutral interaction effects such as ambipolar diffusion and nonequilibrium ionization. We expect that a similar approach with MUSE will lead to a breakthrough in our understanding of the processes that heat the solar corona and, by extension, likely play a role in stellar atmospheres.

In summary, MUSE, sometimes supplemented with other NGSPM instruments, will not only be able to uncover the telltale signatures of coronal heating as predicted by current state-of-the-art numerical models but also provide key constraints that will lead to improvements of these models. This approach will lead to a breakthrough in our understanding of coronal heating.

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## Appendix A Numerical Simulations

In Table 2 of Section 2, we summarize the numerical models we use in this paper to synthesize MUSE observables and devise MUSE diagnostics capable of testing these state-of-the-art models and distinguishing between different models. Here we provide further details on all of the models used in the paper and listed in Table 2.

*Bifrost.* The Bifrost code (Gudiksen et al. 2011) aims to address the most-relevant physical processes in the outer solar atmosphere, i.e., photosphere, chromosphere, TR, and lower corona. The boundary conditions are periodic in the horizontal directions; in the vertical direction, the bottom boundary is open and sets constant entropy for the plasma entering into the domain, and the top boundary uses characteristic boundary conditions to allow waves to exit the computational domain with minimal reflection. The code includes (1) optically thick radiative transfer including scattering, which is most important in the photosphere and lower chromosphere (Skartlien 2000; Hayek et al. 2010); (2) radiative losses and gains in the upper chromosphere and TR through recipes derived from detailed non-LTE calculations using RADYN (Carlsson & Leenaarts 2012); (3) optically thin radiative losses in the corona based on Chianti emissivities (e.g., Del Zanna et al. 2021); and (4) thermal conduction along the magnetic field; it can also include: (5) ion–neutral interaction effects using the generalized Ohm’s law (GOL; Martínez-Sykora et al. 2017a; Nóbrega-Siverio et al. 2020); (6) and ionization balance in nonequilibrium for hydrogen and helium (Leenaarts et al. 2007; Golding et al. 2016); and (7) nonequilibrium ionization of minority species (Olluri et al. 2013). The last three physical processes are not always included due to computational costs.

The Bifrost code is especially well suited to studying the details of interactions between the photosphere and chromosphere and the overlying corona. Here we analyze results from several Bifrost atmospheric models (see Table 2) with different properties, allowing us to study a variety of solar conditions and phenomena:

1. Model `B_en096014_gol` is a 2.5D MHD numerical experiment aimed at modeling the acceleration of and heating due to spicules (see Section 3.1, and Figure 2 and 3) This simulation covers a spatial extent of  $96 \times 43 \text{ Mm}^2$  using  $6930 \times 1554$  grid points on a grid with a constant horizontal cell size of 14 km and a variable vertical grid with grid cells concentrated where gradients are large and scale heights small, i.e., in the photosphere, chromosphere, and TR. The simulation extends from  $-2.5 \text{ Mm}$  to  $40 \text{ Mm}$ , where  $z \sim 0$  is the height of the photosphere. This model includes the effects of a generalized Ohm’s law and is analyzed in detail in Martínez-Sykora et al. (2017a) and Nóbrega-Siverio et al. (2020). This model produces several spicules with properties similar to observed spicules of type II (e.g., Martínez-Sykora et al. 2017b; De Pontieu et al. 2017c), and the processes leading to the spicule formation also produce coronal heating in this model (De Pontieu et al. 2017b, see also Section 3.1).
2. Model `B_nw072100`, is a relatively large Bifrost simulation ( $72 \times 72 \times 60 \text{ Mm}^3$ , extending from 8.5 Mm below the photosphere to 52 Mm above) designed to model the chromospheric network and overlying coronal regions (Hansteen et al. 2020). This spatial region is covered by a  $720 \times 720 \times 1115$  grid, using a constant horizontal grid size, of 100 km, and a variable vertical grid size where the regions with large gradients are covered with the smallest grid size of 20 km, and the outer corona and deep convective zone have a vertical grid size of 100 km or less. The experiment has been run for several hours solar time, initially with magnetic field strengths typical of a network with an average unsigned flux of 75 G. At later times, a strong magnetic flux sheet ( $B_y \approx 2000 \text{ G}$ ) is injected for a period of 2.5 hr that eventually reaches the photosphere and leads to flux emergence modified by convectively driven photospheric dynamics, at which time the average unsigned flux grows to roughly 100 G. This simulation shows self-consistent coronal heating through braiding (see Section 3.2) as well as from the effects of flux emerging through the chromosphere into the corona (see Section 3.4).
3. The `B_en024048_hion` is an experiment designed to study the chromosphere and corona for magnetic field conditions similar to an “enhanced network,” in which the magnetic field plays an important role dynamically and energetically mainly through braiding and reconnection. This spatial region covers a relatively small domain:  $24 \times 24 \times 16 \text{ Mm}^3$ , with a 2.5 Mm convection zone below the photosphere and 14 Mm of solar atmosphere. The computational box is spanned by  $504 \times 504 \times 496$  grid zones, with a constant horizontal grid size of 48 km and, as in the previous models, a variable vertical grid size. The model includes nonequilibrium hydrogen ionization and is described in detail in Carlsson et al. (2016).

4. `B_en024031_emer3.0` is an experiment designed to study the chromosphere and corona for magnetic field conditions similar to an “enhanced network,” in which the magnetic field plays an important role dynamically and energetically mainly through braiding supplemented later in the simulation with flux emergence. The model covers a relatively small domain:  $24 \times 24 \times 16 \text{ Mm}^3$ , with a 2.5 Mm convection zone below the photosphere and 14 Mm of solar atmosphere. The computational box is spanned by  $768 \times 768 \times 768$  grid zones, with a constant horizontal grid size of 31 km and, as in the previous models, a variable vertical grid size. This model is unpublished but is essentially identical to that described in Hansteen et al. (2019), differing only in the amount and location of flux injected into the bottom boundary: this model has a slightly smaller amount of flux injected than that experiment.
5. Model `B_npdns03` is a 2D simulation aimed at studying coronal bright points and their conspicuous emission in the EUV and X-rays (see Section 3.5, and Figure 21). The initial condition was created by imposing a potential null-point configuration 8 Mm above the solar surface (i.e., 10.8 Mm above the bottom boundary) in the corona over a preexisting statistically stationary 2D snapshot mimicking a coronal hole. It encompasses a domain from the uppermost layers of the solar interior up to the corona. The physical domain is  $0.0 \text{ Mm} \leq x \leq 64.0 \text{ Mm}$  and  $-2.8 \text{ Mm} \leq z \leq 67.0 \text{ Mm}$ , where  $z = 0 \text{ Mm}$  corresponds to the solar surface. This domain is solved with  $4096 \times 4096$  grid cells using a uniform numerical grid in both the horizontal and vertical directions, with a fine grid size of  $\Delta x \approx 15.6 \text{ km}$  and  $\Delta z \approx 17.0 \text{ km}$ , respectively.

*MURaM.* The MURaM code is similar in concept to the Bifrost code and can cover a spatial range from deep in the convection zone to a coronal scale height ( $\sim 50 \text{ Mm}$ ) or more. The simulations presented here are based on the coronal extension of the MURaM code as described in Rempel (2017) and includes single-fluid MHD, 3D gray radiative transfer, a tabulated LTE equation of state, Spitzer heat conduction, and CHIANTI-based optically thin radiative losses in the corona. As for the Bifrost experiments, the Poynting flux that heats the chromospheric and coronal parts of the simulation domain is generated through magnetoconvection in the photosphere and convection zone. Here we analyze model `MURaM_p1E`, which is aimed at reproducing the plasma dynamics of solar plage, regions of moderate magnetic activity (see Section 3.2 and Figure 10). The simulation domain has an extent of  $40 \times 40 \times 22 \text{ Mm}$ , with 8 Mm protruding below the photosphere. The resulting model is generated in phases, similarly to previous runs. The initial magnetic field of 200 G is added to a well-developed nonmagnetic convection simulation to form extended magnetic field concentrations at meso- to supergranular spatial scales. The computational domain was then extended to include the upper solar atmosphere, and the magnetic field from the preexisting simulation was used for potential field extrapolation into the rest of the domain. The new simulation was then run until a relaxed state is achieved. In this model, the additional bipolar flux system is advected through the bottom boundary over an ellipsoidal flux-emergence region with the major axes  $(a, b) = (3, 1) \text{ Mm}$  and a  $B_0 = 8000 \text{ G}$  field strength (Cheung et al. 2019b). The emergence resulted in a flare after 4.6 hr of solar time and that

part of the model is analyzed in the companion paper to this one, studying flares and eruptions (Cheung et al. 2022).

*PLUTO 3D MHD loop models heated by braiding:* We use 3D MHD simulations of coronal loops using the PLUTO code (Mignone et al. 2007, 2012), a modular, Godunov-type code designed for modeling astrophysical plasmas. The plasma is assumed to be fully ionized, and we include optically thin radiation and thermal conduction along the magnetic field.

1. Model `PL_loop_twist`: We model a loop that has been straightened into a magnetic flux tube rooted at both ends in the photosphere through two chromospheric layers at opposite sides of the box (top and bottom boundaries; Guarrasi et al. 2014). The cylindrical box is  $[r, \phi, z] = [384, 256, 768]$  cells, with  $-z_M < z < z_M$  along the loop axis where  $z_M = 3.1 \times 10^9 \text{ cm}$ ,  $r_0 = 7 \times 10^7 \leq r \leq r_M = 3.5 \times 10^9 \text{ cm}$  across the loop, and  $0 \leq \phi \leq 90^\circ$  in the azimuthal direction. To describe the TR at sufficiently high resolution, the cell size there ( $|z| \sim 2.4 \times 10^9 \text{ cm}$ ) decreases to  $dr \sim dz \sim 3 \times 10^6 \text{ cm}$ . The resolution is uniform in the angle  $\phi$ , i.e.,  $d\phi \sim 0.35^\circ$ . The loop atmosphere consists of a corona connected to two thick and isothermal (20,000 K) chromospheric layers by thin TRs, immersed in a magnetic field. The magnetic field is arranged to be mostly uniform in the corona and strongly tapering in the chromosphere, where the ratio of thermal to magnetic pressure switches from low ( $\beta < 1$ ) to high values ( $\beta > 1$ ). The coronal magnetic flux tube is progressively twisted by the rotation of the plasma at the footpoints. A complete description of the model and of the results can be found in Reale et al. (2016). Heating is produced through an anomalous diffusivity that allows magnetic reconnection when gradients in the field force the current to go above a current density threshold. Thus, currents grow due to the progressive twisting of the magnetic field. The field is twisted by random rotational plasma motions at the loop footpoints, which drag the field that is line-tied in the dense photospheric plasma (see Section 3.2, and Figures 6 and 7). The evolution of the plasma and magnetic field in the box is described by solving the full time-dependent MHD equations including gravity (for a curved loop), thermal conduction (including the effects of heat flux saturation), radiative losses from optically thin plasma, and anomalous magnetic diffusivity. The model describes the 3D MHD flux tube (loop) evolution in the time range  $0 < t < 2500 \text{ s}$  until the loop plasma reaches a maximum temperature  $T \sim 4 \text{ MK}$  and with a final total rotation angle  $\approx 2.7\pi$ .
2. Model `PL_nanojets`: We model two neighboring loops straightened into magnetic flux tubes by letting an initial configuration with two separate concentrations of magnetic flux relax. At the two ends of the straightened loops, we place a high- $\beta$  layer of the atmosphere that plays the role of the chromosphere, then have the TR and the corona in the central part of the computational domain. The initial configuration is constructed by considering a gravitationally stratified solar atmosphere and two flux tubes representative of coronal loops are defined by a stronger magnetic field. The numerical domain extends over 48.7 Mm in the horizontal direction with a nonuniform grid, with the highest resolution achieved in the central 20 Mm part of the domain, where the grid cell size is 60 km. The domain is 62 Mm in the vertical direction

(i.e., along the loop), covered with 128 points distributed along a stretched grid in the coronal part of the domain. Details on the numerical modeling can be found in Antolin et al. (2021). We take as  $t=0$  the time after relaxation, when the two loops are in pressure balance and have stopped evolving. At this time the magnetic field in the corona settles to a value of approximately 15 G. In the driving phase, we shift the footpoints of the loop in opposite directions at either extreme of the straightened loops in a direction perpendicular to both the field-aligned direction and the direction of the separation between the loops. In this phase, the coronal part of the loops follows the footpoint motion and an X-shape configuration of the loop is created at the center of the domain. When the tilt is enough to trigger the magnetic reconnection, this starts leading to an increased magnetic tension that accelerates two jets sideways from the reconnection region. During the reconnection event,  $\sim 10^{28}$  erg of magnetic energy is converted into thermal and kinetic energy, leading to maximum temperatures of 5 MK and maximum velocities of  $\sim 250 \text{ km s}^{-1}$ . The short-lived reconnection jets disappear in  $\sim 60$  s (see Section 3.2 and Figure 8).

*PLUTO 3D MHD loop model heated by waves.* Model `PL_waves` consists of a straight flux tube of radius 1 Mm and length 100 Mm, consisting of gravitationally stratified plasma. The flux-tube models half of a coronal loop, from its footpoint to its apex. The coronal background density at the footpoint is  $\sim 0.84 \times 10^{-15} \text{ g cm}^{-3}$ , which is three times lower than the loop density at the footpoint. A straight magnetic field of  $B_z = 22.8$  G is considered, while the temperature varies across the tube axis (on the  $xy$  plane), from 0.9 MK inside the tube to 2.7 MK outside. Continuous periodic driving is applied at the footpoint of our flux tube, through a monophasic velocity driver, with an amplitude of  $8 \text{ km s}^{-1}$  and with a constant frequency matching that of the fundamental standing kink mode of the loop (see Section 3.3, and Figures 19 and 16). Thus, our flux tube simulates an AR coronal loop during its cooling phase, undergoing a decay-less oscillation (e.g., Anfinogentov et al. 2013). The continuously driven oscillation leads to the development of the KHI and of spatially extended TWIKH rolls. These TWIKH rolls disrupt the initial monolithic loop profile, creating a turbulent loop cross section (Karamelas & Van Doorselaere 2018). Inside the loop, we have an energy cascade to lower scales, leading to heating from energy dissipation, as well as extensive mixing of plasma with different temperatures, leading to effects of apparent heating (Karamelas et al. 2019a). All calculations in the model considered here were performed in ideal 3D MHD (no explicit resistivity, viscosity, radiation, nor thermal conduction) in the presence of numerical dissipation with the use of the PLUTO code (Mignone et al. 2007, 2012). The model has a resolution of [40; 40; 1563] km and a cadence of  $\sim 11$  s. For a more detailed description, the reader is referred to the analysis found in Karamelas et al. (2019b).

*CipMOCCT.* The `CipMOCCT` code (Kudoh et al. 1999) is here used for modeling an impulsively driven kink mode and associated dynamic instabilities in model `Cip_waves`. The code uses a cubic-interpolated pseudoparticle/propagation scheme (CIP; Yabe & Aoki 1991) to solve the viscous-resistive MHD equations, while the method of characteristics-constrained transport (MOCCT; Evans & Hawley 1988; Stone & Norman 1992) is used to solve the induction equation. The `CipMOCCT` code is especially well suited to model instabilities, thanks to its ability to maintain sharp contact surfaces

(Yabe & Xiao 1993; Kudoh et al. 1998). In model `Cip_waves`, a hot coronal loop of length 200 Mm is modeled as a straight, cylindrical flux tube of radius  $R = 1$  Mm in pressure equilibrium with the background. The loop is initially hotter and denser than the background, with the internal temperature  $T_i = 3T_e = 3 \times 10^6$  K and the internal total number density  $n_i = 3n_e = 3 \times 10^9 \text{ cm}^{-3}$ . Correspondingly, the internal and external magnetic fields are, respectively,  $B_i = 17.87$  G and  $B_e = 18.63$  G. The loop's boundary layer connecting the internal and external plasma has a smoothed (hyperbolic tangent) variation over a width  $0.6R = 600$  km. The numerical box is (512, 512, 200) grid points in size, where the plane (512, 512) is uniformly distributed over the transverse plane to the loop (leading to a grid size of 15.6 km and an extent of  $\approx 4R$ ) and 200 points are uniformly distributed over the longitudinal loop axis (grid size of 2000 km). Further details about the geometric setup of the loop and the MHD equations solved can be found in Antolin & Van Doorselaere (2019). At time  $t=0$ , a kink mode is impulsively excited with a velocity perturbation mimicking a fundamental mode with amplitude  $v_0 = 16.6 \text{ km s}^{-1}$ , leading to a nonlinear kink-mode perturbation. Following the perturbation, the loop oscillates with a fundamental kink mode of period  $P \approx 315$  s (see Section 3.3, and Figures 16, 17, and 18).

*Lare3d.* The 3D simulations presented here have been obtained using the Lagrangian-Remap code, `Lare3d` (Arber et al. 2001). `Lare3d` solves the fully 3D (normalized) nonlinear MHD equations with the option to include nonideal terms (through viscosity and resistivity) as well as additional physics such as gravity, thermal conduction, optically thin radiation, and Cowling resistivity. The viscosity includes contributions from (small) shock viscosities, which ensure numerical stability. `Lare3d` does not enforce energy conservation, i.e., numerical dissipation does not lead to an increase in the plasma temperature. Here we present results from 3D MHD simulations of footpoint driving in a straight magnetic field (T. Howson & I. De Moortel 2021, in preparation). The simulations start from a gravitationally stratified atmosphere with an initial temperature of  $2 \times 10^4$  K and with a very weak background heating (where, without additional heating, the apex temperature would only rise to about  $5 \times 10^4$  K). The lower and upper boundaries of the numerical domain are driven with either long (DC-like; model `La_DC`) or short (AC-like; model `La_AC`) timescales (see Section 3.3 and Figure 12); see Howson et al. (2020) for a more detailed description of the form and timescales of the boundary driver. The simulations are nonideal, using a background resistivity that is nonuniform in the field-aligned direction. It is turned on in the coronal volume but does not cause heating near the driven boundaries. A grid of  $256 \times 256 \times 512$  is used to cover  $20 \times 20 \times 60$  Mm. The LOS is taken to be perpendicular to the dominant magnetic field direction (represented by the  $x$  coordinate in Figures 12). The time interval that has been synthesized is taken from 9054 to 10,846 s after the start of the simulation, when a relatively steady state has been reached. Both the AC and DC simulations reach coronal temperatures (see Figure 12). Although there are many detailed differences between the DC and AC models, we focus here on those differences enabled by the MUSE capabilities, in particular the ability to simultaneously produce time–distance maps of the intensity and Doppler velocity (as well as Doppler width—not shown here) both along and across loop structures. Swaying motions such as those visible in slit 5 of the AC model (third row, third column in Figure 12) have been observed previously, but the combination with the time–distance map along the loop provides



clear distinction between the AC and DC models as well as the opportunity to identify the mode of oscillation. For example, the Fe XV 284 Å Doppler velocity time–distance map along the loop for the AC model (second row, fourth column in Figure 12) shows an in-phase perturbation along the entire loop length, strongly suggesting the presence of a global mode.

*Model VBA\_AWT.* In the Alfvén wave turbulence model, the photospheric footpoint motions generate transverse MHD waves that propagate upward along the magnetic field lines. The waves reflect due to the density variations in the photosphere, chromosphere, and corona, creating inward propagating waves. The counterpropagating waves interact nonlinearly, resulting in turbulence. The energy is dissipated as a result of turbulence and heats the corona to 2–3 MK. In the version of the AWT model presented here (model VBA\_AWT of Table 2), a collection of 16 photospheric flux tubes with square cross sections is considered (van Ballegoijen et al. 2017b). The flux tubes expand with height and merge at a height of 520 km in the low chromosphere. The merged field extends from the chromosphere at one end of the loop to the chromosphere at the other end, so the TRs are located within the merged field (the coronal loop length  $L_c = 98.4$  Mm). The imposed random footpoint motions inside each of the flux tube generate Alfvén waves with complex transverse-wave patterns. The transverse waves are simulated using the reduced-MHD approximation (e.g., Strauss 1976, 1997), where the magnetic field strength  $B_0(s)$  and density  $\rho(s)$  are assumed to be constant over the cross section of the flux tubes. The dynamics of the waves are simulated for a period of 3000 s, where the Alfvén wave turbulence is developed along the field lines, depositing a large amount of energy and heating the corona. The numerical methods used in the present work are similar to those used in simulating Alfvén waves in the solar wind (van Ballegoijen & Asgari-Targhi 2017).

*Model Mat-AC.* Matsumoto (2018) use 3D MHD simulations to model half of a straight loop (covering a spatial extent of  $3 \text{ Mm} \times 3 \text{ Mm} \times 50 \text{ Mm}$ ) and to investigate the thermal responses of the coronal plasma to the dynamic dissipation processes of MHD waves. They assume optically thick cooling (as approximated by Anderson & Athay 1989), where the temperature is  $\lesssim 4 \times 10^4$  K or the density is  $\gtrsim 4.9 \times 10^{-17} \text{ g cm}^{-3}$ , and optically thin cooling (e.g., Landini & Monsignori Fossi 1990) otherwise. The simulation uses  $64 \times 64 \times 1024$  grid points, with a constant horizontal grid cell size of 47 km, and a nonuniform vertical grid with size increasing from 25 km at the bottom to 93 km at the top. MHD waves are driven by continuous forcing at the loop footpoint, with a total power of  $2.3 \times 10^{-4} \text{ g}^2 \text{ cm}^{-4} \text{ s}^{-4}$  and a white-noise spectrum with a finite range of  $\nu \in [2.5 \times 10^{-4}, 2 \times 10^{-2}]$  Hz. The amplitudes of the external forces are such that the rms of the horizontal velocity is  $\sim 6 \text{ km s}^{-1}$  at  $x = 2 \text{ Mm}$ , corresponding to a photospheric ( $x < 0.2 \text{ Mm}$ ) velocity of  $\sim 1.6 \text{ km s}^{-1}$ . The resulting model atmosphere has a temperature of 0.9 MK and low coronal density ( $n_e \lesssim 10^8 \text{ cm}^{-3}$ ), consistent more with open field regions than with the AR loops.

*RADYN.* To explore the diagnostic capabilities of MUSE for uncovering the properties of nanoflare heating, including heating by NTEs, we use a set of 1D RADYN loop models, undergoing impulsive heating with various properties. The RADYN numerical code (Carlsson & Stein 1992; Allred et al. 2005, 2015) solves the 1D equations of conservation of mass, momentum, energy, and charge, and the non-LTE level population rate equations for

the magnetically confined plasma; the loop’s atmosphere encompasses the photosphere, chromosphere, TR, and corona. RADYN also allows us to model heating by NTE beams (Allred et al. 2015). RADYN simulates the transport of accelerated electrons through the solar atmosphere using the Fokker–Planck kinetic theory (McTiernan & Petrosian 1990; Allred et al. 2015). RADYN simulations are also well suited to model larger flares, as, for instance, illustrated in the companion paper on flares and eruptions (Cheung et al. 2022). The models analyzed here are described in detail in Testa et al. (2020) and Polito et al. (2018). In particular, we will analyze MUSE synthetic observables from four different simulations, all starting from an initial atmosphere characterized by a cool ( $T \sim 1 \text{ MK}$ ) and low-density ( $n_e \sim 5 \times 10^8 \text{ cm}^{-3}$ ) corona (see Polito et al. 2018 for details), and undergoing a heating event characterized by 10 s duration and energy of  $1.2 \times 10^9 \text{ erg cm}^{-2} \text{ s}^{-1}$  and with the following heating properties: (1) direct heating in the corona and energy transport by thermal conduction (C1 of Table 2); (2) heating by NTE characterized by power-law distribution with energy cutoff  $E_C = 5 \text{ keV}$  (E1); (3) heating by NTE with  $E_C = 10 \text{ keV}$  (E2); and (4) a hybrid model with both direct heating and NTE with  $E_C = 10 \text{ keV}$  (H1). Here we map the 1D loop into a 2D domain by assuming a semicircular shape for the coronal loop (see Figure 11) and assume MUSE observes the loop from above, with its several slits sampling the whole loop length.

## Appendix B

### Synthesis of MUSE Spectral Observables from Models

Throughout the paper we have synthesized MUSE observables from different models, using the MUSE response functions. As described in detail in De Pontieu et al. (2020), the MUSE spectral response functions provide the detector response across all 1024 spectral pixels for all three channels, per unit emission measure (in  $10^{27} \text{ cm}^{-5}$ ), at a specified slit (1–37), temperature, and velocity. Here in particular we focus on the main lines: Fe XIX 108 Å, Fe IX 171 Å, and Fe XV 284 Å (and, for paper Cheung et al. 2022, which focuses on flares and eruptions, we also show predicted Fe XXI 108 Å emission). The response functions are computed using the latest CHIANTI database version (10.0) and include instrumental effects (such as instrumental line broadening) and thermal broadening of the lines. The response functions calculate the predicted spectra in units of [DN/s/pix], where the pixel is the MUSE spectral pixel, which has dimensions of  $0''.4 \times 0''.167$ . The synthetic MUSE SG intensities shown in the paper are calculated assuming a spectral pixel of  $0''.4 \times 0''.167$ , even when the synthetic maps are displayed at a spatial sampling of  $0''.167 \times 0''.167$ . In fact, we often show results with a spatial sampling of  $0''.167$  in both spatial directions to preserve the information at the highest spatial resolution achievable with MUSE, given that any specific orientation of the MUSE slits with respect to the simulated features is possible. In Figure 21, however, the synthetic MUSE observables are displayed with a  $0''.4 \times 0''.167$  resolution. The synthetic MUSE CI intensities in all figures are calculated assuming a  $0''.167 \times 0''.167$  pixel size.

For the reduced-MHD model VBA\_AWT, the LOS velocity and nonthermal velocity are not derived from synthetic spectra but directly obtained from the model and reduced to MUSE resolution ( $0''.167 \times 0''.167$ ). As the reduced-MHD model does not include temperatures, the LOS velocity and nonthermal velocity are integrated through the loop.

### Appendix C Definition of Spectral Moments

In the paper we show the zeroth ( $I_0$ ), first ( $I_1$ ), and second ( $I_2$ ), moments of the MUSE synthetic observables from models, and they are defined, respectively, as follows:

$$I_0 = \sum_j F_j \text{ [DN/pix/s]}, \quad (\text{C1})$$

$$I_1 = \frac{\sum_j F_j \times v_j}{I_0} \text{ [km s}^{-1}\text{]}, \quad (\text{C2})$$

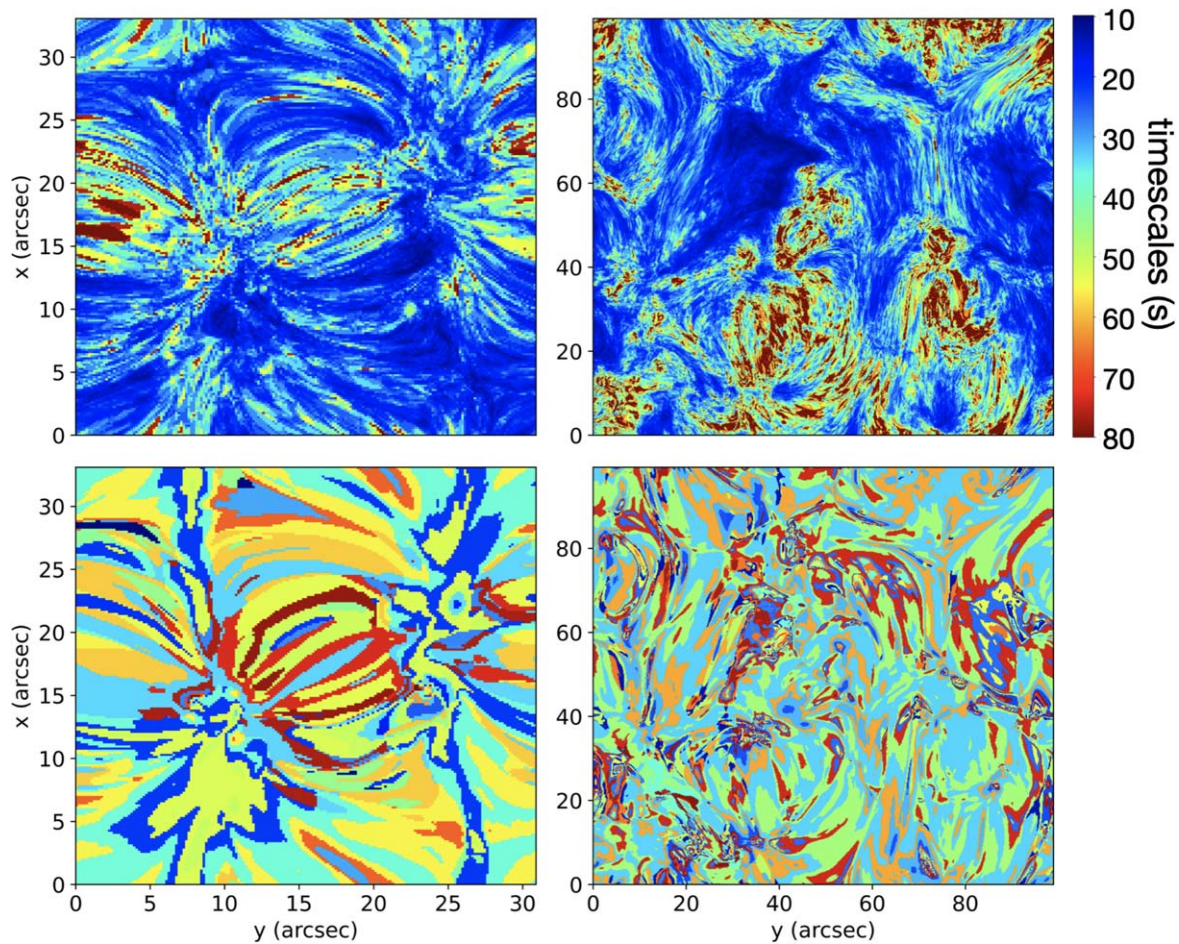
$$I_2 = \sqrt{\frac{\sum_j F_j \times (v_j - I_1)^2}{I_0}} \text{ [km s}^{-1}\text{]}, \quad (\text{C3})$$

where  $j$  is the index of the spectral bin,  $F_j$  is the intensity in spectral bin  $j$  in units of  $\text{DN s}^{-1} \text{ pix}^{-1}$ , and  $v_j$  is the Doppler velocity in  $\text{km s}^{-1}$ .

### Appendix D Simulated MUSE Timescales

In the paper, we investigate the typical timescales over which the MUSE spectral observables change significantly, as

predicted by different models (see Figure 22). We also study the spatial scales over which the different models show coherence. To compute the timescales we apply a ‘‘supervised  $k$ -means’’ approach to the synthesized spectral data. The  $k$ -means clustering algorithm is a machine-learning method that groups observed (in our case, synthetic) data according to their spectral properties (see, e.g., Panos et al. 2018; Bose et al. 2019 for application to solar spectral observations). Unsupervised algorithms find a certain number of clusters, each characterized by a representative spectral profile (RP), and the number can be optimized using appropriate criteria. Here we adopt a supervised approach where the RPs are defined a priori, and we focus on the MUSE Fe IX 171 Å line and Fe XV 284 Å line. In particular, here we define the RPs such that we have a finely spaced grid covering the range of values for the zeroth, first, and second moments with a resolution of  $5 \text{ km s}^{-1}$  for the first and  $10 \text{ km s}^{-1}$  for the second. In addition, the intensity variation for the supervised representative profiles has a separation of  $3\sigma$ . Using these RPs, we then apply the  $k$ -means clustering analysis to the synthetic data for each model as a function of time. We then analyze, for each pixel, the times needed for the spectrum to change cluster and define the timescale of variation in each pixel as the mean of those times.



**Figure 22.** Timescales and spatial coherence highlight the importance of scanning several tens of arcseconds within a few tens of seconds to resolve the spatial and temporal properties of coronal features (and underlying physical processes). Timescales computed as described in Appendix D are shown for the  $B_{en024048\_hion}$  (top left) and  $B_{nw072100}$  (top right) simulations (see Appendix A for details). Here we show results for Fe IX 171 Å, but the results are very similar for Fe XV 284 Å. In the bottom row, we show, for each simulation and a single time step, maps of the spatial distribution of clusters of locations with similar values for the first three moments of the spectral line, as derived using a supervised  $k$ -means algorithm (see Appendix D for details). The two numerical simulations represent regions with different magnetic topologies and associated spatial scales: a rather small network region ( $B_{en024048\_hion}$ ) and a supergranular scale of a stronger network region ( $B_{nw072100}$ ).

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