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# ALMA multiline survey of the ISM in two quasar host–companion galaxy pairs at $z > 6$

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## ABSTRACT

We present a multiline survey of the interstellar medium (ISM) in two  $z > 6$  quasar host galaxies, PJ231–20 ( $z = 6.59$ ) and PJ308–21 ( $z = 6.23$ ), and their two companion galaxies. Observations were carried out using the Atacama Large (sub-)Millimeter Array (ALMA). We targeted 11 transitions including atomic fine-structure lines (FSLs) and molecular lines: [NII]<sub>205 μm</sub>, [CI]<sub>369 μm</sub>, CO ( $J_{\text{up}} = 7, 10, 15, 16$ ), H<sub>2</sub>O  $3_{12}-2_{21}$ ,  $3_{21}-3_{12}$ ,  $3_{03}-2_{12}$ , and the OH<sub>163 μm</sub> doublet. The underlying far-infrared (FIR) continuum samples the Rayleigh-Jeans tail of the respective dust emission. By combining this information with our earlier ALMA [CII]<sub>158 μm</sub> observations, we explored the effects of star formation and black hole feedback on the ISM of the galaxies using the CLOUDY radiative transfer models. We estimated dust masses, spectral indexes, IR luminosities, and star-formation rates from the FIR continuum. The analysis of the FSLs indicates that the [CII]<sub>158 μm</sub> and [CI]<sub>369 μm</sub> emission arises predominantly from the neutral medium in photodissociation regions (PDRs). We find that line deficits agree with those of local luminous IR galaxies. The CO spectral line energy distributions (SLEDs) reveal significant high- $J$  CO excitation in both quasar hosts. Our CO SLED modeling of the quasar PJ231–20 shows that PDRs dominate the molecular mass and CO luminosities for  $J_{\text{up}} \leq 7$ , while the  $J_{\text{up}} \geq 10$  CO emission is likely driven by X-ray dissociation regions produced by the active galactic nucleus (AGN) at the very center of the quasar host. The  $J_{\text{up}} > 10$  lines are undetected in the other galaxies in our study. The H<sub>2</sub>O  $3_{21}-3_{12}$  line detection in the same quasar places this object on the  $L_{\text{H}_2\text{O}}-L_{\text{TIR}}$  relation found for low- $z$  sources, thus suggesting that this water vapor transition is predominantly excited by IR pumping. Models of the H<sub>2</sub>O SLED and of the H<sub>2</sub>O-to-OH<sub>163 μm</sub> ratio point to PDR contributions with high volume and column density ( $n_{\text{H}} \sim 0.8 \times 10^5 \text{ cm}^{-3}$ ,  $N_{\text{H}} = 10^{24} \text{ cm}^{-2}$ ) in an intense radiation field. Our analysis suggests a less highly excited medium in the companion galaxies. However, the current data do not allow us to definitively rule out an AGN in these sources, as suggested by previous studies of the same objects. This work demonstrates the power of multiline studies of FIR diagnostics in order to dissect the physical conditions in the first massive galaxies emerging from cosmic dawn.

**Key words.** galaxies: high-redshift – galaxies: ISM – quasars: emission lines – quasars: supermassive black holes

## 1. Introduction

Quasars (or QSOs) beyond redshift  $z \sim 6$  (when the age of the Universe was younger than 1 Gyr) are the most luminous nontransient sources in the Universe and are thought to be the progenitors of present-day early-type massive galaxies. The very high luminosities ( $L_{\text{bol}} > 10^{13} L_{\odot}$ ) from their active galactic nuclei (AGN) are powered by rapid accretion of matter ( $> 10 M_{\odot} \text{ yr}^{-1}$ ) onto central supermassive black holes (BHs,  $M_{\text{BH}} \gtrsim 10^8 M_{\odot}$ ; Jiang et al. 2007; De Rosa et al. 2011;

Mazzucchelli et al. 2017a) and are often accompanied by rapid consumption of huge gas reservoirs through vigorous episodes of star formation (SFR  $> 100 M_{\odot} \text{ yr}^{-1}$ ; see, e.g., Bertoldi et al. 2003a,b; Walter et al. 2003, 2009; Venemans et al. 2018). Therefore the host galaxies of  $z > 6$  quasars are ideal laboratories for characterizing the physical properties of the interstellar medium (ISM) in these extreme conditions. They also provide crucial insights into the interplay between star formation and black hole accretion in massive galaxies emerging from cosmic dawn.

The range of redshift  $z > 6$  is of particular interest as galaxies emerge from the last phase transition of the Universe. This transition is marked by a rapid shift in the ionization properties of the intergalactic medium (Fan et al. 2006a; Becker et al. 2015). Through efforts of various groups using wide-field surveys (see, e.g., Fan et al. 2006b; Bañados et al. 2016; Jiang et al. 2016; Matsuoka et al. 2018, and references therein),  $\sim 200$  quasars have been discovered at  $z > 6$ , including the three most distant quasars known at  $z > 7.5$  (Bañados et al. 2018; Yang et al. 2020a; Wang et al. 2021). The very presence of these BHs at these early epochs requires a rapid buildup of black holes and galaxy assembly, which challenges our understanding of how BHs form in the first place (e.g., Volonteri 2012; Sijacki et al. 2015; Habouzit et al. 2019; van der Vlugt & Costa 2019).

Models and numerical simulations of massive black hole formation predict that  $z > 6$  quasars reside at the extreme peaks of the large-scale density structure (e.g., Begeelman et al. 2006; Overzier et al. 2009; Bonoli et al. 2009, 2014; Angulo et al. 2012; Costa et al. 2014), and  $z > 6$  quasars can therefore be used to identify the first galaxy overdensities. The question whether there are in fact overdensities of galaxies around  $z \sim 6$  quasars is contested, however (e.g., Overzier et al. 2009; Morselli et al. 2014; Balmaverde et al. 2017; Farina et al. 2017; Mazzucchelli et al. 2017b; Decarli et al. 2019a). On a smaller scale ( $\sim 100$  pkpc), the exceptional sensitivity and imaging power of the Atacama Large (sub-)Millimeter Array (ALMA) has allowed us to image the dust and cold-gas reservoir of galaxies in the early Universe, leading to strong evidence of overdensities around  $z > 5$ – $6$  quasars (e.g., Decarli et al. 2017; Trakhtenbrot et al. 2017; Willott et al. 2017; Neeleman et al. 2019; Venemans et al. 2019), supported by the intriguingly serendipitous discoveries of starburst and submillimeter galaxies (SMGs) in the close environment of the quasars, which have high star formation rates ( $\text{SFR} > 500$ – $1000 M_{\odot} \text{yr}^{-1}$ ) and show no evidence of BH accretion (Decarli et al. 2017; Mazzucchelli et al. 2019; but see also Connor et al. 2019, 2020; Vito et al. 2019 for tentative evidence of X-ray emission from these companions).

In this context, these quasar host–companion galaxy pairs represent a unique testing ground to study star formation, AGN feedback, and structure growth in galaxies at  $z > 6$ . Multiline surveys of the ISM in these systems provide key diagnostics of the physical properties of the ISM, including densities, and the source of the powering radiation field (see Carilli & Walter 2013, for a review). For high-redshift galaxies, many atomic and molecular far-infrared (FIR) emission lines fall in the (sub-)mm bands, thus making them accessible to sensitive ground-based facilities such as ALMA or the Northern Extended Millimeter Array (NOEMA). Previous studies have detected galaxy dust continuum and gas emission lines even in highest redshift quasars. These studies have mainly targeted the brightest emitters such as the fine-structure line (FSL) of singly ionized carbon  $[\text{CII}]_{158 \mu\text{m}}$ , and the rotational transitions of carbon monoxide (CO; see, e.g., Walter et al. 2003, 2004, 2009; Maiolino et al. 2005, 2009, 2015; Wang et al. 2010, 2013; Willott et al. 2015a,b; Gallerani et al. 2014; Carniani et al. 2017, 2019; Decarli et al. 2017, 2018; Venemans et al. 2017a,b,c, 2019; Feruglio et al. 2018), and have revealed the ubiquitous presence of massive cold-gas ( $M_{\text{gas}} \gtrsim 10^{10} M_{\odot}$ ) and dust ( $M_{\text{dust}} \gtrsim 10^9 M_{\odot}$ ) reservoirs in high- $z$  quasar host galaxies.  $[\text{CII}]_{158 \mu\text{m}}$  and the low-/mid- $J$  CO transitions (rotational quantum number of the CO molecule upper levels  $J_{\text{up}} < 7$ – $8$ ) are the major coolants of the atomic and molecular ISM, respectively. The potential ionization energy of the  $\text{C}^+$  ion is slightly lower than that of atomic hydrogen (H), thus it traces both the atomic neutral and ionized phase of

the ISM. Its  $158 \mu\text{m}$  transition predominantly arises from photodissociation regions (PDRs, see Hollenbach & Tielens 1999 for a review) at the interface between the atomic and molecular media in the outskirts of molecular clouds in galaxy star-forming regions (see, e.g., Díaz-Santos et al. 2017). On the other hand, CO is the most abundant molecule of the ISM after  $\text{H}_2$  and requires little energy to be excited. Its low- and mid- $J$  upper levels can therefore easily be populated by collisions with  $\text{H}_2$ . It therefore represents the best observational tracer of cold molecular ISM embedded in clouds (with a hydrogen density of  $n_{\text{H}} \sim 10^3 \text{cm}^{-3}$  and a kinetic gas temperature of  $T < 100 \text{K}$ ).

Multiple atomic FSLs and molecular transitions arising from different ISM phases provide direct and indirect information on the ionized phase in the proximity of young (O and B) stars (e.g., the doubly ionized oxygen  $[\text{OIII}]_{88 \mu\text{m}}$  and the singly ionized nitrogen  $[\text{NII}]_{122 \mu\text{m}, 205 \mu\text{m}}$ ) or the denser and warm phases buried in the cores of molecular clouds (e.g., the rotational transitions of high- $J$  CO, water vapor  $\text{H}_2\text{O}$ , and hydroxyl and its anion (hydroxide)  $\text{OH}$ ,  $\text{OH}^+$ ). Recent studies have started to probe these diagnostics in a few  $z > 6$  systems (e.g., Riechers et al. 2009, 2013; Strandet et al. 2017; Venemans et al. 2017b,c; Walter et al. 2018; Hashimoto et al. 2019a,b; Novak et al. 2019; Wang et al. 2019; Yang et al. 2019a; Li et al. 2020a,b).

This work is focused on the ISM characterization of two  $z > 6$  quasar host galaxies, PJ231–20 at  $z \sim 6.59$ , and PJ308–21 at  $z \sim 6.24$ , and the nearby companions that were serendipitously discovered with ALMA (Decarli et al. 2017; Neeleman et al. 2019). These companions are among the most star-forming galaxies known to date (with  $[\text{CII}]$ -based  $\text{SFR} \gtrsim 200$ – $500 M_{\odot} \text{yr}^{-1}$ ) at  $z > 6$  that do not show evidence of AGN activity in the rest-frame optical/UV (Decarli et al. 2017; Mazzucchelli et al. 2019) and in the X-rays (Connor et al. 2019, 2020). For these objects, the  $[\text{CII}]_{158 \mu\text{m}}$  line and dust continuum have clearly been detected with previous ALMA observations (Decarli et al. 2017), while higher-resolution  $[\text{CII}]_{158 \mu\text{m}}$  follow-up observations have allowed us to study of their kinematics (see Decarli et al. 2019b; Neeleman et al. 2019). The companion galaxies in the two systems have a projected separation of  $\sim 8.5 \text{kpc}$  and  $\sim 14 \text{kpc}$ , with a velocity offset of  $+137 \text{km s}^{-1}$  and  $+591 \text{km s}^{-1}$  for PJ231–20 and PJ308–21, respectively. Remarkably, the  $[\text{CII}]_{158 \mu\text{m}}$  emission of the companion galaxy in the PJ308–21 system stretches over about  $25 \text{kpc}$  and  $> 1500 \text{km s}^{-1}$  toward and beyond the quasar host, suggesting that the satellite galaxy is tidally stripped by the interaction with the central quasar host galaxy (Decarli et al. 2017, 2019b). Furthermore, in the systems PJ231–20 and PJ308–21,  $\text{Ly}\alpha$  nebular emission has recently been discovered (Farina et al. 2019). This might indicate that the PJ231–20 quasar also recently underwent a merger event with the close companion galaxy.

Here we present ALMA band 3–6 observations of the PJ231–20 and PJ308–21 systems, in which we sampled the FIR dust continuum and various emission lines probing different ISM phases and conditions. The lines include the FSLs of singly ionized atomic nitrogen  $[\text{NII}]_{205 \mu\text{m}}$  and neutral carbon  $[\text{CI}]_{369 \mu\text{m}}$ , tracers of the low-density fully ionized medium (electron density  $n_e \lesssim 1 \text{cm}^{-3}$ ), and the cold dense atomic phase of the ISM ( $n_{\text{H}} \sim 10^3 \text{cm}^{-3}$ ). We also present observations of the molecular transitions of CO at intermediate and high- $J$  (7–6, 10–9, 15–14, 16–15), three water vapor (ortho-) $\text{H}_2\text{O}$  rotational transitions ( $3_{12}$ – $2_{21}$ ,  $3_{21}$ – $3_{12}$ , and  $3_{03}$ – $2_{12}$ ), and the hydroxyl molecule  $\text{OH}_{163 \mu\text{m}}$  doublet, which are associated with the warm dense phase of the ISM ( $n_{\text{H}} \gtrsim 10^5 \text{cm}^{-3}$ ,  $T > 100 \text{K}$ ). Combining

**Table 1.** Information about targeted objects and characteristics of ALMA observations for each frequency setup.

Object ID	PJ231–20				PJ308–21	
	QSO		Companion (*)		QSO	Companion
RA (J2000.0)	15h 26m 37.84s		15h 26m 37.87s		20h 32m 10.00s	20h 32m 10.17s
Dec (J2000.0)	−20° 50′ 0.8″		−20° 50′ 2.3″		−21° 14′ 2.4″	−21° 14′ 2.7″
Redshift <sup>(1)</sup>	6.58651 ± 0.00017		6.5900 ± 0.0008		6.2342 ± 0.0010	6.2485 ± 0.0005
Frequency setup <sup>(2)</sup>	A	B	C	D	A	D
Band	3	4	5	6	3	6
Central frequency (GHz) <sup>(3)</sup>	99.755	146.456	199.350	234.397	104.901	245.870
Time on source (min)	33.82	19.70	28.27	8.72	41.97	39.83
Array configuration	C43-4	C43-2, C43-3	C43-2	C43-2	C43-4	C43-2
Number of antennas	43	48	48	45	48	44
Baselines (m)	15–1547	15–500	15–360	15–483	15–783	15–455
Beam (arcsec <sup>2</sup> ) <sup>(4)</sup>	0′.99 × 0′.89	1′.49 × 1′.22	1′.76 × 1′.14	1′.10 × 1′.01	1′.32 × 1′.13	1′.19 × 0′.97
rms cont. (mJy beam <sup>−1</sup> )	0.011	0.019	0.029	0.042	0.009	0.015
⟨rms⟩ cube (mJy beam <sup>−1</sup> ) <sup>(5)</sup>	0.24	0.27	0.47	0.48	0.19	0.20
Observation date	2019 Oct 6	2018 Apr 23	2018 Jun 4	2018 Aug 21	2019 Oct 14	2018 Aug 12

**Notes.** <sup>(1)</sup>Redshift of [CII]<sub>158 μm</sub> line from Decarli et al. (2017). <sup>(2)</sup>Setup A refers to ALMA Cycle 7 data, while setups C, B, and D refer to Cycle 5 data. <sup>(3)</sup>Central frequency of the entire frequency setup. <sup>(4)</sup>Beam sizes are those from the natural maps. <sup>(5)</sup>Averaged rms sensitivity over the entire bandwidth of the cubes (channel width of 40 km s<sup>−1</sup>). (\*) We note that Neeleman et al. (2019) found two companions in the PJ231–20 ALMA field located south and southwest of the QSO. However, our observations do not allow us to spatially resolve the two sources. We assume that the bulk of the emission from companion galaxies arises from the source south of the central PJ231–20 QSO, which is reported in Decarli et al. (2017) and denoted ‘C’ in Neeleman et al. (2019).

the different pieces of information and making use of radiative transfer models, we study a variety of the physical properties of the dust and gas-phase ISM in the quasar hosts and companion galaxies. This allows us to distinguish line excitation by star formation and AGN processes. This study provides an overview of the complex conditions of the multiphase ISM in galaxies at  $z \sim 6$ .

The paper is organized as follows: in Sect. 2 we present the ALMA observations, and we describe the reduction of the data. In Sect. 3 we outline the analysis we conducted on the calibrated data, and we report FIR line emission and continuum measurements in the sources of systems PJ231–20 and PJ308–21. In Sect. 4 we describe the setup and the outputs of our CLOUDY models. In Sect. 5 we present and discuss the results we obtained from the dust continuum. Then, we dedicate Sect. 6 to the tracers of the ionized medium and the results we obtained from them, and in Sect. 7 we focus on the atomic medium and place constraints on its physical properties. In Sect. 8 we study the molecular phase of the ISM by analyzing the CO, H<sub>2</sub>O and OH lines. In Sect. 9 we estimate the various mass budgets of the different gas components. Finally, in Sect. 10 we summarize our results and draw our conclusions.

Throughout the paper, we assume a standard  $\Lambda$ CDM cosmology with  $H_0 = 69.3 \text{ km s}^{-1} \text{ Mpc}^{-1}$ ,  $\Omega_m = 0.287$ , and  $\Omega_\Lambda = 1 - \Omega_m$  from Hinshaw et al. (2013).

## 2. Observations and data reduction

We present the ALMA Cycle 5 datasets of quasar PJ231–20, quasar PJ308–21 (hereafter QSO PJ231–20 and QSO PJ308–21, respectively) and their companion galaxies (program ID: 2017.1.00139.S, PI: R. Decarli) located at redshift  $z \sim 6.59$  and  $z \sim 6.24$ , respectively. The observations were executed during April–August 2018 using 43–48 ALMA 12 m antennas in compact configurations (C43-2 and C43-3). The program comprised three frequency settings each in ALMA bands 4,

5, and 6 that covered nine atomic fine-structure and molecular lines: [NII]<sub>205 μm</sub>, high- $J$  CO(10–9, 15–14, 16–15), H<sub>2</sub>O (3<sub>03–2</sub>1<sub>2</sub>, 3<sub>12–2</sub>2<sub>1</sub>, 3<sub>21–3</sub>1<sub>2</sub>), the OH doublet, and the dust continuum emission. However, for PJ308–21, only the band 6 setup was executed. It encompasses CO(15–14, 16–15), H<sub>2</sub>O 3<sub>03–2</sub>1<sub>2</sub>, and the OH<sub>163 μm</sub> doublet.

We also present follow-up ALMA Cycle 7 observations of the same objects (program ID: 2019.1.00147.S, PI: R. Decarli), in which we sampled the atomic FSL [CI]<sub>369 μm</sub> and the molecular mid- $J$  CO(7–6) transition together with dust continuum emission in ALMA band 3. These observations were executed in October 2019 using 43–48 ALMA 12 m antennas in the C43-4 configuration. All the observations were designed not to spatially resolve the emission of each source, but have an angular resolution that was high enough to resolve the companions from the quasars. This allowed us to maximize the signal-to-noise ratio (S/N) within the beam. The angular resolution ranges between  $\sim 1''.00$  and  $\sim 1''.80$ . In Table 1 we summarize the ALMA observations, and in Table 2 we report the covered emission lines in each frequency setup together with their rest frequencies.

We performed data reduction using the default calibration pipeline with the Common Astronomy Software Applications (CASA, v.5.1.1-5 and v.5.6.1-8 for Cycle 5 and Cycle 7 data, respectively) package (McMullin et al. 2007). Calibrated visibilities were imaged using the CASA task `tclean` with a “natural” weighting scheme in order to maximize the sensitivity of the resulting maps. Dirty images were cleaned by employing two circular masks that were superimposed to include continuum emission of the central quasar and the companion. In the cleaning run, we stopped the procedure when the peak flux in the residual image within the mask dropped close to the root-mean-square (rms) noise of the image in regions without source emission (i.e.,  $\sim 1\sigma$  cleaning threshold). For each setup, we produced two image-frequency cubes for the lower side band (LSB) and the upper side band (USB), respectively, with a channel width of 40 km s<sup>−1</sup>. We obtained continuum images by combining the

**Table 2.** Covered emission lines in each frequency setup with their rest frequency.

Covered emission lines	Rest frequency (GHz) <sup>(1)</sup>	Setup ID <sup>(2)</sup>
CO(7–6)	806.652	A
[CI] <sub>369 μm</sub>	809.342	
CO(10–9)	1151.985	B
H <sub>2</sub> O 3 <sub>12</sub> –2 <sub>21</sub>	1153.127	
H <sub>2</sub> O 3 <sub>21</sub> –3 <sub>12</sub>	1162.912	
[NII] <sub>205 μm</sub>	1461.131	C
H <sub>2</sub> O 3 <sub>03</sub> –2 <sub>12</sub>	1716.770	D
CO(15–14)	1726.603	
OH <sup>2</sup> Π <sub>1/2, 3/2</sub> <sup>+</sup> – <sup>3/2</sup> – <sup>1/2</sup> – <sup>(*)</sup>	1834.744	
OH <sup>2</sup> Π <sub>1/2, 3/2</sub> <sup>+</sup> – <sup>3/2</sup> – <sup>1/2</sup> – <sup>(*)</sup>	1837.800	
CO(16–15)	1841.346	

**Notes.** <sup>(1)</sup>Rest frequency of the lines are taken from the ‘‘Splatalogue database for astronomical spectroscopy’’ available at <https://www.cv.nrao.edu/php/splat>, see references therein. <sup>(2)</sup>Setup IDs are listed in Table 1. <sup>(\*)</sup>The Λ-doubling transitions of the hydroxyl molecule listed here are two triplets produced by the hyperfine structure splitting of the upper and lower level that is not spectrally resolved in the observations. We report the average rest frequency of the triplets. The six transitions are OH <sup>2</sup>Π<sub>1/2, 3/2</sub><sup>+</sup>–<sup>3/2</sup>–<sup>1/2</sup>–<sup>1–1</sup>; OH <sup>2</sup>Π<sub>1/2, 3/2</sub><sup>+</sup>–<sup>3/2</sup>–<sup>1/2</sup>–<sup>2–1</sup>; OH <sup>2</sup>Π<sub>1/2, 3/2</sub><sup>+</sup>–<sup>3/2</sup>–<sup>1/2</sup>–<sup>1–0</sup> and OH <sup>2</sup>Π<sub>1/2, 3/2</sub><sup>+</sup>–<sup>3/2</sup>–<sup>1/2</sup>–<sup>1–1</sup>; OH <sup>2</sup>Π<sub>1/2, 3/2</sub><sup>+</sup>–<sup>3/2</sup>–<sup>1/2</sup>–<sup>2–1</sup>; and OH <sup>2</sup>Π<sub>1/2, 3/2</sub><sup>+</sup>–<sup>3/2</sup>–<sup>1/2</sup>–<sup>1–0</sup>.

line-free channels from all spectral windows in multifrequency synthesis mode. The line-free channels were determined by inspecting the visibilities in all the frequency sidebands. When no line was detected, we selected line-free channels by adopting a line width of 300 km s<sup>−1</sup> and source redshift based on the [CII]<sub>158 μm</sub> line observations published by Decarli et al. (2017). We used the CASA task `uvcontsub` to fit the continuum visibilities in the line-free channels, and we obtained continuum-subtracted cubes with 40 km s<sup>−1</sup> of channel width. We fit the continuum emission in the LSB and USB separately (maximum bandwidth of ~4 GHz) as a constant with frequency. This gave us two continuum-subtracted cubes for each frequency setup. In order to achieve Nyquist-Shannon sampling, we set the cube pixel sizes in the image plane to 0''.1, equal to ~1/7 of the full width at half maximum (FWHM) of the minor axis of the ALMA-synthesized beam. In this way, we obtained cubes and continuum images with a pixel size of 0''.1. Self-calibration was attempted but showed no additional improvement for any of the observations, so we did not use it for what follows. Finally, the continuum images and line cubes were corrected for the primary beam response. We supplemented our analysis with the ALMA Cycle 3 [CII]<sub>158 μm</sub> observations of PJ231–20 and PJ308–21 presented in Decarli et al. (2017) (program ID: 2015.1.01115.S), which we analyzed in a consistent way.

### 3. Line and continuum measurements

To obtain information on the different ISM phases and dust, we measured lines and continuum properties. The observed emission in the ALMA cubes was integrated over the beam and projected along the line of sight. If the source emission is not spatially resolved in the observation, the spectrum measured in the brightest pixel corresponds to the integrated spectrum of the source over its whole extension. By inspecting the continuum and the velocity-integrated line maps, we verified that the

flux peak (measured in Jy beam<sup>−1</sup> and Jy beam<sup>−1</sup> km s<sup>−1</sup>, respectively) was consistent with the integrated flux over an aperture containing the observed emission for each object, even for high S/N and high angular resolution (such as the CO(7–6) line in PJ231–20 QSO in setup A). Therefore the targeted sources are (at best) marginally resolved in the observations. This conclusion, at least for system PJ231–20, is supported by measurements of the size of the continuum and [CII]<sub>158 μm</sub> line emitting region obtained from ALMA high angular resolution observations (Neeleman et al. 2019). This allowed us to safely perform a single-pixel analysis of the data. The uncertainties introduced by ignoring the aperture correction are comparable to the typical uncertainties (>10%) ascribed to the line and continuum flux measurements taking the ALMA visibility calibration errors (~20%) into account. Furthermore, we took advantage of the single-pixel analysis to maximize the S/N in the extracted spectra and to simultaneously minimize biases on the flux measurements that might arise from the blending of quasar and companion galaxy in the frequency setups at low angular resolution. Finally, we assessed that the different beam sizes of the various data cubes in the different ALMA bands did not significantly bias the flux estimates. To do so, we tapered the highest to the lowest spatial resolution data using the option `restoringbeam` within the CASA task `tclean`, and we verified that the flux measurements obtained from single-pixel analysis in the tapered data were consistent within the uncertainties with those estimated from the data cubes obtained by imaging all the calibrated visibilities. In particular, we obtained an additional data cube by imaging the calibrated visibilities of ALMA setup A of system PJ231–20 (which has the smallest restoring beam from the original data reduction) using a tapering scheme resulting in a restoring beam of 1''.7 × 1''.2 (similar to that of setup C, which has the lower angular resolution). In the case of PJ308–21 data, the beam sizes are comparable.

In this way, we identified the brightest pixel in the continuum map of each ALMA band and extracted the (beam-)integrated spectra of the quasars and the companion galaxies from the full data cubes (i.e., with the continuum inside) in the selected pixel. We then fit each spectrum as a constant representing the continuum<sup>1</sup>, and a Gaussian for the line. In order to explore the parameter space, we used the Markov chain Monte Carlo (MCMC) ensemble sampler `emcee` (Foreman-Mackey et al. 2013), and we obtained the posterior probability distributions of the free parameters. Finally, we computed the best values and uncertainties from the 50th, 16th, and 84th percentile of the distributions. In addition, we derived line luminosities as (see, e.g., Carilli & Walter 2013)

$$L_{\text{line}} [L_{\odot}] = 1.04 \times 10^{-3} S \Delta v \nu_{\text{obs}} D_L^2, \quad (1)$$

$$L'_{\text{line}} [\text{K km s}^{-1} \text{ pc}^2] = 3.25 \times 10^7 S \Delta v \frac{D_L^2}{(1+z)^3 \nu_{\text{obs}}^2}, \quad (2)$$

where  $S \Delta v$  is the line velocity-integrated flux in Jy beam<sup>−1</sup> km s<sup>−1</sup>,  $\nu_{\text{obs}}$  is the observed central frequency of the line in GHz, and  $D_L$  is the luminosity distance in Mpc. The relation between Eqs. (1) and (2) is  $L_{\text{line}} = 3 \times 10^{-11} \nu_{\text{rest}}^3 L'_{\text{line}}$ .

In Table 3 we report the measured and derived quantities for the observed lines and continuum emission in each frequency setup for the quasars and their companions. In order to confirm the robustness of the spectral fit results against spurious effects

<sup>1</sup> For a typical S/N ~ 60–100 over a bandwidth of ~4 GHz in ALMA band 3–6, the continuum emission is well described by a zeroth-order polynomial within the uncertainties.

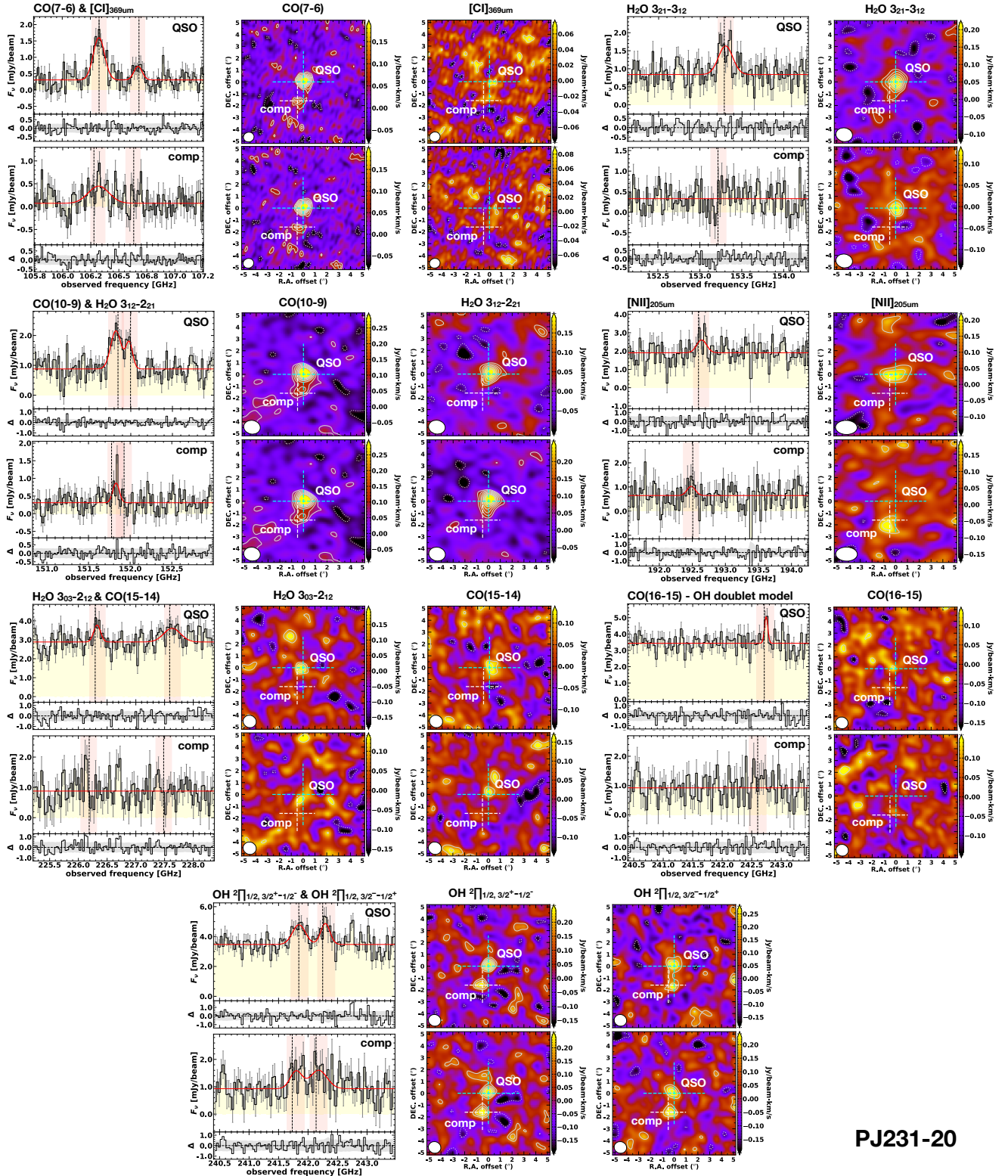
**Table 3.** Measurements and derived quantities from the ALMA spectra of the QSOs and their companion galaxies.

PJ231–20		QSO			Companion			
Emission line	$z_{\text{line}}$	$FWHM$ ( $\text{km s}^{-1}$ )	$S \Delta v$ ( $\text{Jy km s}^{-1}$ )	$L_{\text{line}}$ ( $10^9 L_{\odot}$ )	$z_{\text{line}}$	$FWHM$ ( $\text{km s}^{-1}$ )	$S \Delta v$ ( $\text{Jy km s}^{-1}$ )	$L_{\text{line}}$ ( $10^9 L_{\odot}$ )
[NII] $_{205 \mu\text{m}}$	$6.5848^{+0.0012}_{-0.0012}$	$276^{+199}_{-97}$	$0.21^{+0.09}_{-0.07}$	$0.18^{+0.08}_{-0.06}$	$6.591^{+0.002}_{-0.002}$	$229^{+252}_{-97}$	$0.10^{+0.07}_{-0.06}$	$0.08^{+0.06}_{-0.05}$
[CII] $_{158 \mu\text{m}}$	$6.5864^{+0.0003}_{-0.0003}$	$372^{+23}_{-21}$	$2.54^{+0.13}_{-0.13}$	$2.87^{+0.15}_{-0.15}$	$6.5897^{+0.0003}_{-0.0003}$	$492^{+41}_{-39}$	$2.36^{+0.16}_{-0.16}$	$2.68^{+0.18}_{-0.18}$
[CI] $_{369 \mu\text{m}}$	$6.5873^{+0.0014}_{-0.0014}$	$243^{+97}_{-70}$	$0.11^{+0.04}_{-0.04}$	$0.05^{+0.02}_{-0.02}$	–	–	<0.08	<0.04
CO(7–6)	$6.5863^{+0.0007}_{-0.0007}$	$335^{+39}_{-34}$	$0.46^{+0.04}_{-0.04}$	$0.22^{+0.02}_{-0.02}$	$6.5870^{+0.0020}_{-0.0014}$	$614^{+242}_{-184}$	$0.24^{+0.07}_{-0.06}$	$0.12^{+0.03}_{-0.03}$
CO(10–9) (*)	$6.5878^{+0.0005}_{-0.0005}$	$293^{+53}_{-43}$	$0.40^{+0.06}_{-0.06}$	$0.28^{+0.04}_{-0.05}$	$6.5873^{+0.0005}_{-0.0010}$	$183^{+160}_{-67}$	$0.11^{+0.04}_{-0.03}$	$0.08^{+0.03}_{-0.02}$
CO(15–14)	$6.5851^{+0.0017}_{-0.0013}$	$454^{+81}_{-128}$	$0.33^{+0.10}_{-0.09}$	$0.34^{+0.10}_{-0.10}$	–	–	<0.16	<0.16
CO(16–15)	$6.5857^{+0.0003}_{-0.0003}$	$83^{+72}_{-24}$	$0.15^{+0.05}_{-0.04}$	$0.16^{+0.06}_{-0.04}$	–	–	<0.16	<0.17
H <sub>2</sub> O $3_{12-2_{21}}$ (*)	$6.5874^{+0.0005}_{-0.0010}$	$191^{+69}_{-49}$	$0.18^{+0.06}_{-0.05}$	$0.12^{+0.04}_{-0.03}$	–	–	<0.09	<0.06
H <sub>2</sub> O $3_{21-3_{12}}$	$6.5859^{+0.0010}_{-0.0010}$	$396^{+98}_{-75}$	$0.33^{+0.07}_{-0.06}$	$0.23^{+0.05}_{-0.04}$	–	–	<0.09	<0.06
H <sub>2</sub> O $3_{03-2_{12}}$	$6.5853^{+0.0007}_{-0.0007}$	$187^{+96}_{-66}$	$0.18^{+0.07}_{-0.06}$	$0.18^{+0.07}_{-0.06}$	–	–	<0.16	<0.16
OH $^2\Pi_{1/2, 3/2}^{-1-}$	$6.5863^{+0.0006}_{-0.0006}$	$322^{+70}_{-59}$	$0.45^{+0.09}_{-0.08}$	$0.49^{+0.10}_{-0.09}$	$6.5879^{+0.0019}_{-0.0019}$	$296^{+108}_{-118}$	$0.19^{+0.09}_{-0.09}$	$0.21^{+0.10}_{-0.10}$
OH $^2\Pi_{1/2, 3/2}^{-1+}$	$6.5851^{+0.0006}_{-0.0009}$	$230^{+91}_{-66}$	$0.34^{+0.09}_{-0.09}$	$0.37^{+0.10}_{-0.10}$	$6.589^{+0.002}_{-0.002}$	$414^{+113}_{-185}$	$0.27^{+0.12}_{-0.12}$	$0.30^{+0.13}_{-0.13}$
Dust continuum <sup>(1)</sup>		$F_{\nu}$ (mJy)				$F_{\nu}$ (mJy)		
93.85 GHz		$0.22 \pm 0.01$				<0.033		
105.72 GHz		$0.31 \pm 0.02$				$0.07 \pm 0.02$		
140.34 GHz		$0.72 \pm 0.02$				$0.19 \pm 0.05$		
152.57 GHz		$0.86 \pm 0.03$				$0.32 \pm 0.03$		
193.41 GHz		$1.91 \pm 0.04$				$0.64 \pm 0.04$		
205.31 GHz		$2.19 \pm 0.03$				$0.64 \pm 0.03$		
226.79 GHz		$2.88 \pm 0.05$				$0.84 \pm 0.05$		
234.13 GHz		$3.29 \pm 0.05$				$0.93 \pm 0.05$		
241.94 GHz		$3.46 \pm 0.06$				$0.93 \pm 0.05$		
250.08 GHz		$3.94 \pm 0.06$				$1.14 \pm 0.07$		
PJ308–21		QSO			Companion			
[CII] $_{158 \mu\text{m}}$	$6.2354^{+0.0003}_{-0.0002}$	$533^{+47}_{-43}$	$1.65^{+0.13}_{-0.12}$	$1.73^{+0.14}_{-0.13}$	$6.2495^{+0.0005}_{-0.0006}$	$239^{+46}_{-40}$	$0.43^{+0.07}_{-0.07}$	$0.46^{+0.08}_{-0.07}$
[CI] $_{369 \mu\text{m}}$	–	–	<0.06	<0.03	–	–	<0.06	<0.03
CO(7–6)	–	–	<0.06	<0.03	–	–	<0.06	<0.03
CO(15–14)	$6.2364^{+0.0020}_{-0.0018}$	$498^{+49}_{-97}$	$0.13^{+0.04}_{-0.04}$	$0.12^{+0.04}_{-0.04}$	–	–	<0.07	<0.06
CO(16–15)	–	–	<0.07	<0.07	–	–	<0.07	<0.07
H <sub>2</sub> O $3_{03-2_{12}}$	$6.234^{+0.003}_{-0.002}$	$264^{+134}_{-120}$	$0.03^{+0.03}_{-0.02}$	$0.03^{+0.03}_{-0.02}$	–	–	<0.07	<0.06
OH $^2\Pi_{1/2, 3/2}^{-1-}$	$6.2348^{+0.0030}_{-0.0017}$	$262^{+145}_{-137}$	$0.04^{+0.03}_{-0.02}$	$0.04^{+0.03}_{-0.02}$	–	–	<0.07	<0.07
OH $^2\Pi_{1/2, 3/2}^{-1+}$	$6.234^{+0.002}_{-0.002}$	$400^{+113}_{-165}$	$0.10^{+0.05}_{-0.05}$	$0.10^{+0.05}_{-0.05}$	–	–	<0.07	<0.07
Dust continuum <sup>(1)</sup>		$F_{\nu}$ (mJy)				$F_{\nu}$ (mJy)		
98.95 GHz		$0.03 \pm 0.01$				<0.02		
110.87 GHz		$0.04 \pm 0.01$				<0.02		
237.99 GHz		$0.45 \pm 0.02$				$0.08 \pm 0.02$		
246.94 GHz		$0.55 \pm 0.04$				<0.12		
253.61 GHz		$0.59 \pm 0.02$				$0.06 \pm 0.02$		
262.89 GHz		$0.59 \pm 0.06$				<0.09		

**Notes.** When the line emission is not detected, upper limits ( $3\sigma$ ) on continuum, line flux, and luminosity are reported. <sup>(1)</sup>We report the central frequency of the LSB and USB for each frequency setup in which we measured the continuum fluxes. (\*)The CO(10–9) and H<sub>2</sub>O  $3_{12-2_{21}}$  lines are partially blended.

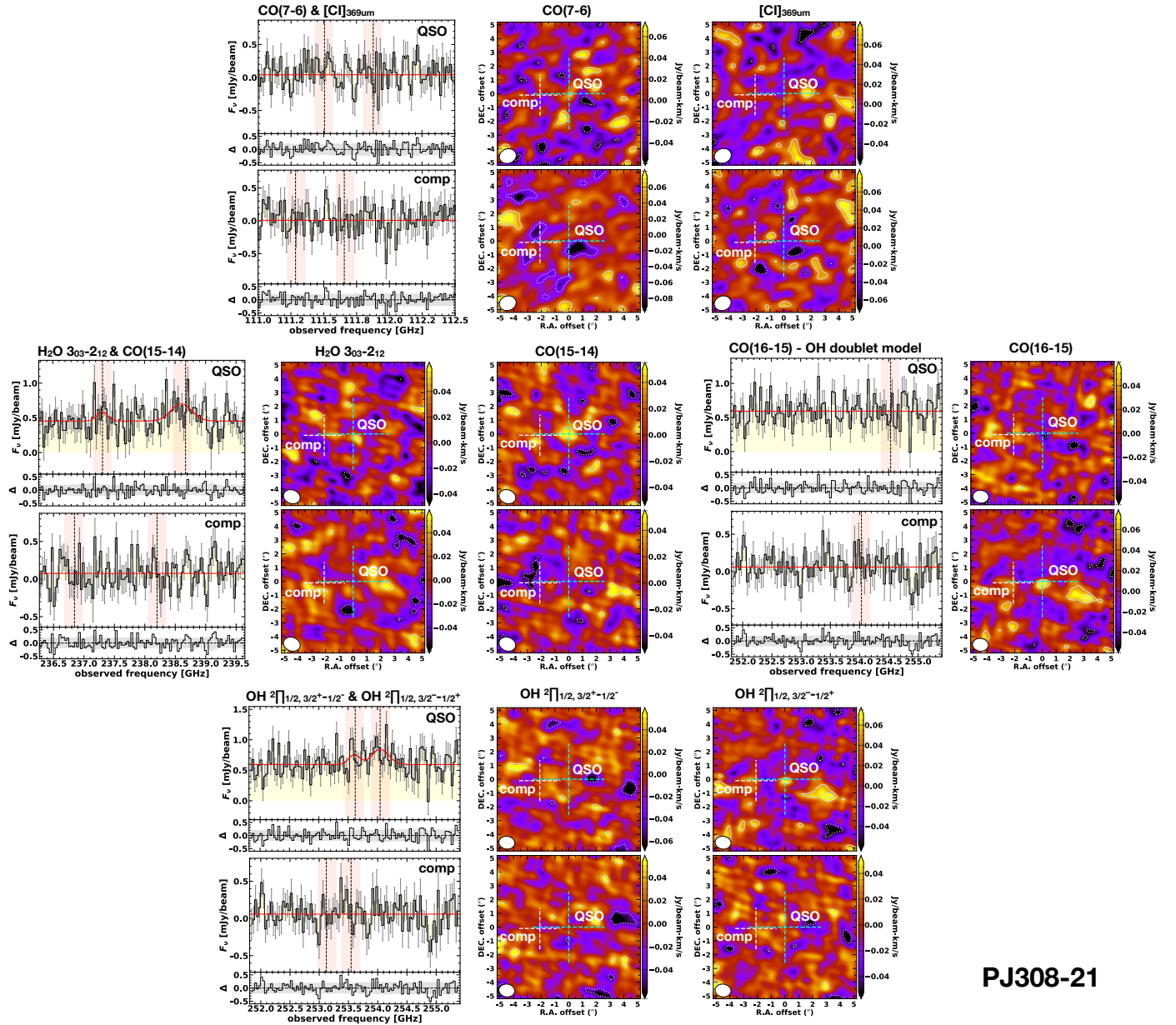
of the noise (especially in the very critical cases of low S/N, e.g., the lines in the spectra of QSO PJ308–21), we performed different spectral fits by accurately varying the imposing priors and starting points in the MCMC fitting procedure. We thus assessed that the line was detected (although at low significance) when the derived best-fit models in all the cases indicate a self-consistent solution. In addition, we performed all the fits to the data at a lower spectral resolution ( $80 \text{ km s}^{-1}$ ) and verified that all derived

parameters were consistent within  $\sim 1\sigma$  with those reported in Table 3. In Figs. 1 and 2 we report the observed spectra of systems PJ231–20 and PJ308–21, respectively, together with the line and continuum best-fit models. We also report the corresponding continuum-subtracted line velocity-integrated maps over  $360 \text{ km s}^{-1}$ . This value maximizes the S/N of the CO(10–9) velocity-integrated map of QSO PJ231–20. We used this as a reference value in order to obtain all the other line maps. In Fig. 3



PJ231-20

**Fig. 1.** Detections and nondetections in system PJ231–20. We show the observed integrated spectra for the quasar and its companion galaxy in a frequency range around the targeted transition. In the panels showing the spectra, we show the best-fit model (red line), the fit residuals (at the bottom of each panel), the expected frequency of the line (vertical dashed black line) and the channels used to obtain the continuum-subtracted line velocity-integrated maps (over  $360 \text{ km s}^{-1}$ ) that are shown to the right of each spectrum (red shaded area). When the line is not detected, the best-fit model is a constant polynomial fitting the continuum emission alone. In the spectra covering the CO(16–15) line, we performed the fit after subtracting the best-fit model for the OH doublet (*bottom panels*). The spectra we show were extracted at the position of the quasar and companion galaxy. The positions of the sources are indicated in the line velocity-integrated maps with cyan and white crosses, respectively. The solid contours in the maps show the  $[2, 3, 4, 5, 6, 7, 8] \times \sigma$  levels, and dashed contours indicate the  $-2\sigma$  level.



PJ308-21

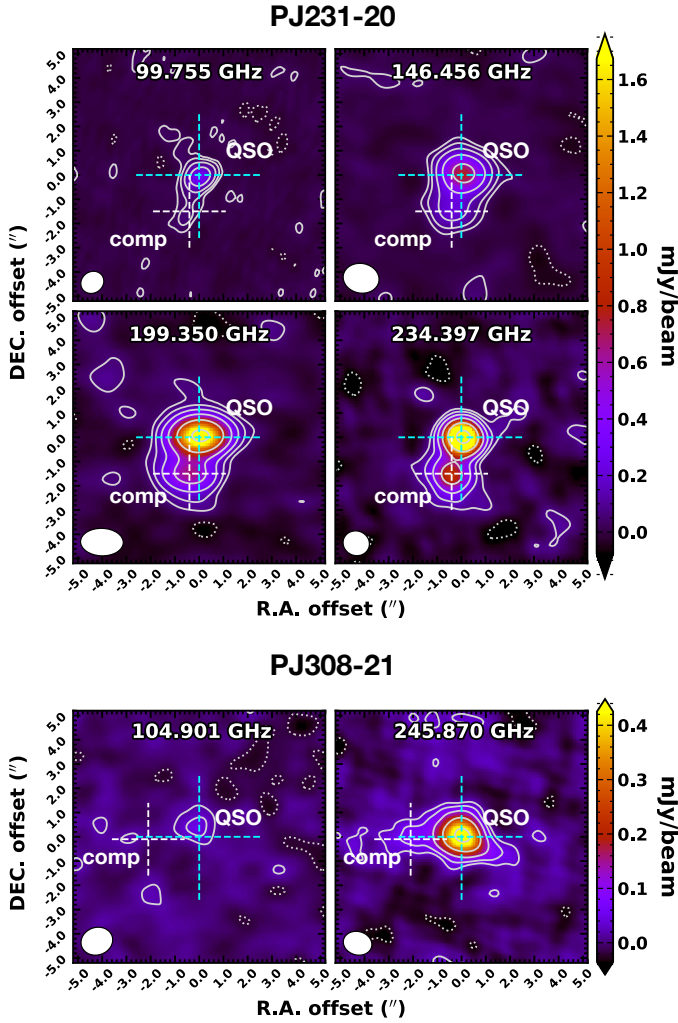
**Fig. 2.** Detections and nondetections in system PJ308–21. See Fig. 1 for a description of the various panels.

we show the line-free continuum maps in the various frequency bands. In some cases (see Figs. 1 and 2) the peaks of the line velocity-integrated maps of systems PJ231–20 and PJ308–21 show a shift with respect to the reference position of the sources. However, in all the cases, the shift is well inside the beam of the observed map. This effect is probably ascribable to the continuum subtraction and/or the low S/N of the emission. Therefore we conclude that the line and the continuum peaks are spatially consistent at the available resolution.

Throughout the text, we report the significance on the measured flux of a line emission in units of  $\sigma = \sqrt{\Delta v FWHM} \times \langle \text{rms} \rangle$ , where  $\Delta v$  is the channel width ( $40 \text{ km s}^{-1}$ ),  $FWHM$  is the full width at half maximum of the line best-fit Gaussian, and the  $\langle \text{rms} \rangle$  is the average rms noise in the line cube (see Table 1). When no line emission is detected in the observation, we report  $3\sigma$  upper limits assuming  $FWHM = 300 \text{ km s}^{-1}$ . Finally, for the nondetections of continuum emission we report the  $3\sigma$  upper limit, with  $\sigma$  equal to rms noise of the continuum.

#### 4. CLOUDY models of photo- and X-ray dissociation regions

The observed molecular and atomic FSLs are key diagnostics of the physical conditions present in the ISM of galaxies. The ratios of observed FSL to dust-continuum flux require a relatively efficient (0.1–1%) mechanism to convert the continuum flux into atomic and molecular gaseous line emission. Tielens & Hollenbach (1985a) showed that this condition is satisfied by PDRs in which dust-grain photoelectric heating in regions where the far-UV (FUV) radiation ( $6 < h\nu < 13.6 \text{ eV}$ ) from hot stars (O and B) impinges on molecular clouds. PDRs represent the interface of the molecular and ionized medium (i.e., HII regions) in the outskirts of molecular clouds, where FUV radiation plays a significant role in the heating and/or chemistry of the gas phase. Tielens & Hollenbach (1985a,b) also pointed out that the emission from these regions largely depends on the cloud density ( $n_H$ ) and the strength of the FUV radiation



**Fig. 3.** Continuum maps in the four frequency setups of PJ231–20 (upper panels), and the two setups of system PJ308–21 (lower panels). The central frequencies of each setup are reported at the top of each panel. The white ellipses indicate the ALMA FWHM synthesized beam. The positions of the quasars and the companions from which we extracted the spectra from line cubes are marked by cyan and white crosses, respectively. The solid contours indicate  $[2, 4, 8, 16, 32, 64] \times \sigma$ , and dashed contours are the  $-2\sigma$  value.

field ( $G_0$ ) illuminating the cloud (where  $G_0$  is in Habing flux units, i.e.,  $1.6 \times 10^{-3} \text{ erg s}^{-1} \text{ cm}^{-2}$ , see Habing 1968). PDRs include all the atomic neutral medium and most of the molecular clouds, therefore the majority of the mass of the ISM is in PDRs. Densities in PDRs range from  $n_{\text{H}} \approx 0.25 \text{ cm}^{-3}$  to  $n_{\text{H}} \gtrsim 10^7 \text{ cm}^{-3}$  and FUV flux from  $G_0 \lesssim 1$  to  $G_0 \gtrsim 10^6$  (see, e.g., McKee & Ostriker 1977; Hollenbach & Tielens 1999; Kaufman et al. 1999; Meijerink et al. 2007).

Molecular gas can also be exposed to X-ray radiation, for example, near supernova shocks or radiation emitted from accreting BHs in quasars (see, e.g., Langer 1978; Krolik & Kallman 1983; Krolik & Begelman 1988; Lepp & McCray 1983). X-ray photons are able to penetrate much deeper into the cloud (column density of  $N_{\text{H}} \gtrsim 10^{22} \text{ cm}^{-2}$ ) and can affect the chemical and thermal structure of FUV-opaque molecular gas. Completely analogous to the PDRs, XDRs can therefore be defined as predominantly neutral gas in which X-rays dominate the gas heatings and much of the chemistry through X-ray dissociation and ionization (e.g., Maloney et al. 1996; Hartquist & Williams

1998; Hollenbach & Tielens 1999). In this case, the incident radiation field is typically expressed in terms of X-ray flux,  $F_{\text{X}} \text{ (erg s}^{-1} \text{ cm}^{-2}\text{)}$ , over the range 1–100 keV.

Considerable effort has been made to construct PDR/XDR modeling codes in order to constrain the physical properties of the ISM where molecular and atomic transitions take place (e.g. Tielens & Hollenbach 1985a; Meixner & Tielens 1993; Maloney et al. 1996; Spaans 1996; Kaufman et al. 1999, 2006; Elitzur & Asensio Ramos 2006; Meijerink & Spaans 2005; Meijerink et al. 2007; van der Tak et al. 2007; Pound et al. 2008; Bisbas et al. 2012; Ferland et al. 2017). Each of these codes is optimized to simulate various astrophysical environments. The codes are based on different assumptions of PDR geometry, thermal and chemical balance, and different implementations of radiative transfer through the PDR. We used the CLOUDY radiative-transfer code (version c.17.01, Ferland et al. 2017) to characterize the ISM in the quasar and companion galaxy in systems PJ231–20 and PJ308–21 because it is highly customizable and allows the simultaneous treatment of all the species we studied. We modeled the observed line-emitting region as a single plane-parallel semi-infinite cloud impinged by a radiation field in the PDR and XDR regimes, and we obtained a suite of diagnostics to interpret the observed line ratios in these systems. In the following we describe how the models were set up.

Within CLOUDY, we ran a total of  $270 \times 2$  PDR/XDR models assuming a 1D gas slab of constant total hydrogen density in the range  $\log(n_{\text{H}}/\text{cm}^{-3}) = [2, 6]$  (15 models,  $\sim 0.29$  dex spacing), varying the strength of the radiation field (18 models), for two values of the total cloud hydrogen column density of  $N_{\text{H}} = 10^{23}, 10^{24} \text{ cm}^{-2}$ . In the case of PDR models, we defined the spectral energy distribution (SED) of the impinging radiation field as blackbody emission with a temperature of  $T = 5 \times 10^4 \text{ K}$  scaled to obtain Habing fluxes at the gas slab surface in the range  $\log G_0 = [1, 6]$  ( $\sim 0.29$  dex spacing). In the case of XDR, we chose a standard AGN template as the incident continuum SED,

$$f_{\nu} = \nu^{\alpha_{\text{UV}}} \exp(-h\nu/k_{\text{B}}T_{\text{BB}}) \exp(-k_{\text{B}}T_{\text{IR}}/h\nu) + a\nu^{\alpha_{\text{X}}}, \quad (3)$$

with parameters set in order to generate the continuum used in a large atlas of model broad-line region line intensities (Korista et al. 1997). We normalized this incident SED in order to have X-ray flux between 1–100 keV in the range  $\log [F_{\text{X}}/(\text{erg s}^{-1} \text{ cm}^{-2})] = [-2.0, 2.0]$  ( $\sim 0.24$  dex spacing) at the cloud surface. This range fully covers the X-ray radiation field strengths that are generally considered in standard XDR models (e.g., Maloney et al. 1996; Meijerink et al. 2007).

For the gas slab we assumed the default interstellar medium metal abundances (abundance ISM) stored in CLOUDY. We adopted dust ISM grains (grains ISM) stored in CLOUDY with the size distribution and abundance from Mathis et al. (1997). In addition, we included polycyclic aromatic hydrocarbons (PAHs, grains PAH) with the distribution from Abel et al. (2008), which generally dominate the photoelectric heating of grains. We also included the cosmic microwave background (CMB) radiation at  $z = 6$  that affects the far-IR line luminosity of galaxies at high  $z$ . We adopted the default CLOUDY prescriptions for the cosmic-ray ionization rate background (cosmic rays background), which are an important source of heating deep into the molecular cloud. The mean H cosmic-ray ionization rate is  $2 \times 10^{-16} \text{ s}^{-1}$  (Indrioli et al. 2007), and the  $\text{H}_2$  secondary ionization rate is  $4.6 \times 10^{-16} \text{ s}^{-1}$  (Glassgold & Langer 1974). Finally, we added  $1.5 \text{ km s}^{-1}$  in quadrature to the thermal

motions within the cloud to model the effect of line broadening that is produced by microturbulence.

In order to simulate the absorption of ionizing radiation caused by atomic hydrogen, we modified the incident continuum in the PDR and XDR cases by extinction due to photoelectric absorption by a cold neutral slab with a column density of  $10^{24} \text{ cm}^{-2}$  (extinguish column 24, see [Cruddace et al. 1974](#)). The code computes the radiative transfer through the slab up to a total hydrogen column density of  $N_{\text{H}} = 10^{23}, 10^{24} \text{ cm}^{-2}$ . We chose this stopping criterion to fully sample the molecular component that is typically located at  $N_{\text{H}} > 10^{22} \text{ cm}^{-2}$ , which is observed in giant molecular clouds (e.g., [McKee & Ostriker 1977](#)). However, a higher column density  $N_{\text{H}} \gtrsim 5 \times 10^{23} \text{ cm}^{-2}$  is required to properly model  $\text{H}_2\text{O}$  and OH emission (see, e.g., [Goicoechea et al. 2005](#); [González-Alfonso et al. 2014](#); [Spinoglio et al. 2005](#); [Liu et al. 2017](#)).

With our PDR and XDR models we obtained grids of line intensities and emitted continuum emerging from the cloud surface (both in unit of  $\text{erg s}^{-1} \text{ cm}^{-2}$ ) as a function of the density of the medium (total hydrogen density,  $n_{\text{H}}$  ranges  $10^2\text{--}10^6 \text{ cm}^{-3}$ ) and the strength of the radiation field (parameterized by  $G_0 = 10^1\text{--}10^6$  or  $F_{\text{X}} (\text{erg s}^{-1} \text{ cm}^{-2}) = 10^{-2}\text{--}10^2$  in the case of PDR and XDR, respectively) for two values of the total hydrogen column density  $N_{\text{H}} = 10^{23}, 10^{24} \text{ cm}^{-2}$ .

The models adopted in this work are designed to simulate a typical cloud in a galaxy under very simplistic assumptions. Undoubtedly, these simple models cannot provide a realistic picture of the ISM in galaxies that are composed of an ensemble of clouds and diffuse medium with a wide range of physical and geometrical properties. However, quantities such as line luminosity ratios can be used to mitigate the large uncertainties related to the unknown parameters, thus allowing us to quantitatively compare model predictions with observations.

In the following sections, we present various results obtained from dust FIR continuum and line detections in the targeted sources. By comparing our model results with the observed emission, we then place constraints on the key parameters characterizing the ISM in the quasar hosts and companion galaxies.

## 5. Dust properties: FIR continuum

The continuum emission detected in the FIR and (sub-)mm bands is dominated by emission from dust distributed in the diffuse medium and star-forming regions of a galaxies. The bulk of the dust in star-forming regions is heated by the diffuse rest-frame optical/UV radiation field produced by young stars. The typical dust temperature for quasar hosts lies in the range  $T_{\text{dust}} \sim 40\text{--}70 \text{ K}$  (e.g., [Priddey & McMahon 2001](#); [Beelen et al. 2006](#); [Wang et al. 2008](#); [Leipski et al. 2013, 2014](#)) and increases to  $\gtrsim 100\text{--}500 \text{ K}$  in the proximity of the central BH accretion disk ([Rowan-Robinson 1995](#); [Charmandaris et al. 2004](#); [Beelen et al. 2006](#)). Because the dust grains are in local thermodynamical equilibrium (LTE) with the radiation field, they emit thermal radiation. However, dust grains are not ideal blackbodies. For this reason, dust thermal emission is usually modeled as a modified blackbody where the grain emission efficiency is expressed in terms of the dust opacity coefficient,  $k_{\text{d}}(\nu) \propto \nu^{\beta}$ . When we assume optically thin dust emission (see, e.g., [Beelen et al. 2006](#)), the continuum flux in the Rayleigh-Jeans limit is therefore proportional to the dust mass,  $M_{\text{dust}}$ , and temperature,  $T_{\text{dust}}$  (see, e.g., [Downes et al. 1992](#); [Dunne et al. 2000](#)). Based on observational studies at low and high redshift (e.g., [Blain et al. 2003](#); [Conley et al. 2011](#); [Rangwala et al. 2011](#); [Casey 2012](#);

[Riechers et al. 2013](#); [Faisst et al. 2020](#)), the optically thin dust approximation may not be true at short wavelengths, but likely holds for our observations because most of the continuum data are at rest frame  $\lambda > 200 \mu\text{m}$ . In order to estimate  $M_{\text{dust}}$  and  $T_{\text{dust}}$  in the quasar host galaxies and their companions, we modeled the continuum data with a modified blackbody taking the effect of the cosmic microwave background radiation (CMB; see [da Cunha et al. 2013](#)) into account, whose temperature scales as  $\propto(1+z)$  and is  $T_{\text{CMB}} \approx 19 \text{ K}$  at  $z = 6$ . At the redshifts of the sources, the CMB is indeed an additional non-negligible source of heating and provides a strong background against which we measure line and continuum emission. Following [da Cunha et al. \(2013\)](#), we therefore define the flux density in the optically thin limit as

$$F_{\nu/(1+z)}^{\text{intr}} = B_{\nu}[T_{\text{dust}}(z)]k_{\text{d}}(\nu)(1+z)M_{\text{dust}}D_{\text{L}}^{-2}, \quad (4)$$

$$F_{\nu/(1+z)}^{\text{obs}} = \left(1 - \frac{B_{\nu}[T_{\text{CMB}}(z)]}{B_{\nu}[T_{\text{dust}}(z)]}\right) F_{\nu/(1+z)}^{\text{intr}}, \quad (5)$$

where  $F_{\nu/(1+z)}^{\text{intr}}$  and  $F_{\nu/(1+z)}^{\text{obs}}$  are the intrinsic and observed flux density against the CMB, respectively,  $B_{\nu}(T)$  is the Planck function  $B_{\nu}(T) = [2h\nu^3/c^2][\exp(h\nu/k_{\text{B}}T) - 1]^{-1}$ ,  $k_{\text{d}}(\nu)$  is the frequency-dependent dust mass opacity coefficient defined as  $k_{\text{d}}(\nu) = k_0(\nu/\nu_0)^{\beta}$ , where  $\nu_0 = 352.7 \text{ GHz}$ , and  $\beta$  is the dust spectral emissivity index (see, e.g., [Dunne et al. 2000](#)). [da Cunha et al. \(2013\)](#) also showed that the observed galaxy dust temperature at certain redshift,  $T_{\text{dust}}(z)$ , is higher than the dust temperature that we would observe at  $z = 0$  because CMB photons are absorbed by the dust grains:  $T_{\text{dust}}(z) = \{(T_{\text{dust}}^{z=0})^{4+\beta} + (T_{\text{CMB}}^{z=0})^{4+\beta}[(1+z)^{4+\beta} - 1]\}^{1/(4+\beta)}$ . However, at  $z \approx 6.5$  and typical dust temperatures of  $T_{\text{dust}} > 35 \text{ K}$ , this correction is  $< 2\%$ , much less than the relative uncertainties we expect on dust temperature estimate. We therefore ignored this correction.

Hence, we used the model defined in Eq. (5) to fit the quasar and companion continuum data reported in Table 3, together with ALMA band 6 data presented in [Decarli et al. \(2017\)](#), from which we obtained line and continuum measurements. In order to determine the best-fit model, we made use of the emcee code ([Foreman-Mackey et al. 2013](#)), allowing  $M_{\text{dust}}$ , and  $\beta$  to freely vary during the fitting procedure. We limited the parameter space by imposing box-like priors defined as  $\log M_{\text{dust}}[M_{\odot}] > 0$ , and  $\beta \in (1, 2.5)$ . In addition, the sampled frequency range of the observations mostly covers the Rayleigh-Jeans tail of the dust thermal emission. The observed flux density in this regime is  $\propto T_{\text{dust}} M_{\text{dust}}$ , which makes these parameters degenerate. We therefore employed a relative tight Gaussian prior on  $T_{\text{dust}}$  with a mean and standard deviation equal to  $47 \text{ K}$  and  $5 \text{ K}$ , respectively. These choices are consistent with the typical measurements in quasar host galaxies reported in the literature (e.g., [Priddey & McMahon 2001](#); [Beelen et al. 2006](#); [Wang et al. 2008](#); [Leipski et al. 2013, 2014](#)) and are also validated by the results obtained below for the water vapor lines (see Sect. 8.5). On the other hand, if the companion galaxies do not host a quasar (as suggested by rest-frame UV and X-ray studies, see [Decarli et al. 2017](#); [Connor et al. 2019, 2020](#)), we expect that the dust temperature may not be as high as that of the quasar host galaxies because the additional dust heating by an AGN is lacking. The nondetection of water lines in the companions also argues against a high  $T_{\text{dust}}$  (despite the detections of the  $\text{OH}_{163 \mu\text{m}}$  doublet, which likely traces similar regions as are traced by  $\text{H}_2\text{O}$  lines, see Sects. 8.4, 8.8, and 8.9). In the case of the companion galaxies, we therefore also considered a lower-temperature scenario. To do so, we performed an additional fit centering the Gaussian dust temperature prior on  $T_{\text{dust}} = 35 \text{ K}$ , which is

a representative value of the population of SMGs at  $z \sim 1-3$  (e.g., Chapman et al. 2005; Kovács et al. 2006). In the fits we also took the upper limit measurements on dust continuum into account by inserting a penalty term in the log-likelihood function in the form  $\mathcal{P} = -0.5[(\text{model} - \text{data})/\text{data}]^2$ , if model > data, otherwise,  $\mathcal{P} = 0$ . Finally, we assumed the source [CII]-based redshifts reported in Decarli et al. (2017) (see Table 3). In Fig. 4 we show the best-fit models of the continuum emission in systems PJ231–20 and PJ308–21, respectively, together with the posterior probability distributions of the parameters. From these results, we estimated the total (TIR, 8–1000  $\mu\text{m}$ , Sanders et al. 2003) and FIR (40–400  $\mu\text{m}$ , Helou et al. 1988) rest-frame luminosities of the sources by integrating the best-fit models over the corresponding frequency ranges. Finally, we inferred the SFR using the local scaling relation from Murphy et al. (2011),  $\text{SFR}_{\text{IR}}/(M_{\odot} \text{ yr}^{-1}) = 1.49 \times 10^{-10} L_{\text{TIR}}/L_{\odot}$ , assuming that the IR luminosity is dominated by star formation and under the hypothesis that the entire Balmer continuum (i.e.,  $912 \text{ \AA} < \lambda < 3646 \text{ \AA}$ ) is absorbed and re-irradiated by dust in the optically thin limit. Any contribution of the central AGN to the IR luminosity will therefore result in an overestimation of the  $\text{SFR}_{\text{IR}}$ . The initial mass function (IMF) implicitly assumed in this  $L_{\text{TIR}}$  to SFR conversion is a Kroupa IMF (Kroupa 2001), with a slope of  $-1.3$  for stellar masses between  $0.1-0.5 M_{\odot}$ , and  $-2.3$  for stellar masses ranging between  $0.5$  and  $100 M_{\odot}$ . In Table 4 we report all the derived quantities obtained with the dust continuum modeling.

In the case of the quasar and companion galaxy in system PJ231–20, we find good constraints on the dust mass ( $\lesssim 0.1$  dex) and the spectral emissivity index (relative error of  $\lesssim 10\%$ ). On the other hand, the dust temperature values obtained from the posterior probability distribution are consistent within  $1\sigma$  with the imposed prior, confirming that  $T_{\text{dust}}$  cannot be constrained with the fit. In the case of the quasar and companion in the system PJ308–21, we found only tentative constraints on  $M_{\text{dust}}$  and  $\beta$  because the coverage of the dust spectral energy distributions (SEDs) is poor. The best-fit values of  $\beta$  in the PJ231–20 and PJ308–21 quasars and companions range between  $\sim 1.5-1.9$  and are consistent with the values estimated in the two low-redshift sources (e.g., Conley et al. 2011; Casey 2012) and those at  $z > 5-6$  (e.g., Riechers et al. 2013; Carniani et al. 2019; Novak et al. 2019; Yang et al. 2019a; Faisst et al. 2020). We note that although we assumed different dust temperatures of 47 K and 35 K as priors in the dust SED fit of the companion galaxies, we obtained similar results that are consistent within  $1.5\sigma$ . As shown in Fig. 4, this is mainly due to the lack of high-frequency continuum measurements, where the peak of dust SED is placed. However, an additional large source of uncertainty in the dust fitting is the optically thin dust approximation. The shape of the dust SED may be altered by the dust opacity at short wavelengths, thus affecting the derived  $L_{\text{TIR}}$ . Furthermore, if a warmer dust component is present, it may significantly contribute to the IR luminosity.

## 6. Ionized medium

### 6.1. [NII] $_{205 \mu\text{m}}$ and [CII] $_{158 \mu\text{m}}$ fine-structure lines

The ionization potential of the nitrogen atom (14.5 eV) is greater than that of neutral hydrogen (13.6 eV), therefore the  $\text{N}^+$  emission is due to collisions with electrons in the fully ionized medium. For an electron temperature of 8000 K, the critical density<sup>2</sup> of [NII] $_{205 \mu\text{m}}$  line is  $n_{\text{e}}^{\text{crit}} \approx 44 \text{ cm}^{-3}$  (Oberst et al. 2006),

<sup>2</sup> The density at which the rate of collisional depopulation of a quantum level equals the spontaneous radiative decay rate.

which means that this line traces the low-density diffuse medium ( $n_{\text{e}} \sim 0.1-1 \text{ cm}^{-3}$ ) rather than the dense (HII) regions ( $n_{\text{e}} \sim 0.5-1.0 \times 10^3 \text{ cm}^{-3}$ ), where it is rapidly thermalized and its emission is collisionally quenched (e.g., Herrera-Camus et al. 2016).

The fine-structure transition ( $^3\text{P}_{3/2} \rightarrow ^3\text{P}_{1/2}$ ) of the  $\text{C}^+$  ion is one of the main coolants of the cold-gas phase ( $50 \text{ K} < T < 3000 \text{ K}$ ) in the ISM in star-forming galaxies and is easily detected in ALMA band 6 at  $z \gtrsim 6$ . Singly ionized carbon has a lower ionization potential than HI (11.3 eV), therefore [CII] $_{158 \mu\text{m}}$  arises from the neutral medium, including atomic clouds and PDRs on the surface of molecular clouds exposed to stellar UV radiation in star-forming regions, and also from low-density ionized gas. In the ionized medium, the  $\text{C}^+$  ion is excited by collisions with electrons, and its 158  $\mu\text{m}$  transition has a critical density of  $n_{\text{e}}^{\text{crit}} \approx 46 \text{ cm}^{-3}$  (Oberst et al. 2006). On the other hand, in the neutral medium, most of the [CII] $_{158 \mu\text{m}}$  emission is expected to arise from the dense PDRs where  $\text{C}^+$  is collisionally excited by neutral and molecular hydrogen ( $n_{\text{H}}^{\text{crit}} \approx 3.0 \times 10^3 \text{ cm}^{-3}$ ,  $n_{\text{H}_2}^{\text{crit}} \approx 6.1 \times 10^3 \text{ cm}^{-3}$ , see Goldsmith et al. 2012).

The [NII] $_{205 \mu\text{m}}$  line provides us with important clues for interpreting the observed [CII] $_{158 \mu\text{m}}$  emission (Decarli et al. 2017). We detected the [NII] $_{205 \mu\text{m}}$  ( $^3\text{P}_1 \rightarrow ^3\text{P}_0$ ) line in system PJ231–20 with a significance of  $\sim 4\sigma$  and  $\sim 2\sigma$  for the quasar and the companion galaxy, respectively (see Figs. 1 and 2). The line measurements are reported in Table 3.

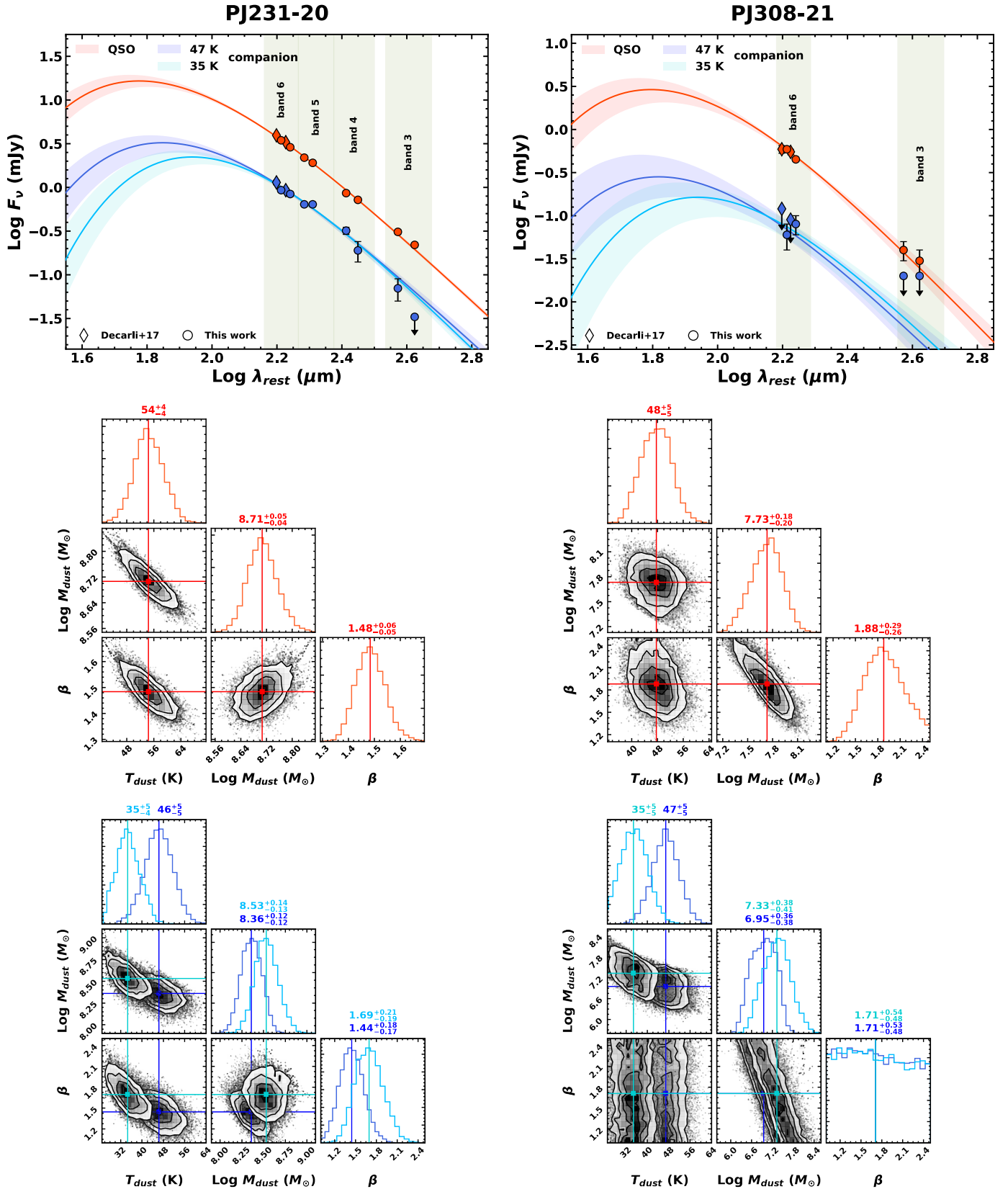
### 6.2. Fraction of [CII] $_{158 \mu\text{m}}$ emission from PDRs

Because [CII] $_{158 \mu\text{m}}$  and [NII] $_{205 \mu\text{m}}$  have similar ionization potentials and critical densities, the line ratio in the ionized medium in the optically thin case essentially depends only on their relative abundance. Therefore the observed [NII] $_{205 \mu\text{m}}$  line emission reveals the fraction of [CII] $_{158 \mu\text{m}}$  arising from neutral gas. Following Díaz-Santos et al. (2017), we estimated the fraction of [CII] $_{158 \mu\text{m}}$  emission arising from PDRs by assuming a typical  $[\text{CII}]_{158 \mu\text{m}}^{\text{ion}}/[\text{NII}]_{205 \mu\text{m}} \approx 3$  that is observed in HII regions (Oberst et al. 2006),

$$f([\text{CII}]^{\text{PDR}}) = \frac{[\text{CII}]_{158 \mu\text{m}}^{\text{PDR}}}{[\text{CII}]_{158 \mu\text{m}}} \approx 1 - 3 \frac{[\text{NII}]_{205 \mu\text{m}}}{[\text{CII}]_{158 \mu\text{m}}}. \quad (6)$$

We note that while the [CII]/[NII] ratio for HII regions assumes solar metallicities, the high dust content seen in  $z \gtrsim 6$  quasar host galaxies suggests that the metallicities are indeed high (see, e.g., Novak et al. 2019, furthermore, this is also supported if indirectly by studies of the metallicity of the broad-line region in  $z \sim 6$  quasars, see, e.g., Pentericci et al. 2002; Jiang et al. 2007; Onoue et al. 2020). Combining our [CII] $_{158 \mu\text{m}}$  line luminosity measurements with those of the [NII] $_{205 \mu\text{m}}$  line (see Table 3), we conclude that PDRs account for  $\gtrsim 80\%$  of the [CII] $_{158 \mu\text{m}}$  emission in QSO PJ231–20 and its companion galaxy. This result is consistent with previous studies on local starburst galaxies showing that no more than  $\sim 30\%$  of the [CII] $_{158 \mu\text{m}}$  emission is emitted by the diffuse ionized medium (e.g., Carral et al. 1994; Lord et al. 1996; Colbert et al. 1999). Studies of the [CII]-to-[NII] ratio in FIR bright galaxies at higher redshifts ( $z > 4-5$ ) have also concluded that only a small fraction of [CII] $_{158 \mu\text{m}}$  emission arises from the ionized gas-phase ISM (see, e.g., Decarli et al. 2014; Pavesi et al. 2016).

In Fig. 5 we compare  $f([\text{CII}]^{\text{PDR}})$  and the dust temperature of QSO PJ231–20 and its companion with a sample of local luminous IR galaxies (LIRGs) reported in Díaz-Santos et al. (2017) and with the value of two high- $z$  quasars: SDSS J2310+1855 at



**Fig. 4.** Models of the dust SEDs of sources PJ231–20 (*left panels*) and PJ308–21 (*right panels*). *Upper panels:* circles and diamonds indicate continuum data obtained in this work and those obtained from the ALMA Cycle 6 [CII] $_{158\mu\text{m}}$  observations (Decarli et al. 2017), respectively. Downward arrows indicate  $3\sigma$  upper limits. The best-fit modified blackbody model is indicated with a solid red line for the quasars, and the blue and cyan lines show the models for companion galaxies assuming  $T_{\text{dust}} = 47$  K and 35 K, respectively. The shaded areas show the  $1\sigma$  confidence intervals. Green bands indicate the ALMA frequency bands redshifted to the quasar-companion pair rest frame ( $z_{\text{pair}} = [z_{\text{QSO}} + z_{\text{comp}}]/2$ ). *Lower panels:* posterior probability distributions of the free parameters (red for quasars, blue and cyan for companions). The vertical lines indicate the best-fit values computed as 50th percentiles of the distributions. The best-fit values with their uncertainties are also reported.

**Table 4.** Dust properties in sources PJ231–20 and PJ308–21.

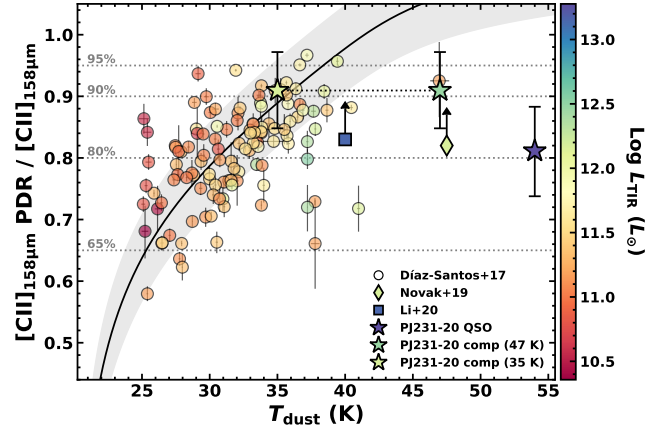
		$T_{\text{dust}}$ (K)	$\text{Log } M_{\text{dust}}$ ( $M_{\odot}$ )	$\beta$	$\text{Log } L_{\text{FIR}}^{(1)}$ ( $L_{\odot}$ )	$\text{Log } L_{\text{TIR}}^{(2)}$ ( $L_{\odot}$ )	$\text{SFR}_{\text{IR}}$ ( $M_{\odot} \text{ yr}^{-1}$ )
PJ231–20	QSO	54	$8.71^{+0.05}_{-0.04}$	$1.48^{+0.06}_{-0.05}$	$13.13^{+0.06}_{-0.06}$	$13.28^{+0.09}_{-0.09}$	$2849^{+633}_{-333}$
	Comp.	46	$8.36^{+0.12}_{-0.12}$	$1.44^{+0.18}_{-0.17}$	$12.40^{+0.09}_{-0.10}$	$12.47^{+0.12}_{-0.13}$	$442^{+140}_{-112}$
		35	$8.53^{+0.14}_{-0.13}$	$1.69^{+0.21}_{-0.19}$	$12.16^{+0.12}_{-0.10}$	$12.18^{+0.14}_{-0.11}$	$224^{+75}_{-35}$
PJ308–21	QSO	48	$7.73^{+0.18}_{-0.20}$	$1.9^{+0.3}_{-0.3}$	$12.31^{+0.14}_{-0.13}$	$12.42^{+0.18}_{-0.17}$	$392^{+193}_{-128}$
	Comp.	47	$7.0^{+0.4}_{-0.4}$	$1.7^{+0.5}_{-0.5}$	$11.28^{+0.25}_{-0.19}$	$11.4^{+0.3}_{-0.2}$	$38^{+28}_{-16}$
		35	$7.3^{+0.4}_{-0.4}$	$1.7^{+0.5}_{-0.5}$	$11.0^{+0.2}_{-0.2}$	$11.1^{+0.2}_{-0.3}$	$19^{+14}_{-8}$

**Notes.** <sup>(1),(2)</sup>Far-infrared and total IR luminosity obtained by integrating the best-fit modified blackbody model in the (rest-frame) wavelength range 40–400  $\mu\text{m}$  (Helou et al. 1988) and 8–1000  $\mu\text{m}$  (Sanders et al. 2003), respectively.

$z = 6.00$  (Li et al. 2020a,b) and the most distant quasar known so far, ULAS J1348+0928 at  $z = 7.54$  (Novak et al. 2019). Díaz-Santos et al. (2017) reported that galaxies with warmer  $T_{\text{dust}}$  show a higher  $f([\text{CII}]^{\text{PDR}})$ ; therefore the fraction of  $[\text{CII}]_{158 \mu\text{m}}$  emitted from PDR increases in highly star-forming systems. The authors also ruled out a significant role for AGN in increasing  $f([\text{CII}]^{\text{PDR}})$  in galaxies. A possible interpretation is that HII regions are more enshrouded by dust in high  $T_{\text{dust}}$  galaxies than those of more evolved systems with lower  $T_{\text{dust}}$ , in which stellar feedback processes have already cleared out most of the dust from the star-forming regions (Díaz-Santos et al. 2017, and references therein). That is, the fractions of  $[\text{CII}]_{158 \mu\text{m}}$  arising from the ionized medium are associated with low-density fossil HII regions and diffuse ionized gas that is not associated with hot young stars. While the high dust temperatures (47–54 K) of the high- $z$  galaxies presented in this work lie outside the range of the LIRG sample, the assumption of  $T_{\text{dust}} = 35$  K in the case of the companion to PJ231–20 means that this object agrees with the trend of local LIRGs shown in Fig. 5.

### 6.3. IR line deficits

The ratio of the luminosity of ISM cooling lines, such as  $L_{[\text{CII}]_{158 \mu\text{m}}}$ ,  $L_{[\text{NII}]_{205 \mu\text{m}}}$  to the total IR luminosity ( $L_{\text{TIR}}$ ) measures the ratio of the cooling of the gas to that of the dust. These ratios decrease by  $\sim 1$ –2 orders of magnitude with increasing dust temperature and IR luminosity in local galaxies (e.g., Malhotra et al. 1997; Graciá-Carpio et al. 2011; Farrah et al. 2013; Díaz-Santos et al. 2013, 2017; Zhao et al. 2013, 2016; Herrera-Camus et al. 2018a) and those at high redshift (e.g., Decarli et al. 2012, 2014; Bañados et al. 2015; Novak et al. 2019; Rybak et al. 2019). The underlying causes of the so-called line deficits are still debated. The physical arguments that are most often proposed include changes in ionization parameter that produce a high dust-to-gas opacity ratio, optically thick dust screening part of the line emission, and progressive thermalization of the lines with low critical densities. However, a possible explanation is that HII regions are dustier in IR-bright galaxies than in low-luminosity systems (e.g., Luhman et al. 2003; González-Alfonso et al. 2008; Abel et al. 2009; Graciá-Carpio et al. 2011; Farrah et al. 2013; Riechers et al. 2014; Díaz-Santos et al. 2017; Herrera-Camus et al. 2018b). In this scenario, a higher fraction of UV photons produced by young stars is absorbed by dust, thus suppressing ionizing photons that would otherwise be absorbed by the neutral medium, thereby decreasing the photoionization heating efficiency, whose



**Fig. 5.** Fraction of  $[\text{CII}]_{158 \mu\text{m}}$  arising from the PDR as a function of dust temperature, color-coded by the logarithm of the total IR luminosity. The QSO PJ231–20 and its companion galaxy are marked by stars. For the companion we report the cases with an assumed dust temperature of 47 K and 35 K. These points are connected by a dashed line. The diamond marks the  $3\sigma$  upper limit on quasar ULAS J1342+0928 at  $z = 7.54$  (Novak et al. 2019), while the square is the upper limit on SDSS J2310+1855 at  $z = 6.00$  (Li et al. 2020a,b). The latter limits are computed using the  $[\text{NII}]_{122 \mu\text{m}}/[\text{NII}]_{205 \mu\text{m}}$  line luminosity ratio to estimate the electron density and then the fraction of  $[\text{CII}]_{158 \mu\text{m}}$  from the ionized gas from Oberst et al. (2006).  $T_{\text{dust}}$  and  $L_{\text{TIR}}$  for SDSS J2310+1855 are derived via a dust SED fitting by Shao et al. (2019), and for ULAS J1342+0928,  $L_{\text{TIR}}$  is estimated in Novak et al. (2019) by assuming  $T_{\text{dust}} = 47$  K (here slightly shifted for better visualization). Circles represent the LIRG sample presented in Díaz-Santos et al. (2017). The solid black line shows the best-fit model  $f([\text{CII}]_{158 \mu\text{m}}^{\text{PDR}}) = 0.82(\pm 0.01) + 0.41(\pm 0.04) \log(S_{63}/S_{158})$ , where  $S_{63}$  and  $S_{158}$  are the continuum flux density at 63  $\mu\text{m}$  and 158  $\mu\text{m}$ , respectively. The gray shaded area is the  $1\sigma$  confidence interval. The approximate relation between the flux density ratio and  $T_{\text{dust}}$  is provided by Díaz-Santos et al. (2017),  $T_{\text{dust}} = 20.24 + 14.54(S_{63}/S_{158}) - 3.75(S_{63}/S_{158})^2 + 0.46(S_{63}/S_{158})^3$ .

net effect is to decrease the line emission relative to the IR luminosity. On the other hand, if  $[\text{CII}]_{158 \mu\text{m}}^{\text{ion}}$  and  $[\text{NII}]_{205 \mu\text{m}}$  emission were to arise from different ISM phases (i.e., low-density regions and diffuse ionized gas that is not associated with hot young stars) than the IR continuum emission, then the line deficit could be driven by a boosting of the IR luminosity rather than by a deficit in the observed line flux. However, consistently with what we discussed in Sect. 6.2, a fraction of this excess energy can be transferred to the surrounding neutral or molecular medium, increasing the  $[\text{CII}]_{158 \mu\text{m}}^{\text{PDR}}$  emission and therefore decreasing the deficit.

In Fig. 6 we compare the  $[\text{CII}]_{158 \mu\text{m}}$  and  $[\text{NII}]_{205 \mu\text{m}}$  line deficits in the quasars and companion galaxies presented in this work with the local LIRG sample of Díaz-Santos et al. (2017) and quasar J1342+0928 at  $z = 7.54$  (Novak et al. 2019). Our findings are consistent within the scatter with the overall trends observed in LIRGs. In particular, the line deficit of the companion galaxy in the low dust temperature case ( $T_{\text{dust}} = 35$  K) in system PJ231–20 is typical of the bulk of the local LIRG population. Noticeably, while we find that the host and companion galaxies of QSO PJ231–20 show  $[\text{NII}]_{205 \mu\text{m}}$  line deficits that are consistent within the errors, the deficit in  $[\text{CII}]_{158 \mu\text{m}}$  is significantly more pronounced in the quasar than in the companion galaxy. However, this is probably not evidence that a powerful AGN affects the  $[\text{CII}]_{158 \mu\text{m}}$  line deficit (unless an AGN biases our  $L_{\text{TIR}}$  measurement): this scenario is excluded from the study of local ULIRGs sample (e.g.,

Díaz-Santos et al. 2013, 2017; Farrah et al. 2013) showing no strong dependence of line deficit and  $[\text{CII}]_{158\ \mu\text{m}}^{\text{PDR}}$  fraction with the AGN contribution. In this context, not even higher ionization lines such as  $[\text{OIII}]_{88\ \mu\text{m}}$  appear to be significantly affected by AGN (see, e.g., Walter et al. 2018). In system PJ308–21, the quasar shows a similar  $[\text{CII}]_{158\ \mu\text{m}}$  deficit to that of the companion galaxy, and both sources exhibit less extreme deficits than in QSO PJ231–20 and its companion. This suggests that the observed line deficit is only slightly affected by an AGN. The observed scatter in the  $L_{[\text{CII}]_{158\ \mu\text{m}}}/L_{\text{TIR}}$  ratio might be caused by the variation in the PDR contribution to the total  $[\text{CII}]_{158\ \mu\text{m}}$  with increasing  $T_{\text{dust}}$  (Díaz-Santos et al. 2017, see Fig. 5).

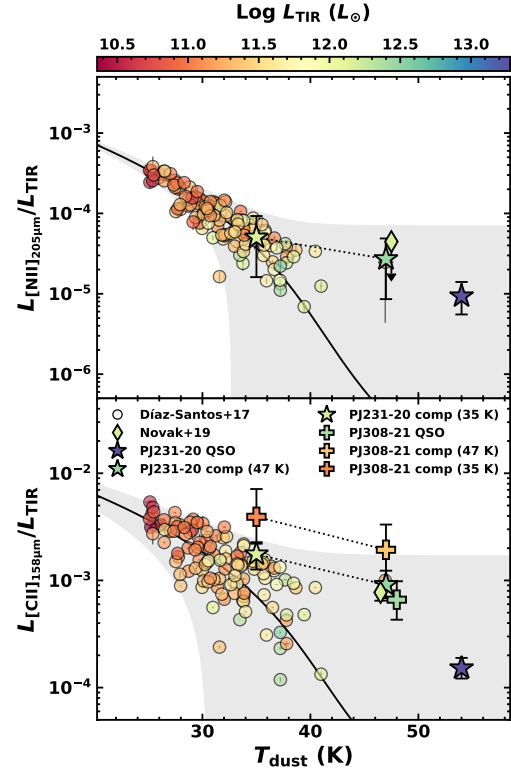
## 7. Atomic medium

### 7.1. $[\text{CI}]_{369\ \mu\text{m}}$ fine-structure line

We detected the atomic fine-structure line  $[\text{CI}]_{369\ \mu\text{m}}$  ( $^3\text{P}_2 \rightarrow ^3\text{P}_1$ ) in QSO PJ231–20 with a significance of  $\sim 4.5\sigma$  while we derived upper limits for the companion and for objects in system PJ308–21. This line has a critical density of  $1.2 \times 10^3\ \text{cm}^{-3}$  (see, e.g., Carilli & Walter 2013, and references therein), which means that it traces the cold dense atomic neutral medium. Because the ionization potential of neutral carbon is close to the dissociation energy of the CO molecule, it is expected to exist in a narrow range of physical conditions. The  $[\text{CI}]$  emission has been thought to emerge from a thin  $\text{C}^+/\text{C}/\text{CO}$  transition layer in the molecular clouds (Tielens & Hollenbach 1985a,b; Hollenbach & Tielens 1999; Kaufman et al. 1999). However, several lines of evidence highlight a close connection of  $[\text{CI}]$  emission and CO rotational transitions, suggesting that the  $[\text{CI}]$  line traces the bulk of molecular gas in galaxies (see, e.g., Ikeda et al. 2002; Papadopoulos et al. 2004; Walter et al. 2011; Alaghband-Zadeh et al. 2013; Israel et al. 2015; Bothwell et al. 2017; Valentino et al. 2018, 2020). This is also predicted by hydrodynamic simulations (e.g., Tomassetti et al. 2014) in which CO and  $[\text{CI}]$  are found to coexist throughout the bulk of the cold molecular component. A viable explanation is provided by clumpy, inhomogeneous PDR models (e.g., Meixner & Tielens 1993; Spaans 1996) in which the surface layers of  $[\text{CI}]$  are distributed across clumpy molecular clouds.

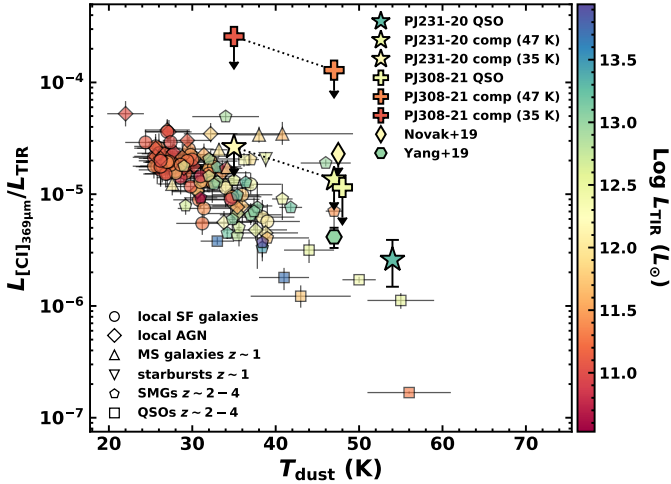
### 7.2. $[\text{CI}]_{369\ \mu\text{m}}$ -to- $L_{\text{TIR}}$ ratio

In Fig. 7 we show the  $L_{[\text{CI}]_{369\ \mu\text{m}}}/L_{\text{TIR}}$  ratio as a function of dust temperature for a variety of galaxies and AGN in the local and high- $z$  Universe (see Valentino et al. 2020, and references therein for full details). The observed average ratio is  $L_{[\text{CI}]_{369\ \mu\text{m}}}/L_{\text{TIR}} \sim 10^{-5}$ , but there is a negative correlation with  $T_{\text{dust}}$ . However, in contrast to the  $[\text{NII}]_{205\ \mu\text{m}}$  and  $[\text{CII}]_{158\ \mu\text{m}}$  line deficits (see Fig. 6), this trend is expected.  $[\text{CI}]$  in PDR models is predicted to arise in regions in which the gas kinetic temperature is similar to dust temperature  $T_{\text{dust}}$  (e.g., Tielens & Hollenbach 1985a) and in which the IR luminosity rises with a high power of  $T_{\text{dust}}$  ( $L_{\text{TIR}} \propto T_{\text{dust}}^{4+\beta}$ ), while the line emission into the cloud is only proportional to the gas temperature. Then, the trend shown in Fig. 7 is also driven by a redshift dependence. Valentino et al. (2018, 2020) found that the observed  $L_{[\text{CI}]_{369\ \mu\text{m}}}/L_{\text{TIR}}$  ratios are lower in high- $z$  SMGs than in local star-forming and main sequence (MS) galaxies on average (i.e., those defining a relatively tight distribution in SFR and stellar mass  $M_*$ , see, e.g., Noeske et al. 2007; Elbaz et al. 2011). The most plausible underlying reason of this trend therefore is a potential evolution in the dust temperature with redshift that enhances



**Fig. 6.**  $[\text{NII}]_{205\ \mu\text{m}}$  and  $[\text{CII}]_{158\ \mu\text{m}}$  line deficits (top and bottom panel, respectively) with respect to the total IR luminosity ( $L_{\text{TIR}}(8\text{--}1000\ \mu\text{m})$ ) for quasars PJ231–20 and PJ308–21 and the companion galaxies and the LIRG sample of Díaz-Santos et al. (2017) as a function of dust temperature and color-coded by  $\log L_{\text{TIR}}$ . We also report the measurements obtained for the  $z = 7.54$  quasar ULAS J1342+0928 (Novak et al. 2019). The sources in system PJ231–20 are indicated by stars, and those in system PJ308–21 are marked with crosses. For the companion galaxies we report the cases with assumed dust temperatures of 47 K and 35 K. These points are connected by a dashed line. The solid lines are the best fit to the LIRG sample performed in Díaz-Santos et al. (2017) using the model  $L_{\text{line}}/L_{\text{FIR}} = \epsilon_0 \exp(-S_{63}/S_{158})/\delta$  with  $(\epsilon_0, \delta) = ((1.26 \pm 0.16) \times 10^{-3}, 0.50 \pm 0.04)$  for the  $[\text{NII}]_{205\ \mu\text{m}}$  line, and  $(\epsilon_0, \delta) = ((14.0 \pm 0.9) \times 10^{-3}, 0.68 \pm 0.04)$  for  $[\text{CII}]_{158\ \mu\text{m}}$  line. We scaled the best fits by a constant factor because different FIR luminosity definitions were employed by Díaz-Santos et al. (2017). The best-fit scaling values are 0.56 for  $[\text{NII}]_{205\ \mu\text{m}}$  line and 0.44 for  $[\text{CII}]_{158\ \mu\text{m}}$  line. The gray shaded area represents the  $1\sigma$  scatter of the relation. The Novak et al. (2019) points were slightly shifted along the dust temperature axis (assumed to be 47 K) for a better visualization of the data.

$L_{\text{TIR}}$  (e.g., Schreiber et al. 2018; Liang et al. 2019; Ma et al. 2019; Faist et al. 2020; Riechers et al. 2020). Furthermore, the IR luminosity measured in local AGN and high- $z$  quasars (which are also expected to have higher  $T_{\text{dust}}$  than a star-forming galaxy) might be affected by a substantial contribution from a dusty torus that boosts the observed  $L_{\text{TIR}}$ , thus driving the observed decrease of  $L_{[\text{CI}]_{369\ \mu\text{m}}}/L_{\text{TIR}}$  with  $T_{\text{dust}}$ . However,  $L_{[\text{CI}]_{369\ \mu\text{m}}}$  and  $L_{\text{TIR}}$  trace the neutral gas and the SFR, respectively, thus their ratio is a proxy of the gas depletion timescale  $\tau_{\text{dep}} = M_{\text{gas}}/\text{SFR}$ , representing the efficiency of the star formation. Therefore the observed trend of  $L_{[\text{CI}]_{369\ \mu\text{m}}}/L_{\text{TIR}}$  might be interpreted as due to a decrease in  $\tau_{\text{dep}}$  (or equivalently, a higher star-formation efficiency) in high- $z$  SMGs and quasar hosts than in MS and local star-forming galaxies. This result is consistent with several CO-based studies (e.g., Daddi et al. 2010; Genzel et al. 2015; Tacconi et al. 2018; Birkin et al. 2021).



**Fig. 7.** Comparison of  $L_{[CI]_{369\mu m}}/L_{TIR}$  and dust temperature ( $T_{dust}$ ) observed in systems PJ231–20 and PJ308–21 with various local and high- $z$  galaxies. Data points are color-coded by  $\log L_{TIR}$ . The reported sample is taken from Valentino et al. (2020) (and references therein) and comprises local star-forming (SF) galaxies (circles) and AGN (diamonds); main-sequence (MS) galaxies (upright triangles) and starburst galaxies (upside-down triangles) at  $z \sim 1$ ; and  $z \sim 2-4$  SMGs (pentagons) and QSOs (squares). The QSO PJ231–20 and its companion are indicated with stars, and the PJ308–21 sources are marked with crosses. For the companions we report the cases with an assumed dust temperature of 47 K and 35 K. These points are connected by a dashed line. The upper limit in our sources corresponds to  $3\sigma$ . We also report measurements in quasar ULAS J1342+0928 at  $z = 7.54$  (see Novak et al. 2019) and quasar J0439+1634 at  $z \approx 6.5$  (Yang et al. 2019a).

We obtained  $3\sigma$  upper limits of the  $[CI]_{369\mu m}$  line for all sources in the systems we studied, except for QSO PJ231–20, for which we measure  $L_{[CI]_{369\mu m}}/L_{TIR} = 2.6^{+1.4}_{-1.1} \times 10^{-6}$ . This value together with the assumed  $T_{dust}$  locate this source in the parameter ranges observed in other high- $z$  quasars. In particular, a similar  $L_{[CI]_{369\mu m}}/L_{TIR}$  ratio ( $4.2^{+0.8}_{-0.8} \times 10^{-6}$ ) has been measured by Yang et al. (2019a) in the  $z \approx 6.5$  quasar J0439+1634. This result suggests a high star-formation efficiency in these sources relative to their local counterparts. For the other sources we studied, we obtained  $L_{[CI]_{369\mu m}}/L_{TIR} < 10^{-4}-10^{-5}$  limits that are  $\sim 1-2$  orders of magnitude lower than  $L_{[CII]_{158\mu m}}/L_{TIR}$ . We also estimated an  $L_{[CI]_{369\mu m}}/L_{[CII]_{158\mu m}}$  of  $\sim 2\%$  in QSO PJ231–20 and  $< 2-6\%$  in all the other sources. These values are similar to the value measured in the quasar host galaxy J1148+5251 at  $z = 6.42$  by Riechers et al. (2009). These results support the assumption that  $[CI]_{369\mu m}$  is a weaker coolant than the dust continuum and  $[CII]_{158\mu m}$  at these high redshifts. In Sect. 9 we further exploit the  $[CI]_{369\mu m}$  detections in quasar hosts and companions to place constraints on the amount of neutral carbon and molecular gas in the ISM.

### 7.3. $[CII]_{158\mu m}$ -to- $[CI]_{369\mu m}$ ratio

The  $[CII]_{158\mu m}$ -to- $[CI]_{369\mu m}$  ratio is extremely useful to distinguish between PDR and XDR models, as other high- $z$  studies have shown (Venemans et al. 2017a,b; Novak et al. 2019). In Fig. 8 we show the  $[CII]$ -to- $[CI]$  intensity ratio obtained from our CLOUDY grids with  $N_H = 10^{23} \text{ cm}^{-2}$ . In the PDR case, the  $[CII]$ -to- $[CI]$  ratio ranges between  $\lesssim 2$  and  $\gtrsim 70$ , and in the XDR case it does not exceed a value of  $\sim 15$ . These ranges are consistent with those that are found in other models in the literature (e.g., Kaufman et al. 1999; Meijerink et al. 2007). The different values of the  $[CII]$ -to-

$[CI]$  ratio predicted in PDR and XDR models arise because the CO-to-C abundance ratio produced in the XDR regime is lower (see, e.g., Maloney et al. 1996; Meijerink & Spaans 2005). While PDRs have a sharp  $C^+/C/CO$  transition layer at a certain depth in the clouds (depending on the density and FUV field strength), X-ray photons can penetrate much deeper into the cloud, which affects its whole structure. As a result, both C and  $C^+$  are present in the XDR regime throughout most of the cloud, and their column density increases much more gradually as a function of cloud depth than in the PDR case.

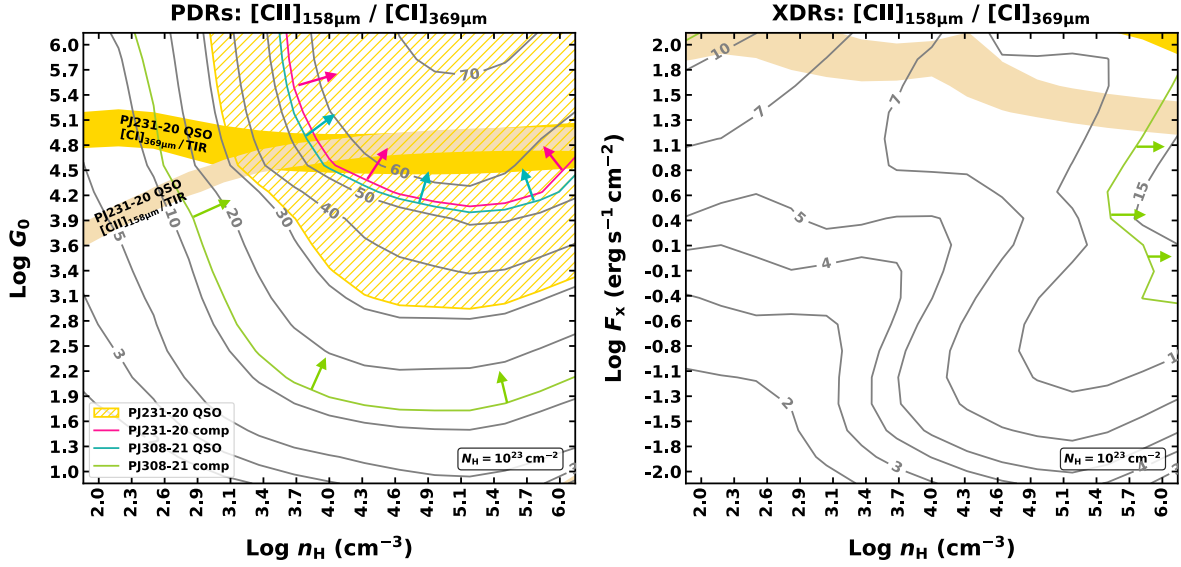
Additional constraints on the radiation field in galaxies are provided by the  $[CI]_{369\mu m}$ -to- $TIR$  and  $[CII]_{158\mu m}$ -to- $TIR$  luminosity ratios. For high FUV fluxes ( $G_0 \gtrsim 10^2$ ) these ratios are expected to decrease as  $G_0$  increases in the PDR case, with almost no dependence on ISM density. By increasing  $G_0$ , the  $C^+/C/CO$  transition layer in which  $[CI]$  transitions take place is pushed deeper into the cloud, but the C column density remains substantially unaffected. The gas heating efficiency due to photoelectric effects on dust grains reaches its maximum values at  $G_0 \sim 10-100$  and becomes less efficient at higher fluxes, while the dust heating per UV photon remains at the same level (e.g., Kaufman et al. 1999). In this case, the  $[CII]_{158\mu m}$  line luminosity increases logarithmically, but the IR luminosity is linearly proportional to  $G_0$ . As a result, the  $[CI]_{369\mu m}$ -to- $TIR$  and  $[CII]_{158\mu m}$ -to- $TIR$  luminosity ratios mostly depend on  $G_0$  for high UV fluxes (see also, e.g., Tielens & Hollenbach 1985a; Kaufman et al. 1999; Gerin & Phillips 2000).

The observed luminosity ratios, corrected for the fraction of  $[CII]_{158\mu m}$  that actually arises from PDRs (see Sect. 6.2), are  $L_{[CII]_{158\mu m}}/L_{[CI]_{369\mu m}} = 46^{+30}_{-14}$  for the QSO PJ231–20, and  $3\sigma$  limits of  $> 55$ , 53, and 13 in its companion, the QSO PJ308–21, and the companion galaxy to QSO PJ308–21, respectively. For all the sources, the PDR scenario is therefore favored. In the case of QSO PJ231–20, this diagnostic indicates a density  $n_H > 10^3 \text{ cm}^{-3}$  and an FUV radiation field strength of  $> 10^3 G_0$ . Similar constraints are inferred for all the other sources (see Fig. 8). In addition, by combining the estimated values of the  $L_{[CI]_{369\mu m}}$ -to- $L_{TIR}$  and  $L_{[CII]_{158\mu m}}$ -to- $L_{TIR}$  ratios with the  $[CII]_{158\mu m}/[CI]_{369\mu m}$  CLOUDY models (see Fig. 8), we constrained the FUV flux to  $G_0 \approx 3 \times 10^4 - 10^5$  in QSO PJ231–20. A similar value was reported for the J0439+1634 quasar at  $z \approx 6.5$  by Yang et al. (2019a).

## 8. Molecular medium

### 8.1. CO rotational lines

Molecular clouds consist almost entirely of molecular hydrogen ( $H_2$ ). Unfortunately,  $H_2$  has a zero electric dipole moment and high vibrational energy levels, thus it is a poor radiator in the physical conditions of the cold ISM. The most abundant molecule after  $H_2$  is CO; it has a weak permanent dipole moment and its rotational levels are primarily populated by collisions with  $H_2$ . CO emission has been widely detected in normal galaxies in the local Universe (see, e.g., Saintonge et al. 2017, 2018; Tacconi et al. 2020 for a review) and in the highest-redshift quasars and SMGs (e.g., Riechers et al. 2013; Strandet et al. 2017; Venemans et al. 2017c; Novak et al. 2019; Li et al. 2020b), and it provides key information for characterizing the ISM. Low- $J$  ( $J_{up} < 6$ ) CO lines have a low critical density ( $n_{crit} \sim 10^3 \text{ cm}^{-3}$ ) and excitation temperature, therefore they are associated with cold molecular gas in PDRs. In contrast, high- $J$  ( $J_{up} > 7$ ) transitions have a high critical density ( $n_{crit} \sim 10^5 \text{ cm}^{-3}$ ) and mainly trace the high-density and/or high-temperature gas, and their excitation is typically



**Fig. 8.** Grids of the  $[\text{CII}]_{158\mu\text{m}}/[\text{CI}]_{369\mu\text{m}}$  intensity line ratio as a function of the strength of the radiation field ( $G_0$  or  $F_x$ ) and total hydrogen density ( $n_H$ ) in the PDR (left panel) and XDR case (right panel). The adopted total hydrogen column density is  $N_H = 10^{23} \text{ cm}^{-2}$ . Model values are indicated by gray contours (linear scale), and constraints on the observed line ratios are superimposed. The dashed gold area indicates the  $[\text{CII}]_{158\mu\text{m}}$ -to- $[\text{CI}]_{369\mu\text{m}}$  luminosity ratio measured in QSO PJ231–20 within its uncertainties, and  $3\sigma$  lower limits on the other sources are reported (see the legend in the bottom left corner of the left panel). The  $[\text{CII}]_{158\mu\text{m}}$ -to- $[\text{CI}]_{369\mu\text{m}}$  ratios in QSO PJ231–20 and its companion are scaled according to the estimated fraction of  $[\text{CII}]_{158\mu\text{m}}$  arising from PDRs. The XDR case does not reproduce the observed ratios in any source, except for a small range of values corresponding to the lower limit measured in QSO PJ308–21. We also report the  $[\text{CI}]_{369\mu\text{m}}$ -to-TIR and  $[\text{CII}]_{158\mu\text{m}}$ -to-TIR luminosity ratio estimates in QSO PJ231–20 as filled gold and light brown areas, respectively. This provides additional constraints on the radiation field flux.

explained by an intense X-ray radiation field impinging the molecular clouds in the central region of galaxies (XDRs) or by shocks produced by AGN-driven outflows (e.g., Meijerink et al. 2013; Gallerani et al. 2014; Mingozzi et al. 2018; Carniani et al. 2019). By combining multiple CO lines, we can therefore study the CO spectral line energy distribution (SLED). This distribution is a powerful tool for distinguishing the contribution of star formation and AGN (PDRs vs. XDRs) to the CO line emission and to constrain the properties of different gas phases, such as the density of the medium and the intensity of the radiation field (e.g., Riechers et al. 2009, 2013; Stefan et al. 2015; Yang et al. 2017; Cañameras et al. 2018; Carniani et al. 2019).

We detected multiple CO lines (7–6, 10–9, 15–14, and 16–15) in QSO host PJ231–20 with various degrees of significance, but we detect only CO(7–6) and CO(10–9) in the companion galaxy. We also only have a marginal CO(15–14) detection in QSO PJ308–21. The measurements are reported in Table 3.

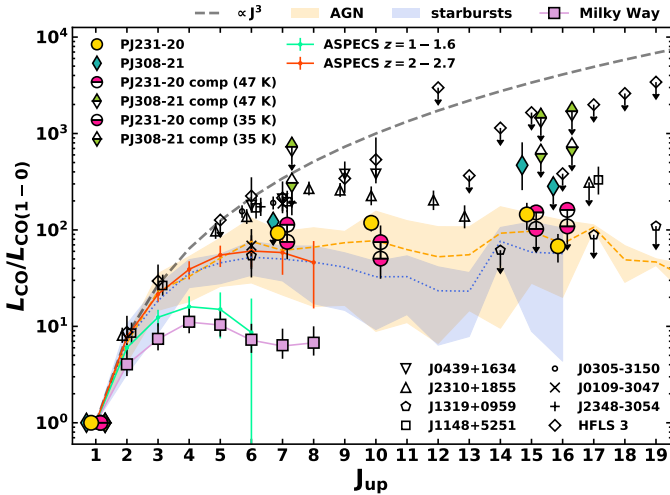
## 8.2. CO(1–0)-normalized SLEDs

The CO SLED shows the relative luminosity of various CO transitions in an astrophysical source. It is used to gauge the underlying physical conditions (density, intensity, and source of the radiation field) of the CO-emitting gas. The intensity of the observed lines is usually normalized to the ground transition, CO(1–0), which cannot be observed with ALMA in the targeted sources. Nevertheless, we estimated its intensity following empirical relations of the CO(1–0) and the IR luminosity (see, e.g., Carilli & Walter 2013, for a review). Alternatively, the dust masses estimated via the Rayleigh-Jeans dust continuum (Sect. 5) can be converted into a CO(1–0) luminosity via a gas-to-dust mass ratio,  $\delta_{\text{gdr}}$ , and a CO-to- $\text{H}_2$  (light-to-mass)

conversion factor,  $\alpha_{\text{CO}}$  (see Bolatto et al. 2013):  $L'_{\text{CO}(1-0)} = M_{\text{dust}} \delta_{\text{gdr}} / \alpha_{\text{CO}}$ .

By starting from the dust masses derived in Sect. 5, we therefore first estimated the molecular gas mass  $M_{\text{H}_2}$  of our sources by adopting  $\delta_{\text{gdr}} = 100$  (e.g., Genzel et al. 2015, see Sect. 9). By employing the typical value of  $\alpha_{\text{CO}} = 0.8 \text{ (K km s}^{-1} \text{ pc}^2\text{)}^{-1}$  (Downes & Solomon 1998) that was adopted in the literature for SMGs and quasar hosts (see Carilli & Walter 2013 for a comprehensive discussion) and assuming that 75% of the dust-derived gas mass is in molecular form (e.g., Riechers et al. 2013; Wang et al. 2016; Venemans et al. 2017c), we then find  $L_{\text{CO}(1-0)} \sim 2.4 \times 10^6 L_{\odot}$  for QSO PJ231–20 and  $L_{\text{CO}(1-0)} \sim 2.6 \times 10^5 L_{\odot}$  for QSO PJ308–21. On the other hand, in the case of companion galaxies, we assumed  $T_{\text{dust}} = 47 \text{ K}$  and found  $L_{\text{CO}(1-0)} \sim 1.1 \times 10^6 L_{\odot}$  and  $\sim 0.4 \times 10^5 L_{\odot}$  for the source in systems PJ231–20 and PJ308–21, respectively. These values increase by a factor of  $\sim 2\times$  when a dust temperature of 35 K is assumed. However, they are consistent within the uncertainties with the values obtained adopting a higher dust temperature.

In Fig. 9 we compare the CO measurements of our sources, normalizing by CO(1–0) with the average CO(1–0)-normalized SLEDs of low- $z$  starburst galaxies and AGN, respectively (Mashian et al. 2015; Rosenberg et al. 2015), the Milky Way Galactic center (Fixsen et al. 1999), and various measurements of  $z > 6$  sources retrieved from the literature. The high- $z$  sources we show include the quasars J2310+1855 at  $z = 6.00$  (Carniani et al. 2019; Shao et al. 2019; Li et al. 2020b), J1319+0959 at  $z = 6.12$  (Wang et al. 2013; Carniani et al. 2019), J1148+5251 at  $z = 6.4$  (Walter et al. 2003; Riechers et al. 2009; Stefan et al. 2015; Gallerani et al. 2014), J0439+1634 at  $z = 6.52$  (Yang et al. 2019a), and J0305–3150, J0109–3047, and J2348–3054 at  $z = 6.61, 6.79,$  and  $6.90$ , respectively



**Fig. 9.** Average CO(1–0)-normalized SLEDs (in  $L_{\odot}$ ). Colored circles and diamonds are measurements obtained in this work for systems PJ231–20 and PJ308–21. For the companion galaxies we show the two SLEDs obtained assuming  $T_{\text{dust}} = 47$  K (filled half-top symbols) and 35 K (filled half-bottom symbols). Empty symbols refer to the CO ladders of other high- $z$  quasars and one SMG (HFLS 3) from the literature (see Riechers et al. 2013; Venemans et al. 2017c; Carniani et al. 2019; Yang et al. 2019a; Li et al. 2020b, and references therein). Average CO SLEDs of local AGN and starburst galaxies from the literature (Mashian et al. 2015; Rosenberg et al. 2015) are reported by the dashed orange and dotted blue lines, respectively. Shaded areas are the confidence limits (computed as the 16th and 85th percentile of the distribution, respectively). Violet squares are the Milky Way Galactic center CO-normalized ladders from Fixsen et al. (1999). Average CO SLEDs of starburst galaxies at  $z \sim 1-1.6$  and  $z \sim 2-2.6$  from ASPECS are shown as green and red lines, respectively. The dashed gray line is the expected thermalized CO SLED in the Rayleigh-Jeans limit.

(Venemans et al. 2017c)<sup>3</sup>, and the  $z = 6.34$  SMG HFLS 3 (Riechers et al. 2013). For the quasars for which  $L_{\text{CO}(1-0)}$  was not available in the literature, we derived  $L_{\text{CO}(1-0)}$  from dust masses provided in Carniani et al. (2019) using the same assumptions as described above for systems PJ231–20 and PJ308–21. In addition, we report the CO SLED models obtained from the ASPECS ALMA large program for  $z \sim 1-2$  starburst galaxies (Boogaard et al. 2020).

Despite the large uncertainties, we can identify an overall trend for the low- $z$  starburst and AGN CO SLEDs. Starburst CO SLEDs typically reach a peak at  $J_{\text{up}} \approx 6-7$  and show a steady decline afterward. The average CO SLED of local AGN is more excited at high- $J$  ( $J_{\text{up}} \gtrsim 9-10$ ) than is that of the starbursts, consistent with the scenario in which high- $J$  CO transitions are associated with a highly excited medium due to the strong radiation field or shocks produced by the AGN activity.

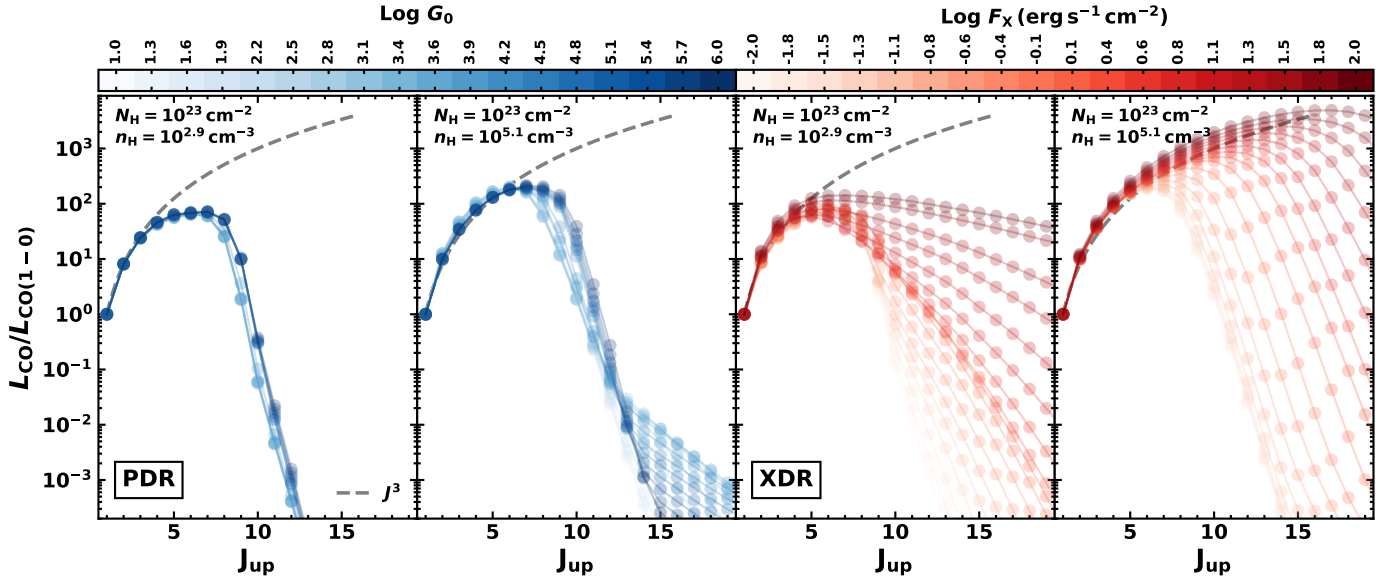
Here, the QSO PJ231–20 CO SLED is similar to those of local AGN. In particular, the observed CO(10–9)-to-CO(1–0) luminosity ratio can help us to distinguish between AGN and the starburst regime. On the other hand, the CO SLED of the PJ231–20 companion galaxy is consistent within  $\sim 1\sigma$  with both the starbursts and AGN population, even when the upper limits on the CO(15–14)-to-CO(1–0) and CO(16–15)-to-CO(1–0) luminosity ratios are taken into account. On the other hand, when we assume a dust temperature of 35 K, the CO SLED appears similar to the average CO SLED of starburst galaxies.

<sup>3</sup> See Table 2 in Carniani et al. (2019) and Table 1 in Venemans et al. (2017c) for the complete collection of measurements.

However, Mazzucchelli et al. (2019) failed to detect the PJ231–20 companion in the rest-frame optical/UV wavelengths, revealing that this source is highly dust-enshrouded, with an SED similar to that of Arp 220, which is a highly star-forming and highly dust-obscured ULIRG in the local Universe. In addition, Connor et al. (2020) did not detect the PJ231–20 companion even in X-ray observations, which rules out the presence of an AGN in this source, at least with a luminosity similar to that of optically selected quasars. These previous studies suggest that star formation probably dominates the radiation field (and therefore the CO excitation) in this source. While little information is available for the PJ308–21 companion, we observe a high CO(15–14)-to-CO(1–0) luminosity ratio for the quasar host, possibly revealing the contribution of the AGN that excites this high- $J$  CO ladder (see, e.g., Schleicher et al. 2010; Gallerani et al. 2014; Carniani et al. 2019). Finally, the observed CO(7–6)-to-CO(1–0) luminosity ratios in the quasar host PJ231–20 and its companion, and the upper limit available for QSO PJ308–21, are lower than those of other  $z > 6$  quasars (e.g., Venemans et al. 2017c), but are consistent within the uncertainties with the average CO SLED model of the  $z \gtrsim 2$  starburst galaxies in the ASPECS field. Interestingly, the latter appears to be fairly similar to the average CO SLED of local starburst galaxies. However, we note that the CO luminosity ratios in high- $z$  sources have large uncertainties. In particular, the estimate of  $L_{\text{CO}(1-0)}$  is significantly affected by the assumed gas-to-dust ratio and the uncertainties on dust mass obtained from the dust SED (see, e.g., Sect. 5).

### 8.3. CO SLED models

From the CLOUDY grids, we retrieved the CO line intensities and normalized them to the CO(1–0) line intensity, thus obtaining the normalized CO SLEDs as function of  $n_{\text{H}}$  and radiation field flux ( $G_0$  or  $F_{\text{X}}$  in the case of PDR and XDR models, respectively). In Fig. 10 we show the CO SLED models in the PDR and XDR case for two values of cloud gas density,  $n_{\text{H}} \approx 0.7 \times 10^3$  and  $\approx 1 \times 10^5 \text{ cm}^{-3}$ , for which we varied the radiation field strength at fixed hydrogen column density  $N_{\text{H}} = 10^{23} \text{ cm}^{-2}$ . The results show that in the PDR case, the CO SLED is almost independent of  $G_0$ , in contrast to the XDR case. These behaviors are the direct consequence of a completely different heating mechanism driven by absorption of UV and X-ray photons. X-rays have very low cross sections and therefore can penetrate much deeper into the cloud (at column densities  $> 10^{22} \text{ cm}^{-2}$ ). Furthermore, X-ray photons have a higher heating efficiency than UV photons, which maintains molecular clouds at a high temperature even at high column density (Maloney et al. 1996; Lepp & Dalgarno 1996; Meijerink & Spaans 2005). As expected, in the PDR and XDR cases, the peak of the CO SLED rises and shifts to higher  $J$  values as the density increases. The population of high- $J$  CO levels (set by the competition of collisional excitation and radiative de-excitation) increases with increasing cloud density due to the higher critical densities of these lines and progressively thermalizes, saturating at a certain value. In the optically thick and high-temperature (or low-frequency) limit, the luminosities (in  $L_{\odot}$  units) of the CO rotational lines are approximately proportional to  $J^3$  (see, e.g., Obreschkow et al. 2009; da Cunha et al. 2013; Narayanan & Krumholz 2014). At higher- $J$  transitions, the PDR CO SLED drops considerably and flattens at a low level due to the small amount of hot gas in the outer layer of the molecular cloud. On the other hand, in XDRs, a much larger fraction of the gas is at higher temperature, thus the CO SLED does not drop as dramatically. For this reason, observations of high- $J$  CO lines



**Fig. 10.** CLOUDY CO(1–0)-normalized SLED models in the PDR (left panels) and XDR regime (right panels) for which the intensity of the incident radiation field was varied over the entire parameter space and for two reference values of total hydrogen density ( $n_{\text{H}} = 10^{2.9}, 10^{5.1} \text{ cm}^{-3}$ ) and column density  $N_{\text{H}} = 10^{23} \text{ cm}^{-2}$ . PDR cases are shown in blue, and XDRs are in red. Both are color-coded according to the values of the radiation field flux. The dashed gray line indicates the  $J^3$  curve, which is the expected trend of the CO SLED in LTE (in the optically thick and high-temperature or low-frequency limit).

are expected to reveal an additional source of heating, for example, X-rays (see, e.g., Schleicher et al. 2010). We note that our CO SLED model predictions agree with other PDR/XDR CLOUDY models reported in the literature (e.g., Vallini et al. 2018, 2019).

For QSO PJ231–20, the mere detection (although at modest significance) of very high- $J$  CO transitions, with estimated  $L_{\text{CO}(15-14)}/L_{\text{CO}(1-0)}$  and  $L_{\text{CO}(16-15)}/L_{\text{CO}(1-0)}$  ratios of  $\sim 100$ , immediately points to a significant XDR contribution. In Fig. 11 we show our best-fit CLOUDY models of the CO SLED in QSO PJ231–20 obtained by minimizing  $\chi^2$ . The high- $J$  normalized CO fluxes ( $J_{\text{up}} = 15, 16$ ) in QSO PJ231–20 cannot be reproduced by a single PDR model. We obtained excellent agreement using a composite (PDR+XDR) model in the form  $W_{\text{PDR}} F_{\text{CO}}^{\text{PDR}}(n_{\text{H}}^{\text{PDR}}, G_0) + W_{\text{XDR}} F_{\text{CO}}^{\text{XDR}}(n_{\text{H}}^{\text{XDR}}, F_{\text{X}})$ , adopting  $N_{\text{H}} = 10^{23} \text{ cm}^{-2}$ . Here  $W_{\text{PDR}}$  and  $W_{\text{XDR}}$  are the relative contributions of the PDR ( $F_{\text{CO}}^{\text{PDR}}$ ) and XDR component ( $F_{\text{CO}}^{\text{XDR}}$ ) to the CO(1–0) emission, respectively. The best-fit model has  $W_{\text{PDR}} = 0.90 \pm 0.04$  and  $W_{\text{XDR}} = 0.10 \pm 0.04$ , a total hydrogen density  $n_{\text{H}}^{\text{PDR}} \sim 4 \times 10^2 \text{ cm}^{-3}$ ,  $n_{\text{H}}^{\text{XDR}} \sim 5 \times 10^5 \text{ cm}^{-3}$ , and a UV/X-ray radiation field strength of  $G_0 \sim 1 \times 10^5$  and  $F_{\text{X}} \sim 10 \text{ erg s}^{-1} \text{ cm}^{-2}$ , respectively. Because we considered the same column densities for PDR and XDR models,  $W_{\text{PDR}}$  and  $W_{\text{XDR}}$  are directly connected with the CO mass budget in the ISM. Therefore this result indicates that PDRs account for  $\sim 90\%$  of the molecular mass in the quasar host. However, while XDRs account for a small fraction of the molecular mass, they dominate the CO emission at  $J_{\text{up}} \geq 10$ . In QSO PJ231–20, we measured  $L_{\text{CO}(7-6)}/L_{\text{CO}(1-0)} = 93_{-12}^{+13}$ . This ratio is  $\sim 30\%$  with respect to the value expected for CO thermalized emission in the optically thick and Rayleigh-Jeans limit (described by the  $J^3$  curve in Fig. 11).

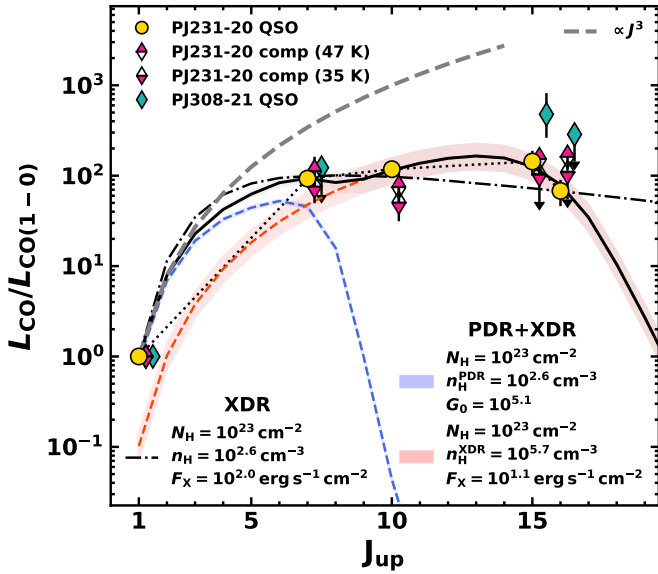
However, although the composite model allows us to accurately reproduce the observed CO SLED in QSO PJ231–20, the number of free parameters is greater than the number of data points, which produces degeneracies in the best-fit parameters. In Fig. 11 we also show the best-fit model obtained by employing a single XDR component. In this case, the best-fit

model approximately agrees with the observed CO SLED in QSO PJ231–20, with a value  $n_{\text{H}} \sim 4 \times 10^2 \text{ cm}^{-3}$ , but it indicates an extreme value of the X-ray radiation field intensity of  $F_{\text{X}} \sim 10^2 \text{ erg s}^{-1} \text{ cm}^{-2}$ , corresponding to the upper boundary of the parameter space. Therefore this result should be taken into account with caution.

In Fig. 11 we also report the observed CO SLED in the PJ231–20 companion galaxy and QSO PJ308–21. Despite detecting  $L_{\text{CO}(7-6)}/L_{\text{CO}(1-0)}$  and  $L_{\text{CO}(10-9)}/L_{\text{CO}(1-0)}$  in the PJ231–20 companion, we cannot safely infer a significant XDR contribution in this source because we lack high- $J$  CO detections. On the other hand, the high  $L_{\text{CO}(15-14)}/L_{\text{CO}(1-0)} \sim 3 \times 10^2$  measured in QSO PJ308–21 (although with a low S/N) can only be reproduced with our models by adopting a strong XDR component.

Overall, our CO SLED modeling suggests that a significant contribution to CO emission arises from clouds that are exposed to X-ray radiation, at least in quasar hosts. This result appears to be in contrast with our  $[\text{CII}]_{158 \mu\text{m}}/[\text{CI}]_{369 \mu\text{m}}$  modeling discussed in Sect. 7.3. However, in our composite PDR+XDR model, we found that PDRs dominate the molecular mass budget and thus dominate the  $[\text{CII}]_{158 \mu\text{m}}$  and  $[\text{CI}]_{369 \mu\text{m}}$  emission. In this scenario, the high- $J$  CO lines mainly arise from the molecular medium in the central region of the quasar host galaxy, while emission from lower- $J$  CO lines and FSLs traces the ISM on larger scales. The good correlation of the [CI] and  $J \leq 7$  CO lines suggests that these lines trace the spatially extended gas reservoir associated with vigorous star formation rather than the denser and more concentrated gas that is traced by high- $J$  CO lines (see, e.g., Gerin & Phillips 2000; Engel et al. 2010; Ivison et al. 2011; Jiao et al. 2017 for studies of nearby sources, and, e.g., Weiß et al. 2005; Tacconi et al. 2008; Bothwell et al. 2010, 2013; Riechers et al. 2011; Walter et al. 2011; Yang et al. 2017; Valentino et al. 2018, 2020, for intermediate and high- $z$  works).

Finally, we stress that the uncertainties reported in our CO(1–0)-normalized SLEDs are statistical errors that ignore



**Fig. 11.** CO(1–0)-normalized SLED fits of QSO PJ231–20. Gold circles indicate the CO luminosity ratios measured in the quasar. The dot-dashed black line is the best-fit model using a single XDR model. The composite (PDR+XDR) model described in the text is represented with the solid black line. The dashed blue and red lines show the PDR and XDR component, respectively. The shadowed area indicates the  $1\sigma$  uncertainties on the normalization values. Best-fit parameters are reported in the legends at the bottom of the figure. The dashed gray line is the theoretical trend ( $\propto J^3$ ) of the CO SLED in the LTE, optically thick, and high-temperature (or low frequency) limit. The red and blue diamonds (slightly shifted horizontally for clarity) are the CO(1–0)-normalized SLED in the PJ231–20 companion galaxy and QSO PJ308–21, respectively. For the PJ231–20 companion we report the CO SLEDs obtained assuming  $T_{\text{dust}} = 47$  K (half-top filled magenta diamonds) and 35 K (half-bottom filled magenta diamonds, see Sect. 8.2).

any systematic uncertainties associated with the assumption of a gas-to-dust ratio by which we estimated the CO(1–0) luminosity in this work. Our results should therefore be considered tentative. In order to better constrain the relative contribution of PDRs and XDRs in these sources, we need observations of other CO lines over a wide range of values of  $J$ . We also point out that our analysis does not rule out the possibility that another source of heating such as cosmic rays, shocks, or mechanical heating from turbulence may contribute significantly to the mid- or high- $J$  CO excitation (see, e.g., Hollenbach & McKee 1989; Flower & Pineau Des Forêts 2010; Mingozi et al. 2018; Godard et al. 2019; Vallini et al. 2019).

#### 8.4. $H_2O$ rotational lines

Water vapor emission is a tracer of the molecular warm dense phase of the interstellar medium ( $n_{H_2} \gtrsim 10^5$ – $10^6$   $\text{cm}^{-3}$ ,  $T \sim 50$ – $100$  K, see Liu et al. 2017), where UV/X-ray radiation from newly formed stars or powerful AGN increases the dust temperature above that of ice evaporation (e.g., Cernicharo et al. 2006). In these regions, the  $H_2O$  molecule can be released into the gas phase by photodesorption from dust grains (Hollenbach et al. 2009) or by sputtering of grains in shocked-heated regions (e.g., Bergin et al. 2003; González-Alfonso et al. 2012, 2013), where  $H_2O$  becomes the third most abundant species and the strongest molecular emitter after the high- $J$  ( $J_{\text{up}} > 7$ – $8$ ) CO transitions. The  $H_2O$  molecule can also be formed in the

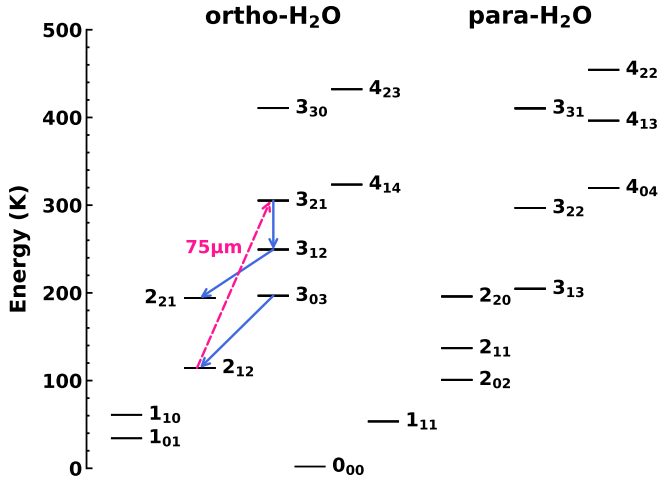
gas phase through ion-neutral chemistry and neutral-neutral endothermic reactions (Graff & Dalgarno 1987; Wagner & Graff 1987; Hollenbach et al. 2009). Lower-level transitions ( $E_{\text{upper}} < 200$  K) arise in collisionally excited gas with a kinetic temperature of  $\sim 100$  K and clump densities of about  $3 \times 10^6$   $\text{cm}^{-3}$ , where they may play an important role as a cooling agent (e.g., Neufeld & Kaufman 1993; Neufeld et al. 1995; Bradford et al. 2011). On the other hand, due to the high critical density for collisional excitation of higher levels ( $n_{\text{crit}} \gtrsim 10^7$ – $10^8$   $\text{cm}^{-3}$ , e.g., Faure et al. 2007; Faure & Josselin 2008; Daniel et al. 2012), water excitation instead requires radiative excitation by an intense far-IR radiation field from warm dust (Weiß et al. 2010; González-Alfonso et al. 2014), and the intensity of the lines is found to be nearly linearly proportional with the total IR luminosity of the galaxies (Omont et al. 2013; Yang et al. 2013, 2016; Liu et al. 2017). Because both collisions and IR pumping are responsible for populating the water energy levels,  $H_2O$  lines not only probe the physical conditions of the warm and dense molecular gas-phase ISM, but also provide important clues about the dust IR radiation density.

Previous ground-based observations of water lines in nearby galaxies were limited by telluric atmospheric absorption and consequently have been restricted to radio maser transitions and a few transitions in IR-luminous galaxies (e.g., Combes & Wiklind 1997; Menten et al. 2008). On the other hand, observational campaigns using space telescopes (e.g., Infrared Space Observatory (ISO), *Herschel*/PACS/SPIRE) have been successful in detecting  $H_2O$  features in local galaxies (mainly in absorption; Fischer et al. 1999, 2010; González-Alfonso et al. 2004, 2008, 2010, 2012; van der Werf et al. 2010; Weiß et al. 2010; Rangwala et al. 2011; Spinoglio et al. 2012; Pereira-Santaella et al. 2013). Furthermore, various  $H_2O$  emission lines have been detected at higher redshifts ( $z > 1$ – $2$ ) in starburst galaxies and in hyper or ultra luminous IR galaxies (Hy/ULIRGs, e.g., Bradford et al. 2011; Lis et al. 2011; Omont et al. 2011, 2013; van der Werf et al. 2011; Combes et al. 2012; Lupu et al. 2012; Bothwell et al. 2013; Yang et al. 2013, 2016, 2019b, 2020b) and also in a  $z > 6$  SMG (Riechers et al. 2013) and a lensed  $z > 6$  quasar host galaxy (Yang et al. 2019a).

We present submillimeter  $H_2O$  emission in our two  $z > 6$  nonlensed quasar host galaxies. In particular, we report three  $H_2O$  line detections in QSO PJ231–20 ( $3_{12}$ – $2_{21}$ ,  $3_{21}$ – $3_{12}$ , and  $3_{03}$ – $2_{12}$ ) and a tentative detection of  $H_2O$   $3_{03}$ – $2_{12}$  in QSO PJ308–21, but we only have upper limits on water lines from the companion galaxies. The water vapor energy levels and the targeted (ortho)- $H_2O$  transitions are reported in Fig. 12. The  $H_2O$   $3_{12}$ – $2_{21}$  and  $3_{21}$ – $3_{12}$  lines are likely mainly produced by the cascade process in response to absorption of IR photons at  $75$   $\mu\text{m}$ , while the  $H_2O$   $3_{03}$ – $2_{12}$  line is more sensitive to collisions and is enhanced in systems with a higher gas kinetic temperature (e.g., van der Werf et al. 2011; González-Alfonso et al. 2014; Liu et al. 2017).

#### 8.5. $L_{H_2O}$ – $L_{\text{TIR}}$ relation

In the upper panel of Fig. 13 we compare the  $H_2O$   $3_{21}$ – $3_{12}$  line with the total IR luminosity obtained through the fit of the dust SED of QSO PJ231–20 and its companion (see Table 4) with a sample of nearby ULIRGs presented in Yang et al. (2013). For this comparison, we also included  $H_2O$  detections of  $z \sim 2.5$ – $3.5$  Hy/ULIRGs from Yang et al. (2016), APM08279+5255 at  $z \approx 3.9$  (van der Werf et al. 2011), the  $z = 6.34$  SMG HFLS 3 (Riechers et al. 2013), and J0439+1634, a  $z \approx 6.5$  quasar host

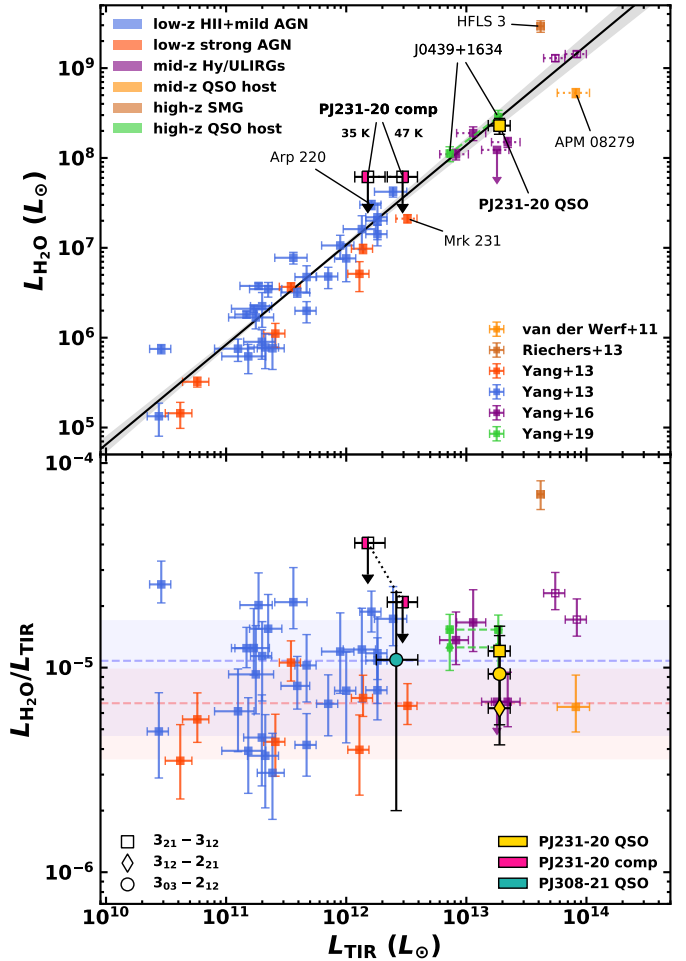


**Fig. 12.** Part of the  $\text{H}_2\text{O}$  energy level diagram. Para- and ortho- $\text{H}_2\text{O}$  ladders are shown together with the detected  $\text{H}_2\text{O}$  line transitions (blue arrows). The magenta arrow indicates the IR radiative-pumped transition at  $75\ \mu\text{m}$  that populates the  $3_{21}$  level (see González-Alfonso et al. 2014; Liu et al. 2017).

galaxy (Yang et al. 2019a). Our data are consistent within the uncertainties with the almost linear relation found by Yang et al. (2013, 2016),  $L_{\text{H}_2\text{O}} \propto L_{\text{TIR}}^{1.1-1.2}$ . This correlation appears to be the straightforward consequence of the IR-pumping mechanism responsible for the population of the upper level ( $3_{21}$ ) of the  $\text{H}_2\text{O}$  molecules (Yang et al. 2013), which after absorption of far-IR photons, cascade via the lines we observe in an approximately constant fraction. However, Liu et al. (2017) emphasized that the medium-excitation  $\text{H}_2\text{O}$  transitions (such as  $3_{21}-3_{12}$ ) probe the physical regions of galaxies in which a large fraction of FIR emission is generated. Therefore the observed  $L_{\text{H}_2\text{O}}-L_{\text{TIR}}$  correlation could be largely driven by the sizes of FIR- and water vapor-emitting regions. The  $\text{H}_2\text{O}$  detections presented in this work together with those of Riechers et al. (2013) and Yang et al. (2019a) extend the redshift range explored in the previous works by Yang et al. (2013, 2016), confirming that this relation also appears to hold at very high- $z$ .

Yang et al. (2013) found slightly different values of  $L_{\text{H}_2\text{O}}/L_{\text{TIR}}$  for the  $3_{21}-3_{12}$  transition in local AGN and star-formation-dominated galaxies with possible mild AGN contribution. However, apart from individual studies (e.g., González-Alfonso et al. 2010 for Mrk 231, and van der Werf et al. 2011 for APM08279) showing that AGN could be the main power source exciting the  $\text{H}_2\text{O}$  lines in this objects, it is still not clear how a strong AGN could affect the  $\text{H}_2\text{O}$  emission. One possibility is that the buried AGN results in a higher TIR-to- $75\ \mu\text{m}$  luminosity ratio than in starburst galaxies because the shape of the IR SED of these sources is different (e.g., Kirkpatrick et al. 2015; Yang et al. 2016). In addition, high X-ray fluxes can photodissociate  $\text{H}_2\text{O}$  molecules. On the other hand, a high dust continuum opacity at  $100\ \mu\text{m}$  ( $\tau_{100}$ ) and/or large velocity dispersion can enhance the  $\text{H}_2\text{O}$  luminosity (e.g., Arp 220, González-Alfonso et al. 2014; Yang et al. 2016).

For the  $3_{21}-3_{12}$  transition toward QSO PJ231–20, we find  $L_{\text{H}_2\text{O}}/L_{\text{TIR}} \sim 1.2 \times 10^{-5}$ , which is comparable with the ratio measured in the  $z \sim 6.5$  quasar J0439+1634 (Yang et al. 2019a). This value is higher than the average ratio measured by Yang et al. (2013) in local AGN-dominated sources ( $7 \pm 3 \times 10^{-6}$ ) and is consistent with that measured in star-forming galaxies ( $1.1 \pm 0.6 \times 10^{-5}$ ), suggesting a significant contribution of star formation to

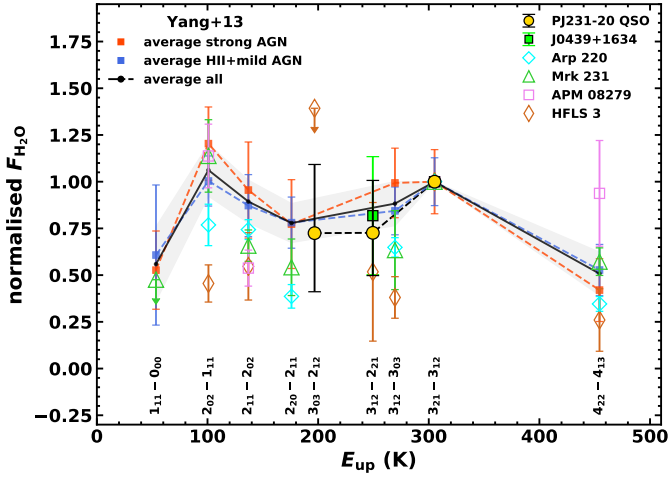


**Fig. 13.** Upper panel:  $L_{\text{H}_2\text{O}(3_{21}-3_{12})}-L_{\text{TIR}}$  relation. The solid black line shows the best power-law fit from Yang et al. (2013) considering the low- $z$  HII+mild and strong AGN sample (blue and red squares, respectively). The gray area is the  $1\sigma$  confidence limit. The gold and magenta squares indicate the quasar host PJ231–20 and its companion, respectively. In the case of the companion, we report the cases assuming  $T_{\text{dust}} = 47\ \text{K}$  (left half-filled magenta square) and  $35\ \text{K}$  (right half-filled magenta square). These points are connected by a dotted black line. Purple squares are the mid- $z$  Hy/ULIRGs of Yang et al. (2016). We also report measurements of APM08279+5255 (van der Werf et al. 2011), the  $z \sim 6.3$  SMG HFLS 3 (Riechers et al. 2013), and the  $z \sim 6.5$  J0439+1634 quasar (Yang et al. 2019a). Empty squares indicate measurements that were not corrected for gravitational lensing. Lower panel:  $L_{\text{H}_2\text{O}}/L_{\text{TIR}}$  vs. total IR luminosity. Symbols are the same as in the upper panel. Together with  $L_{\text{H}_2\text{O}}/L_{\text{TIR}}$  for the  $3_{21}-3_{12}$  transition, we also report those for  $3_{12}-2_{21}$  and  $3_{03}-2_{12}$  for QSO PJ231–20, the companion galaxy, QSO PJ308–21, and the quasar J0439+1634, as indicated in the legend.

the IR radiation exciting the  $\text{H}_2\text{O}$  line. In Fig. 13 we also report the  $L_{\text{H}_2\text{O}}/L_{\text{TIR}}$  ratios for the  $3_{12}-2_{21}$  and  $3_{03}-2_{12}$  transitions detected in QSO PJ231–20 and the tentative  $\text{H}_2\text{O}$   $3_{03}-2_{12}$  detection in QSO PJ308–21. These values are in the range measured for the  $3_{21}-3_{12}$  transition in local ULIRGs.

### 8.6. $\text{H}_2\text{O}(3_{21}-3_{12})$ -normalized SLED

By combining the three water vapor lines detected in QSO PJ231–20, we trace in Fig. 14 the line flux ratios, that is, the normalized  $\text{H}_2\text{O}$  SLED, and we compare them with the results for local and high- $z$  sources found in the literature. The SLEDs



**Fig. 14.**  $\text{H}_2\text{O}(3_{21}-3_{12})$ -normalized  $\text{H}_2\text{O}$  intensities (in  $\text{Jy km s}^{-1}$ ) as a function of the excitation temperature of the upper level. Gold circles are the  $\text{H}_2\text{O}$  ratios measured in the PJ231–20 QSO. The solid black line reports the average  $\text{H}_2\text{O}$  SLED of the whole sample of Yang et al. (2013). The gray shadowed area represents the  $1\sigma$  uncertainty. The dashed red and blue lines indicate the average values of strong-AGN- and HII+mild-AGN-dominated galaxies, respectively, as classified by Yang et al. (2013). We also report data retrieved from the literature for the two nearby sources Arp 220 (Rangwala et al. 2011) and the AGN-dominated Mrk 231 (González-Alfonso et al. 2010). For comparison, we also show the  $\text{H}_2\text{O}$  flux ratios observed in high- $z$  sources such as APM 08279+5255 (van der Werf et al. 2011), the lensed  $z > 6$  quasar J0439+1634 (Yang et al. 2019a), and the SMG HFLS3 (Riechers et al. 2013). The energy of the upper levels of the  $2_{20}-2_{11}$  and  $3_{12}-3_{03}$  transitions were shifted for clarity to  $-20$  and  $+20$  K, respectively.

were normalized to  $\text{H}_2\text{O } 3_{21}-3_{12}$ , a medium-excitation line that is predicted to be easily radiatively pumped in the warm dense medium (see Liu et al. 2017). Therefore the ratios with the other transitions directly reflect the effect of IR-pumping line excitation.

In Fig. 14, the average  $\text{H}_2\text{O}$  SLED of the local ULIRG sample by Yang et al. (2013) shows two peaks at the energy of  $\text{H}_2\text{O } 2_{02}-1_{11}$  and  $3_{21}-3_{12}$  transitions, indicating a high IR pumping efficiency at  $75 \mu\text{m}$ . This is consistent with the models of Liu et al. (2017), which show that these two lines are efficiently pumped in the warm ISM component at  $T_{\text{dust}} \gtrsim 40$  K. The bulk  $\text{H}_2\text{O}$  emission observed in star-forming galaxies of Yang et al. (2013) is explained by González-Alfonso et al. (2014) by a model with  $T_{\text{dust}} = 55-75$  K,  $\tau_{100} \sim 0.1$  and  $\text{H}_2\text{O}$  column density  $N_{\text{H}_2\text{O}} \sim (0.5-2) \times 10^{17} \text{ cm}^{-2}$ , including a significant contribution from collisional excitation and line radiative pumping. Despite significant uncertainties, the observed  $\text{H}_2\text{O}$  line ratios in QSO PJ231–20 show a clear peak at the  $3_{21}-3_{12}$  transition. In particular, the line ratio  $3_{12}-2_{21}/3_{21}-3_{12}$  is consistent with the value observed in  $z \sim 6.3$  SMG HFLS 3 (Riechers et al. 2013) and in the  $z \sim 6.5$  quasar J0439+1634 (Yang et al. 2019a), whose  $\text{H}_2\text{O}$  SLEDs also peak at  $3_{21}-3_{12}$ . The stronger intensity of  $\text{H}_2\text{O } 3_{21}-3_{12}$  measured in QSO PJ231–20 than the other transitions lower in the cascade, in conjunction with the high critical density of the observed transitions ( $\gtrsim 10^8 \text{ cm}^{-3}$ ), suggests that the contribution from collisional excitation of the upper energy levels of the observed water vapor transitions is minor. Other factors that could contribute to the QSO PJ231–20  $\text{H}_2\text{O}$  SLED peak at  $3_{21}-3_{12}$  are the shape of the IR dust SED that peaks close to  $75 \mu\text{m}$ , thus allowing high pumping efficiency, together with the large FWHM ( $\sim 400 \text{ km s}^{-1}$ ) of the  $\text{H}_2\text{O } 3_{21}-3_{12}$  line, which

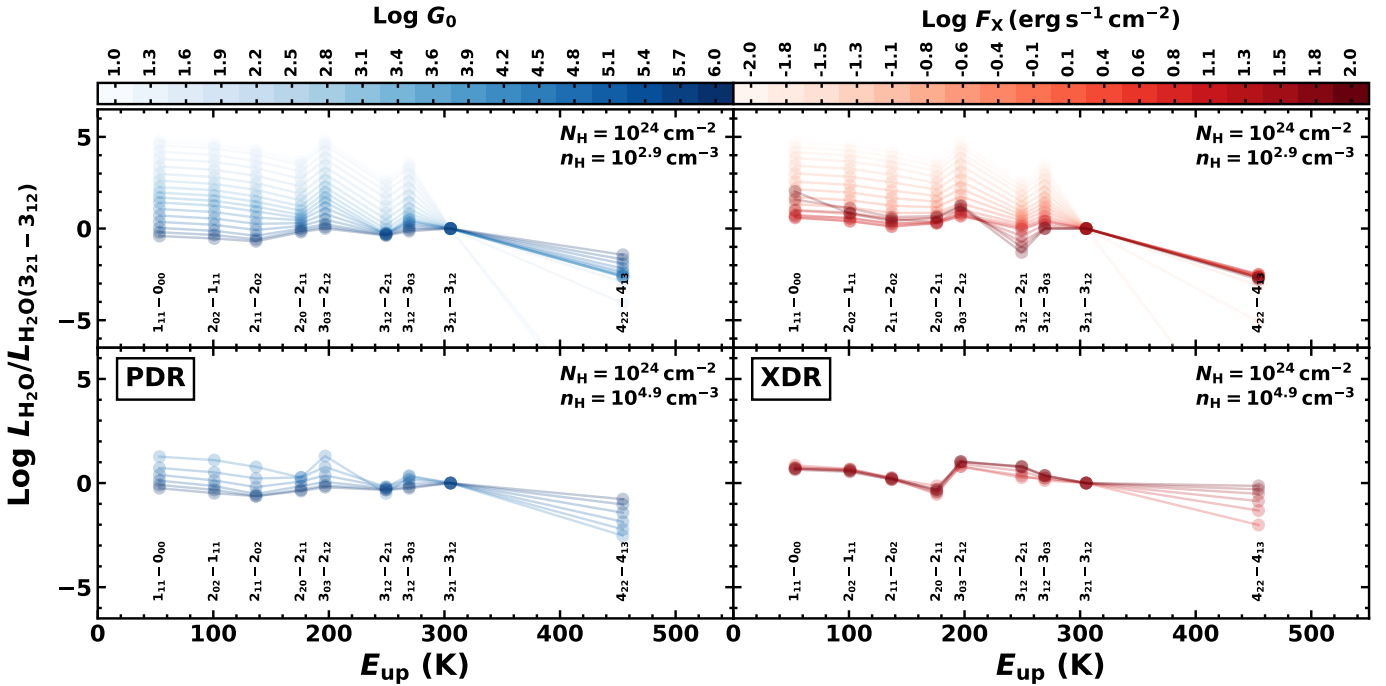
increases the cross sections for absorbing pumping photons (e.g., González-Alfonso et al. 2014).

### 8.7. $\text{H}_2\text{O}$ SLED models

In this section we retrieve quantitative information about the ISM that is associated with the  $\text{H}_2\text{O}$  emission by modeling the water vapor SLED using our CLOUDY models. Our CLOUDY outputs predict emerging intensities of a large number of water vapor transitions. In Fig. 15 we report the  $\text{H}_2\text{O}$  SLED normalized to the  $\text{H}_2\text{O } 3_{21}-3_{12}$  line in the PDR and XDR regimes. We do this for two representative values of the total hydrogen density  $n_{\text{H}} \approx 2 \times 10^2 \text{ cm}^{-3}$  and  $\approx 4 \times 10^4 \text{ cm}^{-3}$  for which we varied the radiation field strength with a fixed total hydrogen column density  $N_{\text{H}} = 10^{24} \text{ cm}^{-2}$ .

In the PDR and XDR cases, the  $\text{H}_2\text{O}$  SLED flattens as the intensity of the radiation field increases. In particular, the  $L_{\text{H}_2\text{O}}/L_{\text{H}_2\text{O}(3_{21}-3_{12})}$  line ratios for transitions with energy upper level  $E_{\text{up}} < 300$  K approach similar values that vary within a factor of  $\sim 4$ . Because the total hydrogen density of the cloud models spans a range that is well below the typical critical density of  $\text{H}_2\text{O}$  lines ( $\sim 10^8-10^9 \text{ cm}^{-3}$ ), this might be explained in terms of a progressively more efficient IR pumping of the high-lying  $\text{H}_2\text{O}$  energy levels as the UV/X-ray photon flux increases, which subsequently cascade radiatively toward low-energy levels. This implies that these  $\text{H}_2\text{O}$  lines tend to statistical equilibrium, in agreement with the analysis of González-Alfonso et al. (2014) and Liu et al. (2017), for instance. Furthermore, as also mentioned in Sect. 8.4, at a gas kinetic temperature  $\gtrsim 300$  K, water molecules can form in the gas phase through the neutral-neutral endothermic reaction  $\text{OH} + \text{H}_2 \rightarrow \text{H}_2\text{O} + \text{H}$ . Therefore an increase in total hydrogen density ( $n_{\text{H}} > 10^4 \text{ cm}^{-3}$ ) inside the cloud requires a higher radiation field flux ( $G_0 > 2 \times 10^4$ ,  $F_{\text{X}} > 0.05-0.1 \text{ erg s}^{-1} \text{ cm}^{-2}$ ) to maintain the gas temperature above the reaction activation barrier in a large volume of the cloud in order to produce a significant  $\text{H}_2\text{O}$  column density  $N_{\text{H}_2\text{O}} > 10^{15} \text{ cm}^{-2}$  (see also, e.g., Neufeld et al. 1995, 2002; Cernicharo et al. 2006; Meijerink et al. 2011). On the other hand, in XDRs, strong X-ray radiation can photodissociate a large fraction of the  $\text{H}_2\text{O}$  molecules, thus quenching their line emission. However, because the  $\text{H}_2\text{O } 3_{21}-3_{12}$  transition is highly sensitive to the pumping by IR photons (e.g., Liu et al. 2017), low UV or X-ray fluxes cannot efficiently populate its upper level. This produces unphysical results in the predicted  $L_{\text{H}_2\text{O}}/L_{\text{H}_2\text{O}(3_{21}-3_{12})}$ . In Fig. 15, to exclude such non-physical results that clearly are at odds with observations, we report the  $\text{H}_2\text{O}$  SLEDs for which the  $\text{H}_2\text{O } 3_{12}-2_{21}$  predicted intensity is at least  $10^{-2}$  times that of the  $\text{CO}(10-9)$  line because these two lines have a similar frequency and are observed to have similar fluxes (see Fig. 1 of this work, but see also, e.g., Riechers et al. 2013; Yang et al. 2019a).

Using the  $\text{H}_2\text{O}$  line ratios predicted by our CLOUDY models, we performed the  $\text{H}_2\text{O}$  SLED fit of QSO PJ231–20. We report the results in Fig. 16. The observed  $\text{H}_2\text{O}$  line ratios of QSO PJ231–20 cannot be satisfyingly reproduced by any models with  $N_{\text{H}} = 10^{23} \text{ cm}^{-2}$ , while the agreement between data and best-fit model significantly improves in a higher column density PDR model with  $N_{\text{H}} = 10^{24} \text{ cm}^{-2}$ . The resulting best-fit model indicates a high-density medium with  $n_{\text{H}} \sim 0.8 \times 10^5 \text{ cm}^{-3}$ , exposed to a strong FUV radiation field with  $G_0 \sim 5 \times 10^5$ . The mere requirement of a high cloud column density implies that the observed water vapor transitions arise deep in the cloud where the water vapor column density reaches  $N_{\text{H}_2\text{O}} \gtrsim 3 \times 10^{17} \text{ cm}^{-2}$ . Furthermore, because the  $\text{H}_2\text{O}$  line ratios observed in QSO PJ231–20 are similar and because the three  $\text{H}_2\text{O}$  transitions



**Fig. 15.** CLOUDY  $\text{H}_2\text{O}(3_{21}-3_{12})$ -normalized SLED models in the PDR (left panels) and XDR regime (right panels), for which we varied the intensity of the incident radiation field over the entire parameter space and for two reference values of total hydrogen density ( $n_{\text{H}} = 10^{2.3}, 10^{4.6} \text{ cm}^{-3}$ ), and column density  $N_{\text{H}} = 10^{24} \text{ cm}^{-2}$ . PDR cases are shown in blue and XDRs are red. They are color-coded according to the values of the radiation field flux. The energy of the upper levels of the  $2_{20}-2_{11}$  and  $3_{12}-3_{03}$  transitions were shifted for clarity to  $-20$  and  $+20$  K, respectively. In order to exclude unphysical models, we report  $\text{H}_2\text{O}$  SLEDs in which the  $\text{H}_2\text{O}$   $3_{12}-2_{21}$  intensity is  $>10^{-2}$ × that of the corresponding  $\text{CO}(10-9)$  line.

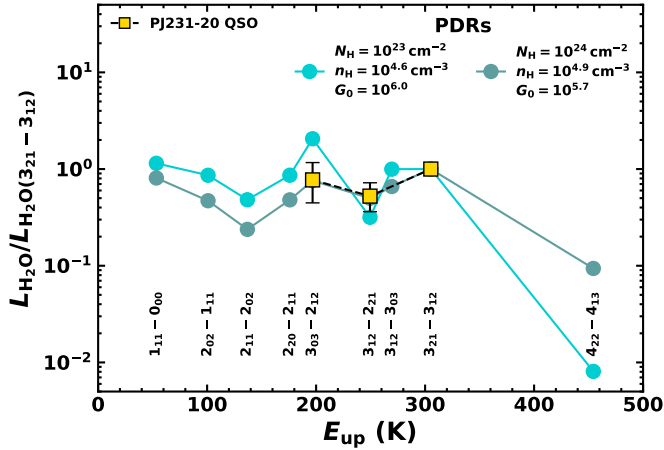
$3_{21}-3_{12}$ ,  $3_{12}-2_{21}$ , and  $3_{03}-2_{12}$  have similar frequencies and are connected by a cascade process, our result suggests that these lines are near statistical equilibrium. However, the low number of data points makes the result uncertain. In particular, the cloud density is poorly constrained with the fit. On the other hand, the high density value found for QSO PJ231–20  $\text{H}_2\text{O}$  lines is in the range that is typically inferred from  $\text{H}_2\text{O}$  SLED modeling in local sources (see, e.g., González-Alfonso et al. 2014; Liu et al. 2017) and in high- $z$  quasars (e.g., van der Werf et al. 2011).

### 8.8. OH transitions

The hydroxyl radical (OH) is a key intermediary molecule that forms in warm PDRs exposed to FUV radiation through the endothermic reaction between atomic oxygen and vibrationally excited  $\text{H}_2^+$ . Further reaction with  $\text{H}_2$  leads to the formation of  $\text{H}_2\text{O}$ . On the other hand, water vapor can photodissociate in gas unshielded from FUV radiation, producing OH. OH can also form in shocked gas where high gas temperatures can overcome the activation barrier of the  $\text{O} + \text{H}_2$  reaction, or in quiescent obscured regions irradiated by cosmic rays or X-rays through ion-neutral reactions starting from  $\text{H}_3^+$  (Hollenbach & Tielens 1997; Agúndez et al. 2010). The OH molecule has a high dipole moment (1.668 Debye), therefore the radiative rates of its rotational transitions are generally higher than those of CO. The critical densities of OH transitions are about  $10^9-10^{10} \text{ cm}^{-3}$  (Destombes et al. 1977; Dewangan et al. 1987), implying that OH excitation cannot be driven by collisions. Radiative pumping by IR photons is typically invoked to explain its emission. The electronic ground state has two fine-structure levels,  $^2\Pi_{3/2}$  (the ground state) and  $^2\Pi_{1/2}$ , each of which has two  $\Lambda$ -doubling levels (further split into two hyperfine sublevels). Furthermore,

the OH molecule can also have a rotational angular momentum ( $J$ ), resulting in a ladder of rotational states with increasing  $J$ . The OH ground state  $^2\Pi_{3/2}$  ( $J = 3/2$ ) can absorb IR radiation near  $119 \mu\text{m}$ ,  $79 \mu\text{m}$ ,  $53 \mu\text{m}$ , and  $35 \mu\text{m}$ , followed by spontaneous radiative decay that repopulates the four ground sublevels, including the FIR OH cross-ladder transitions whose radiative rates are  $\sim 100\times$  weaker than the rotational transitions. OH transitions, either in absorption or emission, were detected in local Seyfert galaxies, including M 82 (Colbert et al. 1999), NGC 253 (Fischer et al. 1999; Bradford et al. 1999), IRAS 20100–4156 and 3Zw35 (Kegel et al. 1999), Arp 220 (González-Alfonso et al. 2004), NGC 1068 (Smith et al. 2004; Spinoglio et al. 2005), Mrk 231 (González-Alfonso et al. 2008), and NGC 4418 (González-Alfonso et al. 2014). Systematic searches for OH emission have revealed that some OH lines exhibit P-Cygni profiles, suggesting massive molecular outflows in samples of local (U)LIRGs and quasars (Fischer et al. 2010; González-Alfonso et al. 2010, 2012, 2013, 2017; Sturm et al. 2011; Spoon et al. 2013; Veilleux et al. 2013; Herrera-Camus et al. 2020). OH emission has also been detected at  $z \geq 6$  in the SMG HFLS 3 (Riechers et al. 2013) and in the quasar J1319+0950 (Herrera-Camus et al. 2020), demonstrating the feasibility of detecting these lines at very high- $z$  with ground-based observations.

We present detections of the  $\Lambda$ -doublet  $\text{OH}_{163 \mu\text{m}}$  in QSO PJ231–20 and the companion galaxy together with a tentative detection in QSO PJ308–21. When this doublet was detected in other galaxies, it was mostly observed in emission. These lines are likely produced by a fluorescence-like mechanism through absorption of IR photons at  $35 \mu\text{m}$  and  $75 \mu\text{m}$  emitted by warm dust, and thus they trace the warm moderate-dense molecular medium ( $T \sim 150-300 \text{ K}$ ,  $n_{\text{H}_2} \lesssim 10^4-10^5 \text{ cm}^{-3}$ ) in star-forming



**Fig. 16.** Best-fit models of the  $\text{H}_2\text{O}(3_{21}-3_{12})$ -normalized SLED in QSO PJ231–20. Gold squares are the observed line ratios, and light and dark blue circles are the PDR best-fit models with  $N_{\text{H}} = 10^{23}$  and  $10^{24} \text{ cm}^{-2}$ , respectively. The best-fit parameters are indicated in the upper part of the panel.

regions (e.g., Goicoechea & Cernicharo 2002; Goicoechea et al. 2005). The observed OH line fluxes in our systems are comparable with those of mid- $J$  CO and are greater than those of the  $\text{H}_2\text{O}$  lines, showing that OH can be a powerful tracer of the molecular medium at  $z \sim 6$ . We find similar  $L_{\text{OH-to}}-L_{\text{TIR}}$  ratios  $\sim 2-4 \times 10^{-5}$  in quasars PJ231–20 and PJ308–21, and we estimate a higher value  $\sim 10^{-4}$  in the PJ231–20 companion galaxy. A similar value is found in the SMG AzTEC-3 and HFSL 3 at  $z = 5.3$  and  $z = 6.34$ , respectively (Riechers et al. 2013, 2014).

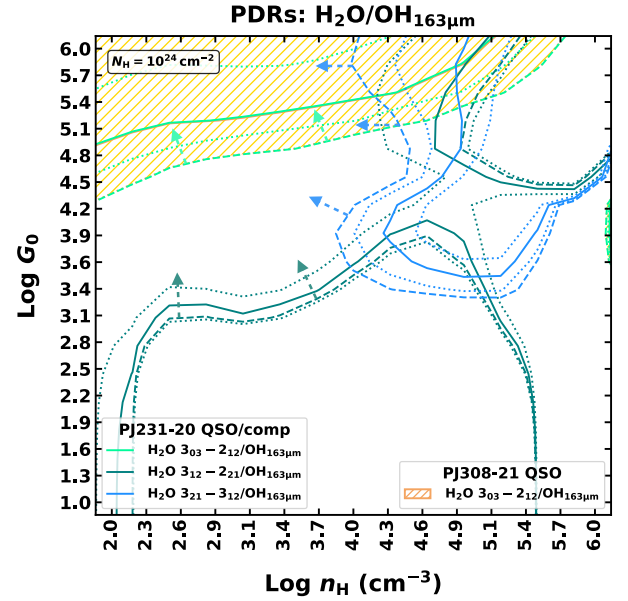
### 8.9. $\text{H}_2\text{O}$ -to- $\text{OH}_{163 \mu\text{m}}$ ratio

To obtain further constraints on the molecular medium in the targeted systems, we inspected the line intensity ratios of the observed  $\text{H}_2\text{O}$  lines and the  $\text{OH}_{163 \mu\text{m}}$  doublet. The formation of the OH molecule can occur through the neutral-neutral reaction  $\text{H}_2 + \text{O} \rightarrow \text{OH} + \text{H}$ , which can successively react to form  $\text{H}_2\text{O}$ , or by destruction of water (see, e.g., Elitzur & de Jong 1978; Poelman & Spaans 2005; Meijerink et al. 2011). Furthermore, the  $\text{H}_2\text{O}$  and OH molecules have very high critical densities  $\geq 10^8 \text{ cm}^{-3}$ , and IR pumping might have a dominant role in their excitation. Therefore the  $\text{H}_2\text{O}$  lines and  $\text{OH}_{163 \mu\text{m}}$  are expected to arise in regions with similar physical properties.

In Fig. 17 we compare the observed values of the  $\text{H}_2\text{O}$ -to- $\text{OH}_{163 \mu\text{m}}$  line ratios with our CLOUDY predictions in a diagnostic plot. We considered  $\text{OH}_{163 \mu\text{m}}$  as the sum of the luminosity of the two  $\Lambda$ -doubling transitions of  $\text{OH}_{163 \mu\text{m}}$ , including the hyperfine structure lines. For QSO PJ231–20, the model predictions are fully consistent with what we found in Sect. 8.7. This indicates a high-density medium exposed to a strong FUV field. We also found similar constraints for the companion galaxy and QSO PJ308–21, with  $G_0 > 10^4$  and  $n_{\text{H}} < 1.5 \times 10^4 - 0.5 \times 10^6 \text{ cm}^{-3}$ , respectively. These findings, albeit for a lower column density, also broadly agree with the constraints obtained from  $[\text{CII}]_{158 \mu\text{m}}\text{-to-}[\text{CI}]_{369 \mu\text{m}}$  ratios and the CO SLED modeling (see Sects. 7.3 and 8.3).

## 9. Mass budgets

Starting from the FSL luminosities obtained in this work (see Table 3) and adopting simplistic assumptions, we can estimate



**Fig. 17.** CLOUDY models of  $\text{H}_2\text{O}/\text{OH}_{163 \mu\text{m}}$  luminosity ratios. Model predictions are reported for QSO PJ231–20 (solid and dotted lines indicate values and their uncertainties) and  $3\sigma$  upper limits for its companion (dashed lines). The dashed gold area reports the constraints retrieved from QSO PJ308–21 detections. The clouds’ column density of the models is  $N_{\text{H}} = 10^{24} \text{ cm}^{-2}$ .

the mass contributions in our systems in the form of ionized, atomic, and molecular mediums. In the two-level approximation, assuming LTE and in the optically thin limit and Rayleigh-Jeans regime, the beam-averaged column density of a species  $s$  in the upper level  $u$  is (see, e.g., Goldsmith & Langer 1999)

$$N_s \approx \gamma_u \frac{c^2}{8\pi k_B} v_{\text{obs}}^{-2} S \Delta v (1+z)^{-1}, \quad (7)$$

with

$$\gamma_u = \frac{8\pi k_B v_{\text{ul}}^2}{hc^3 A_{\text{ul}}} \frac{Q(T_{\text{ex}})}{g_u} e^{E_u/k_B T_{\text{ex}}}. \quad (8)$$

Here,  $S \Delta v$  is the observed line velocity-integrated flux,  $A_{\text{ul}}$  is the Einstein coefficient,  $Q(T_{\text{ex}})$  is the partition function of the species  $s$  (depending on the excitation temperature  $T_{\text{ex}}$ ), and  $g_u$  and  $E_u$  are the statistical weight and the energy of the upper level, respectively. The total number of particles of species  $s$  is obtained by integrating over the size of the emitting region. Using the definition of line luminosity (Solomon et al. 1992), we can express the total mass of the species  $s$  as

$$M_s = C m_s \gamma_u L'_{\text{line}}, \quad (9)$$

where  $C = 9.52 \times 10^{41}$  is the conversion factor between  $\text{km pc}^2$  and  $\text{cm}^3$ , and  $m_s$  is the mass of the species  $s$ .

Using Eq. (9), we derive the CI mass following Weiß et al. (2003) through

$$M_{\text{CI}} [M_{\odot}] = 4.566 \times 10^{-4} Q(T_{\text{ex}}) \frac{1}{5} e^{62.5/T_{\text{ex}}} L'_{[\text{CI}]_{369 \mu\text{m}}}, \quad (10)$$

where  $Q(T_{\text{ex}}) = 1 + 3e^{-T_1/T_{\text{ex}}} + 5e^{-T_2/T_{\text{ex}}}$  is the CI partition function, and  $T_1 = 23.6 \text{ K}$ ,  $T_2 = 62.5 \text{ K}$  are the energies (per unit of  $k_B$ ) above the ground state of the two [CI] ( $^3\text{P}_1 \rightarrow ^3\text{P}_0$ ) and ( $^3\text{P}_2 \rightarrow ^3\text{P}_1$ ) transitions, respectively. Assuming an excitation

temperature of  $T_{\text{ex}} = 30$  K (see, e.g., [Walter et al. 2011](#)), we find for QSO PJ231–20 a neutral carbon mass of  $M_{\text{CI}} = (0.7 \pm 0.3) \times 10^7 M_{\odot}$ , and carbon mass limit of  $M_{\text{CI}} < 0.4\text{--}0.5 \times 10^7 M_{\odot}$  for its companion and the sources in system PJ308–21. We note that if we assumed a higher excitation temperature  $T_{\text{ex}} = 50$  K, the derived  $M_{\text{CI}}$  would be  $\sim 40\%$  lower. Using these mass estimates, we can place constraints on the molecular gas mass ( $M_{\text{H}_2}$ ) using the atomic carbon-to-molecular hydrogen abundance ratio derived by [Walter et al. \(2011\)](#) for a sample of  $z = 2\text{--}3$  FIR-bright sources (SMGs and quasar host galaxies),  $X[\text{C}]/X[\text{H}_2] = M_{\text{CI}}/(6M_{\text{H}_2}) = (8.4 \pm 3.5) \times 10^{-5}$ . Applying this abundance, we obtain a molecular gas mass of  $M_{\text{H}_2} = 1.3^{+1.1}_{-0.6} \times 10^{10} M_{\odot}$  for QSO PJ231–20 and  $M_{\text{H}_2} < 1.8 \times 10^{10} M_{\odot}$  for the companion galaxy and the other sources in system PJ308–21.

Following [Ferkinhoff et al. \(2011\)](#), in the high-temperature limit, we can also derive the minimum mass of ionized hydrogen ( $\text{H}^+$ ) assuming that all nitrogen in HII regions is singly ionized as

$$M_{\text{min}}(\text{H}^+) = \frac{L_{[\text{NII}]205\ \mu\text{m}} m_{\text{H}}}{(g_1/Q(T_{\text{ex}})) A_{10} h \nu_{10} \chi(\text{N}^+)} \approx 2.27 \frac{L_{[\text{NII}]205\ \mu\text{m}}}{L_{\odot}} M_{\odot}, \quad (11)$$

where  $A_{10}$  is the Einstein coefficient for spontaneous emission of the  $[\text{NII}]_{205\ \mu\text{m}}$  ( $^3\text{P}_1 \rightarrow ^3\text{P}_0$ ) transition ( $2.08 \times 10^{-6} \text{ s}^{-1}$ ),  $g_1 = 3$  is the statistical weight of the  $J = 1$  level,  $\nu_{10} = 1461.1318$  GHz is the rest-frame frequency of the transition,  $m_{\text{H}}$  is the mass of the hydrogen atom, and  $\chi(\text{N}^+)$  is the  $\text{N}^+$ -to- $\text{H}^+$  abundance ratio. For the minimum mass case, in our working assumption  $\chi(\text{N}^+) = \chi(\text{N})$ . When we assume solar metallicity, the HII-region gas-phase nitrogen abundance therefore is  $\chi(\text{N}) = 9.3 \times 10^{-5}$  ([Savage & Sembach 1996](#)). This gives  $M_{\text{min}}(\text{H}^+) = (4.1 \pm 1.6) \times 10^8 M_{\odot}$ , and  $(1.8 \pm 1.2) \times 10^8 M_{\odot}$  for the PJ231–20 QSO host and companion galaxy, respectively.

Starting from the dust mass budgets derived in Sect. 5, we can also obtain independent measurements of the gas masses adopting the local gas-to-dust ratio of  $\delta_{\text{gdr}} \sim 70\text{--}100$  (see, e.g., [Draine et al. 2007](#); [Sandstrom et al. 2013](#); [Genzel et al. 2015](#)). A similar gas-to-dust ratio of  $\sim 70$  has also been found in high- $z$  starburst galaxies (e.g., [Riechers et al. 2013](#); [Wang et al. 2016](#)). Adopting the local value, we obtain (atomic and molecular) gas masses of  $M_{\text{gas}} = (3.6\text{--}5.1) \times 10^{10} M_{\odot}$  and  $(1.6\text{--}3.4) \times 10^{10} M_{\odot}$  for the PJ231–20 QSO host and companion galaxy, respectively, and  $M_{\text{gas}} = (3.8\text{--}5.4) \times 10^9 M_{\odot}$  and  $(0.7\text{--}2.0) \times 10^9 M_{\odot}$  for the PJ308–20 QSO host and companion galaxy, respectively. When we further assume that  $\sim 75\%$  of the dust-derived gas mass is in molecular form (e.g., [Riechers et al. 2013](#); [Wang et al. 2016](#)), these values are consistent with those derived above from  $M_{\text{CI}}$  applying the CI-to- $\text{H}_2$  abundance ratio.

Finally, from the gas mass values derived above, we obtain a lower limit on the gas ionization percentage of  $M_{\text{min}}(\text{H}^+)/M_{\text{H}_2} > 1\text{--}3\%$ . For comparison, [Ferkinhoff et al. \(2011\)](#) found values  $< 1\%$  for nearby galaxies (in the sample of [Brauer et al. 2008](#)), while high-ionization percentages suggest a high SFR density ( $> 10^2\text{--}10^3 M_{\odot} \text{ yr}^{-1} \text{ kpc}^{-2}$ , see [Ferkinhoff et al. 2011](#)).

## 10. Summary and conclusions

We have presented ALMA observations of two quasar host–companion galaxy pairs PJ231–20 and PJ308–21 at  $z > 6$ , captured when the Universe is  $< 900$  Myr old. The companion galaxies were serendipitously discovered with previous ALMA observations in the field of known quasars, but they show no evidence of AGN activity. This multiline study investigated the

effect of the star formation and AGN activity on the ISM in massive galaxies at the cosmic dawn.

The lines covered in the ALMA observations include tracers of the atomic ionized or neutral and molecular medium, such as  $[\text{NII}]_{205\ \mu\text{m}}$ ,  $[\text{CI}]_{369\ \mu\text{m}}$ ,  $\text{CO}(7\text{--}6, 10\text{--}9, 15\text{--}14, 16\text{--}15)$ ,  $\text{H}_2\text{O}$   $3_{12}\text{--}2_{21}$ ,  $3_{21}\text{--}3_{12}$ ,  $3_{03}\text{--}2_{12}$ , and the  $\text{OH}_{163\ \mu\text{m}}$  doublet. However, for system PJ308–21, only half of the program was executed. This work was complemented with previously collected ALMA  $[\text{CII}]_{158\ \mu\text{m}}$  observations ([Decarli et al. 2018](#)) that were analyzed in a consistent way. In order to interpret our results and place quantitative constraints on the physical properties of the ISM in galaxies, we ran a set of PDR/XDR models by employing the CLOUDY radiative transfer code for which we varied the volume hydrogen density, radiation field strength, and total hydrogen column density. The main results obtained in this work are summarized below.

- We modeled the FIR dust continuum on all the four targeted galaxies. We modeled this emission as a modified blackbody and inferred dust masses, spectral indexes, total IR luminosities, and SFRs (see Sect. 5 and Table 4).
- From the analysis of the  $[\text{CII}]_{158\ \mu\text{m}}$  and  $[\text{NII}]_{205\ \mu\text{m}}$  lines, we found that  $\geq 80\%$  of  $[\text{CII}]_{158\ \mu\text{m}}$  emission in the quasar and companion galaxies of system PJ231–20 arises from neutral gas in the photodissociation regions rather than from the fully (diffuse) ionized medium. Furthermore, the observed line deficits are comparable with those of local LIRGs.
- The  $[\text{CI}]_{369\ \mu\text{m}}/\text{TIR}$  luminosity ratios in the targeted systems are similar to those measured in  $z \sim 2\text{--}4$  SMGs and quasars, revealing a high star-formation efficiency in our galaxies.
- CLOUDY models suggest that  $[\text{CII}]_{158\ \mu\text{m}}$  and  $[\text{CI}]_{369\ \mu\text{m}}$  emission predominantly arises in PDRs illuminated by the FUV radiation field. In particular, constraints obtained from the  $[\text{CII}]_{158\ \mu\text{m}}\text{-to-TIR}$  and  $[\text{CI}]_{369\ \mu\text{m}}\text{-to-TIR}$  luminosity ratios for QSO PJ231–20 indicate a high FUV field intensity of  $G_0 \sim 10^5$  ( $\sim 1.6 \times 10^2 \text{ erg s}^{-1} \text{ cm}^{-2}$ ) and density of  $n_{\text{H}} > 10^3$ . We found similar constraints for the other sources.
- From the atomic fine-structure lines, we retrieved constraints on atomic carbon, ionized hydrogen, and molecular gas masses. The latter are consistent with the dust-derived gas masses assuming the local gas-to-dust ratio and that  $\sim 75\%$  of the gas mass is in molecular form. For the quasar and companion in system PJ231–20, we also inferred gas ionization percentages of  $> 1\text{--}3\%$ , which is greater than the typical values observed in nearby galaxies, suggesting a high SFR density  $> 10^2\text{--}10^3 M_{\odot} \text{ yr}^{-1} \text{ kpc}^{-2}$ .
- The multiple mid- and high- $J$  CO line detections allowed us to study the CO SLEDs (see Sect. 8.2). Despite large uncertainties, the QSO PJ231–20 SLED resembles those of local AGNs. A similar result is obtained for the companion galaxy. On the other hand, when we assumed a low dust temperature of 35 K, the CO SLED of the companion appears similar to the average SLED of local starburst galaxies. However, the mere detections of high- $J$  ( $J_{\text{up}} = 16, 15$ ) CO lines in the PJ231–20 and PJ308–21 quasars suggest an additional heating source that is not the PDRs. The CO SLED of QSO PJ231–20 is well reproduced by a CLOUDY PDR+XDR composite model. The result indicates that PDRs dominate the low- and mid- $J$  CO line luminosities and account for  $\sim 90\%$  of the CO mass, while XDRs, which may be the main drivers of high- $J$  CO emission lines, contribute to  $\sim 10\%$  of the mass. The latter likely resides in the central regions of the PJ231–20 QSO host galaxy, exposed to strong X-ray radiation due to the BH accretion. The best-fit PDR component indicates  $n_{\text{H}}^{\text{PDR}} \sim 4 \times 10^2 \text{ cm}^{-3}$  and  $G_0 \sim 1 \times 10^5$ , and the

XDR component is determined by  $n_{\text{H}}^{\text{XDR}} \sim 5 \times 10^5 \text{ cm}^{-3}$  and  $F_{\text{X}} \sim 10 \text{ erg s}^{-1} \text{ cm}^{-2}$ . However, because we have only a few data points, these results are affected by degeneracies.

- We detected three  $\text{H}_2\text{O}$  lines ( $3_{12}-2_{21}$ ,  $3_{21}-3_{12}$ , and  $3_{03}-2_{12}$ ) in QSO PJ231–20, and  $\text{H}_2\text{O}$   $3_{03}-2_{12}$  in QSO PJ308–21. The QSO PJ231–20 lies on the  $L_{\text{H}_2\text{O}} \propto L_{\text{TIR}}^{1.1-1.2}$  trend found for low- $z$  sources, suggesting that the  $\text{H}_2\text{O}$   $3_{21}-3_{12}$  line is predominantly excited by pumping of IR photons of the dust UV-reprocessed radiation field. The  $L_{\text{H}_2\text{O}}$ -to- $L_{\text{TIR}}$  ratios in the PJ231–20 and PJ308–21 systems are  $\sim 10^{-5}$ , which is within the range observed in starburst galaxies at low  $z$ . The observed  $\text{H}_2\text{O}$  SLED in QSO PJ231–20 indicates that collisional excitation of the upper energy levels of the observed water vapor transitions is likely minor. Furthermore, the SLED is similar to that of other high- $z$  sources. CLOUDY best-fit models are obtained using a single PDR component with  $n_{\text{H}} \sim 0.8 \times 10^5 \text{ cm}^{-3}$ ,  $G_0 \sim 5 \times 10^5$  and a high hydrogen column density of  $N_{\text{H}} = 10^{24} \text{ cm}^{-2}$ , indicating that  $\text{H}_2\text{O}$  emission arises deeply in the molecular clouds with a high density, exposed to a strong radiation field.
- The  $\text{H}_2\text{O}$ -to- $\text{OH}_{163 \mu\text{m}}$  ratios in QSO PJ231–20 predicted by CLOUDY are fully consistent with the results obtained from the  $\text{H}_2\text{O}$  SLED modeling, indicating that  $\text{OH}_{163 \mu\text{m}}$  doublet emission likely arises from similar regions traced by water vapor. We found similar constraints, but with large uncertainties, for the PJ231–20 companion galaxy and QSO PJ308–21, indicating that the ISM is exposed to strong FUV radiation field with  $G_0 > 10^4$ . These results also broadly agree with the analysis of CO and atomic fine-structure lines.

In conclusion, in quasars PJ231–20 and PJ308–21, the AGN activity is mainly revealed through high- $J$  CO line emission, requiring an additional source of heating other than PDRs, which might be provided by a strong X-ray radiation field. The CO SLEDs in conjunction with the nondetections of high- $J$  CO lines in companions, at least for PJ231–20, suggest a less excited medium, possibly as a consequence of the lack of a strong AGN as suggested by previous optical/UV and X-rays observations. Then, the analysis of the atomic fine-structure and molecular lines, in conjunction with the analysis of  $\text{H}_2\text{O}$  and  $\text{OH}_{163 \mu\text{m}}$  transitions, indicates a strong radiation field in the quasar host and companion galaxies associated with massive episodes of star formation, as reflected by the enormous amount of dust ( $M_{\text{dust}} \approx 0.5 \times 10^8 - 0.5 \times 10^9 M_{\odot}$ ) heated at relatively high temperature and huge gas reservoir ( $M_{\text{gas}} \gtrsim 10^{10} M_{\odot}$ ) and high star-formation efficiencies. However, although our analysis of the ISM properties highlights differences in the quasars and their companion galaxies, the current data do not allow us to definitively rule out the presence of an AGN in the companions, especially for the PJ308–21 companion galaxy, for which there is little information due to the lack of line detections.

This work demonstrates that a large variety of investigations can be carried out in the ISM of quasar hosts and SMGs at this high redshift by targeting emission lines arising from different gas phases and environments. Future deeper follow-up observations have to be conducted in order to achieve a higher S/N and sample additional FIR emission lines to provide a better understanding of the ISM in these systems. Moreover, more comparisons between AGN and non-AGN environments have to be made by observing more objects in this rich set of lines in the same redshift, thus providing us with a better statistics and an in-depth exploration of the interplay between AGN and star formation in extreme conditions at early epochs.

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