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VNIR spectral characteristics of terrestrial igneous effusive rocks: mineralogical composition and the influence of texture

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Abstract: Visible and Near-Infrared (VNIR) reflectance spectroscopy is an important technique with which to map mineralogy and mineralogical variations across planetary surfaces using remotely sensed data. Absorption bands in this spectral range are due to electronic or molecular processes directly related to mineral families or specific compositions. Effusive igneous rocks are widely recognized materials distributed on the surfaces of terrestrial planets, and are formed by primary minerals that can be discriminated by electronic absorptions (e.g. crystal field absorption). In this paper, we review the current knowledge of effusive rock compositions obtained by crystal field absorption in VNIR reflectance spectroscopy, and consider how different petrographical characteristics influence the mineralogical interpretation of such rock compositions. We show that: (1) the dominant mineralogy can be clearly recognized for crystalline material, especially with relatively large crystal dimension groundmass or high porphyritic index; (2) both grain and crystal size are important factors that influence the spectra of effusive rocks where groundmass is generally characterized by microscopic crystals; and (3) glassy dark components in the groundmass reduce or hide the crystal field absorption of mafic minerals or plagioclase otherwise expected to be present.

34 The inner solar system hosts numerous differen-
35 tiated terrestrial bodies that have been shaped by
36 widespread and often sustained volcanic activity,
37 with a high degree of compositional variation. From
38 Mercury to the main asteroid belt (e.g. 4Vesta),
39 morphological and spectroscopic data provide evi-
40 dence for the presence of such activity, with extru-
41 sive volcanism identified as a major process for
42 crustal formation, consistent with the volcanic
43 nature of the most abundantly distributed rocks.
44 Volcanic rocks are characterized by an aphanitic
45 texture: that is, a very fine-grained groundmass in
46 which most of the individual crystals cannot be dis-
47 tinguished with the naked eye, and which is pre-
48 sumed to have formed by relatively fast cooling
49 (Le Maitre *et al.* 2002). The classification of volca-
50 nic rocks is defined either by modal (QAPF diagram,
51 indicating quartz, alkali feldspar, plagioclase and
52 feldspathoid: Streckeisen 1978) or, more com-
53 monly, by chemical (the TAS (total alkali silica)
54 diagram: Le Maitre *et al.* 2002) analysis. Mineral
55 names, textural terms or other terms can also be
56 used to distinguish further the rock type (Le Maitre
57 *et al.* 2002). The abundance of glassy phases is also
58 important, as is the presence of phenocrysts, which

are the first crystals that form in the lava at depth,
and xenocrysts, which are ripped from the crust
through which lava rises.

The geological development of a planet is strongly influenced by its thermal history, which is driven by heat production, transport and loss through time. Clear phenomenological descriptions of planetary thermal histories were proposed by Sleep (2000), with those descriptions a function of primordial accretion heat loss and the radiogenic heat production budget, but also dependent on initial planetary composition and physical parameters such as lithospheric thickness (relative to planet size) and rheology. Different modes of convection related to distinct lithosphere types, including magma ocean, plate tectonics and stagnant lid, can either occur sequentially or recur cyclically throughout a planet's history, and some can be observed on different terrestrial planets today. Different forms of volcanism, as a function of different lithosphere types, are possible and are strongly related to magma composition.

Unlike other terrestrial planets, most of Earth's evolution has been, and still is, dominated by plate tectonics (e.g. Middlemost 1997). This process

59 produces a broad variety of magma compositions
60 that depend on the different conditions in which
61 magma can differentiate, accumulate and interact
62 with country rocks. The result is the wide com-
63 positional variation of volcanic rocks observed on
64 Earth, from ultrabasic to acidic, and from calc-
65 alkaline to alkaline.

66 However, volcanism on other bodies in the
67 solar system is generally regarded as less diverse
68 than that of Earth because of thermal histories that
69 do not necessarily involve plate tectonics. For
70 example, volcanic products on Mars are associ-
71 ated with basic magma compositions character-
72 ized by very long lava flows, up to around 2000 km
73 in length (e.g. Keszthelyi *et al.* 2000). Features
74 like lava tubes or processes such as lava flow infla-
75 tion, consistent with basaltic flows, are indicative
76 of specific emplacement mechanisms and are com-
77 parable with those recognized in terrestrial ana-
78 logues (e.g. Keszthelyi 1995; Sakimoto *et al.* 1997;
79 Sakimoto & Zuber 1998; Peitersen & Crown 1999;
80 Giacomini *et al.* 2009).

81 Basic–ultrabasic compositions have been infer-
82 red for some surface units – for example Mercury’s
83 northern volcanic plains (Head *et al.* 2011; Weider
84 *et al.* 2012) or intercrater plains – at least a por-
85 tion of which is probably volcanic (Nittler *et al.*
86 2011; Denevi *et al.* 2013). The volcanism of these
87 regions consists of plains with abundant flow fea-
88 tures and buried impact craters, forming complex,
89 kilometres-thick sets of lavas, probably emplaced
90 in several phases (Head *et al.* 2011; Byrne *et al.*
91 2013). Thermal erosion (by lava, which melts,
92 assimilates and carries away the ground rock) has
93 been suggested as being responsible for the mor-
94 phology associated with some of this volcanism,
95 indicative of a very effusive, flood-lava-style empla-
96 cement typical of turbulent komatiitic or high-
97 temperature mafic lavas (Groves *et al.* 1986; Head
98 *et al.* 2011; Byrne *et al.* 2013).

99 The volcanic history of the Moon, like Mars
100 and Mercury a one-plate planetary body, must be
101 associated with melting of mantle rocks without
102 contamination by recycled crust. The lunar sur-
103 face shows a wide range of compositions generally
104 compatible with basalt (Hiesinger & Head 2006),
105 consistent with early Apollo observations, and
106 the Apollo and Luna samples, which determined a
107 basaltic nature for the maria. Lunar basaltic flows
108 are several tens of metres thick and extend for hun-
109 dreds to thousands of kilometres, with lobate fronts
110 10–60 m high (Schaber *et al.* 1976; Gifford & El
111 Baz 1978). Other surficial volcanic features that
112 may reflect a mafic origin include sinuous rilles,
113 lava terraces, cinder cones and pyroclastic depos-
114 its (see Hiesinger & Head 2006 and references
115 therein). Recently, Spudis *et al.* (2013) interpreted
116 large topographical features in the lunar maria as

shield volcanoes comparable to basaltic shield vol-
canoes on other terrestrial planets. A few domes
have dimensions and structures that could be
representative of more silicic lavas, of intrusion of
shallow laccoliths or of large rock blocks mantled
by younger lavas (e.g. Heater *et al.* 2003; Lawrence
et al. 2005).

Compositional data from rover missions (e.g. on
Mars) and laboratory sample analyses (lunar return
samples or meteorite samples) provide detailed
information on mineralogy, mineral chemistry and
bulk-rock chemical composition, thus widening
the range of recognized compositions for plan-
etary volcanic systems. However, the analysis and
mapping of surface compositions of extraterrestrial
bodies in the solar system is principally based on
remote-sensing surveys. Presently, the large amount
of data acquired by hyperspectral sensors in the
visible and near-infrared (VNIR, e.g. OMEGA
spectrometer, MarsExpress; CRISM spectrometer,
Mars Reconnaissance Orbiter; M³ spectrometer,
Chandrayann; VIR spectrometer, Dawn) and in the
thermal infrared (TIR, e.g. TES spectrometer, Mars
Global Surveyor) permit us to map these bodies at
high spatial resolutions from orbit.

Most planetary bodies in the solar system have
extremely tenuous or absent atmospheres and are
therefore subject to space weathering at various
intensities. Some effects of space weathering on
the reflectance spectra of rock and regolith compo-
nent minerals were experimentally analysed (e.g.
Hiroi & Sasaki 2001; Sasaki *et al.* 2001; Brunetto
& Strazzulla 2005; Strazzulla *et al.* 2005). For
example, space weathering acts as a darkening and
reddening agent of silicates, critically influencing
the identification of diagnostic absorption bands in
spectra of space-weathered material (e.g. Pieters
et al. 1993; Moroz *et al.* 1996; Yamada *et al.*
1999; Pieters *et al.* 2000; Hapke 2001). Never-
theless, the variety of rock compositions in plane-
tary crusts and regoliths raises a broader question
about the intrinsic complexity of the reflectance
spectra of planetary surfaces, which form the
basis for investigating the spectral effects of space
weathering.

In this paper, we review the compositional infor-
mation that can be recognized in the VNIR spectral
range for Earth’s effusive basic–ultrabasic volca-
nic rocks. In particular, we examine to what extent
absorptions indicative of the mineralogical rock-
forming phases can be identified, while also consid-
ering other aspects (e.g. petrography) that can affect
rock spectra in light of new data. Furthermore, we
discuss both the possibilities and limits in the spec-
tral interpretation of rocks, and present questions
that are still open regarding the analysis of effusive
rocks of mafic compositions. The purpose of this
work is to contribute to the understanding of the

117 spectroscopic complexity of planetary surface compositions, and to provide a framework that can aid
118 in the interpretation of spectra of space-weathered
119 minerals and rocks.
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123 **The composition of effusive volcanic rocks**
124 **on terrestrial bodies in the inner solar**
125 **system**
126

127 Initially, basalts were thought to be the primary rock
128 type present in Martian volcanic complexes like
129 the Tharsis province that, among other volcanic
130 landforms, features long lava flows. Analysis of
131 data returned by the Thermal Emission Spectro-
132 meter (TES) instrument suggested a possible com-
133 positional dichotomy between Mars' southern and
134 northern terrains, and confirmed that the southern
135 areas are likely to correspond to a basaltic crust,
136 whereas the northern areas contain more evolved
137 volcanic rocks, probably of andesitic compositions
138 (Bandfield *et al.* 2013; Hamilton *et al.* 2001). The
139 analysis of rocks at the Mars Pathfinder landing
140 site also provided evidence for the presence of ande-
141 sitic rocks in the Martian crust (Reider *et al.* 1997;
142 McSween *et al.* 1999; Waenke *et al.* 2001; Foley
143 *et al.* 2003).

144 Further, analysis by the Spirit rover (MER-A)
145 of basaltic rocks in Gusev crater identified them as
146 picritic-basalts, with evidence of olivine (ol), pyrox-
147 ene (px), plagioclase (pl) and accessory oxides
148 (McSween *et al.* 2004). Data from excavated rocks
149 provided evidence of uniform compositions, sim-
150 ilar to ol-phyric shergotites (McSween *et al.* 2006a).
151 In addition, Spirit encountered unaltered rocks in
152 the Columbia Hills that have been identified as
153 members of the Wishstone, Irvine and Backstay
154 classes (McSween *et al.* 2006b). These rocks are
155 enriched in alkalis and characterized by major min-
156 eral phases including px (both low and high in Ca),
157 sodic pl, ferroan ol and Fe–Ti–Cr oxides, as well
158 as minor phases such as apatite, and so have been
159 classified as tephrite, alkaline basalts and hawaiites.
160 Some of the samples of these rocks contain glassy
161 material (McSween *et al.* 2006b).

162 Recently, McSween *et al.* (2009) reviewed the
163 mineral composition of Martian volcanic crust by
164 analysing data gathered by the Spirit and Opportu-
165 nity rovers, and by the gamma-ray spectrometer
166 (GRS) on the Mars Odyssey orbiter, and concluded
167 that the composition of the Martian crust is probably
168 a mix of fresh and altered basaltic materials. These
169 authors suggested that TES data could have over-
170 estimated the SiO₂ content due to superficial weath-
171 ering, as no areas dominated by siliceous rocks
172 were apparent in the GRS silica distribution map
173 (Boynton *et al.* 2007). Mars has also been fully
174 mapped in the VNIR at different spatial and spectral

resolutions using data gathered by the OMEGA and
CRISM spectrometers, and the maps of several
mineral phases, including px and ol, have been
published (e.g. Poulet *et al.* 2007, 2009). How-
ever, although these minerals are common on the
Martian surface and constitute an important spec-
troscopic component of effusive rocks, there are no
px or ol compositional maps available for several
equatorial volcanic regions on Mars (e.g. Olym-
pus Mons, Daedalia Planum and Elysium Mons).
The presence of, at times, substantial amounts of
surface dust (Ruff & Christensen 2002) and Fe³⁺
minerals (Christensen *et al.* 2000; Poulet *et al.*
2007) in these regions have both been used to
explain this anomaly. Recently, in their discussion
of the composition of the Daedalia Planum lava
flows, Giacomini *et al.* (2012) gave evidence of px
absorption bands, which suggests a variation in px
composition among the different constituent lava
flows. In addition, the authors demonstrated how
combined spectral characteristics and morphology
can be used to improve the geological mapping of
the region.

The lunar crust has also been studied in detail
thanks to the Apollo and Luna missions, which
brought back volcanic samples containing several
different rock types. Lunar rocks are generally clas-
sified into four groups. One of these groups includes
a pristine volcanic basaltic rock containing both
effusive and pyroclastic material (Hiesinger &
Head 2006). Mare basalts are characterized by effu-
sive textures, a high abundance of ol and px (parti-
cularly clinopyroxene (cpx)), and a relatively low
content of pl as major mineral phases (Hiesinger &
Head 2006). These rocks are generally enriched
in FeO and TiO₂, depleted in Al₂O₃ and have high
CaO/Al₂O₃ ratios with respect to other lunar
compositions (Taylor *et al.* 1991 and references
therein). Lunar volcanism is also characterized by
pyroclastic products, which are composed of glasses
with colours that vary as a function of composition
and the presence of skeletal crystals (see Lucey
et al. 2006). This volcanism is similar to terres-
trial fire fountains, formed by gasses (probably
CO₂ plus other minor components) contained in
the rising magmas that are explosively released as
they approach the surface (Nicholis & Rutherford
2005). Other volcanic products have also been
identified: KREEP (potassium, rare earth elements,
phosphorous) basalts enriched in incompatible
elements (Warren & Wasson 1979), high potassium
basalts and high-alumina basalts. KREEPs are pres-
ent as small rock fragments or clasts in breccias
dominated by px and pl (Shearer *et al.* 2006 and
references therein). Although the origin of this
material is still a matter of debate, it is thought to
be the product of the melting of a hybrid lunar
mantle (Shearer *et al.* 2006 and references therein).

175 From a geochemical point of view, mare basalts can
 176 be broadly subdivided into three groups based on
 177 TiO₂ variation (Neal & Taylor 1992): high Ti; low
 178 Ti; and very low Ti. Several papers that investiga-
 179 ted the petrographical and mineralogical variation
 180 of the different lunar mare samples have described
 181 large variations in textures and mineralogy even
 182 within the same rock type family.

183 Recently, M3 hyperspectral data collected from
 184 orbit were used to map the Moon's surface, and
 185 revealed the presence of a variety of materials. In
 186 particular, mafic minerals, pl, glass and spinels
 187 were detected using crystal field (C.F.) absorption.
 188 Different maria were mapped on the basis of their
 189 different spectral signatures, which are character-
 190 ized by px bands with subtle minimum shifts, and
 191 band intensity and symmetry variations. Mare Ser-
 192 enitas was mapped as 13 spectral units following
 193 an Integrated Band Depth analysis (Kaur *et al.*
 194 2013). Kaur *et al.* (2013) found that mafic mineral-
 195 ogy varies from low- to intermediate-Ca px and,
 196 although these authors suggested that px could
 197 vary from a sub-calcic to calcic augite composition,
 198 no substantial spatial variation in composition was
 199 observed (Kaur *et al.* 2013). The band area ratio
 200 varies, indicating possible spectral variation due to
 201 the presence of ol or px with variable 1.2 μm bands.

202 The asteroids form a large family of distinct
 203 bodies, some of which are thought to be differ-
 204 entiated, which may in some cases have led to
 205 the formation of a volcanic crust. In particular,
 206 4Vesta, recently investigated by the DAWN mis-
 207 sion (Russell & Raymond 2011), has a hetero-
 208 geneous surface. Visual and Infrared Spectrometer
 209 (VIR) data of its surface confirmed earlier Earth-
 210 based observations that linked the composition of
 211 this body with HED (howardite, eucrite and dio-
 212 genite) meteorites (De Sanctis *et al.* 2012). HED
 213 meteorites are characterized by mafic achondrites
 214 with textures similar to igneous rocks. Diogenitic
 215 and cumulate eucritic samples are similar to intru-
 216 sive samples. Basaltic eucrites are equivalent to
 217 effusive terrestrial rocks, whereas howardites rep-
 218 resent brecciated samples (Mittelfehldt *et al.* 1998
 219 and references therein). The basaltic eucrites gener-
 220 ally show a pigeonite-pl mineralogy with textures
 221 that vary from subophitic to ophitic. Pigeonite fre-
 222 quently shows subsolidus exsolution of augite and
 223 an iron-rich composition. Some eucrite samples
 224 also show a relatively high ol content. Plagioclase
 225 is calcic in composition, ranging from bytownite
 226 to anorthite (Mittelfehldt *et al.* 1998 and references
 227 therein). Despite this variation in HED mineralogy,
 228 the first analyses by the DAWN mission revealed
 229 only clear px absorptions with a small minimum
 230 shift. In addition, Ammannito *et al.* (2013) recently
 231 published evidence for the presence of ol in some of
 232 Vesta's regions.

Mercury is the innermost and, until recently,
 the least-studied planet of the inner solar system.
 The importance of volcanic products on its surface
 was debated until remote-sensing data from the
 MESSENGER mission revealed the presence of
 extensive volcanism across its the surface (Solo-
 mon *et al.* 2008; Head *et al.* 2009; Watters *et al.*
 2009). Moreover, the morphological characteristics
 in some regions suggested the presence of flood
 magmatism (Head *et al.* 2011) with possible ultra-
 mafic compositions (Nittler *et al.* 2011; Weider
et al. 2012). However, the iron content of the
 rocks is still ambiguous, as reflectance and X-ray
 data have shown very a low FeO content (Klima
et al. 2013; Weider *et al.* 2013), while neutron
 spectrometer data show substantial neutron absorp-
 tion (Lawrence *et al.* 2010; Riner *et al.* 2011).
 Unfortunately, absorption features have not yet
 been identified in reflectance spectra of Mercury's
 surface. Therefore, the only means available with
 which to discriminate different lava flows and
 deposits are variations in surface albedo and spectral
 slope, which makes the task of distinguishing sur-
 face units very difficult.

On Earth, remotely sensed hyperspectral data
 require extensive calibration due to interference
 from the atmosphere, vegetation and surface water.
 Nevertheless, volcanic regions that have little or no
 vegetation have been mapped (e.g. Hawaii, Etna).
 Remote-sensing data, in general, show low reflec-
 tances and low spectral contrasts for mafic com-
 positions, although different lava flows can be
 discriminated thanks to variations in the spectral
 signature of rocks with age (Abrams *et al.* 1991)
 and with different surface textures (Sgavetti *et al.*
 2003). In addition, Sgavetti *et al.* (2003) showed
 that oxidation products can also be used to dis-
 criminate the distal and proximal portions of
 lava flows.

Spectral analyses of planetary surfaces, gathered
in situ by rovers or in the laboratory from returned
 samples, have shown a wider compositional range
 than those predicted by remote-sensing data alone.
 Although indicative of a smaller range of differen-
 tiation than that of the Earth, this variation suggests
 a more complex volcanic history for planetary sur-
 faces than previously thought. Focusing on VNIR
 spectral variations that can be linked to differences
 in lava compositions, textures or weathering effects
 permits the classification and mapping of different
 volcanic regions on planetary bodies.

VNIR spectroscopy of terrestrial igneous effusive rocks

The compositions of volcanic products on the
 different inner bodies of our solar system have

been investigated by three discrete methods: (1) remote-sensing spectroscopy; (2) *in situ* rover measurements; and (3) laboratory analysis of meteorites and lunar samples. Particular attention has been paid in such analyses to the implications of volcanism to our understanding of the evolution of planetary crusts (e.g. Mittlefehldt *et al.* 1998 and references therein; McSween *et al.* 2006a, b; Shearer *et al.* 2006 and references therein; Poulet *et al.* 2009; Kaur *et al.* 2013). The VNIR spectra of basic and ultrabasic rocks were first investigated by Hunt *et al.* (1974) using rock powder samples of different grain sizes. The spectra of intrusive rocks show well-defined features characteristic of gabbro, anorthosite and peridotite, especially for smaller grain sizes (0–74 μm), whereas effusive rocks are generally featureless with low albedo. For example, the spectral characteristics of basalts include the presence of opaque minerals and oxidation states (Hunt *et al.* 1974). In the VNIR spectra of acidic (Hunt *et al.* 1973a) and intermediate (Hunt *et al.* 1973b) igneous rocks, only a few features generally characteristic of mafic mineralogies or alteration, varying systematically across the different rock types, can be distinguished. Volcanic rocks with ultrabasic–basic compositions show a SiO_2 variation of approximately 30–52%, which can be correlated with Al_2O_3 variation, low alkali content (*c.* <5%) and a variable amount of MgO – FeO . This chemical variation reflects a mineralogy that ranges from high levels of mafic minerals (px, ol) to high levels of pl, from high magnesium to high iron, and from low to high Ca.

In this section, we summarize what has been learned about mineralogy from VNIR reflectance spectroscopy of volcanic rocks, with particular attention being paid to C.F. absorption bands of single phases, composite absorption bands due to complex mineralogies and our ability to distinguish between different absorption processes. Moreover, we discuss how textures can influence the identification of mineral phases from reflectance spectra. Further, in the following sections, ‘particles’ refer to synthetic mixtures of minerals prepared by weighing of individual mineral end members, where each particle represents a monomineralic sample. For rock powder samples obtained by grinding, the term ‘grain’ is used to refer to individual fragments, which can consist of one or more mineral (‘crystal’) or amorphous (‘glass’) phases. A grain can be mono- or multi-crystalline for wholly crystalline rocks, or mono- or multi-phase for rocks not completely crystalline. Particle or grain sizes are used to determine the granulometric range of mixtures and powder samples, respectively, whereas crystal size is used to define the size of the crystals in individual grains or in a rock slab.

Mineralogical information

In VNIR spectra, C.F. absorption bands resulting from the presence of transitional elements in well-defined coordination sites within the crystal lattice of major rock-forming minerals are recognizable (Burns 1993). In addition, other useful absorption bands indicative of compositional variation due, for example, to charge transfer (intervalence charge transfer, IVCT, between cations or between cations and ligands: see e.g. Clark 1999) can be observed in this wavelength range, as can some vibrational overtones in the OH^- , CO_3^{2-} and $\text{S}_3\text{O}_4^{2-}$ functional groups.

Volcanic rocks are generally composed of mafic silicates like px and ol, as well as some silic minerals like feldspars, specifically calcic pl. These minerals can be characterized by C.F. absorptions due to FeO , principally, as well as TiO_2 . Other transitional elements are not generally abundant enough to produce detectable absorption bands. Opaque minerals, such as Fe – Ti oxide (e.g. magnetite, ilmenite) or spinels (Cr, Mg, Al, Fe oxide), as well as Fe^{3+} or Fe^0 , in the form of hematite or iron phase particles, can be present in volcanic rocks as a result of secondary processes acting in different $f\text{O}_2$ conditions on a planetary surface. Even if opaque minerals are generally considered neutral phases, due to their spectral characteristics and their relatively low abundances in effusive rocks, they can substantially affect albedo and spectral slope, and so mask absorption features (e.g. Cloutis & Gaffey 1991b). Fe^0 is a strong darkening agent and, when present in very small, nano-sized particles, introduces a reddening component (e.g. Hapke 2001; Lucey & Riner 2011). Glassy phases, which are also present in both Martian and lunar samples, as well as in some meteorites, produce a darkening (general reduction of reflectance) and/or a reddening (positive spectral slope) effect in the VNIR spectra with superimposed C.F. absorption, which is thus a marker for the presence of effusive lava or pyroclastics materials (Adams & McCord 1971; Adams *et al.* 1974; Bell *et al.* 1976; McSween & Treiman 1998 and references therein; Minitti *et al.* 2002; McSween *et al.* 2004; Tompkins & Pieters 2010). This component, which is used to define textural characteristics of volcanic rocks, can also influence mineralogical information obtained from VNIR.

Regolith that is composed of volcanic material can contain large multi-crystal or multi-phase grains that are aggregates of phenocryst fragments and submicroscopic (tens of microns) groundmass. Thus, their spectra appear similar to those acquired on cut-surfaces of rocks (i.e. slab) and are similarly affected by texture (Carli & Sgavetti 2011). In multi-crystal and multi-phase grain spectra, the

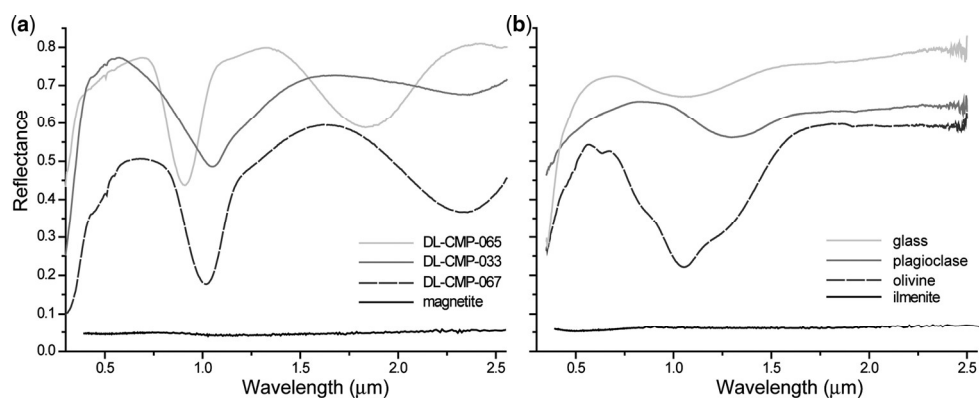
291 absorption features are difficult to resolve, and the
 292 continua are less predictable and poorly understood
 293 owing to the optical properties of different min-
 294 erals that are aggregated. All of these phases inter-
 295 act with incident light, and the absorptions are the
 296 product of intimate or intraparticle mixtures. In
 297 these types of mixtures, the resulting spectra are a
 298 non-linear combination (e.g. Hapke 1993; Clark
 299 1999). Moreover, the texture of volcanic rocks can
 300 also be characterized by iso-orientation of min-
 301 erals, and the different relationships between pheno-
 302 crystals, xenocrysts and the groundmass can affect
 303 the spectral signature. As a result, the spectral con-
 304 trast is reduced and the known absorption structures
 305 are modified.

308 *Detectable C.F. absorptions in ultrabasic–* 309 *basic volcanic rocks*

311 *C.F. absorptions in rock-forming minerals.* Rock-
 312 forming minerals (e.g. px, ol and pl) can be easily
 313 identified and used to differentiate volcanic prod-
 314 ucts in the infrared portion of VNIR spectra. In
 315 addition, the spectral characteristics of these min-
 316 erals and their mixtures are well known, and were
 317 reviewed in a number of papers (e.g. Crown &
 318 Pieters 1987; Cloutis & Gaffey 1991*a, b*; Sunshine
 319 & Pieters 1991; Burns 1993; Hiroi & Pieters 1994;
 320 Klima *et al.* 2007, 2008, 2011; Serventi *et al.*
 321 2013*b*). All of these phases have characteristic
 322 C.F. absorptions related to the presence of Fe²⁺ in
 323 the crystal lattice (Burns 1993). In particular,
 324 mafic minerals have lower albedos and stronger
 325 absorptions than pl, which often has less than

1 wt% FeO. All of these minerals contribute to the
 albedo and absorption structures of rocks in the
 VNIR (see examples in Fig. 1).

Pyroxene is characterized by high spectral vari-
 ability, which is an expression of great variabil-
 ity in the crystal structure, and which is, in turn,
 related to compositional variation. Pyroxenes can
 be divided into orthopyroxenes, characterized by an
 orthorhombic symmetry (Pbca to P₂₁/c structure,
 from high Mg to high Fe), and clinopyroxene, char-
 acterized by monoclinic symmetry (from P₂₁/c
 to C2/c structure with increasing Ca) (Deer *et al.*
 1992). In spectroscopy, pxs are characterized on
 the basis of M1 and M2 site occupancy of Fe²⁺.
 Fe²⁺ prefers the M2 site in opx and in low-Ca
 cpx (Burns 1993), whereas it prefers the M1 site
 in cpx with intermediate and high Ca (and Fe)
 content. This behaviour means that pxs can be sub-
 divided into two types with clearly distinct spec-
 tral signatures (Cloutis & Gaffey 1991*a*): type B,
 which includes phases with low–intermediate Ca
 content (low/intermediate Ca px) characterized
 by two well-defined absorptions at 1 and 2 μm;
 and type A, which includes high-Ca compositions
 (high-Ca px) characterized by a complex band at
 1 μm and an absent or weak absorption at 2 μm
 (Cloutis & Gaffey 1991*a*; Schade *et al.* 2004). The
 Ca abundance is expressed by the wollastonite
 content (Wo%). Low-Ca px (Wo < 11%) shows
 the two C.F. absorption bands at approximately
 0.92 and 1.90 μm, characteristic of Fe²⁺ in the
 M2 site. The centre of this absorption shifts from
 short to long wavelengths with increasing total
 FeO (Klima *et al.* 2007) or ferrosilite (fs%) con-
 tent (Cloutis & Gaffey 1991*a*). In addition, the



343 **Fig. 1.** Examples of mineral spectra. (a) pxs (opx DL-CMP-065, En₉₀; cpx DL-CMP-067, Wo₃₉ En₅₂ and
 344 DL-CMP-033, Wo₄₉ En₄₂; particle size <40 μm) from Klima–RELAB library and magnetite from the USGS
 345 mineral library. (b) Anorthositic glass (FeO 1.8 wt%, g.s. particle size 20–50 μm; Carli *et al.* 2013), plagioclase
 346 (An₈₀, FeO 0.5 wt%, 36–63 μm; Serventi *et al.* 2013*a*), olivine (Fo₈₈, 36–63 μm; Serventi *et al.* 2013*a*) and
 347 ilmenite from the USGS library. In this box, the reflectance is shifted for clarity as follows: glass +0.1, pl +0.5
 348 and ol -0.5.

iron content is responsible for a general decrease of albedo and the appearance of a band at about 1.2 μm (Klima *et al.* 2007).

Recently, Klima *et al.* (2011) discussed in detail the spectral variability of synthetic cpx with different Ca and Mg–Fe content. High-Ca pxs show a complex, wide and asymmetric absorption at 1 μm , and an absorption structure at approximately 1.2 μm (Klima *et al.* 2011). These authors stated that a minimum position around 1 μm could be seen for at least 2% of FeO in M2 (Klima *et al.* 2011). The band at 2 μm becomes weaker for very high wollastonite content (*c.* 50%) and is almost featureless for very high FeO content. For all cpx, increasing Fe²⁺ produces a shift in the positions of the absorption bands to longer wavelengths. An exception is high-Ca px, for which the 1 μm band position varies in a linear fashion with Wo% amount, independently of fs% (Klima *et al.* 2011).

Pyroxene C.F. absorptions were initially identified in transmittance spectra and modelled using a Gaussian distribution for each of the three different crystal orientations (Burns *et al.* 1972). Two dominant Gaussian peaks at approximately 1 and 2 μm , corresponding to Fe²⁺ absorption in the M2 site, were used for all compositions with the exception of hedembergitic compositions, which are characterized by two Gaussian peaks related to absorptions at about 0.98 and 1.2 μm , and the absence of the 2 μm absorption (Burns 1993 and references therein). In reflectance spectra, absorptions of mafic minerals have also been modelled by Gaussian distributions (e.g. MGM, Modified Gaussian Model: Sunshine *et al.* 1990; Sunshine & Pieters 1991). Two modified Gaussian peaks at 1 and 2 μm , associated with Fe²⁺ in the M2 site, plus a third Gaussian peak in the 1.2 μm region associated with absorption due to Fe²⁺ in the M1 site, are generally used (Sunshine *et al.* 1990; Klima *et al.* 2007). Klima *et al.* (2011) pointed out that for high-Ca px (e.g. augitic or diopsidic px), the 1 μm band can produce up to three different Gaussian peaks, two associated with FeO in the M1 site and one with FeO in the M2 site.

Olivine shows a wide composite absorption band in the 0.7–1.5 μm spectral range (Burns 1993) due to the presence of iron in both the M1 and M2 octahedral sites. Four different absorption positions have been identified for the different crystal orientations. For each orientation, the positions of the reflectance minima shift to longer wavelengths in a linear manner with increasing ferrous iron content (Cloutis *et al.* 1986; Burns 1993). Using an MGM-based deconvolution, Sunshine & Pieters (1998) produced a composite absorption band with three different Gaussian peaks, and reproduced the band centre shift observed by Burns (1993).

Plagioclase (pl) shows a clear absorption at around 1.25 μm , attributed to iron substituting for Ca²⁺ in the crystal lattice, that is detectable even for very low iron content (Cheek *et al.* 2011; Serventi *et al.* 2013b). Since pl spectra show higher albedo than those of mafic minerals, pl was generally considered a spectrally neutral phase. Moroz & Arnold (1999) discussed the effects of pl as a neutral component when mixed with an absorbing component. However, the almost 0.5 wt% FeO content of pl produces an intense absorption that can easily be recognized (Serventi *et al.* 2013b). Basaltic pl generally contains even higher levels of FeO (*c.* 1.0–1.5 wt%), which can substantially contribute to the reflectance spectra of effusive rocks.

Opaque minerals, particularly Fe–Ti oxide, are also present in ultrabasic and basic volcanic rocks. Oxides are generally a darkening agent in VNIR spectra, where they appear as a broad C.F. absorption band at approximately 1 μm due to FeO, and a weak absorption at about 0.6 μm due to Ti³⁺ (Burns 1993). Glass, another important component of effusive rocks, is generally characterized by an absorption structure at around 1.1 μm that is related to the presence of FeO (Bell *et al.* 1976; Dyarn & Burns 1981; Cloutis *et al.* 1990b; Burns 1993). In addition, glasses can also be darkening or reddening agents, as seen in the lunar glassy component (Gills-Davis *et al.* 2007, 2008; Tompkins & Pieters 2010) and in terrestrial volcanic rocks (Carli & Sgavetti 2011). The spectral variability of the glassy component is linked to composition and oxygen fugacity, although its effects on the identification of absorption structures of minerals in volcanic rocks have not been sufficiently discussed in the literature. In this paper we do not discuss hydrated mineral phases present as alteration or secondary phases seen in terrestrial rocks.

C.F. absorptions in mineral mixtures and volcanic rocks. In VNIR, volcanic rock-forming minerals absorb in a narrow spectral range in the 1 μm region (composite band) indicative of iron in M2 or M1 octahedral sites of mafic phases or in pl. There have been several studies focusing on the characterization of the spectral characteristics of mixtures between some of these compositions, by analysing mixtures of separate mineral phases of one grain size. Both the analysis of absorption band spectral parameters (reflectance, continuum slope and absorption spectral contrast) and band deconvolution by MGM (Sunshine *et al.* 1990) were used to establish possible relationships between spectral characteristics and compositions of the mineral mixtures. Distinct mixtures of two pxs (Cloutis & Gaffey 1991b), opx and ol (Cloutis *et al.* 1986; Moroz *et al.* 2000), pl and px (Crown &

407 Pieters 1987; Pompilio *et al.* 2007), and px and
 408 oxide (Cloutis *et al.* 1990a; Pompilio *et al.* 2007)
 409 were investigated, as well as a limited number of
 EDQ3 mixtures of three or more components (see Cloutis
 411 *et al.* 1990b and references therein). These papers
 412 reported semi-quantitative trends relating spectral
 413 properties to mineral compositions. It was possible
 414 to deduce these relationships because the param-
 415 eters of the spectral end members are known.
 416 Trends or variations were identified for px and ol
 417 mixtures, whereas mixtures with pl were considered
 418 in only a few cases (Crown & Pieters 1987; Pompi-
 419 lio *et al.* 2007; Serventi *et al.* 2013a, b).

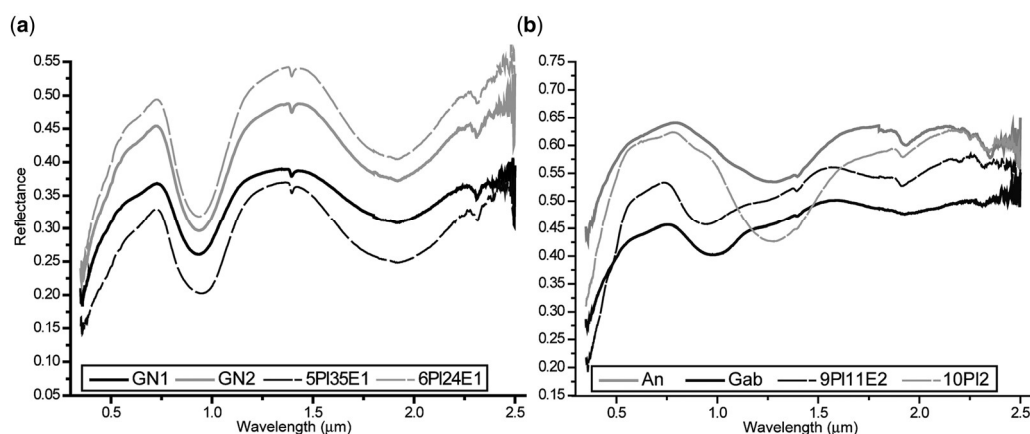
420 The influence of pl in mixtures with mafic miner-
 421 als was investigated in detail by Serventi *et al.*
 422 (2013a, b). These authors showed the unexpected
 423 spectroscopic effects of pl chemistry superimposed
 424 over the expected effects due to mafic minerals.
 425 The greatest spectral parameter variations are seen
 426 in mixtures containing pl with high volumetric
 427 FeO concentrations, which can increase with both
 428 pl abundance and/or FeO content in pl. Moreover,
 429 these spectral variations can even be correlated to
 430 the particle size of the material.

431 MGM-based deconvolution of composite bands
 432 has allowed for a quantitative evaluation of the
 433 different mineral compositions in mixtures. Opx
 434 and cpx absorptions have been clearly resolved
 435 from 1.0 and 2.0 μm composite bands of these
 436 mineral mixtures by modelling the components
 437 due to Fe^{2+} in the M2 (at 1 and 2 μm) and M1

(at 1.2 μm) sites (Sunshine & Pieters 1993). In
 addition, a clear relationship was identified both
 between Gaussian peak positions and mineral com-
 positions, and between band depths (intensity) and
 mineral abundances (Sunshine & Pieters 1993).
 Some papers have recently implemented a system-
 atic approach using MGM for more complicated
 materials (e.g. Clenet *et al.* 2011), even if no papers
 have discussed in detailed the application of MGM
 to more complex composite bands due to the pres-
 ence of high-Ca px, ol and pl, or more than two
 mineral phases.

Synthetic mixtures of minerals generally show
 spectral characteristics that are comparable with
 those of intrusive rock powders, in which crystals
 range in size from hundreds of microns to sizes
 visible to the naked eye (Fig. 2). In contrast, pow-
 der spectra of volcanic rocks show a lower reflect-
 ance and reduced spectral contrast than intrusive
 rock spectra for analogue grain-size ranges and
 similar bulk-rock compositions. In the example in
 Figure 3, a noritic sample and a basalt with simi-
 lar SiO_2 content (see Table 1) show different bi-
 directional reflectance and spectral contrast but
 similar spectral signatures, which is in agreement
 with the similar amounts of pxs. The minima shifts
 are in agreement with the lower Ca content of
 noritic px.

The differences in reflectance and band inten-
 sity can be interpreted as a consequence of the
 increasing particle size of minerals (e.g. Craig



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 457 **Fig. 2.** An example of reflectance spectra from mineral mixtures, with particle size 250–125 μm (Serventi *et al.*
 458 2013b), and rock powders, with grain size $< 250 \mu\text{m}$ (Carli 2009). Left box: GN1 55% pl (An80–FeO 0.36 wt%); 10%
 459 cpx (En₄₄Wo₄₆); 35% opx (En₇₅); GN2 61% pl (An81–FeO 0.37 wt%); 17% cpx (En₄₆Wo₄₅); 22% opx (En₇₈). These
 460 samples are spectrally similar (e.g. position and intensity) to mineral mixtures 5PI35E1 and 6PI24E1, respectively (50%
 461 P13, 50% E1 and 60% P12, 40% E1, see Serventi *et al.* 2013b). Right box: An (94% pl (An79–FeO 0.45 wt%), 4%
 462 cpx (En₄₂Wo₄₅); Gab (81% pl (An79–FeO 0.36 wt%), 16% cpx (En₄₂Wo₄₅); 3% opx (En₈₂)). These samples are spectrally
 463 similar (e.g. in terms of position and intensity) to mineral mixtures 10PI2 and 9PI11E2, respectively (100% P12 and 90%
 464 P11, 10% E2; see Serventi *et al.* 2013b). For clarity, the reflectance of the 6PI24E1 and An spectra have been shifted, by
 +2.5% and 5%, respectively.

VNIR SPECTRA OF IGNEOUS EFFUSIVE ROCKS

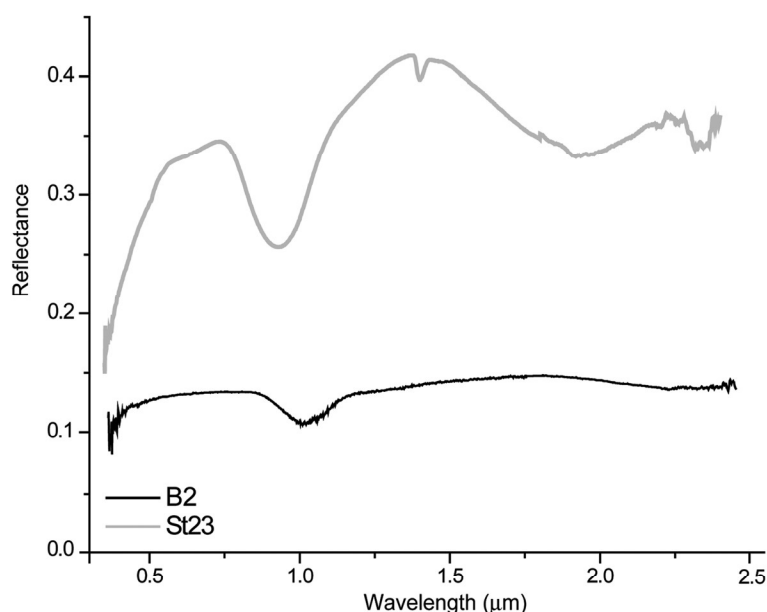


Fig. 3. An example of an intrusive cumulate mafic rock (St23 from Carli 2009) and an effusive basalt (B2; Carli & Sgavetti 2011). Both samples are characterized by px absorptions but the spectral contrast and reflectance are reduced in the effusive basalt despite similar high amount of pxs (low-Ca in St23 and intermediate-Ca in B2).

et al. 2008) and in cut-rock (slab) spectra (Sgavetti *et al.* 2006; Pompilio *et al.* 2007) rather than due to different mineralogical associations.

Spectroscopic effects of composition and petrographical parameters. In regolith, the presence of different lithologies in the same image pixel, as well as variations in grain size (from very fine powder to outcrop scale) and compositions, can influence the spectral signature in an unpredictable way. In particular, if the original rock had an

aphanitic texture with a groundmass wholly characterized by crystals a few tens of microns in size, even fine-grained regoliths are spectrally controlled by multi-crystal grains that each reflect the characteristics of the rock texture (Carli & Sgavetti 2011). In such material, spectral behaviour is controlled by the effect of optical coupling (Hapke 1993 and references therein). This phenomenon is caused by the extremely close proximity of the particles, which has considerable implications for both grains and rock slabs. In this subsection we

Table 1. XRF analysis of major elements of rocks presented in Figures 3–5

	A2	Sal22	Et02	Et26	B2	St23	Et12	Et13	Et14	Et15
SiO ₂	48.79	48.40	46.97	47.99	49.29	50.44	46.90	46.55	46.35	47.09
TiO ₂	1.54	1.84	1.80	1.57	2.85	0.19	1.78	1.77	1.79	1.79
Al ₂ O ₃	14.89	16.21	16.77	18.41	13.45	17.29	16.82	17.07	16.39	16.85
Fe ₂ O _{3tot}	11.95	11.86	11.69	10.30	14.82	11.24	11.47	11.35	11.62	11.51
MnO	0.19	0.16	0.19	0.17	0.22	0.14	0.19	0.19	0.19	0.19
MgO	7.53	7.66	5.35	4.59	5.60	9.75	5.63	5.26	5.82	5.64
CaO	12.75	8.95	10.20	10.18	10.19	8.76	10.40	10.03	10.45	10.47
Na ₂ O	2.04	3.45	3.53	3.88	2.71	1.10	3.54	3.75	3.52	3.64
K ₂ O	0.15	0.87	1.95	1.49	0.43	0.38	1.83	1.93	1.81	1.88
P ₂ O ₅	0.13	0.35	0.57	0.54	0.28	0.02	0.54	0.55	0.52	0.54
Total	99.96	99.75	99.02	99.12	99.84	99.31	99.10	98.45	98.46	99.60

These measurements were made using an X-ray fluorescence spectrometer at the XRF laboratory at the Geoscience Department of the University of Padova.

523 discuss how it can influence a measured spectral
524 signature.

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• *Texture effects in wholly crystalline rock samples: differences between rock slab and powders.* The influence of original rock texture on the spectral features of rock powders can be clearly observed if the grain size of the powdered material is larger than the crystal size of the original rock. In this case, each grain is a multi-crystal grain and will spectrally behave like the original rock. For intrusive rock, a rock slab sample larger than the illuminated spot (which must, in turn, be larger than the individual crystals) will have a spectrum affected by the spectroscopic interaction of electromagnetic energy with the rock component minerals (i.e. single crystals) along the optical path of the light. This generally results in a blue slope, and substantially reduced reflectance and spectral contrast, which further decrease at longer wavelengths resulting in the suppression of some diagnostic absorptions (Yon & Pieters 1988; Pompilio *et al.* 2007). In contrast, the same rock powder sample with grain size close to or smaller than the crystal size will behave similarly to an intimate mixture of single mineral particles, producing a spectrum in which the spectral bands of the distinct phases can be recognized.

The change in slope between slab and powder rock sample spectra, although well documented by Harloff & Arnold (2001) and Pompilio *et al.* (2007) for both effusive and intrusive rocks, is still unexplained. Increasing opaque mineral concentrations, variation in iron-bearing silicate abundances and alteration due to weathering of some minerals (e.g. serpentinization) have been proposed as possible causes of the reduction in compositional information to the point of producing featureless spectra for intrusive slab samples (Carli & Sgavetti 2011). Moreover, rocks with very different compositions can converge to very similar spectral features, whereas powders of the same rocks can display clearly distinct spectral characteristics. Pompilio *et al.* (2007) also stated that absorption minima in slab spectra shift to slightly higher wavelengths with respect to those of powder spectra, relating this shift to the strongly negative slope observed in slab spectra. Carli *et al.* (2012) clearly showed that it is possible to distinguish the 1 μm absorption bands due to px in both powder and slab spectra of different rocks belonging to the gabbro–norite series by applying Gaussian models (e.g. MGM). The absorption positions are also comparable with those measured for pure minerals, apart for slight differences in positions between powders and

slabs (Cloutis & Gaffey 1991a). In addition, the intensity of the absorption band in slab samples is reduced, whereas attenuation increases with the iron content.

• *Texture effects in wholly crystalline rock samples: effects related to grain size and roughness.* Regolith crystal and grain size can play a crucial role in the interpretation of absorption features in the spectra of effusive rock samples. However, Figure 4 shows that effusive rocks with different crystal sizes, but with holocrystalline texture and similar bulk-rock compositions, can have different spectral characteristics. The spectra in Figure 4 were acquired from slab samples at S.Lab. (Spectroscopy Laboratory, at IAPS–INAF in Rome), using a Fieldspec Pro mounted on a goniometer, with incidence angle (i) = 30°, emission angle (e) = 0° and an illuminated spot of approximately 0.5 cm², at standard conditions. The samples were illuminated with a QTH (quartz tungsten halogen) lamp (see Carli & Sgavetti 2011 for more details on sample preparation and laboratory set-up).

The grain-size effect in single mineral phases is well studied (e.g. Craig *et al.* 2008), whereas only a few papers have addressed the effects of grain size in rock powders and roughness variation in slabs. Harloff & Arnold (2001) measured the specular reflectance for both different pxs and for basalts, and related the reflectance, the continuum and the band depth to the powder grain size and to slab roughness in all the samples. These authors also showed that reflectance increases with decreasing grain size and roughness, whereas the continuum and the band depth increase with increasing grain size and roughness up to a maximum before dropping off. Carli & Sgavetti (2011) discussed spectral characteristics measured in bidirectional reflectance with $i = 30^\circ$ and $e = 0^\circ$ for basaltic samples with similar textures and different compositions, as well as for basaltic samples with different textures and similar compositions. For all samples, the slab spectra showed the lowest reflectance, although samples with larger grain sizes (<2.00 mm) displayed spectral characteristics (including reflectance, slope and band intensity) very similar to those of the slab spectra. These results suggest that rock-related optical coupling (Hapke 1993) must be taken into account even for regolith with grain sizes on the order of millimetres.

It is therefore evident that, for effusive rock powders with a grain size that is comparable to crystal size, the spectral signature is controlled by mineralogy and characterized by a reflectance that varies as a function of particle size. However, if the crystal size is smaller than the

VNIR SPECTRA OF IGNEOUS EFFUSIVE ROCKS

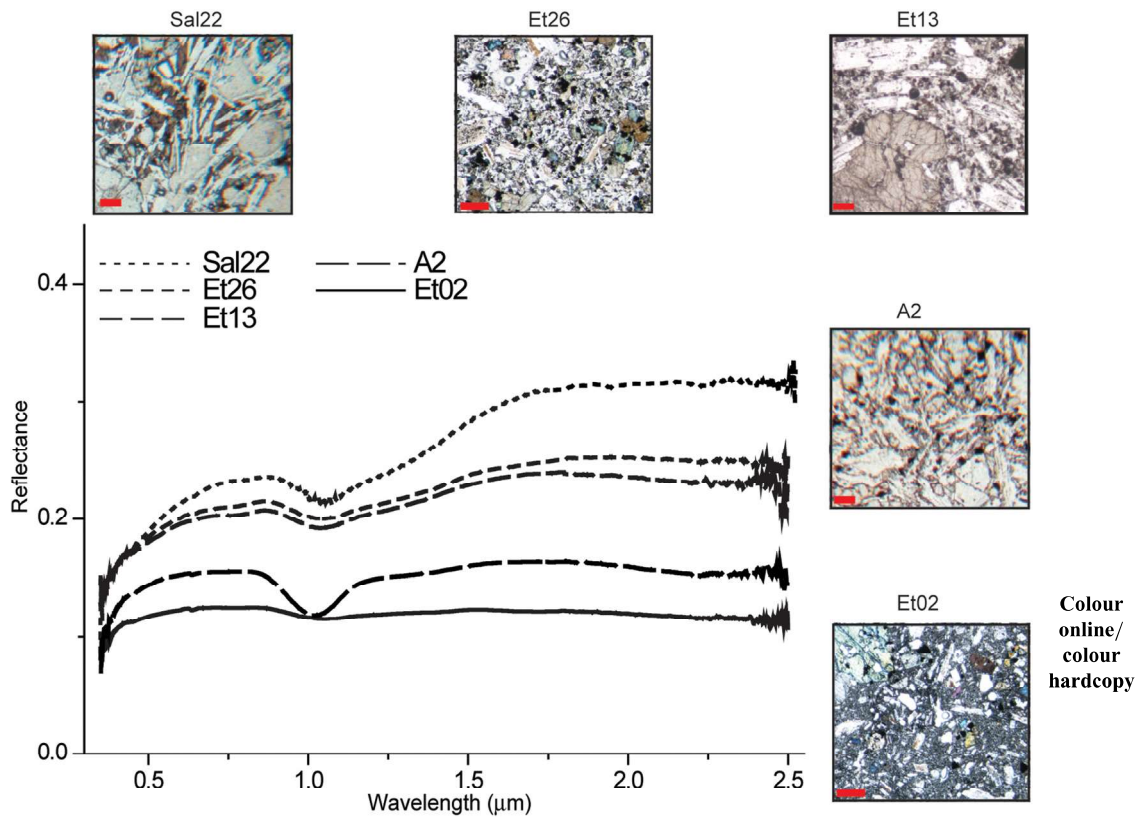


Fig. 4. Volcanic rocks with very similar bulk-rock compositions (see Table 1) but different crystal sizes and/or P.I. and/or relative mineral abundances. In the thin-section images, spectral variation depends not only on composition but also on the different volcanic conditions that generate mineral and texture variations. The A2 and Sal22 samples are from Iceland and Payun Matru (Argentina), respectively (see fig. 1 in Carli & Sgavetti 2011). The Et02 sample is from Etna's 2002 lava flow, Et26 is from Etna's 1665 lava flow and Et13 is from Etna's 1983 lava flow. In all thin section images, the red scale bar denotes 200 μm.

maximum grain size, a large number of mixed grains are expected to be present, resulting in spectral information that is strongly affected by optical coupling.

- *Texture effects in wholly crystalline rock samples: influence of pheno- and xenocryst.* Another important factor to consider when interpreting the spectra of effusive rocks is the relationship between groundmass, phenocrysts and xenocrysts. Phenocrysts are minerals that crystallize in equilibrium with magma, generally in the deep crust. Xenocrysts, however, are minerals that have been dislodged from the walls of the magma conduit during magma ascent. The % abundance of phenocrysts with respect to the groundmass defines the porphyritic index (P.I.) of a lava, an important parameter that indicates the degree of crystallization of the rising magma.

From a spectral point of view, lavas with a porphyritic texture (high P.I.) are expected to

have a reflectance and a spectral contrast that are very close to those of intrusive rocks. Unfortunately, no studies addressing this topic in detail have yet been published. Carli & Sgavetti (2011) pointed out that fine-grained rock samples with a small percentage of millimetre-size phenocrysts have spectral signatures dominated by absorptions characteristic of the mineral phases composing the phenocrysts. In contrast, spectra of the same rocks taken from coarse-grained powder samples are dominated by the groundmass. This is probably due to a pre-eminence of multi-crystal grains in coarser-grained samples, which produce a strong signal that greatly subdues or completely masks the phenocryst signature.

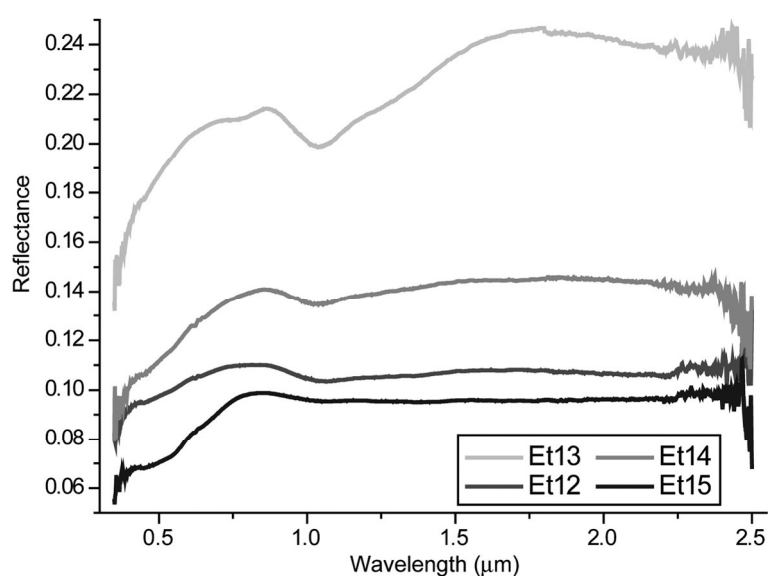
- *Texture effects in partly amorphous rock samples: how groundmass, glassy component and crystal size work.* The groundmass composition and crystallinity of volcanic rocks can strongly

639 affect the mineralogical information that we can
 640 retrieve from reflectance spectra. The ground-
 641 mass is the fine-grained matrix within which
 642 larger crystals are embedded. Mineral crystal
 643 size can vary from a few microns to tens of
 644 microns or larger. Glassy material can also be
 645 an important component because it defines the
 646 groundmass texture, which can be holocrystal-
 647 line, hypocrystalline, vitrophyric or holo-
 648 hyaline (in order of increasing glass content). More-
 649 over, this component is frequently characterized
 650 by oxide microphases (tachylitic glass), which
 651 are unresolvable even under high-magnification
 652 optical microscopy. Both the glassy component
 653 and the fine-grained groundmass contribute to
 654 a general darkening of the effusive samples
 655 and a lowering in the intensity of C.F. absorp-
 656 tions, at times resulting in featureless spectra,
 657 which complicates the spectroscopic analysis of
 658 these rocks. However, Carli & Sgavetti (2011)
 659 pointed out that two basaltic samples with simi-
 660 lar compositions but different groundmass tex-
 661 tures have different spectral characteristics in
 662 the VNIR.

664 Here, we discuss in detail some effects of rock
 665 texture in four different samples belonging to a Mt
 666 Etna lava flow from the 1983 event. The spectra of
 667 rock powder samples (<0.250 mm) are shown in

Figure 5. Powders were prepared by grinding and
 sieving representative rock portions in a 0.250 mm
 sieve. The spectra were acquired with the same
 experimental set-up as that described for Figure 4
 (see Carli & Sgavetti 2011 for more details on
 sample preparation and laboratory set-up). The
 original rock samples were collected at different
 locations along a vertical section going from the
 inner to the outer part of a 1.5 m-thick lava flow.
 The four samples (Et13, Et14, Et12 and Et15 from
 in to outside) show similar mineral assemblages:
 pl, cpx, minor ol and oxides. The groundmass
 varies from holocrystalline to hyalopilitic and vitro-
 phyric, with a tachylitic glass.

The bulk-rock compositions reported in Table
 1 show homogeneous major-element chemistry.
 Table 2 and Figure 6 give the compositions of
 the major mineral phases, and show overlapping
 mineral chemistries of all the samples, with small
 variations between phenocrysts and microcrystals.
 Clinopyroxene is a diopsidic augite with very little
 variation in the Wo_{44-46} and En_{37-40} components,
 whereas pl has a compositional range that varies
 from An_{50} to An_{80} , with average FeO close to
 0.7 wt% for all the samples. Olivine compositions
 are also very similar: Fo70 for all of the samples
 except Et12, which is slightly richer in Mg (Fo77).
 SEM images of thin sections were collected to
 determine the P.I. and to qualitatively describe the



692 **Fig. 5.** Spectra of four different samples from a Mount Etna lava flow. The samples have very similar P.I., with similar
 693 relative mineral associations, but with different groundmass textures. The intensity of the C.F. composite band,
 694 which is due to mafic and pl mineralogy, is strongly reduced from sample Et13 to Et15, increasing the tachylitic glass
 695 content in the groundmass of these samples (see also Fig. 6 and Tables 2 & 3). These spectra were acquired with a
 696 FieldspecPro® $i = 30^\circ$, $e = 0^\circ$, white Spectralon standard Labsphere®, QTH lamp, at SLAB, IAPS-INAF, Rome.

VNIR SPECTRA OF IGNEOUS EFFUSIVE ROCKS

Table 2. Average composition for the major mineral phases present as pheno- and microcrysts

	Clinopyroxene				Olivine				Plagioclase					
	Et12	Et13	Et14	Et15	Et12	Et13	Et14	Et15	Et12	Et13	Et14	Et15		
SiO ₂	48.21	48.60	46.98	48.34	38.72	37.35	37.60	37.94	51.92	51.57	50.58	50.93		
TiO ₂	1.60	1.65	2.07	1.63	0.05	0.03	0.04	0.03	0.10	0.09	0.08	0.10		
Al ₂ O ₃	4.53	4.70	4.81	5.36	0.03	0.31	0.02	0.02	28.79	29.76	30.58	29.67		
Cr ₂ O ₃	0.02	0.02	0.01	0.01	0.00	0.01	0.01	0.02	0.01	0.02	0.01	0.01		
FeO _{tot}	8.57	8.66	9.97	8.05	20.42	27.71	26.12	25.33	0.70	0.68	0.62	0.70		
MnO	0.19	0.21	0.21	0.23	0.32	0.67	0.60	0.60	0.02	0.01	0.01	0.01		
MgO	13.26	13.15	12.94	13.63	40.56	33.78	36.14	37.37	0.08	0.07	0.07	0.09		
CaO	21.73	21.46	20.88	21.84	0.26	0.49	0.35	0.35	11.92	12.45	13.29	13.11		
Na ₂ O	0.59	0.63	0.63	0.59	0.01	0.06	0.02	0.02	4.24	4.06	3.67	3.73		
K ₂ O	0.05	0.03	0.03	0.02					0.61	0.37	0.29	0.37		
Total	99.40	99.44	99.08	100.15	100.37	100.42	100.91	101.69	98.39	99.09	99.19	98.71		
Wo	46.37	46.15	44.76	46.41					Ab	37.73	36.25	32.73	33.21	
En	39.34	39.29	38.60	40.23	Fo	77.95	68.14	71.09	72.45	An	58.67	61.56	65.56	64.61
Fs	14.29	14.56	16.64	13.36	Fa	22.05	31.86	28.91	27.55	Or	3.60	2.19	1.71	2.18

The mineral chemistry was determined by electron microprobe analyses with a CAMECA SX50 (EMP) at the microprobe laboratory of CNR-IGG, Padova.

groundmass characteristics over a representative area of each sample. Phenocryst abundances were calculated from compositional maps collected for major elements (Si, Al, Ca, Na, Mg, Fe and Ti). Red-green-blue (RGB) images and single-channel images were generated using the ENVI® software, and phenocrysts with different mineral chemistries

were distinguished from the sample's cavity by processing the images with ImageJ®. The areal abundances of each phase were calculated and are reported in Table 3. The P.I. varies from 36 to 41%. Moreover, pl abundances are 21–27%, whereas those of mafic minerals, composed almost entirely of cpx (c. 90%), have abundances of

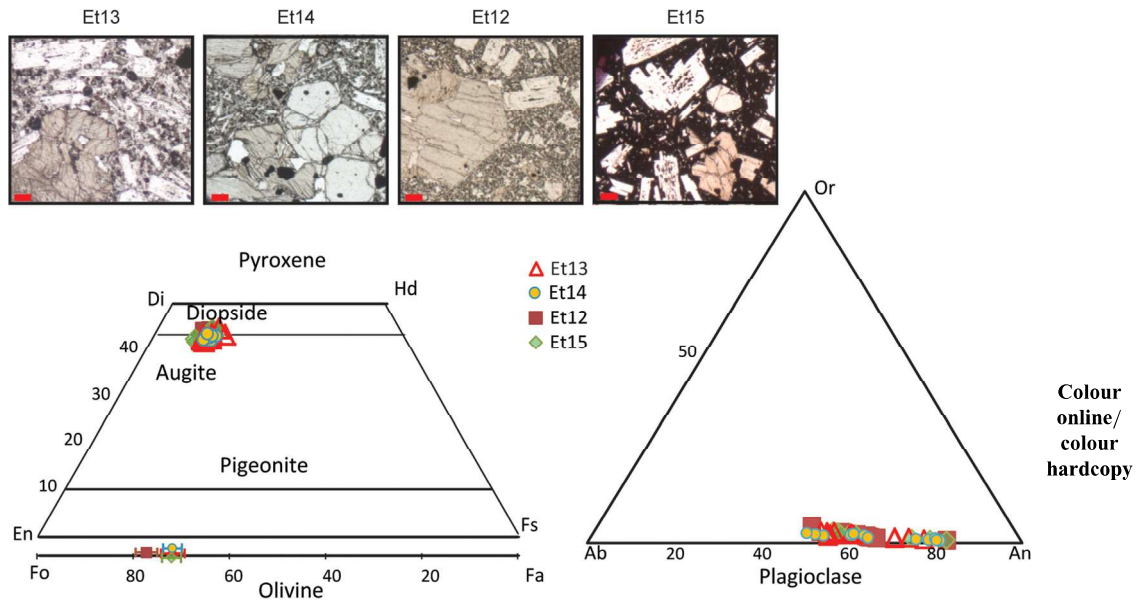


Fig. 6. The px, ol and pl composition of Etna samples (see also Table 3). Thin-section images show the groundmass texture variation from holocrystalline (Et13) to vitrophyric (Et15). As before, the red scale bar in the thin section images denotes 200 μm.

Table 3. Areal abundances of phenocrysts present on Etna samples and holes

	Pl (%)	Cpx + ol (%)	Ox (%)	Hole (%)	Σ (PhX%)
PhX_Et13	26.91	11.26	1.12		39.28
PhX_Et14	22.10	12.35	0.90	1.50	35.35
PhX_Et12	21.00	13.46	1.83	5.10	36.28
PhX_Et15	24.47	16.11	0.81	8.07	41.39

Holes indicate sample cavity. The rest is the groundmass, which varies from all microcrystalline to very high glass component from Et13 to Et15. A threshold of 20 pixels was used as a minimum area to be considered.

11–16%. All of the samples show a very similar phenocryst abundance that is consistent with the spectral variation observed, which is mainly controlled by differences in the groundmasses.

In the sample spectra (Fig. 5), despite identical mineralogical and bulk compositions and similar phenocryst distributions, reflectance varies from approximately 22 to 9% at 0.8 μm . In sample Et13, the wide absorption centred at 1.037 μm is consistent with the presence of cpx and ol. Samples Et14 and Et12 also show a minimum in a similar position, but the spectral contrast between the shoulders and the minimum is greatly reduced. Finally, Et15 shows a very weak, almost featureless, band at wavelengths longer than 0.80 μm , with a minimum at 1.05 μm . The 1 μm Band Area was calculated as the integrated area delimited by a linear continuum between the band onset and offset. The Band Area varies from 4% for sample Et13, to 2% for Et14 and Et12, and 1% for Et15, indicating a clear reduction of spectral information due to the glassy component of the groundmass.

Discussion

Several papers have been published that focus on spectral reflectance characteristics of rock-forming minerals (e.g. px, ol and pl) from effusive rocks, with some also discussing the spectral characteristics of these bulk rocks. Several points must still be clarified, however, to allow for a better interpretation of the information contained in remote-sensing data. On Mars, ol or px spectroscopic indexes of regions with volcanic edifices have not been mapped despite well-established evidence for the presence of basalts on the planet. In contrast, the spectra of lunar maria and the surface of Vesta clearly show the presence of px absorptions but not the presence of ol, although ol eucrite and ol lunar basalts are well documented by rock sample and meteorite analyses. However, compositional interpretation has been generally based on spectroscopic criteria that do not take into consideration the specific petrographical characteristics of volcanic rocks. Basic–ultrabasic volcanic rocks

are, in fact, characterized by relatively high levels of mafic minerals with variable amounts of pl, which increase from ultrabasic to basic compositions. However, texture can influence spectra by modifying the spectral slope and reducing or extinguishing band intensities, which facilitates the recognition of some mineral phases over others.

Spectral reflectance studies of Earth's basic–ultrabasic rocks have contributed to a substantial improvement in the understanding of this topic. Spectral knowledge of these rocks to date, from literature and this study, can be summarized as follows:

- For volcanic rocks with holocrystalline texture:
 - the presence of the 1 and 2 μm bands is indicative of the occurrence of px, whereas a wide composite band at 1 μm indicates the presence of ol. The absence of the 2 μm band in a spectrum can be interpreted as the result of the presence of high-Ca px \pm ol (e.g. Hunt *et al.* 1974; Harloff & Arnold 2001; Carli & Sgavetti 2011). Similar bulk-rock chemical compositions have spectra with different absorption features (e.g. Figs 4 & 5) because different magma chamber depths, crystal fractionation and cooling histories lead to different mineral abundances and/or chemistries (i.e. the presence of px with different composition and/or ol, as ground mass and/or phenocrysts);
 - the spectra of powders of effusive rocks with groundmass crystal sizes of hundreds of microns are similar to those of intrusive rock powders, and are also similar to those of synthetic mixtures of minerals (i.e. to gabbro or to gabbro + ol) (see the subsection on 'C.F. absorptions in mineral mixtures and volcanic rocks'). If the crystals in the groundmass are tens of microns in size, even the fine-grained powders will contain multi-crystal grains. This results in a reduction in albedo and in spectral contrast, although the predominant mafic mineralogy can still be resolved (e.g. see Et13 in Fig. 5; see also Carli & Sgavetti 2011);

- 813 – the P.I. can also play an important role:
 814 with increasing P.I., phenocryst absorptions
 815 become more apparent, and mafic minerals
 816 with abundances of just a few per cent dom-
 817 inate the spectra of intermediate- to fine-
 818 grained powders (see the subsection on
 819 ‘Spectroscopic effects of composition and
 820 petrographical parameters’: see also Carli &
 821 Sgavetti 2011). In contrast, when the pow-
 822 der grain size is considerably larger than
 823 the crystal size, optical coupling strongly
 824 influences the spectral signature and so the
 825 groundmass dominates the spectral charac-
 826 teristics (see ‘Spectroscopic effects of com-
 827 position and petrographic parameters’).
- 828 • The presence of glass (i.e. tachylite) in a ground-
 829 mass substantially modifies its petrographical
 830 texture and strongly affects the spectral
 831 information, as reported by Carli & Sgavetti
 832 (2011) and discussed in detail in the subsection
 833 on ‘Spectroscopic effects of composition and
 834 petrographical parameters’. The sample set
 835 discussed there comes from the same lava flow,
 836 and is thus characterized by very similar P.I.,
 837 mineralogical associations and identical bulk-
 838 rock chemical compositions. Nevertheless,
 839 with increasing glass contents in the sample
 840 groundmass, from low (e.g. Et14: hyalopilitic
 841 groundmass) to high (e.g. Et15: vitrophyric
 842 groundmass) glass, the spectral shape is modi-
 843 fied from a low-reflectance spectrum with a
 844 strongly reduced 1 μm band to a darker, almost
 845 featureless spectrum.
 846

847 As mentioned above, remotely acquired spectral
 848 reflectance data are often analysed using specific
 849 indexes to identify diagnostic absorptions. How-
 850 ever, these indexes can be difficult to apply when
 851 several compositional and petrographical factors
 852 in outcropping rocks and in regolith interplay,
 853 resulting in a reduction of the mineralogical infor-
 854 mation contained in the VNIR reflectance spec-
 855 tra. In order to characterize the composition of
 856 an area, VNIR reflectance spectroscopy should
 857 be used in concert with geomorphological studies
 858 to identify end-member geomorphic units over
 859 which spectral units containing the mineralogical
 860 information can be draped, so as to substantially
 861 increase the geological significance of the resulting
 862 geomorphic–spectral units (e.g. Giacomini *et al.*
 863 2012). Future missions will carry hyperspectral sen-
 864 sors for *in situ* rock analyses (e.g. the Ma_MISS
 865 (Corradini *et al.* 2011) and MicrOmega (Pilorget
 866 *et al.* 2012) instruments on the ExoMars 2018
 867 rover). Any spectroscopic data acquired by future
 868 rover missions will be strongly affected by the
 869 rock petrographical characteristic of the surface
 870 rocks, particularly by volcanic rocks. Since these

rocks are expected to be the most abundant materials
 on terrestrial planets, more studies aimed at explor-
 ing the effects of texture on mineralogy at different
 spatial resolutions are needed in order to better
 interpret spectral data collected both from orbit
 and by rovers. As a final consideration, improve-
 ments in the spectroscopic characterization of vol-
 canic rocks, by taking into consideration different
 chemical compositions and different mineral assem-
 blages, will help further inform the analysis and
 identification of effusive lithologies using both
 direct (e.g. specific absorption bands) and indirect
 (e.g. typical reflectance, spectral slope and mineral
 alterations) information.

Implications

The surfaces of inner solar system bodies are exten-
 sively covered by volcanic products with variable
 compositions and petrographical characteristics
 that can be analysed using high-resolution spectro-
 scopic data. VNIR absorptions permit the identifi-
 cation of some of the spectroscopically dominant
 minerals in the rocks. This allows us to discrimi-
 nate px-rich (pigeonitic or augitic) and ol-rich vol-
 canic rock compositions within basic–ultrabasic
 effusive materials with holocrystalline ground-
 masses. The relationship between the 1 and 2 μm
 bands can also be helpful, by signalling the pres-
 ence or absence of low- to intermediate-Ca px.
 Reflectance and spectral contrast can be directly
 related to the P.I. and the crystal size of the ground-
 mass. For samples with a very fine groundmass and
 with tachylitic glass, the spectral absorptions are
 reduced to a featureless spectrum, masking the
 expected absorptions of the mineral phases.

Because of the improved spatial resolution of
 remote-sensing data, and the use of hyperspectral
 sensors on rovers to investigate volcanic rocks in
 greater detail, further work should be focused on
 finding both direct and indirect evidence of com-
 positional evolution of magmatic processes. To
 accomplish this, as well as to better explore plane-
 tary volcanic regions using VNIR spectroscopy and
 to discriminate among the effusive products, major
 effort should be made to:

- understand the ‘abundance limits’ for mafic
 mineralogies (by separating e.g. ol-poor from
 ol-rich rocks, alkaline from subalkaline rocks,
 and iron-poor from iron-rich magmatism);
- understand the limit (e.g. the chemistry and rela-
 tive abundance) beyond which pheno- or xeno-
 crystal compositions have a greater effect on
 the spectral signature than groundmass mineral-
 ogy – for example, future work should investi-
 gate how the spectral signature varies between
 massive basalts with similar compositions and

871 mineralogical assemblages, but different P.I., in
 872 order to understand how spectral information is
 873 influenced by different crystal distribution in
 874 the rock; and
 875 • investigate the relationship between crystal size
 876 and grain size in particulate soils (like regoliths)
 877 derived from effusive igneous rocks in order
 878 to determine the most suitable conditions for
 879 obtaining diagnostic spectral information that
 880 would be more reliable than information pro-
 881 vided by synthetic mixtures of minerals, which
 882 are more comparable with intrusive igneous
 883 rocks.

884 These efforts could produce a standard of infor-
 885 mation for volcanic compositions from which to
 886 begin the investigation of weathering effects on
 887 the spectra of effusive igneous rocks. We suggest
 888 that integrating and comparing geomorphic volca-
 889 nic units and spectral units of compositional signifi-
 890 cance is the most reliable approach for geological
 891 mapping of volcanic regions on planetary surfaces,
 892 as already demonstrated by Giacomini *et al.* (2012).

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