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Abstract Atmospheric Remote-Sensing Infrared Exoplanet Large Survey (ARIEL) is a candidate as an M4 ESA mission to launch in 2026. During its 3.5 years of scientific operations, ARIEL will observe

spectroscopically in the infrared (IR) a large population of known transiting planets in the neighbourhood of the solar system. ARIEL aims to give a breakthrough in the observation of exoplanet atmospheres and understanding of the physics and chemistry of these far-away worlds. ARIEL is based on a 1 m class telescope feeding a collimated beam into two separate instrument modules: a spectrometer module covering the waveband between 1.95 and 7.8 μm and a combined fine guidance system/visible photometer/NIR spectrometer. The telescope configuration is a classic Cassegrain layout used with an eccentric pupil and coupled to a tertiary off-axis paraboloidal mirror. To constrain the thermomechanically induced optical aberrations, the primary mirror (M1) temperature will be monitored and finely tuned using an active thermal control system based on thermistors and heaters. They will be switched on and off to maintain the M1 temperature within ± 1 K by the telescope control unit (TCU). The TCU is a payload electronics subsystem also responsible for the thermal control of the spectrometer module detectors as well as the secondary mirror mechanism and IR calibration source management. The TCU, being a slave subsystem of the instrument control unit, will collect the housekeeping data from the monitored subsystems and will forward them to the master unit. The latter will run the application software, devoted to the main spectrometer management and to the scientific data on-board processing.

Keywords (separated by '-') Space instrumentation - Telescope - Optical design - Exoplanetary science - Active thermal control - ICU

Footnote Information This paper is based on a presentation at the International Conference on Space Optics (ICSO), 18–21 October, 2016, Biarritz, France.

2 An afocal telescope configuration for the ESA ARIEL mission

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19 instrument modules: a spectrometer module covering the
20 waveband between 1.95 and 7.8 μm and a combined fine
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A1 This paper is based on a presentation at the International
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37 software, devoted to the main spectrometer management and
38 to the scientific data on-board processing.

39 **Keywords** Space instrumentation · Telescope · Optical
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41 ICU

42 1 Introduction

43 ARIEL is one of the M4 proposed missions in the framework
44 of the ESA Cosmic Vision program [1]. The ARIEL mission
45 will address the fundamental questions on what exoplanets
46 are made of and how planetary systems form and evolve by
47 investigating the atmospheres of many hundreds of diverse
48 known exoplanets orbiting different types of nearby stars [2].

49 About three thousand exoplanets have now been discov-
50 ered; Gaia [3], Kepler [4], and K2 [5], together with other
51 current ground and space-based surveys, will continue to
52 increase the known exoplanet list. However, at present, very
53 little is known about the nature of these exoplanets. Dur-
54 ing its 3.5-year scientific mission lifetime in L2 orbit, the
55 ARIEL mission aims to measure the atmospheric composi-
56 tion and structure of a large and well-defined selected sam-
57 ple of exoplanets (~ 1000). It will use transit spectroscopy
58 in the 1.25–7.8 μm spectral range and multiple narrow-band
59 photometry in the optical.

60 For its ambitious scientific program, ARIEL is designed
61 as a dedicated survey mission for transit and eclipse spec-
62 troscopy, whereby the signal from the star and planet is dif-
63 ferentiated using knowledge of the planetary ephemerides,
64 transit, and eclipse and phase-curve spectroscopy methods
65 allow to measure atmospheric signals from the planet at
66 levels of 10–100 part per million (ppm) relative to the star
67 and, given the bright nature of the targets, also allow more
68 sophisticated techniques, such as eclipse mapping, to give a
69 deeper insight into the nature of the atmosphere.

70 This mission will allow the exploration and sounding of
71 the nature of the exoplanet atmospheres, to collect informa-
72 tion about the planet interiors and to study the key factors
73 affecting the formation and evolution of planetary systems
74 [6].

75 Some simulations of the ARIEL performance in con-
76 ducting exoplanet surveys have been performed. For this
77 purpose, three elements have been taken into account: a con-
78 servative estimate of the mission performance, a full model
79 of all the possible significant noise sources present in the
80 measurements, and a list of the potential ARIEL targets
81 that incorporate the latest available exoplanet statistics. The
82 conclusion is that ARIEL will be able to observe 500–1000
83 exoplanets, depending on the details of the adopted survey
84 strategy, and the feasibility of the main science objectives
85 is confirmed.

86 ARIEL will be highly complementary to other interna-
87 tional facilities (such as TESS [7], to be launched in 2018)
88 and will benefit from other ESA exoplanet missions such as
89 CHEOPS [8] and PLATO [9], which will help to provide an
90 optimized target list prior to launch.

91 At the beginning of this paper, the ARIEL mission sci-
92 ence objectives and requirements will be presented (Sect. 2).
93 Then, the description of the spacecraft and the science pay-
94 load will follow (Sect. 3). After that, the discussion of the
95 requirements and the design of the telescope will be given
96 (Sect. 4). The solution adopted for cooling the payload
97 and the results of the thermal analysis will be presented in
98 Sect. 5; while the design details of the electronic units (ICU
99 and TCU) controlling the instrument will be analysed in the
100 last part (Sect. 6).

101 2 The ARIEL mission science

102 2.1 ARIEL observation strategy and science 103 requirements

104 Depending on their mass, the exoplanets have been so far
105 divided into three categories: rocky/icy planets ($< 5 M_{\text{Earth}}$),
106 gas rich planets ($> 15 M_{\text{Earth}}$), and transitional planets ($5\text{--}15$
107 M_{Earth}). The ARIEL selected exoplanet target sample will
108 ensure to observe a statistically significant population for
109 each of these three classes.

110 Different observation techniques will be used to gain
111 information on different parts of the exoplanet atmospheres.
112 Terminator regions will be studied with transit spectroscopy,
113 day-side hemisphere via planetary eclipse spectroscopy and
114 unilluminated night-side hemisphere using phase variation.
115 In addition, the eclipse mapping method can be used to
116 spatially resolve the day-side hemisphere and the repeated
117 observation of a number of key planets in both transit and
118 eclipse mode (i.e., time series of narrow spectral bands) will
119 allow the monitoring of global meteorological variations and
120 to probe cloud distribution and patchiness [6].

121 The ARIEL observation strategy is divided into three
122 tiers. The first one is a “reconnaissance survey” during which
123 the selected 1000 exoplanet sample will be observed with
124 low spectral resolution and SNR. This will allow to classify
125 the exoplanet atmospheres and select the good candidates
126 for further studies. The second phase is a “deep survey”,
127 i.e., high spectral resolution observation in the Vis–IR of an
128 exoplanet sub-sample, to determine the atmospheric com-
129 ponents, chemical abundances, and thermal structure. The
130 last tier is “benchmark planets”; the very best planets, which
131 means those very interesting from a scientific point of view,
132 will be re-observed multiple times with all the techniques for
133 a detailed knowledge of the planetary chemistry and dynam-
134 ics, weather, and spatial and temporal variabilities.

135 The key science performance parameters that will drive
 136 the specification of the ARIEL mission have been identified
 137 by the Science Study Team (SST) [10]. These requirements
 138 have been derived starting from the science objective, and
 139 they include the wavelength coverage, spectral resolving
 140 power, signal-to-noise ratio and noise requirements, photo-
 141 metric stability, sky visibility/source accessibility, tempo-
 142 ral resolution, limiting targets, calibration, and zodiacal light
 143 and background. Starting from the science requirements, the
 144 SST has then derived the mission requirements [11].

Table 1 ARIEL main science and mission requirements

Parameter	Value
Wavelength coverage	Spectral 1.95–7.80 μm Photometric 0.55–1.95 micron
Resolving power	≥ 100 1.95–3.95 μm ≥ 30 3.95–7.80 μm
SNR	Spectral 7/(10–20 for deep survey) Photometric 200
Photometric stability	$\sim 10^{-4}$ over an individual transit/occultation
Sky visibility	$\geq 30\%$ of the full sky at one time 10 h the same field The whole sky in 1 year
Effective collecting area	$\geq 0.6 \text{ m}^2$
System throughput	Spectral: $\geq 40\%$ Photometric: $\geq 50\%$
Detector QE	Detectors QE $\geq 50\%$

In Table 1, the most important science and mission requirements for the spectral and photometric observations are reported. The telescope requirements will be discussed in Sect. 4.1.

2.2 ARIEL performance requirements

A numerical end-to-end simulator of transit spectroscopy, called ExoSim, has been used to predict the ARIEL performance. It permits to simulate observations in the different observing modes, i.e., both primary transit and planetary eclipse (occultation) as well as phase curves. ExoSim inputs are: the target astronomical source parameters, the instrument parameters, and the noise sources (see Fig. 1a). The outputs are simulated FITS image files akin to a real observation [12].

The ARIEL performance is then determined by analysing these simulated data and extracting the signal and noise information, or reconstructing the planet spectrum, as it is normally done with real data [13, 14]. Since ExoSim performs a time domain simulation, it is particularly suited for capturing the effects of correlated noise (e.g., from pointing jitter or stellar variability) and time-dependent systematics. It can examine the out-of-transit noise as well as simulate full transits and obtain the uncertainty on the planet spectrum.

The impact of the stellar variability on the ARIEL data has been specifically studied with ExoSim [15], and it has been demonstrated that the ARIEL design is capable of

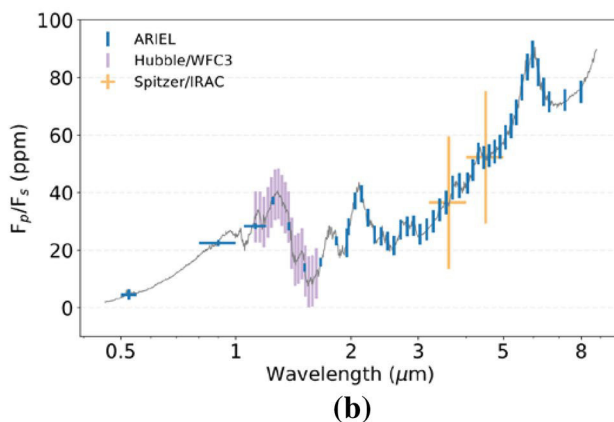
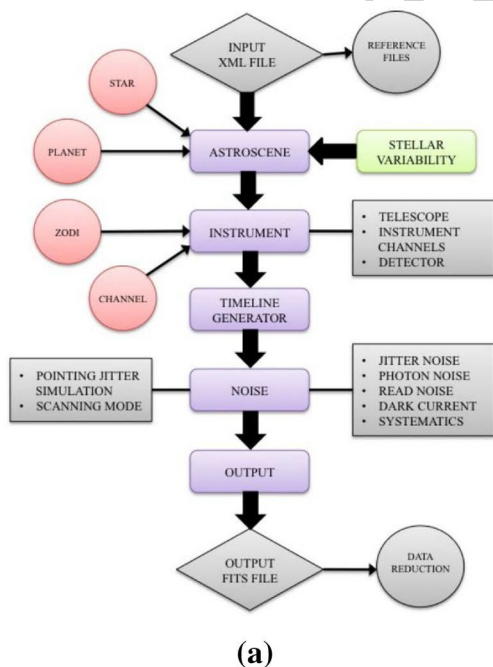


Fig. 1 In a, ExoSim model architecture. In b, expected output from the ARIEL processed data [6]

172 achieving a very high level of photometric stability to record
 173 the exoplanet atmospheric signal, i.e., 10–50 ppm relative to
 174 the target star (post-processing). Moreover, the broad instan-
 175 taneous wavelength range covered by ARIEL will allow to
 176 detect many molecular species, probe the thermal structure,
 177 identify/characterize clouds, and monitor/correct the stellar
 178 activity. To reach these goals, also requires a specifically
 179 designed stable payload and satellite platform.

180 An example of the expected output from the ARIEL pro-
 181 cessed data is shown in Fig. 1b. The simulated target is a hot
 182 super-Earth exoplanet orbiting around a G-type star. Data
 183 from eight simulated eclipses, i.e., about 32 h of observation,
 184 have been used. The results, with error bars, are compared
 185 to those obtainable with two current available facilities, i.e.,
 186 Spitzer and Hubble WFC3.

187 The detailed ExoSim performance studies also show that
 188 an effective telescope collecting area of 0.6 m², coupled to
 189 the required system throughput and detector QE, is sufficient
 190 to achieve the necessary observations on all the ARIEL tar-
 191 gets within the mission lifetime.

192 ARIEL will carry a telescope unit feeding a collimated
 193 beam into two separate modules. A combined Fine Guid-
 194 ance System (FGS)/Vis Photometer/NIR Spectrometer that
 195 contains three photometric channels in the wavelength range
 196 between 0.50 and 1.20 μm to monitor the photometric sta-
 197 bility of the target stars. Two of these channels will also be
 198 used as a prime/redundant system for providing guidance
 199 and closed-loop control to the high stability pointing Atti-
 200 tude and Orbit Control System (AOCS) of the spacecraft
 201 (S/C). Integrated in this same module is a further low-reso-
 202 lution ($R = \sim 10$) spectrometer channel in the 1.20–1.95 μm
 203 waveband. This first combined module is often simply
 204 referred to as the FGS. The second module, acting as the
 205 main instrument, is the ARIEL IR Spectrometer (AIRS),
 206 providing variable resolving power in the range 30–180 for
 207 a waveband between 1.95 and 7.8 μm .

208 The payload is passively cooled to ~ 50 K by isolation
 209 from the S/C bus via a series of V-groove radiators. The
 210 AIRS detectors are the only items that require active cooling
 211 to < 42 K via an Ne JT cooler. While the other detectors,
 212 i.e., those for the FGS channels, will be actively stabilized
 213 at a temperature < 70 K by switching on/off their thermal
 214 control system (basically a heater).

215 3 Spacecraft and science payload

216 3.1 Spacecraft architecture

217 A “horizontal” configuration, with respect to the Service
 218 Module (SVM) cylinder, has been adopted as baseline for
 219 the S/C architecture (see Fig. 2). The X -axis of the ARIEL
 220 mechanical reference system corresponds with the telescope

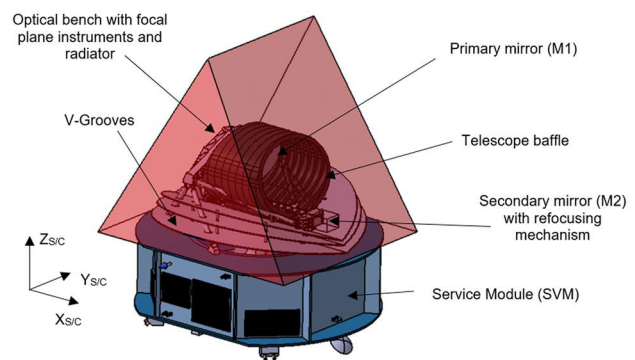


Fig. 2 Schematics of ARIEL S/C baseline configuration: main components and S/C reference system are highlighted; the red cover shows the minimum allowable volume with respect to the Sun vector

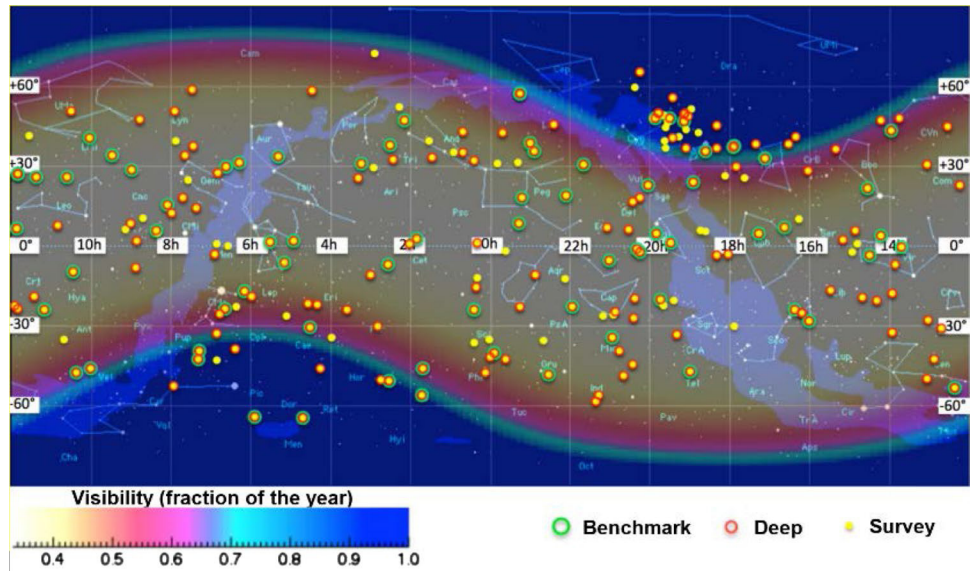
221 mirrors optical axis, the Z -axis is the launch vehicle sym-
 222 metry axis (“vertical”), and Y -axis completes the right-handed
 223 set.

224 The S/C can be considered as composed of a cold Payload
 225 Module (PLM), containing the telescope and the instruments
 226 with their thermo-mechanical hardware, and a warm Ser-
 227 vice Module (SVM) that includes all the mission-supporting
 228 systems together with the PLM and cryogenic control units
 229 [16]. The PLM will interface to the SVM via a set of ther-
 230 mally isolating support struts, or bipods, and will be radi-
 231 atively shielded from the SVM and the solar input loads by a
 232 set of 3 V-Grooves (VGs).

233 The sun is located below the platform (i.e., $-Z_{S/C}$). The
 234 V-grooves (see par. 3.1.3) and volumes are designed to
 235 accommodate a $\pm 6^\circ$ angle and a $\pm 30^\circ$ angle clearance,
 236 respectively, around the X -axis and the Y -axis with respect
 237 to the Sun vector. This solution has been taken to limit the size
 238 and mass of the VGs, which are needed to maintain the pay-
 239 load stable at cryogenic temperatures, and at the same time
 240 satisfying the requirements on the sky visibility. Assuming
 241 a representative operational orbit of ARIEL around L2, the
 242 complete sky is accessible within a year with any point on
 243 the sky observable for at least 4 months, and for the celestial
 244 poles, the coverage is continuous.

245 In Fig. 3, the sky visibility is shown. It has been indicated
 246 in fraction of a year for which a given location in the sky,
 247 expressed in equatorial coordinates, is visible. The superim-
 248 posed green and red circles are the currently known best tar-
 249 gets in terms of stellar brightness and planetary parameters
 250 (green circles are the very best), and yellow dots are known
 251 transiting planets observable by ARIEL. These current 200
 252 known targets have been discovered mainly close to the
 253 ecliptic plane, because they are provided by ground-based
 254 surveys. K2, CHEOPS, and NGTS are expected to complete
 255 the search for planets around bright sources closer to the
 256 ecliptic plane. TESS and PLATO will extend the planet
 257 search closer to the ecliptic poles.

Fig. 3 ARIEL sky visibility. Fraction of the year for which a given location in the sky (in equatorial coordinates) is visible to ARIEL. Superimposed are known targets: in green, the very best, in red, the best, and in yellow, currently known transiting planets observable by ARIEL [6]



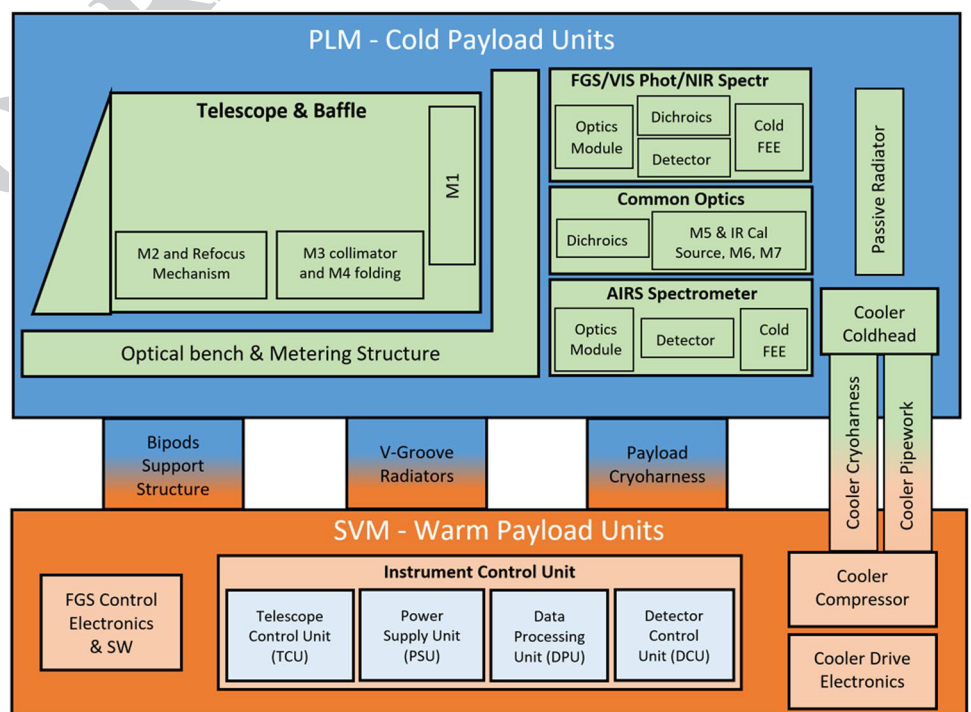
258 **3.1.1 Cold payload module architecture**

259 To achieve its primary objectives, the mission carries a
 260 single dedicated payload that will be developed and deliv-
 261 ered by the ARIEL Payload Consortium [17]. A block dia-
 262 gram of the overall payload architecture with its subsys-
 263 tems is shown in Fig. 4. The ARIEL cold PLM consists of
 264 an integrated suite of telescope, spectrometers, and FGS/
 265 photometers along with the necessary supporting hardware
 266 and services (such as optical bench, cryogenic harnessing,

thermal isolation structures, and active thermal stabiliza-
 tion control, i.e., heaters and thermistors, etc.).

The ARIEL telescope consists of three mirrors (M1, M2,
 and M3) having optical power plus a plane mirror (M4) used
 to redirect the collimated beam towards the optical bench
 (OB) located on the back of M1 (see Figs. 5a, 7). The sec-
 ondary mirror is located at the end of a metering structure
 (beam) departing from the OB and it will be equipped, as
 a baseline, with a refocusing and tip/tilt mechanism. There
 will also be an eccentric baffle around M1, internal vanes
 between M1 and M2, M2 and M3, field and Lyot stops to

Fig. 4 ARIEL baseline payload block diagram architecture



Author Proof

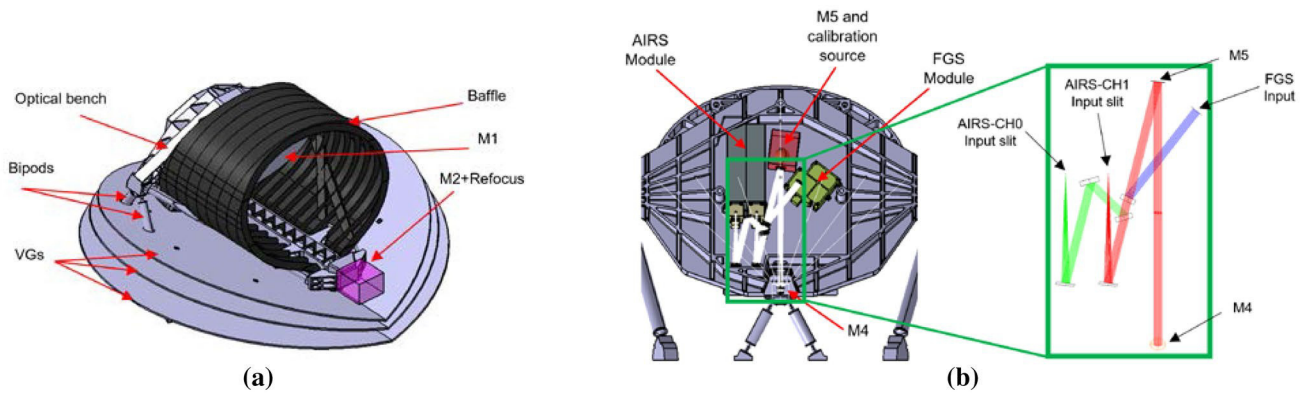


Fig. 5 In **a**, ARIEL telescope mechanical layout. In **b**, mechanical design of the OB with highlighted the optical path to the FGS and AIRS modules; in the inset, there is a zoom on the common optics region

278 control and limit both the out-of-field and in-field scattered
279 stray light.

280 There is an additional M5 plane mirror (see Fig. 5b),
281 which deflects the beam on the OB. Following M5, a number
282 of plane dichroic mirrors are adopted to split the light
283 and redirect it toward the fine guidance and spectrometer
284 modules. These relay optics plus M5 constitute the common
285 optics unit (COM).

286 Since calibration requirements are under assessment,
287 additional hardware might be added within the instrument
288 cavity. An IR calibration source based on the heritage of
289 the JWST MIRI calibration system [18] and injected in the
290 centre of the M5 mirror has been considered as the baseline
291 solution.

292 The instrument modules AIRS and FGS are accommodated
293 on the optical bench behind M1 (see Fig. 5b), enabling
294 a direct view to deep space to provide direct radiative cooling.
295 The units are thermally isolated by the VG shields and
296 the critical elements such as AIRS detectors (see par. 3.2.2)
297 are cooled by a dedicated radiator and thanks to an active
298 cooler cold end integrated on the OB.

299 The detectors of the FGS, located in a single module
300 box (the FGS box) are passively cooled to $T \leq 70$ K by a
301 dedicated radiator represented by the top surface that closes
302 the modules cavity on the OB. This radiator, fully enclosed
303 in the cold radiative environment set by the last V-groove,
304 always faces the cold space during operations. The AIRS
305 detectors must be operated colder, below 42 K, with the goal
306 of reaching a temperature around 36 K, to minimize detector
307 thermal noise. Maintaining this temperature, with a load of
308 tens of mW, requires the implementation of an active cooling
309 system. The cryocooler baseline relies on the Planck mission
310 and EChO study heritage: a JT cold end fed by a Planck-like
311 mechanical compressor using Neon gas isenthalpic expansion
312 to achieve the required low temperature and heat lift
313 [19].

The main cold units are summarized in Table 2.

The baseline design of the PLM includes one potential
active mechanisms in the payload. This is the refocusing
mechanism on the telescope M2 mirror, which is used to
ensure that the alignment and image quality of the telescope
system are within the allowed ranges after launch and
cooldown.

3.1.2 Service module architecture

The ARIEL warm units are integrated in the SVM. These
include the payload electronics, which consists of three
boxes that act as remote terminal units (RTUs) via Space-
wire (ICU and FGS plus the cooler control electronics) to
the S/C Command and Data Management System (CDMS).
All commands coming from Ground, operational sequencing
and data storage are managed and implemented within
the S/C CDMS.

The payload warm units are summarized in Table 3.

3.1.3 PLM and SVM interfaces: V-grooves, bipods, and supporting struts

The VGs are high-efficiency passive radiant coolers and provide
the first stage of the PLM cooling system. The Planck mission
has definitely demonstrated their efficiency as passive cooling
systems. Parasitic heat from warmer sections of the S/C is
intercepted by the VGs and radiated to space after multiple
reflections between the adjacent shields. To achieve this,
VGs surfaces must have a very low emittance coating, i.e., a
high reflection/mirroring material needed to reflect heat
radiation. Only the upper surface of the last VG (VG3),
exposed to the sky, is black coated with a high emissivity
material to maximize the radiative coupling, and so the heat
rejection to deep space. The ARIEL VG system consists of
a set of three specular shields, composed of six

Table 2 ARIEL cold payload units

Unit name	Unit description
Telescope assembly	Incorporating M1–M4 mirror, a refocusing and tip/tilt mechanism on the M2 mirror, the telescope optical bench, structure and baffles. Passively cooled. The M1 temperature is actively stabilized
Common optics (COM)	Including the M5 mirror, the dichroics to split the FGS and spectrometer light, the formatting optics to inject properly the light into the spectrometer, and potentially a common calibration source for the payload. COM subsystems are directly mounted on the optical bench. They are thermally controlled via the optical bench
ARIEL IR spectrometer (AIRS)	Primary science payload. Optical module with optical interface to common optics and mechanically mounted on the optical bench. Thermally controlled via the optical bench for the structure and optics and via active control system (JT cooler and the thermal control system) and cold strap to the payload radiator for the detectors Including all optics and structure plus detectors and cold front-end electronics (CFEE)
Fine guidance sensor (FGS)	Optical module with optical interface to common optics and mounted on the optical bench. Thermally controlled via the optical bench for the structure and optics and via active control system (only thermal stabilization by the thermal control system) and cold strap to the payload radiator for the detectors
ARIEL thermal hardware (ATH)	Dedicated radiator for detectors and optical module cooling plus thermal straps to provide detector interfaces. Mounted off optical bench
ARIEL cryocooler	The cryogenic active system is based on a mechanical compressor (heritage of the Planck mission) feeding a JT cold end. Neon is used as the cryogenic fluid to reach temperatures in the 28–36 K range to cool-down AIRS detectors only

Table 3 ARIEL warm payload unit

Unit name	Unit description
Instrument control unit (ICU)	Drives for spectrometer detectors and Instrument Control. Command and data handling, compression (if needed) and on-board pre-processing, formatting. I/O to CDMS. It acts as a Master for the TCU subsystem
FGS electronics (FGE)	Drives for FGS detectors and FGS module control. Command handling. Data handling, compression (if needed), on-board pre-processing and data formatting. FGS data processing. I/O to CDMS via Spacewire
Telescope control unit (TCU)	TCU is an ICU slave subsystem. Drives for heaters, thermistors (including those mounted on the OB) etc. Commands from ICU handling. Data formatting. I/O to ICU via I ² C or Spacewire TCU will be also in charge of controlling the refocusing mechanism on the M2 mirror and the IR Calibration source, thanks to its own driver sections
Cryoharness	Harness connecting warm and cold payload units including internal harnessing and mating connectors
Cryocooler compressor	The active cooling system compressor is based on a Planck-like mechanical system, with vibrations control and mitigation capabilities. Its task is to pressurize the low-pressure gas returning from the cold end feeding it back to the JT expander

346 half circles arranged in a “V-shaped” configuration, angled
 347 along the diameter parallel to the S/C X-axis (see Fig. 4).
 348 A constant angle of 7° has been assumed as the inclination
 349 between VGs, resulting in a set of 7°–14°–21° for the three
 350 shields, separated by a gap of 100 mm at the vertices. VGs
 351 are mechanically designed as a simple sandwich of aluminium
 352 alloy (series 1000 or 6000) layers. A honeycomb cell
 353 structure 10-mm thick, with 10 mm (or less) cell size and
 354 ribbon thickness of 1 mm, is packed between two 1-mm-
 355 thick layers.

356 The PLM is supported by three bipods mounted onto
 357 the PLM/SVM interface plate. One bipod is centrally positioned
 358 at the front of the telescope baffle. The other two
 359 are on the rear side of the Telescope Assembly, supporting
 360 the OB and the baffle on two points. The VGs are also

mechanically and thermally attached to the three bipods 361
 plus the extra support provided by eight auxiliary struts 362
 (TBC). The need for these extra supports and their thermo- 363
 mechanical design will be investigated in the next phase of 364
 the analysis. Bipods preliminary thermo-mechanical con- 365
 figuration is based on the Planck design. They are assumed 366
 as hollow cylinders made of GFRP (R-Glass + Epoxy), a 367
 low conductive material with good structural properties. 368
 To increase their mechanical stiffness, the inner volume 369
 of the cylinders is filled with low thermally conductive 370
 rigid foam. The eight extra supporting struts for the VGs 371
 are positioned in the outer boundary part of the PLM to 372
 support the radiators’ edges. They are designed as hollow 373
 GFRP cylinders extending from the SVM/PLM interface 374
 to the lower surface of the last VG. 375

3.2 Science payload

As already mentioned, the science payload, in its cold part, is composed of: a telescope unit, a spectrometer unit and a fine guidance unit. The telescope will be described in the next paragraph. The primary payload is the spectrometer, whose scientific observations are supported by the fine guidance system and photometer, which is monitoring the photometric stability of the target and allowing, at the same time, the target to be properly pointed.

In particular, to achieve the required photometric stability, a good pointing stability needs to be provided by the S/C during an observation. For this purpose, the FGS channels looking to the target star in parallel to the spectrometer will be accommodated on the payload and used by the AOCS.

Both the spectrometers and FGS will be mounted on the common OB.

3.2.1 FGS and its objectives

The Fine Guidance System (FGS) main task is to ensure the correct pointing of the satellite, to guarantee the target star is well centred on the spectrometer slit during all the observation sessions. It will also provide high precision astrometry, photometry, and spectro-photometry of the target for complementary science. In particular, the data from the FGS will be used for de-trending and data analysis on ground. The sensor uses star light coming through the optical path of the telescope to determine the changes in the line of sight of the ARIEL instrument. The attitude measurement is then merged with the information from the star tracker, and used as input for the control loop stabilizing the S/C through the high performance gyros.

To meet the goals for guiding and photometry, four spectral bands are defined:

- FGS 1: 0.8–1.0 μm ,
- FGS 2: 1.05–1.2 μm ,
- Vis Phot: 0.50–0.55 μm ,
- NIR-Spec: 1.25–1.90 μm including a prism element with low spectral resolution greater than 10.

The instrument has two detectors: one is shared by the FGS1 and Vis-Phot channels and the other one by the FGS2 and NIR-Spec channels. The baseline detectors are the standard substrate removed Teledyne HIRG [20], 2.5 μm cut-off wavelength, and 1024 \times 1024 pixels with 18 μm pixel pitch. They have a QE greater than 50%, high technology readiness level (TRL) (9) and space heritage.

The information from all the FGS channels is used as a stellar monitor and to provide photometric information to constrain the Vis/NIR portion of the exoplanet spectra. The information from one of the FGS channels will

be used as the nominal FGS information to feed into the AOCS. In case of failure in the system, then the information from the other channels can be used. The spectral bands are selected from the incoming light using dichroic filters.

The main requirement of the FGS is the centroiding performance of 10 milli-arcsec at 10 Hz to achieve centroiding to 1/10th of a pixel level. For the best support of the operating modes, several centroiding and data extraction algorithms will be implemented, fully configurable by parameter and command.

The FoV of the FGS on sky is: 17" \times 17" as the internal field, usable for centroiding and 25" \times 25" as the outer full field maximum usable on sky, which corresponds to an internal FGS FoV of $\pm 0.19^\circ$. For the different channels, the plate scale ranges between 6 and 9" per pixel (for further details, see [21]).

The FGS could also be used during the commissioning of the payload to iterate and optimize the telescope focus and spherical aberrations by an iterative loop (with ground control) feeding into the M2 mirror mechanism. To support this activity, a dedicated PSF imaging mode can be envisaged.

3.2.2 ARIEL IR spectrometer (AIRS)

The prime science payload for ARIEL is a broadband, low-resolution NIR and MIR spectrometer operating between 1.95 and 7.80 μm . The IR spectrometer can be split into multiple channels but not at wavelengths with key spectral features for which overlapping on different channels is needed for spectra retrieval.

The baseline design foresees two spectrometers with independent optical channels and detectors: the first one (CH0) covering the shorter waveband (1.95–3.90 μm), the second (CH1) the longer waveband (3.9–7.8 μm). As dispersive element, prisms have been considered in the present design.

The beam reaching the AIRS slits has an F#18 in the spectral direction and F#12 in the spatial direction. The pixel scale of each AIRS channel depends on their optical design, i.e., on the focal lengths of the collimator and of the camera. The focal length of the cameras is different for the two channels. Some of the AIRS characteristics are reported in Table 4. For full details and complete AIRS performance predictions, see [21].

The baseline detectors for AIRS, as well as for the FGS, are the hybrid CMOS substrate removed HIRG from Teledyne. Given the different wavelength range, they have different cut-off wavelengths. The CH0 detector is an HIRG standard type with a 5.3 μm cutoff, while the CH1 detector is a custom NEOCam type [22], operated at a temperature of ~ 36 K using an active cooling system.

Table 4 Summary of the main AIRS characteristics

	Waveband (microns)	Slit size spatial direction	Spatial scale ("/px)	Slit size spectral direction	Spectrum size (px ²)	Resolving power
CH0	1.95–3.90	1.26 mm (20")	0.22	0.296 mm (4.7")	270 × 64	100–180
CH1	3.90–7.80	1.26 mm (20")	0.45	0.465 mm (7.4")	100 × 64	30–65

Table 5 Summary of the telescope optical requirements

Parameter	Value
Collecting area	> 0.6 m ²
FoV	30" with diffraction limited performance 41" with optical quality TBD allowing FGS centroiding 50" unvignetted
WFE	Diffraction limited @ 3 μm
Wavelength range	0.55–8 μm
Throughput (EOL)	Minimum > 0.78 Average > 0.82
Output beam dimension	20 mm × 13.3 mm

474 For the AIRS, only a part of the detector will be used for
475 detecting the spectrum as the spectrum size (see Table 4) is
476 significantly smaller than the detector area.

477 Another option, presently considered for the detectors
478 both for FGS and AIRS, is the adoption of European MCT
479 detectors. In fact, there are specific efforts underway within
480 Europe (CEA/LETI) to develop a detector (640 × 512 or
481 320 × 256, 15 μm pixels) with cut-off wavelength at 8.2 μm
482 at 45 K.

483 4 Telescope optical design

484 4.1 Telescope design requirements

485 The telescope optical layout has been designed to provide
486 the optical requirements, as reported in Table 5, which have
487 been derived by the mission requirements [11]. The require-
488 ment on the collecting area of 0.6 m² implies an entrance
489 pupil of the order of 1 m in diameter. The collecting area is
490 related to the minimum intensity (magnitude) of the observ-
491 able targets.

492 The design performance is driven by the requirement that
493 the final as-built quality of the telescope system has to be
494 diffraction limited at 3 μm over an FoV of 30", i.e., equiva-
495 lent to an RMS wavefront error (WFE) of 220 nm.

496 The requirement on the telescope throughput has been
497 derived by breaking down the global system throughput

budget and including the lifetime degradation. The bro- 498
ken-down budget ensures that all the channels are compli- 499
ant with the mission requirements [21] [23]. To guarantee 500
the required throughput without increasing the size of the 501
primary mirror, that is the entrance pupil of the telescope, 502
the optical design has to be unobscured. The unobstructed 503
solution also assures that the energy in the PSF is primarily 504
contained inside the first Airy disk and not spread towards 505
the secondary rings [24]. 506

The wavelength coverage and the global FoV of the tel- 507
elescope are determined by the requirements on the instru- 508
ments following the telescope, i.e., the FGS and the AIRS. 509

510 4.2 Telescope FoV determination

In the determination of the FoV for the telescope, there are 511
three aspects to consider: the FoV required for the FGS, 512
the FoV required for the AIRS, and the FoV required for 513
the telescope itself. For each of these not, only the nomi- 514
nal scientific FoV has to be taken into account, but also 515
the extended FoV needed for accounting for misalignment 516
[absolute performance error (APE)] or for channel calibra- 517
tion. For example, in the spatial direction of the AIRS, an 518
extended FoV is needed for monitoring the background (i.e., 519
zodiacal and thermal background but also detector dark cur- 520
rent). The FGS has to be able to acquire the source also in 521
the "coarse alignment mode", i.e., before the fine guidance 522
loop has been closed, which implies a larger FoV for the 523
telescope. 524

Moreover, an additional FoV requirement of 10" is 525
intended to allow for off movements of the telescope with 526
respect to the instrument optical bench (for example, due 527
to launch loads, settling of the structure post-launch and 528
dimensional changes on cooldown). 529

A 26.4" diffraction limited telescope FoV is required to 530
ensure that there is a well-resolved PSF at the centre of the 531
spectrometer slit. A larger 37" telescope FoV is required 532
to allow the FGS to acquire a star and centre it on the slit. 533
The image quality level over this 37" annulus is still to be 534
defined, but it can be of lower quality, sufficient to allow 535
the star centroid to be well enough resolved to be initially 536
located and then brought to the centre of the FGS FoV, 537
where the telescope image quality is better. This annulus has 538
been indicated as the 'FGS acquisition' FoV. A still larger 539

540 telescope FoV, extending to 46", is required to capture the
 541 slit background. There are no image quality requirements
 542 over this additional FoV; the only real requirement is for the
 543 FoV to be unvignetted, so that background photons reach the
 544 slit. This annulus has been referred to as the 'background'
 545 FoV.

546 However, this situation does not allow any margin for
 547 misalignment of the FGS and AIRS. They must be co-
 548 aligned to better than $\pm 5''$ in any case, or else the PSF can-
 549 not be located on the centre of the slit and in the FGS FoV
 550 at the same time. Some margin is also needed to allow to
 551 account for the fact that the telescope to OB alignment will
 552 be set to some datum on the optical bench, and there may
 553 be some residual misalignment between that datum and the
 554 individual FGS and AIRS instruments. It is reasonable to
 555 suppose that these misalignments will be small in compari-
 556 son with the offset between telescope and OB, given that
 557 AIRS and FGS will be integrated on a single optical bench.

558 For the moment, it has been assumed that both the FGS
 559 and AIRS will be aligned to a datum on the instrument opti-
 560 cal bench (for example, an optical reference cube) to within
 561 $\pm 2''$, implying a maximum co-alignment error between
 562 the two of 4". This reference cube has been assumed as the
 563 datum to which the telescope is aligned. This implies that
 564 a 4" margin on all of the telescope FoVs must be added to
 565 allow for these alignment errors. This gives the final tele-
 566 scope FoVs, as shown in Fig. 6 and summarized in Table 6.

567 4.3 Telescope design characteristics

568 The baseline telescope design is an afocal unobscured
 569 eccentric pupil Cassegrain telescope (M1 and M2) with a
 570 recollimating off-axis parabolic tertiary mirror (M3). All
 571 the mirrors share the same optical axis. An M4 plane mirror
 572 is redirecting the exiting beam parallel to the back of M1,
 573 where the OB is located and the instrument will be mounted
 574 (see Fig. 7).

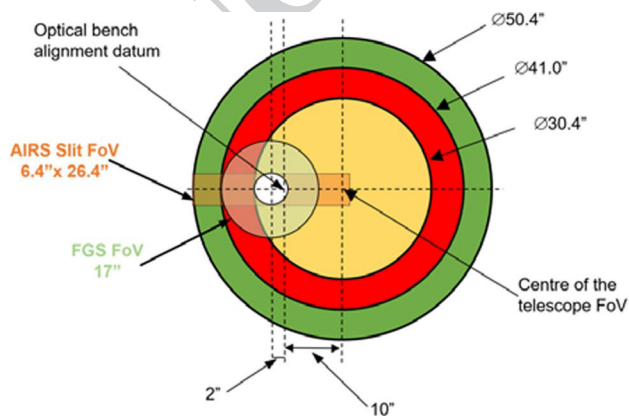


Fig. 6 Final telescope FoV. All dimensions are to scale

Table 6 Summary of FoV requirements

Designation	FoV (arcsec)
AIRS	6.4×26.4
FGS	17
Telescope: Diffraction limited	30
Telescope: FGS acquisition	41
Telescope: Background	50

Telescope FoV values are rounded to the nearest arcsec

Note that the optical reference system (shown in Fig. 7) is different from the S/C one. The telescope is accommodated horizontally with its optical axis (Z) along the S/C X-axis. The centre of the FoV of the telescope is inclined of 0.1° in the YZ plane with respect to the optical axis of the telescope defined by the mirrors common optical axis.

The system aperture stop/entrance aperture is located at the M1 surface. The M1 aperture is an ellipse with major/minor axes dimensions of 1100 mm \times 730 mm. The complete characteristics of the optical design are summarized in Table 7a, while in Table 7b, the parameters (radius of curvature, conic constant, off-axis, etc.) of the telescope mirrors are described.

The value of the angular magnification is forced by the need to conjugate the M1 aperture size with the desired output beam dimension at the exit pupil.

To be able to satisfy the required telescope throughput, a protected silver coating has been adopted as baseline for the mirror surfaces. In fact, silver coatings are able to provide more than 96% of reflectivity both in the UV and IR wavelength regions. Many researches have been done, or are in progress, for studying and improving the high reflective coatings for space applications, and in particular the protected silver ones [25–27].

A detailed trade-off for the material to be used for the telescope mirrors, specifically for M1, and telescope structure has been carried out during the ARIEL assessment phase. The conclusion is that for the consortium provision of the telescope, the optimum solution is a telescope with mirrors and structure made from aluminium 6061 T651 alloy [28]. The viability of using aluminium as the baseline material for the telescope mirrors has been assessed during phase A by producing a pathfinder M1 mirror [21].

The primary mirror will be lightened and its mechanical shape is studied to give high bending stiffness both during manufacturing and in operating condition. The selected mounting system ensures isostatic thermal fixation of the primary mirror. M1 is supported directly by the OB via a nine-point whiffletree structure, which is connected to the OB via three triangular mountings. The M1 mechanical configuration is shown in Fig. 8.

Fig. 7 Scale drawing of the telescope—view in Y–Z plane

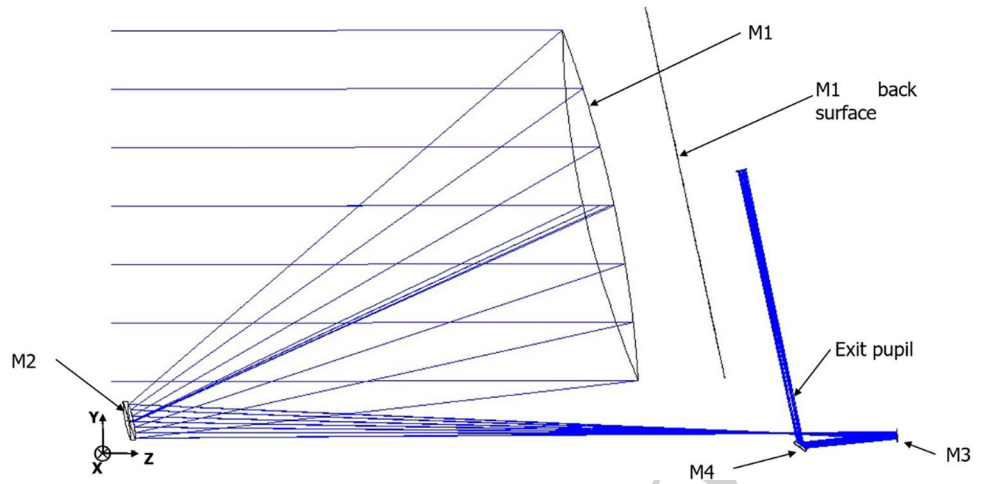


Table 7 (a) Summary of the telescope optical design characteristics, (b) mirror parameters description

Parameter	Values		
Optical concept	Eccentric pupil Cassegrain telescope plus off-axis paraboloidal mirror and folding. Afocal design		
Focal length	14.17 m		
FoV centre	0.1—off-axis YZ plane		
Pupil size	Ellipse with major axis 1.1 m × 0.73 m		
Focal ratio @ intermediate telescope focus	13/19.4		
Angular magnification	– 55		
(b)			
Optical element	M1	M2	M3
R (mm)	– 2319.5	– 239.0	– 491.5
k	– 1	– 1.4	– 1
Off-axis (mm) (y direction)	500	50	20
Clear aperture radius (mm)	Elliptical, 550 (x) by 365 (y)	Elliptical, 56 (x) by 40 (y)	Elliptical, 15 (x) by 11 (y)
Type	Concave mirror	Convex mirror	Concave mirror

616 A static analysis has been done to assess the deformation
 617 of the M1 surface due to gravity. Different mounting plate
 618 materials have been considered. With an Al 6061 mounting
 619 plate, the expected surface distortion PTV is of the order of
 620 100 nm, which corresponds to approximately 40 nm RMS.

621 **4.4 Telescope optical performance**

622 The raytracing analysis and design optimization have been
 623 done by means of the raytracing software Zemax[®]. To assess
 624 the quality of the telescope and determine the optical per-
 625 formance, since the telescope is afocal, the spot diagrams
 626 can be given using an ideal focusing paraxial lens with a
 627 defined focal length, or using the afocal image space option
 628 appropriate for systems with collimated output. Note that the

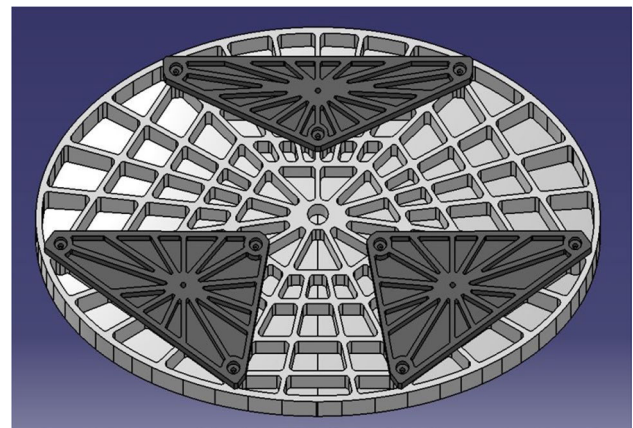


Fig. 8 Primary mirror rear side with the foreseen mounting scheme

629 spot diagrams obtained with this second method have their
630 size expressed in milliradians units.

631 The nominal diffraction PSF at $3\ \mu\text{m}$ wavelength has an
632 Airy radius, respectively, of 0.2 and 0.29 mrad in the X - and
633 Y -directions. A picture of the expected theoretical PSF is
634 depicted in Fig. 9a; in Fig. 9b for comparison, the spot diagram
635 all over the $50''$ unobstructed telescope FoV is drawn
636 and compared with a box of 0.4 mrad size, so to show that
637 telescope design is diffraction limited at the $3\ \mu\text{m}$ primary
638 wavelength.

639 The telescope RMS WFE is always less than 26 nm over
640 the $30''$ nominal telescope FoV (see Fig. 10); this value
641 is well below the telescope diffraction limit at $3\ \mu\text{m}$, i.e.,
642 220 nm.

To assess the final performance of the as-built telescope
and its variation during the operation in flight, a tolerance
analysis has been done [21, 29, 30]. The telescope has been
considered to be designed, realized, and integrated at room
temperature in 1 g environment according to the raytraced
theoretical layout. Being an all-aluminium instrument, it is
expected to scale down when cooling down at the nominal
operating temperature. To compensate for residual mechanical
deformation of the telescope due to the cooling process,
the secondary M2 refocusing mechanism can be used.

The tolerance analysis has taken into account the different
parts of the realization and life of the instrument:

1. manufacturing, integration, and alignment;

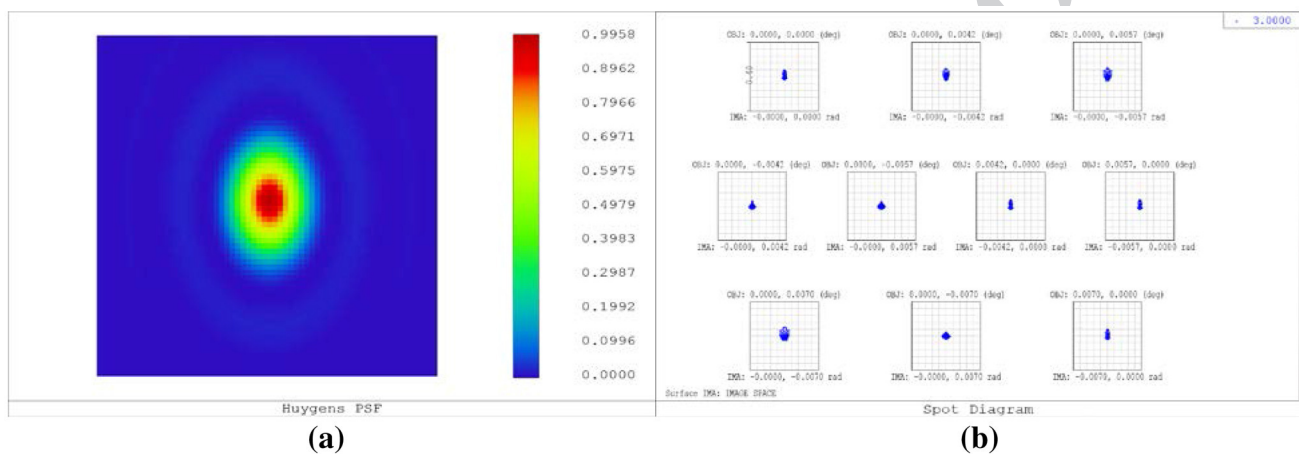


Fig. 9 In **a**, PSF calculated at the telescope FoV centre for a wavelength of $3\ \mu\text{m}$ depicted over a 1 mrad square box. In **b**, spot diagrams in the afocal space; the scale (box) is 0.4 mrad

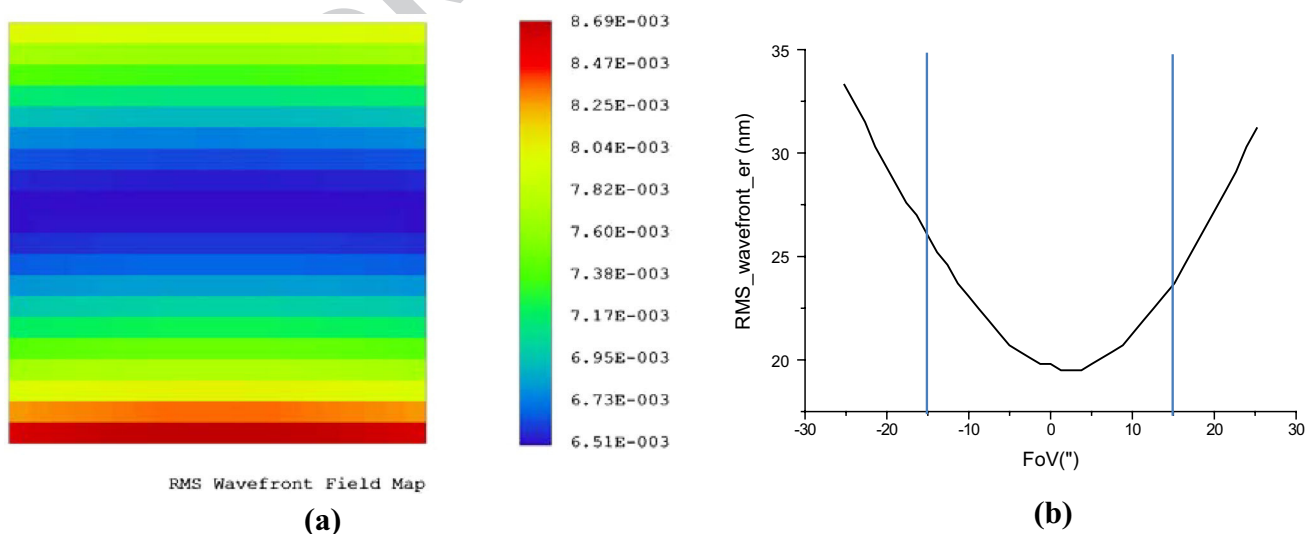


Fig. 10 In **a**, RMS wavefront error field map calculated for the $3\ \mu\text{m}$ wavelength over the $30''$ nominal telescope FoV. Units are λ . In **b**, cross section along the Y direction of the RMS wavefront error expressed in nm; in the X -direction, the wavefront error is constant

- 656 2. launch loads and change from 1 to 0 g;
 657 3. cooldown in orbit from ambient temperature to the
 658 nominal (about 50 K) operating temperature;
 659 4. stability in flight: short term (over one single exposure
 660 to about 10 h) and long term (over the whole mission
 661 operative lifetime).

662 For the manufacturing, integration and alignment phase
 663 optical element standard manufacturing and mounting tolerances
 664 have been considered. The mirrors are foreseen to be
 665 equipped with a reference cube, or reference surfaces, and
 666 with respect to these references, the mirror local axis will
 667 be measured with high precision ($\sim 10/20 \mu\text{m}$ in position
 668 and $2/4^\circ$ in rotation). If after manufacturing, M1 will be
 669 measured and found to be out of the specifications; to avoid
 670 the time consuming process of re-working a 1 m diameter
 671 mirror, the possibility of re-optimize M2 will be consid-
 672 ered. The total impact of the manufacturing, integration, and
 673 alignment process on the RMS WFE is expected to be of the
 674 order of 40 nm.

675 The adopted alignment philosophy is to mount M1 and,
 676 then, with the help of the reference surfaces, measure its
 677 position and orientation and use M2 as compensator to
 678 recover the optical quality. After the telescope alignment,
 679 the boresight direction will be measured with respect to the
 680 instrument reference cube, for example, using a theodolite
 681 setup [31], and used to co-align the other ARIEL modules.

682 To reduce the deformation effects induced by gravity dur-
 683 ing the alignment and tests on-ground, a slightly inclined
 684 position of the telescope, with the gravity acting parallel
 685 to the optical bench, is suggested to be adopted. The whole
 686 telescope structure should be rotated about 12° with respect
 687 to the telescope interface to SVM [21].

688 A preliminary thermoelastic analysis has been performed
 689 to verify the deformation of the primary mirror and the tel-
 690 escope structure during the cooling phase from ambient
 691 293 K to the operating temperature. The considered operat-
 692 ing temperature map is the one calculated using the thermal
 693 model for the reference worst case condition (“Cold Case”,
 694 see the following section). The obtained resulting deforma-
 695 tions are reported in Fig. 11. The variation of the distance
 696 between the centres of primary and secondary mirrors is
 697 of about $20 \mu\text{m}$ along the X -direction, about $600 \mu\text{m}$ and
 698 4.7 mm , respectively, in the Y and Z ones. These numbers
 699 are in line with the expected displacements; in fact, the mean
 700 Al6061 coefficient of thermal expansion (CTE) in the con-
 701 sidered temperature range is about $17 \mu\text{m}/\text{m}/^\circ$.

702 Choosing some reference points (nodes) on the primary
 703 and secondary mirrors and comparing the node expected
 704 positions, calculated with the simple scaling of the design,
 705 with the ones derived by the thermoelastic analysis, the
 706 residual deformations of the telescope have been derived.
 707 The telescope results to be rotated approximately $4'$ around

708 the X -axis, the distance between M1 and M2 is about $200 \mu\text{m}$
 709 more than expected, and the estimated variation for the
 710 shape of the primary mirror is about $20 \mu\text{m}$ PTV. The first
 711 effect can be recovered re-orienting the whole S/C, the sec-
 712 ond, and partly the third, by moving M2 via the refocusing
 713 mechanism. The residual WFE after refocusing is expected
 714 to be of the order of 200 nm.

715 For the stability in flight, at present, the foreseen seasonal
 716 changes are estimated to be less than 1 K corresponding to
 717 an expected RMS WFE of about 130 nm. Anyway, if consid-
 718 ered necessary, the M2 refocusing mechanism can be used
 719 from time to time to recover the WFE changes. During one
 720 single exposure, i.e., up to 10 h, the temperature variation is
 721 negligible of the order of a few mK. The induced boresight
 722 errors will be recovered using the FGS.

723 The results of the whole tolerance analysis show that the
 724 telescope, thermally stable after cooldown and refocused
 725 via M2 mechanism, will have a WFE of the order of 220 nm
 726 RMS. The total RMS WFE error in flight, including the sta-
 727 bility, will be within 250 nm. Comparing these results and
 728 the WFE budget, detailed in [21], it can be demonstrated
 729 that the telescope assembly will deliver the required opti-
 730 cal quality suitable to achieve the scientific purpose of the
 731 instrument.

732 5 Telescope thermal analysis and control

733 The telescope is passively cooled to $\leq 70 \text{ K}$ and its ther-
 734 mal control is based on a passive/active approach. A high-
 735 efficiency thermal shielding system (see Fig. 12) based on a
 736 multiple radiators configuration can provide stable tempera-
 737 ture stages down to $50\text{--}60 \text{ K}$ in the L2 orbit environment.

738 The telescope baffle provides a large radiator area with a
 739 good view to deep space; this provides sufficient radiative
 740 cooling to dump the parasitic loads from the PLM support
 741 struts, cryoharnesses, and radiative load from the final VG.
 742 Temperature control of the mirrors is achieved by partial
 743 thermal decoupling from PLM units: each mirror is mounted
 744 on its supporting structure by insulating struts with a total
 745 conductance of less than 0.1 W/K . This configuration will
 746 help in filtering out all potential instabilities with periods
 747 of the order of $10\text{--}100 \text{ s}$ originated in the PLM.

748 For the primary mirror, the high thermal capacitance, due
 749 to its mass, will allow a higher level of passive filtering,
 750 damping instabilities at lower frequencies, i.e., with peri-
 751 ods of the order of few hours. The slower fluctuations, with
 752 periods of the order of several hours or longer, that could
 753 be transmitted to the optics will be smoothed by the active
 754 control system based on a proportion–integral–derivative
 755 (PID)-type feedback loop.

756 The telescope will also incorporate contamination con-
 757 trol heaters on the M1 and M2 mirrors and on the PLM

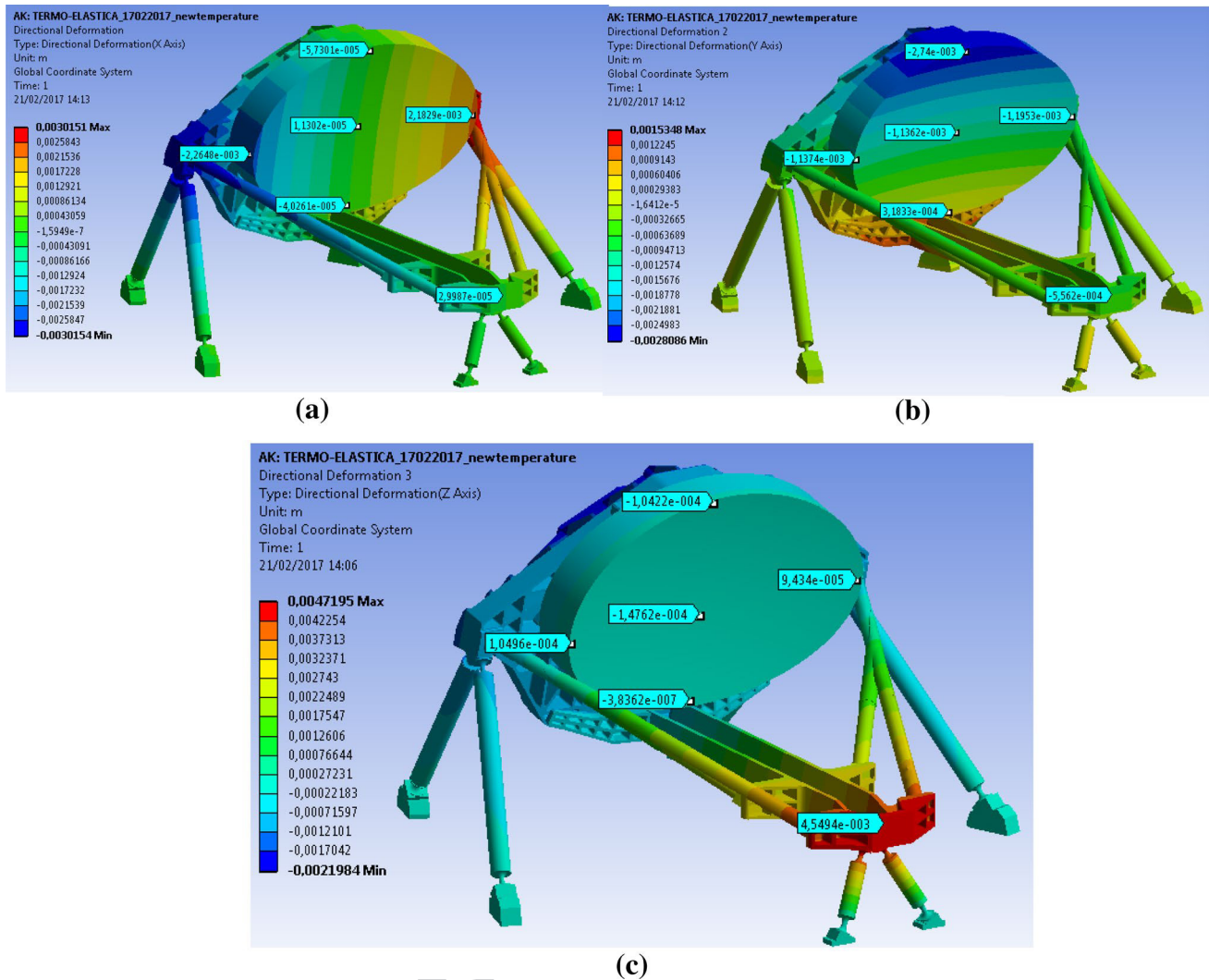


Fig. 11 In a, b, and c, telescope directional deformations, respectively, along the X-, Y-, and Z-axes resulting from the thermoelastic analysis. Units are meters

758 optical bench. These heaters will be active during the early
 759 orbit operations to ensure that the sensitive optical surfaces
 760 remain warmer than the support structure through the critical
 761 parts of cooldown. A temperature delta of ~ 40 K will
 762 be maintained between the baffles, which will act as a con-
 763 tamination getter for water and other contaminants being
 764 off-gassed by the PLM, and the optical surfaces. A pre-
 765 liminary calculation of the power required to maintain this
 766 temperature gradient shows that approximately 100 W of
 767 heater power is required during this phase. This would hold
 768 the sensitive surfaces at 200 K, while the baffle cools below
 769 160 K, where the H_2O will freeze out.

770 A thermal analysis has been performed at PLM level.
 771 Both steady state and transient studies have been carried out
 772 for different boundary conditions on the SVM top plate and
 773 SVM radiative shield. The expected steady-state tempera-
 774 tures in the nominal operating conditions, corresponding to

775 the S/C orbiting around the Sun–Earth L2 point, have been
 776 calculated as well as transients induced by an abrupt change
 777 of the boundary conditions. In addition, the cooldown from
 778 ambient temperature to the operative condition in orbit, cal-
 779 culated over a 30 days period, has been simulated [32].

780 As a reference, the steady-state results obtained for the
 781 cold PLM in the coldest operative case (‘Cold Case’) are
 782 shown in Fig. 13. The ‘Cold Case’ corresponds to the situ-
 783 ation in which the payload reaches the lowest temperature
 784 resulting in the worst condition for what concerns the ther-
 785 moelastic deformation effects on the payload. The tempera-
 786 tures reached by all passively and actively cooled units are
 787 fully compliant with the requirements including margins.
 788 Figure 13a shows the temperatures of the radiator, OB, and
 789 baffle, while Fig. 13b shows those of the AIRS and FGS
 790 CFEEs, detectors, and the JT cold end. Figure 14 reports a
 791 detailed view of the telescope assembly temperatures.

Fig. 12 PLM thermal architecture scheme

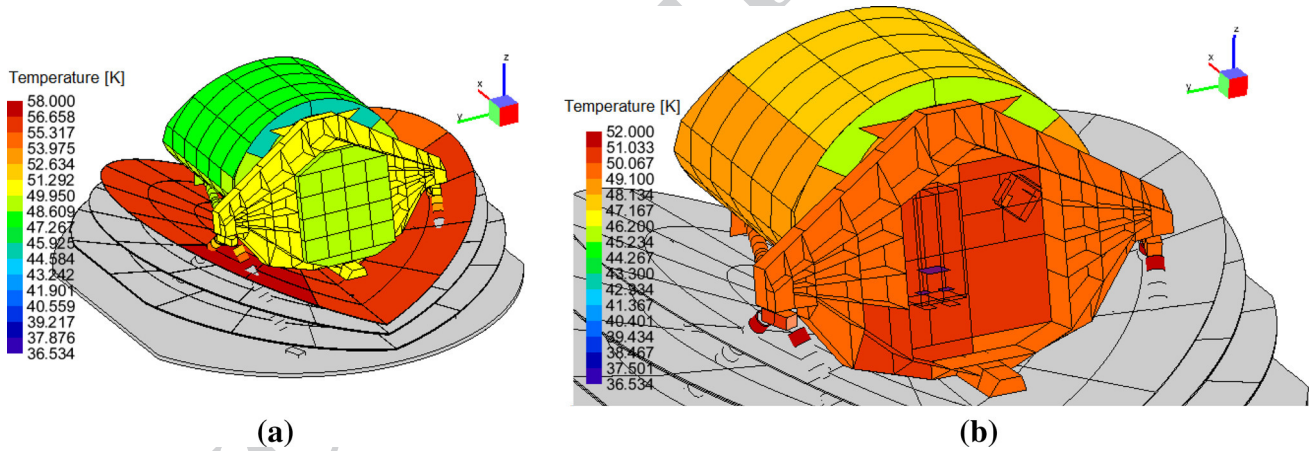
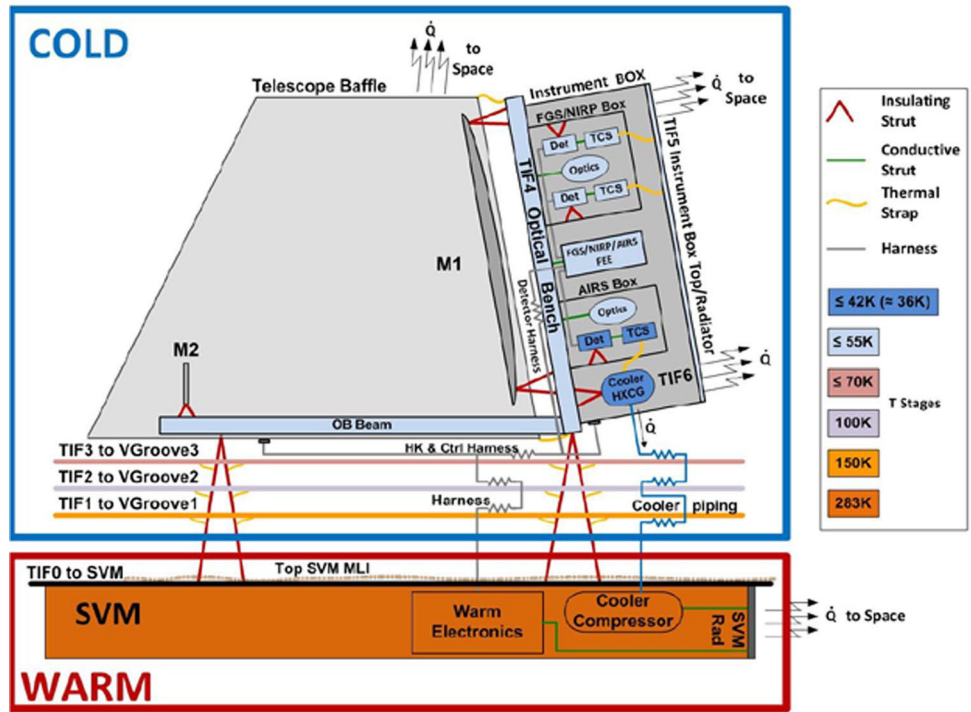


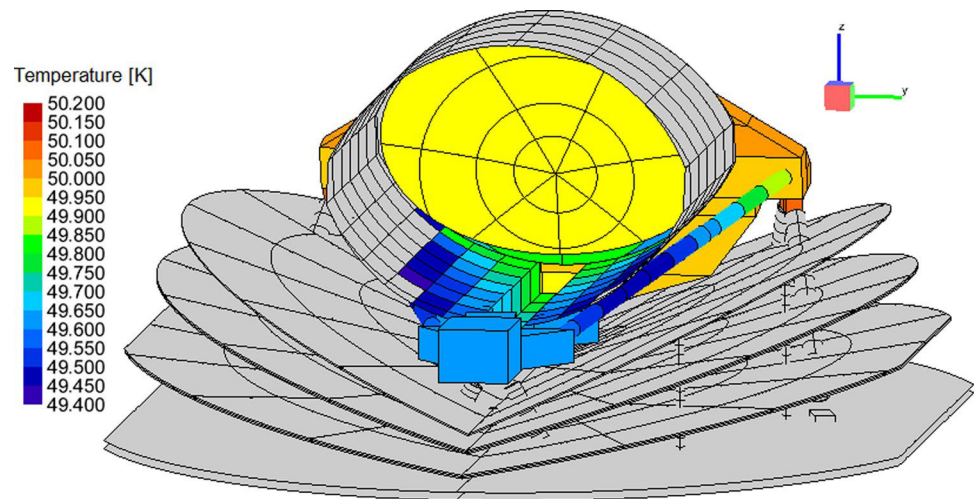
Fig. 13 Thermal analysis results for the cold PLM in the steady-state ‘Cold Case’ in operating conditions. In a radiator, OB and baffle temperatures are visible. In b, the module boxes are hidden to show the AIRS and FGS CFEEs, detectors, and the JT cold end temperatures

792 Thermal stability is one of the key issues of the ARIEL
 793 PLM thermal design. For this reason, an analysis case has
 794 been computed to check the impact on the PLM of a temper-
 795 ature variation of 10 K of the SVM platform conductive
 796 interface during a nominal observation run of 10 h. The
 797 corresponding total change (over the 10-h period) of the
 798 M1 node temperatures is less than 0.5 mK, even in the case
 799 of a sudden change (step function) or of a linear variation
 800 imposed at the SVM interface. The preliminary results
 801 of the analysis on the cooldown show that after 30 days,

the passive cooldown is concluded and the system can be
 considered in a steady-state thermal condition.

802 Summarizing the results of the thermal analysis, in rou-
 803 tine science operation, M1 operates at a temperature around
 804 50 K with a very high level of thermal uniformity, better than
 805 10 mK (see Fig. 14), achieved by passive cooling. Even for
 806 the whole Telescope Assembly, the total gradient between
 807 the mirrors and the structures results limited, of the order
 808 of 2 K, considering the dimension of the system. Moreover,
 809 transient simulations show that the telescope design, with
 810
 811

Fig. 14 Telescope assembly node temperatures in the ‘cold case’



812 the expected levels of temperature fluctuations on the SVM
813 interface and on the OB, is already capable of filtering out
814 most of the thermal instabilities down to oscillations of the
815 M1 of the order of 1 mK or less.

816 Anyway, the implementation on M1 of a fully redundant
817 thermal control system (electrical resistances plus thermis-
818 tors), fed by a PID-type loop control logic driven by the
819 TCU, is at present expected to ensure thermal stability even
820 in the presence of oscillations with a wider frequency spec-
821 trum [21].

822 6 Instrument control unit

823 The ARIEL ICU is the main electrical subsystem belong-
824 ing to the payload and designed to control the spectrometer,
825 the AIRS detectors, and the M1 active thermal system. It is
826 also in charge of the thermal monitoring of the main PLM
827 elements by means of its subunits, like TCU, for which ICU
828 acts as the master logic [33]. It is linked to the S/C DMS
829 (Data Management System) by means of two Spacewire
830 (SpW) links.

831 The ICU hosts a rad-hard processor running the payload’s
832 Application SW (ASW), which mainly performs the scien-
833 tific data processing, the subsystems housekeeping (HK)
834 collection, and the Instrument Control and fault detection,
835 isolation and recovery (FDIR) functions. Its electrical archi-
836 tecture includes five (active or switched on at the same time)
837 nominal subunits:

- 838 • 1 PSU—power supply unit (nominal and redundant 3U
839 boards);
- 840 • 1 DPU—data processing unit (nominal and redundant 6U
841 boards);
- 842 • 2 DCU—detector control unit (only nominal 6U boards);

- 1 TCU—telescope control unit (nominal and redundant 3U and 6U boards)

845 as represented in Fig. 15 by grey-shaded rectangles, with
846 blue labels for nominal (N) boards and red labels for redun-
847 dant (R) boards. Actually, ICU and TCU are hosted by two
848 stacked and independent boxes, as shown in Fig. 16 (ICU
849 box including PSUs, DPUs, DCUs, and TCU box, as ICU
850 slave).

851 ICU and TCU are provided by different countries; in par-
852 ticular, ICU will be designed and delivered by Italy while
853 TCU by Spain. For this reason and to facilitate testing and
854 AIT/AIV activities at system and subsystem level, the
855 stacked boxes configuration has been chosen as baseline for
856 the unit’s mechanics.

857 The two boxes are electrically connected by means of
858 external interface (I/F) (harnessing) exploiting front panel
859 connectors. Both ICU and TCU boxes implement their own
860 back panel for routing power and signal lines connecting the
861 internal electronics boards. Alternatively, they can exploit
862 external wired connections, but this solution would require a
863 larger envelope, beyond the allocated volume by ESA. At the
864 present time (several months before M4 Mission Selection
865 Review), to also minimize the length and the mass of the
866 harnessing connecting the two boxes, a stacked configura-
867 tion is assumed.

868 The ICU overall electrical architecture is driven by the
869 adoption of US detectors (HIRG type, already developed for
870 the NASA NeoCAM IR Mission) and cold front-end elec-
871 tronics (CFEEs) from Teledyne (SIDECAR ASIC), given
872 their very high TRL and space heritage with respect to
873 the present European alternative (a Sofradir/CEA-LETI design
874 [34]). The SIDECAR solution as CFEE is the best one to
875 drive properly the US MCT (HgCdTe) detectors and to save
876 mass, volume, and power at the same time. They can work
877 easily down to the ARIEL required cryogenic temperatures

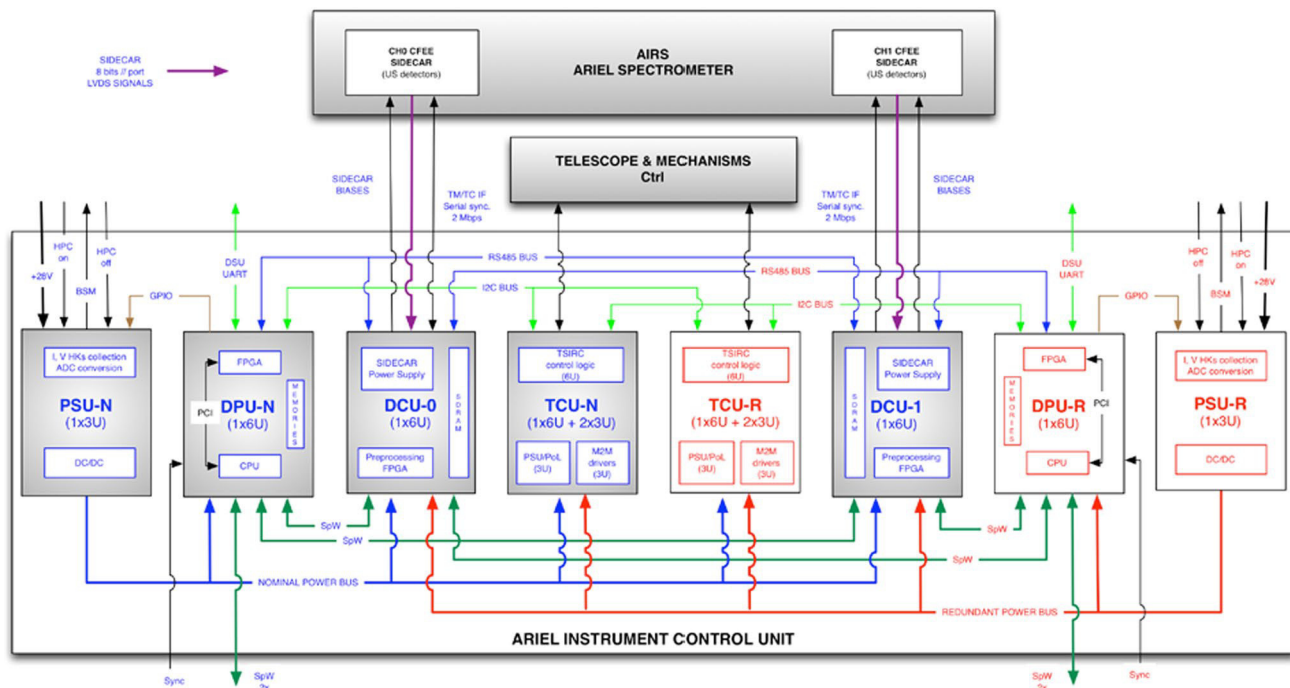


Fig. 15 ARIEL ICU block diagram and electrical I/F

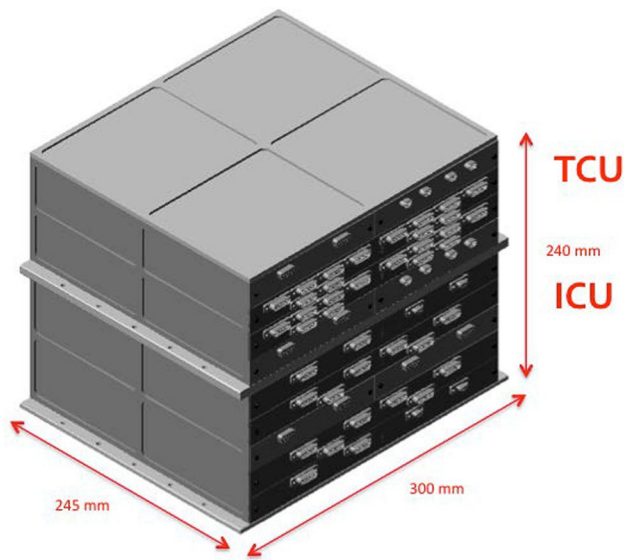


Fig. 16 ARIEL ICU and TCU mechanical design. TCU is considered an ICU slave subsystem

878 (< 60 K for SIDECARs and < 42 K for detectors), so that
 879 both the AIRS CH0 and CH1 are fed and controlled thanks
 880 to the adoption of two DCU boards, residing in the warm
 881 side of the S/C SVM. The two electronics parts will be con-
 882 nected by means of cryoharnessing, mechanically and ther-
 883 mally interfacing the three V-grooves working at different
 884 temperatures.

The active thermal stabilization (± 50 mK, achieved with 10 mK thermistors resolution and a PID controller hosted by TCU) is required only for the detectors of the two AIRS FPAs. The temperature fluctuations of the SIDECARs around their working temperature are not affecting the detectors performance (for the instrument cavity temperature, refer to Fig. 13b). SIDECAR is mainly a digital ASIC and its voltage biases are finely regulated and already filtered in the previous DCU stage, so no meaningful thermal-induced fluctuations and thermal noise are expected on the detectors biases when operating AIRS within the foreseen readout schemes, mainly correlated double sampling/sampling up the ramp, and typical integration times.

The unit adopts a partial cold redundancy and cross-strapping capability. In particular, both TCUs and DCUs are cross-strapped and can work along with PSU and DPU boards (Nominal and Redundant) as a whole, although DCUs are n-t involved in a cold redundant configuration as no duplicated DCUs are foreseen. A very similar ICU architecture, involving DCUs as the SIDECAR I/F (for biases, clock, and control signals), has been already designed and adopted for the Euclid Mission (NISP Instrument [35]). It has been developed by the Italian industry working in strict collaboration with Teledyne to address any SIDECAR I/F and detector management issues. Each DCU controls and interfaces a single SIDECAR (as well as the related detector) in the same configuration adopted for NISP and, in this

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sense, can be considered for the ARIEL payload a strong heritage from the Euclid project.

Indeed, for the existing design, an overall DPU + DCU + SIDECAR + HIRG detector chain/system reliability figure, higher than 98%, has been computed and for this reason redundancy for ARIEL DCUs, as well as for NISP, has not been considered, also because the related increasing complexity and needed budgets. For the same reasons and to avoid an increased thermal dissipation due to the presence of a multiplexing stage near to the SIDECAR ASICs and FPAs, the AIRS CFEs' cross-strapping has been excluded from the baseline design.

At the present time, DCU TRL is higher than 5 and a DCU EM model has been already manufactured and fully tested as it is working properly along with the SIDECAR ASIC and the detector. In addition, a DCU EQM model (very similar to the EM one) has been developed and manufactured and is presently under testing (end of tests was initially foreseen by spring 2017). Moreover, a DCU/SIDECAR I/F simulator was developed and adopted by the Euclid Team. The same philosophy concerning simulator is presently foreseen for the ARIEL case [36].

As baseline, all the ICU and TCU boards, both N and R are designed in 6U and 3U formats (233 mm × 160 mm and 160 mm × 100 mm). They are stiffened by a proper mechanical frame with the external I/O connectors fixed and screwed to the board external panels. The lateral sides of the modules are equipped with card-lock retainers, used to fix the modules to the unit internal frame and to facilitate the thermal dissipation towards the base plate. All the box panels are made of an aluminium alloy, and except the bottom panel, they are foreseen to be externally painted in black to improve radiating exchange with the environment and assure a proper thermal conduction towards the SVM bench.

A brief description of the single boards composing the ARIEL ICU is reported in the following sections.

6.1 DPU

The data processing unit board is based on a CPU (the UT699E processor from Cobham Gaisler), a co-processing FPGA, memories for booting (PROM), to host the ASW (E2PROM and/or NVM, e.g., MRAM), for data buffering (SDRAM) and to support data processing (SRAM and SDRAM) as well.

The selected LEON3FT CPU is a SPARC V8 microprocessor running @ 66 MHz or @ 100 MHz, for the E version, allowing up to 140 DMIPS. The processor will run the ASW on the RTEMS OS. One of the main characteristics of the UT699E CPU is the on-board availability of four embedded space wire links (two supporting the RMAP protocol) allowing to be directly interfaced to the SVM and to the DCU SpW I/Fs.

The DPU, as baseline, is in charge of data processing and lossless compression (if needed, e.g., adopting the RICE algorithm, providing a lossless compression ratio $CR = 2$ at least). It receives 16 bit raw data (pixel raw values) from the SIDECAR ASICs and, once processed (24 bit can be adopted for the ramps slopes, adding 8 bit needed for data quality criteria), it packetizes and send them in CCSDS protocol format towards the S/C CDMS for storing and later downloading to Ground. Pre-processing and compression tasks can be disabled in case of a raw data request from the Spacecraft/Ground.

6.2 DCU

The detector control unit board [33] [36] represents the warm front-end electronics (WFEE) to the cold side of the AIRS spectrometer, i.e., SIDECARs and detectors. It is basically a heritage of the same unit developed and tested for the Euclid Mission [37] and shall host, as baseline, a FLASH-based reprogrammable FPGA to offer maximum flexibility, useful in case of a further update of the processing requirements specification from the ARIEL Science Team during the project lifetime. Alternatively, a one-time-programmable (OTP) FPGA in anti-fuse technology could be adopted, in case no late processing requirements update will be expected.

The baseline selected FPGA is a Microsemi ProASIC3-type device offering the capability to host on-board an hardware description language finite state machine (HDL FSM) with some programmable science data pre-processing tasks (e.g., pixels reordering, data pre-processing etc.) by means of a flexible parameters selection that can be reprogrammed up to the EQM/FM unit. The FPGA also hosts an SDRAM memory controller to manage 128 MB, as baseline, of memory used to support the HDL-based pre-processing tasks.

The DCU WFEE is in charge of the SIDECAR clocking, as at least a master clock is needed for the ASIC, and feeding (secondary finely regulated voltages produced by an on-board Point of Load—PoL), and it collects digitized scientific data and HK (currents, voltages, and temperatures at 12 bit resolution) describing the ASIC and detector status.

The DCU secondary voltages required by SIDECAR shall respect the stability requirements that are basically driven by the detector full well ($\sim 80e^-$ assumed) and allowed readout noise ($5e^-$), along with the contributions coming from the other sources of noise in the overall error budget, to guarantee the required dynamic range within a charge integration. The allowed readout noise can be expressed in terms of voltage fluctuations on the readout amplification stage, i.e., $\leq 62 \mu\text{V rms}$ or $\leq 62 \text{ nV/Hz}^{1/2}$ rms as noise spectral density, so the overall voltage fluctuations on the biases produced by both DCU and SIDECAR shall be compliant with this budget. Given the temperature stability of the instrument

1015 cavity, no meaningful voltages variations (Johnson noise)
1016 due to thermal fluctuations are expected on the SIDECARs
1017 and detectors biases.

1018 6.3 TCU

1019 The telescope control unit is composed of three distinct
1020 boards hosted by a different box, as the volume of the elec-
1021 tronics to fulfill its design requirements is bigger than a
1022 standard 6U board as previously adopted and also to ease
1023 AIT/AIV activities at subsystem and payload level, since the
1024 units, ICU and TCU, can be operated independently.

1025 The TCU shall be able to accomplish the following
1026 metrology tasks [30] in ARIEL Science mode:

- 1027 • drive the M2 refocusing mechanism;
- 1028 • drive the IR calibration lamp;
- 1029 • monitor the thermal state of several PLM elements;

1030 and to address the thermal stabilization requirements
1031 derived to guarantee the needed performance, as extrap-
1032 olated from the Instrument's TMM

- 1033 • Actively control the thermal stability of the Thermal
1034 Control System (TCS) for the following PLM subsys-
1035 tems:
 - 1036 – AIRS detectors (actively cooled via JT cooler and
1037 stabilized; required ± 50 mK achieved with 10 mK
1038 thermistors resolution and a PID controller for man-
1039 aging the relative heater on TCS);
 - 1040 – FGS1/Vis-Phot detector (actively stabilized;
1041 required ± 50 mK achieved with 10 mK thermis-
1042 tors resolution and a PID controller for managing the
1043 relative heater on TCS);
 - 1044 – FGS2/NIR-Spec detector (actively stabilized;
1045 required ± 50 mK achieved with 10 mK thermis-
1046 tors resolution and a PID controller for managing the
1047 relative heater on TCS);
 - 1048 – M1 mirror (actively stabilized; required ± 1 K
1049 achieved with 100 mK thermistors resolution and a
1050 PID controller for managing the relative heaters).

1051 A 6U PCB named thermal stabilizer and IR calibrator
1052 (TSIRC) will hosts the PLM thermal monitoring and control
1053 HW, their multiplexing stages, the IR calibration lamp
1054 drivers and an HDL FSM embedded in a FPGA to control
1055 the TCU boards as well as to gather and transmit all the
1056 generated HK data. For the driver electronics of M2 mecha-
1057 nism, it is foreseen an upgraded version of Euclid's or Gaia's
1058 M2M, with the same driver, which will require a separated
1059 6U board for both nominal and redundant systems (M2MD

board). To reduce M2MD modifications to fit ARIEL
requirements, as well as to reduce the number of interfaces
from ICU's PSU, simplifying it, a dedicated 3U board PSU
is foreseen (TCU-PSU), which will generate (from the main
power line of + 28 V coming from ICU) all the voltage lev-
els required by the M2MD and TSIRC boards.

The system will be based on a cold redundancy, with all
boards resting inside a dedicated box on top of ICUs, as
represented in Fig. 16.

7 Conclusions

In this paper, the main characteristics of the ARIEL tele-
scope, its thermal control system, and payload control elec-
tronics have been presented. An introduction on the mission
design and goals has been given together with a descrip-
tion of the various elements composing the spacecraft and
payload.

The afocal telescope layout solution has been described
and the different requirements and characteristics have been
discussed. In particular, the requirements on the FoV of the
telescope related to the spectrometer and field guidance
channels have been illustrated in detail.

The theoretical performance of the baseline telescope lay-
out, an eccentric pupil Cassegrain plus a collimating off-axis
paraboloidal mirror, has been shown and a preliminary study
on the passive/active thermal control of the instrument has
been given. The telescope is passively cooled at an operating
temperature below 70 K.

The instrument control unit baseline electrical architec-
ture, together with that of the telescope control unit, has
been described along with the main characteristics of the
thermal control system.

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