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MeqSilhouette v2: spectrally resolved polarimetric synthetic data generation for the event horizon telescope

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ABSTRACT

We present MeqSilhouette v2.0 (MeqSv2), a fully polarimetric, time- and frequency-resolved synthetic data generation software for simulating millimetre (mm) wavelength very long baseline interferometry (VLBI) observations with heterogeneous arrays. Synthetic data are a critical component in understanding real observations, testing calibration and imaging algorithms, and predicting performance metrics of existing or proposed sites. MeqSv2 applies physics-based instrumental and atmospheric signal corruptions constrained by empirically derived site and station parameters to the data. The new version is capable of applying instrumental polarization effects and various other spectrally resolved effects using the Radio Interferometry Measurement Equation (RIME) formalism and produces synthetic data compatible with calibration pipelines designed to process real data. We demonstrate the various corruption capabilities of MeqSv2 using different arrays, with a focus on the effect of complex bandpass gains on closure quantities for the EHT at 230 GHz. We validate the frequency-dependent polarization leakage implementation by performing polarization self-calibration of synthetic EHT data using PolSolve. We also note the potential applications for cm-wavelength VLBI array analysis and design and future directions.

Key words: techniques: high angular resolution – techniques: interferometric – software: simulations.

1 INTRODUCTION

Very long baseline interferometry (VLBI) enables the highest angular resolution in astronomy, on the order of milli-arcseconds (mas) to micro-arcseconds (μ as), by operating radio antennas separated

by thousands of kilometres synchronously using atomic clocks. The Event Horizon Telescope (EHT, Event Horizon Telescope Collaboration 2019b) is a global mm-VLBI array whose principal goal is to spatially resolve the supermassive black holes at the cores of the Milky Way Galaxy (Sgr A*) and M87, and image their *shadows*, the depression in observed intensity inside the apparent boundary of the black hole (e.g. Falcke, Melia & Agol 2000; Dexter, McKinney & Agol 2012; Psaltis et al. 2015; Mościbrodzka, Falcke &

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Shiokawa 2016), together with a bright crescent-shaped emission ring (e.g. Bromley, Melia & Liu 2001; Broderick & Loeb 2009; Kamruddin & Dexter 2013; Lu et al. 2014). The EHT 2017 campaign has yielded total intensity images of the shadow of the black hole at the centre of M87 at 230 GHz (Event Horizon Telescope Collaboration 2019a,d,f). Assuming statistically preferred geometric crescent models and general-relativistic magnetohydrodynamic (GRMHD) models, measurements of physical properties such as the diameter of the shadow ($42 \pm 3 \mu\text{as}$) and angular size of one gravitational radius ($3.8 \pm 0.4 \mu\text{as}$) have been obtained (Event Horizon Telescope Collaboration 2019d,e,f). These measurements correspond to a mass of $6.5 \pm 0.7 \times 10^9 M_\odot$ at the estimated distance $16.8_{-0.7}^{+0.8}$ Mpc (Event Horizon Telescope Collaboration 2019f), consistent with prior mass measurements based on stellar dynamics (Gebhardt et al. 2011).

Synthetic data play a significant role in understanding the characteristics of an instrument, developing new algorithms for data analysis, and realistically representing the underlying physics that give rise to the observed data. Feasibility studies and identification of new sites for upgrading existing arrays such as the EHT, the Karl G Jansky Very Large Array (VLA) (Perley et al. 2011), European VLBI Network (EVN) (Porcas 2010), East Asian VLBI Network (EAVN) (An, Sohn & Imai 2018), the Atacama Large Millimeter Array (ALMA) (Matthews et al. 2018; Goddi et al. 2019), and MeerKAT (Jonas 2009), and for building new arrays such as the next-generation EHT (ngEHT) (Blackburn et al. 2019), the next-generation VLA (ngVLA) (Selina et al. 2018), and the Square Kilometre Array (SKA) (e.g. Schilizzi et al. 2007) can benefit greatly from realistic simulations of interferometric observations. These benefits include predictive analyses of new hardware enhancements, as well as testing and optimization of calibration and imaging algorithms and pipelines. Powerful analyses can be performed by the average user when the synthetic data tools are user-friendly and can be seamlessly integrated with the calibration and analysis tools. MEQSV2 has been designed with this as a guiding principle.

Roelofs et al. (2020) provide a brief review of the various synthetic data generation approaches used for simulating EHT observations over the past decade. Most of these incorporate thermal noise arising from the characteristics of the instrument and the atmosphere as the only data corruption effect. More complex signal corruptions are introduced by EHT-IMAGING (Chael et al. 2016, 2018) and MEQSILHOUETTE (Blecher et al. 2017, and this paper), the two synthetic data generation packages used for generating synthetic M87 observations in Event Horizon Telescope Collaboration (2019a), Event Horizon Telescope Collaboration (2019d).

EHT-IMAGING can introduce randomly varying complex gains and elevation-dependent atmospheric opacity corruptions, and also simulates scattering due to the interstellar medium (ISM), which affects observations of Sgr A* (Johnson 2016; Johnson et al. 2018). Instrumental polarization is introduced using previous measurements of leakage characteristics of the EHT antennas (Johnson et al. 2015) and the antenna gains are generated as random offsets sampled from a normal distribution with a standard deviation that is within a fixed percentage of the visibility amplitudes of actual EHT data. EHT-IMAGING also adds randomized station-dependent inter-scan phases, that are kept coherent within a single scan to mimic the phases of fringe-fitted visibilities.

MEQSILHOUETTE takes a complementary approach to synthetic data generation by introducing corruptions based on physical models and tuned to match empirical station measurements. It was designed to adapt the simulation and calibration techniques developed for metre and cm-wavelength observations to mm-VLBI observations. The version presented in Blecher et al. (2017) could simulate

simple Gaussian sources and narrow-field complex sky models and introduce physically-motivated tropospheric phase and amplitude corruptions, interstellar scattering using SCATTERBRANE¹ (Johnson & Gwinn 2015), and time-variable antenna pointing errors. It has been significantly enhanced since then, first in step with the publication of the first results from 2017 EHT observations, and then with the development of SYMBA (Roelofs et al. 2020) and the first polarimetric results of the 2017 M87 observations (Event Horizon Telescope Collaboration 2021a,b).

MEQSILHOUETTE V2 (hereafter MEQSV2²) introduces full polarimetric, time-variable, spectrally resolved synthetic data generation and corruption capabilities and is capable of handling wide-field source models with complex substructures. MEQSV2 has been rewritten and expanded from Blecher et al. (2017). The code has been refactored to be fully compatible with the same pipelines used for the analysis of real EHT data. The pointing and atmospheric models have been rewritten to include more sophisticated effects. Source and instrumental polarization simulation capabilities have been introduced. MEQSV2 also accounts for the effects of bandwidth on various propagation path effects at mm-wavelengths. These features facilitate a variety of studies for both the EHT and upcoming VLBI arrays, such as performing rotation measure (RM) synthesis studies (Brentjens & de Bruyn 2005) and multi-frequency synthesis imaging with the increasing fractional bandwidth of the EHT, as well as the envisioned multi-band imaging at 230 GHz and 345 GHz for the ngEHT. The ability to vary instrumental polarization across the receiver bandwidth is crucial to take full advantage of ultra-wideband receivers and high dynamic-range polarimetric imaging. With the polarimetric primary beam module, full Stokes primary beam modelling for upcoming arrays such as the ngEHT can be undertaken. Roelofs et al. (2020) seamlessly combines the functionality of MEQSV2 and RPICARD (Janssen et al. 2019), the CASA-based VLBI pipeline for calibrating data from the EHT and other VLBI facilities. Synthetic data generated by both EHT-IMAGING and SYMBA, representing complementary approaches, have been found to be consistent with each other (Event Horizon Telescope Collaboration 2019d).

In this paper, we present the components of MEQSV2 and illustrate its simulation capabilities. In particular, we illustrate the new polarimetric and spectral resolution capabilities using synthetic data and validate them. We study the effects of bandpass gains on closure quantities, which can limit or bias constraints on intrinsic source structure asymmetry, by generating synthetic data with realistic bandpasses. The polarimetric capabilities of MEQSV2 are validated using POLSOLVE, a CASA task developed for polarization calibration of VLBI observations (Martí-Vidal et al. 2021). Unlike conventional VLBI polarimetric calibration software packages such as LPCAL (Leppanen, Zensus & Diamond 1995) that use a linear approximation to model polarization leakage, POLSOLVE uses a non-linear model derived from the full RIME to handle high leakage values for specialized cases such as the EHT. In addition, POLSOLVE uses a combined multi-source model when the parallactic angle coverage for individual calibrators is limited and can model the frequency-dependence of the leakage terms for calibrating large fractional bandwidths.

This paper is organized as follows: Section 2 provides a brief overview of the RIME formalism that forms the basis of how

¹<https://github.com/krosenfeld/scatterbrane>.

²‘Measurement Equation’ (see Section 2) + ‘Silhouette’ (referring to the black hole ‘shadow’).

MEQSV2 models visibilities to make this a self-contained publication. Section 3 provides a detailed account of the control flow of MEQSV2, its signal corruption capabilities, and their Jones matrix implementations. Section 4 describes the CASA POLSOLVE tool for estimating polarization leakage in heterogenous VLBI arrays. Section 5 demonstrates the synthetic data generation capabilities of MEQSV2 for three different mm-wave telescopes. Section 6 quantifies the effect of complex bandpass gains on EHT observations at 230 GHz and Section 7 demonstrates and validates the polarimetric simulation capabilities of MEQSV2 using multiple polarized source models. Section 8 provides a general discussion on the potential uses of MEQSV2 and Section 9 summarizes the results and future outlook.

2 THE RADIO INTERFEROMETER MEASUREMENT EQUATION

Hamaker, Bregman & Sault (1996) originally developed the mathematical formalism for describing radio polarimetry using the *Jones* (Jones 1941) and *Mueller* (Mueller 1948) calculi from optics. Smirnov (2011a) extended this formulation to incorporate direction-dependent effects (DDEs) in calibration. Here we provide only a brief summary of the relevant aspects of this formalism and, given the range of notations in use, establish the notation used in this paper.

An interferometer produces four pairwise correlations between the voltage vectors from two stations p and q (each with two feeds x and y), that can be arranged into the 2×2 *visibility matrix*³:

$$\mathbf{V}_{pq} = 2 \begin{pmatrix} \langle v_{px} v_{qx}^* \rangle & \langle v_{px} v_{qy}^* \rangle \\ \langle v_{py} v_{qx}^* \rangle & \langle v_{py} v_{qy}^* \rangle \end{pmatrix}, \quad (1)$$

where the angled brackets denote averaging over some small time and frequency bin, based on considerations of *smearing* and *decoherence*, field of interest, and processing requirements. In terms of the voltage two-vectors \mathbf{v}_p , equation (1) can be represented as

$$\begin{aligned} \mathbf{V}_{pq} &= 2 \left\langle \begin{pmatrix} v_{px} \\ v_{py} \end{pmatrix} \begin{pmatrix} v_{qx}^* & v_{qy}^* \end{pmatrix} \right\rangle = 2 \langle \mathbf{v}_p \mathbf{v}_q^H \rangle, \\ &= 2 \langle \mathbf{J}_p \mathbf{e} (\mathbf{J}_q \mathbf{e})^H \rangle = 2 \langle \mathbf{J}_p (\mathbf{e} \mathbf{e}^H) \mathbf{J}_q^H \rangle, \end{aligned} \quad (2)$$

where \mathbf{e} is the incoming electromagnetic wave, \mathbf{J}_p are the 2×2 *Jones* matrices that describe any linear transformation acting on the incoming wave, and H is the Hermitian conjugate. The matrix product $\mathbf{e} \mathbf{e}^H$ in equation (2) is related to the four Stokes parameters I , Q , U , and V that describe the polarization state of electromagnetic radiation (Hamaker et al. 1996; Thompson, Moran & George W. Swenson 2017) by the following relation⁴:

$$2 \begin{pmatrix} \langle e_x e_x^* \rangle & \langle e_x e_y^* \rangle \\ \langle e_y e_x^* \rangle & \langle e_y e_y^* \rangle \end{pmatrix} = \begin{pmatrix} I + Q & U + iV \\ U - iV & I - Q \end{pmatrix} \equiv \mathbf{B}. \quad (3)$$

\mathbf{B} is the *brightness matrix* which describes the intrinsic source brightness. e_x and e_y are the orthogonal polarizations as measured by the two feeds x and y .

In the ideal case of corruption-free reception, the phase delay associated with signal propagation, denoted by the scalar *K-Jones*

matrix, is always present, giving rise to the *source coherency*, \mathbf{X}_{pq} :

$$\begin{aligned} \mathbf{X}_{pq} &= K_p \mathbf{B} K_q^H, \\ \text{where } K_p &= e^{-2\pi i \phi_p} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \end{aligned} \quad (4)$$

in which ϕ_p denotes the phase delay between the antenna p and the reference antenna. In the presence of multiple discrete sources in the sky, taking into account the direction-dependence of the source coherency and some Jones matrices, the RIME generalizes to

$$\mathbf{V}_{pq} = G_p \left(\sum_s E_{sp} \mathbf{X}_{spq} E_{sq}^H \right) G_q^H, \quad (5)$$

where the summation is carried out over all the sources and E_{sp} and G_p denote generic direction-dependent effects (DDEs) and direction-independent effects (DIEs) respectively. MEQSV2 does not simulate any DDEs for EHT observations, since, aside from scattering, there are no major DDEs that occur along the signal path.

3 THE MEQSILHOUETTE FRAMEWORK

MEQSV2 was designed to use the Measurement Set⁵ (MS), a database format designed to store radio astronomical data in next-generation facilities such as JVLA, ALMA, MeerKAT, and SKA. Fig. 1 shows the basic layout and the components of a typical MEQSV2 run. Scattering by the ISM is not applied to the input sky models and is assumed to have been applied externally, simplifying the user interface compared to v1. MEQSV2 uses a *driver* script to set up the sequence of steps to be executed to generate the synthetic data. The *framework* module contains the various functions necessary to create synthetic data, corrupt them, and optionally generate additional data products. The inputs to the driver script are presented as attribute-value pairs in a file in the JSON format (Crockford 2006) containing information on the source, weather, and antenna parameters necessary for computing various components of the RIME. The Jones matrices are applied to the uncorrupted visibilities in the order in which they occur along the signal path (Noordam & Smirnov 2010), unless they are scalar, in which case they can be applied anywhere along the signal chain.

Advanced users may write their own driver scripts to tailor the basic strategy provided by MEQSV2 for their own needs. For instance, in SYMBA (Roelofs et al. 2020), we use this framework to create synthetic data that follow real EHT observing schedules using input VEX⁶ files (the scheduling protocol for VLBI experiments) and extend the pointing offset module to compute short and long-term pointing offsets mimicking the behaviour of EHT stations. SYMBA also performs additional *a priori* calibration so that the output more closely resembles the uncalibrated EHT data. MEQSV2 can just as easily be used for simulating observations with other VLBI arrays (see Section 5), although other propagation path effects that become significant at longer wavelengths may need to be implemented.

The following subsections explain the various modules of MEQSV2. The plots shown are obtained using a hypothetical 12-h observing run using the EHT2017 array listed in Table 1 at an observing frequency of 230 GHz towards M87 ($\alpha_{J2000} = 12^{\text{h}}30^{\text{m}}49^{\text{s}}.42$, $\delta_{J2000} = 12^{\circ}23'28''.04$). The SPT station from EHT2017 has been excluded since M87 is always below the horizon from the south pole.

³The factor of 2 is introduced in this equation to ensure that the brightness matrix (introduced shortly) becomes 1 for a 1 Jy unpolarized source (Smirnov 2011a).

⁴This equation is valid for linear (XY) feeds. See Section 3.1 for circular (RL) feeds.

⁵<https://casa.nrao.edu/Memos/229.html>.

⁶<https://vlbi.org/vlbi-standards/vex>.

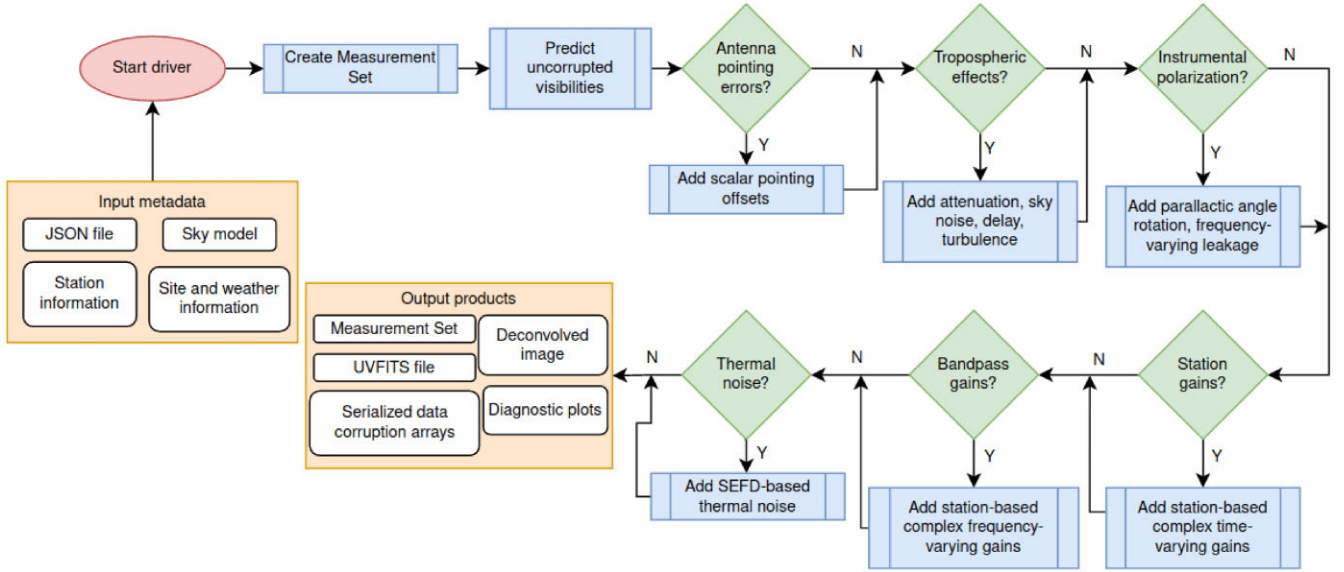


Figure 1. Flowchart showing the basic components of synthetic data generation with MEQSV2. The inputs and outputs are shaded orange, the processes are shaded blue, and the decision boxes (diamonds) are shaded green. Multiple input configuration files are used and various output data products are produced. The input values are determined from empirical values obtained from the individual observations themselves, as well as VLBI-MONITOR. Each component in the diagram is explained in Section 3.

Table 1. Physical sizes and mount specifications of EHT2017 stations participating in the simulations.

Station	Effective diameter (m)	Mount type
ALMA [†]	70	Alt-az
APEX	12	Alt-az + Nasmyth-Right
LMT	32	Alt-az + Nasmyth-Left
PV	30	Alt-az + Nasmyth-Left
SMT	10	Alt-az + Nasmyth-Right
JCMT	15	Alt-az
SMA [†]	16	Alt-az + Nasmyth-Left

Note. [†]Single-antenna equivalent of phased arrays.

3.1 Input sky models

MEQSV2 introduces the capability to generate synthetic visibilities from wide-field non-parametric images and retains the ability to input simple parametric source models in the form of ASCII text files describing point or Gaussian source models. Since images are gridded representations of the sky, we use WSCLEAN, a fast generic widefield imager (Offringa et al. 2014), to Fourier-invert the model image to the uv -plane. Each polarized, time and frequency variable image frame is Fourier-inverted into the appropriate subset of the generated MS.⁷ Parametric sky models are handled by MEQTREES (Noordam & Smirnov 2010), which performs a direct Fourier transform of the sky model into the MS.

For the EHT, the visibilities are always computed in the circular polarization basis (RR, RL, LR, and LL), except in the case of ALMA which records signals in linear basis. In MEQSV2, we assume that the ALMA visibilities have been perfectly converted to circular polarization (Marti-Vidal et al. 2015) so that the basis is uniform

⁷The naming conventions for the image frames are explained in the official documentation.

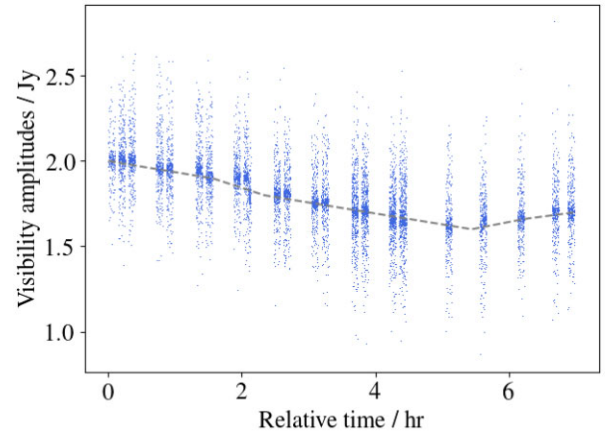


Figure 2. Stokes/visibility amplitudes for a point source with intrinsic time variability, as a function of time for one frequency channel.

across all stations. Then, equation (3) takes the form

$$\mathbf{B}_{\odot} = 2 \begin{pmatrix} \langle e_r e_r^* \rangle & \langle e_r e_l^* \rangle \\ \langle e_l e_r^* \rangle & \langle e_l e_l^* \rangle \end{pmatrix} = \begin{pmatrix} I + V & Q + iU \\ Q - iU & I - V \end{pmatrix}. \quad (6)$$

where ‘ \odot ’ indicates circular polarization (Smirnov 2011a). Fig. 2 shows the Stokes I visibility amplitudes for all baselines for a single channel of the data set generated using a point source sky model with intrinsic time variability. The scatter of the visibilities is due to thermal noise. The capability to simulate time-varying sources is particularly useful for simulating sources exhibiting variability on timescales of minutes to hours, such as the radio source associated with the supermassive black hole Sagittarius A* (or Sgr A*) located at the Galactic centre (e.g. Lu et al. 2016). In addition, studies on decoupling time-varying instrumental effects from source evolution could be undertaken.

Fig. 3 shows the stokes/visibilities of a point source with a steep spectral index, across a 2 GHz bandwidth divided into 64 channels,

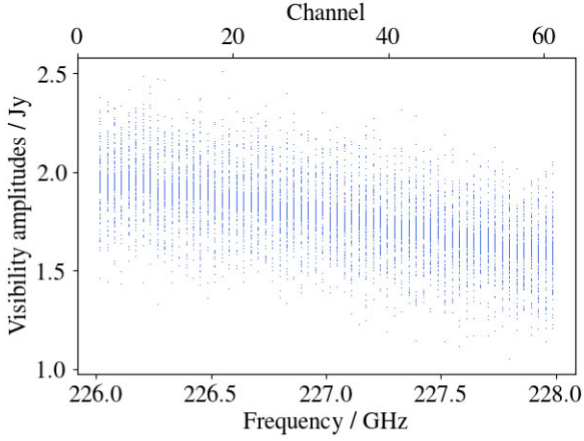


Figure 3. Stokes/visibility amplitudes of a point source with intrinsic frequency variability, as a function of frequency for 5 min of observation.

centred at 227 GHz. The data shown correspond to a 5-min subset of the entire observation for all channels. As before, the scatter seen is due to thermal noise.

3.2 Antenna pointing errors

Several factors cause antennas to mispoint and modify its gain response, such as differential flexure of the antenna, wind pressure, and thermal expansion. Moreover, errors in drive mechanics or the telescope control software also introduce pointing errors, which attenuate the measured visibility amplitudes, $|V_{pq}|$. Even small offsets in antenna pointing can cause significant reduction in visibility amplitudes at mm-wavelengths.

Formulated in terms of the RIME, this effect is represented by a time and direction-dependent antenna-based E-Jones matrix (Smirnov 2011b):

$$E_p(l, m) = E(l + \delta l_p, m + \delta m_p), \quad (7)$$

where δl and δm are the time-variable offsets in the lm -plane. MEQSV2 provides a WSRT-derived analytic \cos^3 beam (from the previous version) and a Gaussian primary beam profile. The Gaussian profile has the advantage that it can be conveniently described by a single parameter, the full width at half maximum (FWHM), and does not deviate from the more complex Bessel function from the centre up to very close to the first null (Middelberg et al. 2013). This assumption is justified in the context of EHT, since, in most cases, the field of interest is much smaller than the location of the first null.

The offsets per pointing epoch (or scan) for station p , ρ_p , are drawn from the normal distribution $\mathcal{N}(0, \mathcal{P}_{\text{rms},p})$. $\mathcal{P}_{\text{rms},p}$ values depend on station and weather characteristics and are determined based on empirical measurements. The full width at half maximum (FWHM) of the primary beam $\mathcal{P}_{\text{FWHM},p}$ of each antenna at 230 GHz is scaled to the centre frequency of the observation. The beam model with the pointing errors is given by

$$E_p = \exp\left(-\frac{1}{2}\left[\frac{\rho_p}{(\mathcal{P}_{\text{FWHM},p}/2\sqrt{2\ln 2})}\right]^2\right),$$

$$\text{where } \rho_p = \sqrt{\delta l_p^2 + \delta m_p^2}. \quad (8)$$

The $2\sqrt{2\ln 2}$ factor arises due to the relationship $\text{FWHM} = 2\sqrt{2\ln 2}\sigma$, where σ is the standard deviation. Updating equation (8) is all that is required to implement more complex, asymmetric

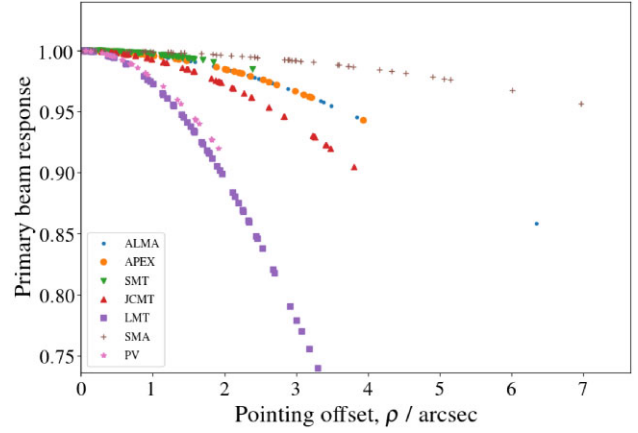


Figure 4. The true primary beam response pattern for the EHT stations at 230 GHz, as a function of the pointing offset, ρ . Each point corresponds to one realization of the pointing offset.

primary beam patterns. The corresponding E-Jones matrix is given by

$$E_p = \begin{pmatrix} E_{pa}(l, m) & 0 \\ 0 & E_{pb}(l, m) \end{pmatrix}. \quad (9)$$

This is implemented as a scalar term ($E_{pa} = E_{pb}$) that can commute with other components of the RIME.

Fig. 4 shows an example simulation of pointing offsets, ρ_p , and how they affect the primary beam response of the EHT2017 antennas. SMA is the least affected owing to its large beam size, while LMT is the most affected due its narrow primary beam.

3.3 Tropospheric effects

The troposphere has a significant effect on signal propagation at mm wavelengths (Carilli & Holdaway 1999). MEQSV2 models three significant tropospheric effects – (i) the signal attenuation due to atmospheric opacity τ , (ii) the increased system temperature due to atmospheric emission at the sky brightness temperature T_{sky} , and (iii) the phase fluctuations due to atmospheric turbulence. These effects are separated into mean and turbulent components, with the mean component further divided into wet (due to water vapor) and dry components. A detailed treatment of the propagation fundamentals can be found in Blecher et al. (2017). Here we provide a summary along with the updated equations and algorithms implemented in MEQSV2.

3.3.1 Mean troposphere

At mm-wavelengths, the mean component of the troposphere causes signal attenuation due to absorption and time delays, along with a pseudo-continuum opacity that increases with frequency. The H_2O and O_2 lines cause significant absorption above 100 GHz, peaking in the THz regime (Thompson et al. 2017). MEQSV2 retains the use of the Atmospheric Transmission at Microwaves (ATM, Pardo, Cernicharo & Serabyn 2001) software from Blecher et al. (2017) to compute the mean opacity, sky noise, and the mean component of the delays. ATM implements radiative transfer through a static atmosphere. In the absence of scattering, the radiative transfer equation for unpolarized radiation is given by

$$\frac{dI_\nu(s)}{ds} = \epsilon_\nu(s) - \kappa_\nu(s)I_\nu(s), \quad (10)$$

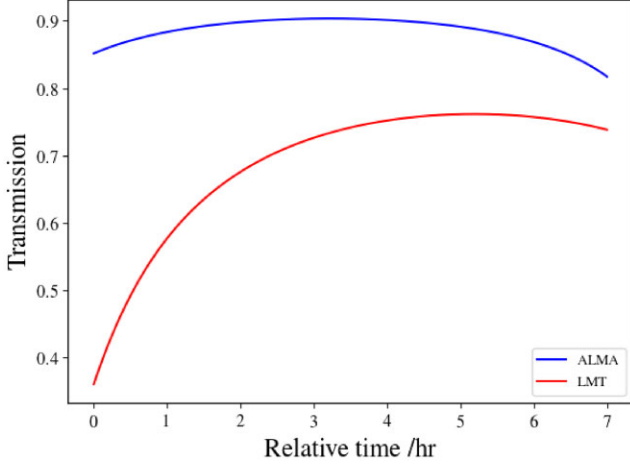


Figure 5. Variation in transmission with time at the sites of ALMA and LMT, during a 7-h tracking of M87.

where s is the coordinate along the direction of the signal path through the atmosphere, $I_\nu(s)$ is the specific intensity at frequency ν , and ϵ_ν and κ_ν are respectively the emission and absorption coefficients of the atmosphere. The absorption coefficient κ_ν is calculated as a function of frequency and altitude, enabling equation (10) to be integrated along the signal path to obtain mean opacity and sky brightness temperature.

An example of the elevation-dependent transmission for ALMA and LMT over a 7-h observing track towards the direction of M87 is shown in Fig. 5. The mean time delay is obtained from the absorption coefficient using the Kramers-Krönig relations (e.g. de L. Kronig 1926), for which the necessary atmospheric temperature and pressure profiles are calculated based on site-dependent precipitable water vapour (PWV) depth and ground temperature and pressure (Pardo et al. 2001).

The *a priori* correlator model used by the EHT corrects for various effects including the elevation-dependent variations in the intra-scan delays (Event Horizon Telescope Collaboration 2019b). Optionally, MEQSV2 simulates this correction by introducing a constant residual delay per scan, that is equal to the mean delay averaged over all frequency channels.

Integrating equation (10) also yields sky brightness temperature, which contributes to an increase in the system temperature. The resultant increase in the sky noise is implemented as an opacity and elevation-dependent noise component. This noise, along with the thermal noise component, is accounted for in the total noise budget used for visibility weighting in MEQSV2 (see Section 3.6).

3.3.2 Turbulent troposphere

Turbulence in the troposphere introduces phase instabilities in the measured visibilities. The spatial distribution of water vapor in the troposphere evolves rapidly, reducing the coherence timescale to ~ 10 s (Thompson et al. 2017). This limits the coherent averaging time (and hence the S/N) of uncalibrated visibilities, and renders conventional calibration procedures (with interleaved observations of calibrator and target source) ineffective. Self-calibration is also limited by the S/N required for fringe-fitting.

MEQSV2 models these phase variations, $\delta\phi(t, \nu)$, by assuming a thin, Kolmogorov-turbulent phase screen moving with constant velocity (e.g. Johnson & Gwinn 2015). This method is applicable to any situation in which the troposphere induces delays in electromagnetic

wave propagation (e.g. Treuhaft & Lanyi 1987). The phase offsets introduced can be described by the phase structure function given by (Carilli & Holdaway 1999)

$$\mathcal{D}_\phi(\mathbf{x}, \mathbf{x}') = \langle [\phi(\mathbf{x} + \mathbf{x}') - \phi(\mathbf{x})]^2 \rangle, \quad (11)$$

where \mathbf{x} and \mathbf{x}' are points on the screen and the angled brackets denote ensemble average. This equation can be reasonably approximated by a power law (e.g. Armstrong, Rickett & Spangler 1995):

$$\mathcal{D}_\phi(r) \approx \left(\frac{r}{r_0} \right)^\beta, \quad (12)$$

where $r^2 = (\mathbf{x} - \mathbf{x}')^2$ and r_0 is the phase coherence scale at which $\mathcal{D}_\phi(r_0) = 1$ rad.

Scattering can be classified as *strong* or *weak* based on the relationship between r_0 and the Fresnel scale, r_F , defined as $\sqrt{\lambda D_{\text{os}}/2\pi}$, where D_{os} is the distance between the observer and the scattering screen. The radiative power measured at a given point originates from a single region of area $A_{\text{weak}} = \pi r_F^2$ when $r_F \ll r_0$ and from multiple disconnected zones each of area $A_{\text{strong}} = \pi r_0^2$ when $r_F \gg r_0$ (Narayan 1992). Empirical estimates of r_0 fall in the range of $\sim 50 - 500$ m above Mauna Kea (Masson 1994) and $\sim 90 - 700$ m above Chajnantor (Radford & Holdaway 1998). At $\lambda = 1.3$ mm and $D_{\text{os}} = 2$ km (the water vapor scale height), $r_F \approx 0.64$ m ($\ll r_0$), and hence scattering falls under the weak regime.

Equation (12) may be rewritten with explicit time-dependence as $\mathcal{D}_\phi(t) = \mathcal{D}_\phi(r)|_{r=vt}$, where v is the bulk transverse velocity of the phase screen (Coulman 1985). Assuming that the coherence timescale when observing towards the zenith, $t_c = r_0/|v|$ (Treuhaft & Lanyi 1987; Blecher et al. 2017), we get

$$\mathcal{D}_\phi(t) \approx \left(\frac{t}{t_c} \right)^\beta. \quad (13)$$

Both Kolmogorov theory and empirical measurements show that the exponent β should be equal to $5/3$ when $r < \Delta h$, where Δh is the thickness of the turbulent layer which is ~ 1 km (Carilli & Holdaway 1997). The processed Field-of-View (FoV) of the EHT is about $100 \times 100 \mu\text{as}^2$ and is much smaller than r_0 , allowing us to represent it as a diagonal Jones matrix in the RIME.

The antenna-based turbulent phase errors manifest as a series of correlated, normally distributed random variables in time. This time-series, $\{\delta\phi'(t)\}$, can be constructed as follows (e.g. Rasmussen & Williams 2006). From the structure function \mathcal{D} we construct the covariance matrix Σ . Since Σ is symmetric and positive definite, the lower triangular matrix, L , resulting from the Cholesky decomposition of Σ (where $\Sigma = LL^T$) can be applied to a time-series of the desired length with zero mean and unit variance to arrive at correlated random samples.

We assume a simple linear scaling with frequency across the bandwidth since the wet dispersive path delay is not more than a few per cent of the non-dispersive component at mm-wavelengths (Curtis et al. 2009). Also taking into account the airmass towards the horizon when observing away from the zenith, the phase error time-series for antenna p becomes

$$\{\delta\phi_p(t, \nu)\} = \frac{1}{\sqrt{\sin(\theta_{\text{el}}(t))}} \{\delta\phi'_p(t)\} \left(\frac{\nu}{\nu_0} \right), \quad (14)$$

where ν is the list of channel frequencies, ν_0 is the reference frequency, taken to be the lowest channel frequency, and θ_{el} is the elevation angle.

Since VLBI stations are typically located hundreds or thousands of kilometres from each other, the tropospheric corruptions over individual stations are uncorrelated with each other and must be

simulated independently. This is not strictly true for the short-baseline pairs of ALMA-APEX (2.6 km) and JCMT-SMA (160 m) in the EHT, for which the turbulence may be correlated since the baseline lengths are so short as to be comparable to r_0 (e.g. Carilli & Holdaway 1997). Currently, intra-site baseline correlations are not simulated and the phase errors are generated independently for these stations.

3.4 Instrumental polarization

The two polarization feeds nominally measure two orthogonal polarization states of the incoming wave, in either circular (RL) or linear (XY) bases. In practice, mechanical imperfections in the feed or electronic imperfections in the signal path cause signals from each independent signal path to leak into the other (Hamaker et al. 1996; Sault, Hamaker & Bregman 1996). Additionally, the possible rotation of the feeds by the parallactic angle depending on the antenna mount type must be taken into account.

3.4.1 Parallactic angle rotation

The mount type of the antenna determines the rotation of the feeds as seen from the sky (e.g. Dodson 2009). For equatorially mounted antennas, the orientation of the primary beam with respect to the sky remains static. For alt-az mounted antennas, the beam rotates as the source is tracked over the sky, and the feeds rotate by the *parallactic angle* (χ_{pa}) given by

$$\chi_{pa} = \arctan \left(\frac{\sin(\Theta) \cos(l)}{\cos(\delta) \sin(l) - \cos(\Theta) \cos(l) \sin(\delta)} \right), \quad (15)$$

where Θ is the h angle, δ is the Declination, and l is the latitude. For alt-az mounted antennas with Nasmyth focus, the more generic *feed angle* (χ_p) is used in place of parallactic angle, which incorporates the elevation of the antenna. Depending on whether the tertiary mirror focuses the light to the right or to the left, the elevation angle (χ_{el}) is added or subtracted respectively,

$$\chi_p = \chi_{pa} \pm \chi_{el},$$

where $\chi_{el} = \arcsin(\sin(l) \sin(\delta) + \cos(l) \cos(\delta) \cos(\Theta))$. (16)

In terms of Jones calculus, the *P-Jones* matrix for parallactic angle rotation for circular polarization is given by (e.g. Hales 2017)

$$P_p = \begin{pmatrix} e^{-j\chi_p} & 0 \\ 0 & e^{j\chi_p} \end{pmatrix}. \quad (17)$$

Fig. 6 shows the evolution of the parallactic angle corresponding to the EHT stations listed in Table 1 over the course of 12 hs towards the direction of M87. The parallactic angle values of the short baselines formed by (i) JCMT-SMA and (ii) ALMA-APEX evolve similarly due to the proximity of these stations to each other.

3.4.2 Polarization leakage

The two receptors in the feed are designed to be sensitive to orthogonal polarization states. Ideally, the *D-Jones* terms (or the *D-terms*) corresponding to polarization leakage is represented by a unit matrix, expressed in the appropriate coordinate system (i.e. that of the two polarization states measured by the feed receptors) (Hamaker et al. 1996). In practice, the non-diagonal terms of this matrix are non-zero, due to the fact that each receptor is sensitive

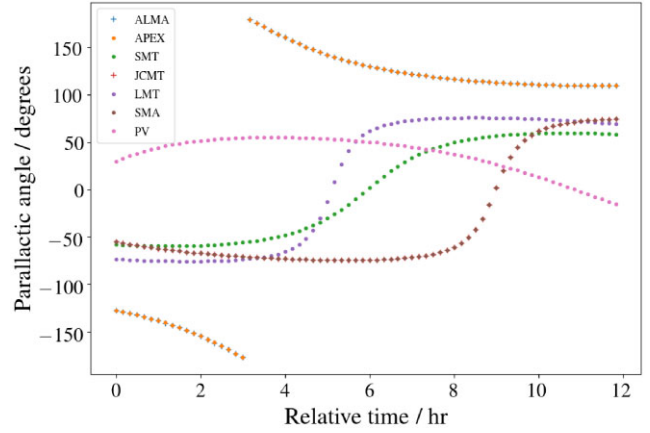


Figure 6. Evolution of the parallactic angles of EHT 2017 array stations over 12-h in the direction of M87.

to the opposite polarization state due to electronic or mechanical imperfections in the feed. Hence, the *feed matrix* is given by

$$\begin{pmatrix} D'_{pR} & d'_{pR} \\ -d'_{pL} & D'_{pL} \end{pmatrix}, \quad (18)$$

where R and L denote the two feed receptors (here, in the circular frame). This feed matrix may be decomposed as

$$\begin{pmatrix} D'_{pR} & 0 \\ 0 & D'_{pL} \end{pmatrix} \begin{pmatrix} 1 & d_{pR} \\ -d_{pL} & 1 \end{pmatrix} = G'_p D_p, \quad (19)$$

where $d_{pR} = d'_{pR}/D'_{pR}$.

The matrix G'_p may be absorbed in the *G-Jones* terms that represent the receiver gains (see Section 3.5). The matrix D_p is the feed error or the leakage *D-Jones* term, with the complex numbers d_{pR} and d_{pL} representing the fractional leakage from either feed.

3.4.3 Implementation

MEQSV2 can introduce station-based, frequency-dependent, complex-valued instrumental polarization. The per station complex D-term values for the two polarization feeds are sampled independently for each frequency channel from the normal distribution $\mathcal{N}(d_{p\mu}, d_{p\sigma})$; $d_{p\mu}$ denotes the characteristic empirical leakage value and $d_{p\sigma}$ denotes the scatter for station p . The visibilities may be written to the MS in either the sky or the antenna coordinate system (i.e. without or with parallactic angle rotation respectively). The visibilities in the two coordinate systems are related by

$$\mathbf{V}_{\text{ant}} = P_p \mathbf{V}_{\text{sky}} P_q^H, \quad (20)$$

where P_p are given by equation (17). This rotation also includes a constant offset in the feed angle per station. Once this rotation has been applied, the D-terms can be applied to the visibilities in the antenna frame,

$$\mathbf{V} = D_p (P_p) \mathbf{V}_{\text{sky}} (P_q^H) D_q^H. \quad (21)$$

If the visibilities are required to be written in the sky frame, then \mathbf{V}_{sky} is converted to \mathbf{V}_{ant} so that the D-terms may be applied, before being converted back to the sky frame,

$$\mathbf{V} = (P_p^H) D_p (P_p) \mathbf{V}_{\text{sky}} (P_q^H) D_q^H (P_q). \quad (22)$$

The product $P_p^H D_p P_p$ evaluates to a rotation of the D-terms in the antenna frame by twice the feed angle:

$$P_p^H D_p P_p = \begin{pmatrix} 1 & \exp(2j\chi_p)d_p^R \\ \exp(-2j\chi_p)d_p^L & 1 \end{pmatrix}. \quad (23)$$

The visibilities are usually generated in this frame to correspond to the EHT data.

3.5 Temporal and spectral variability in receiver gains

The antenna-based gain terms are represented by the complex-valued *G-Jones* matrices which are a generalization of simple antenna gains to polarimetry (e.g. Smirnov 2011a). The electronic gains of a pair of circular receptors are given by a diagonal G-Jones matrix in the circular coordinate frame

$$G_p(t) = \begin{pmatrix} g_{pR}(t) & 0 \\ 0 & g_{pL}(t) \end{pmatrix}. \quad (24)$$

Different antenna-based factors such as the G term in equation (19) may be subsumed into the G-Jones terms. The time-variable complex gains are generated by sampling from the normal distribution $\mathcal{N}(g_{p\mu}, g_{p\sigma})$ per timestamp, for both the polarization feeds for each station p , where $g_{p\mu}$ denotes the characteristic station-dependent gain and $g_{p\sigma}$ denotes the scatter.

Various components along the signal path and the bandpass filters used at each station determine the frequency response of the receiving channels over the observing bandwidth (Taylor, Carilli & Perley 1999). This response is not constant over the entire bandwidth and usually falls to about half of the maximum towards both edges of the passband. This results in a frequency-dependent component in the antenna gains which is captured using complex-valued *B-Jones* matrices that vary as a function of frequency. This effect is usually corrected for by observing a bandpass calibrator source whose behaviour across the observing bandwidth is well-known.

As with the G-Jones terms, the B-Jones matrices for circular receptors are diagonal in a circular coordinate frame,

$$B_p(\nu) = \begin{pmatrix} b_{pR}(\nu) & 0 \\ 0 & b_{pL}(\nu) \end{pmatrix}. \quad (25)$$

For each station, MEQSV2 accepts nominal gain values at a few representative frequencies within the bandwidth of the observation, and performs spline interpolation of the bandpass amplitudes for each frequency channel. To these amplitudes, random phases are generated and added to produce the complex quantities. The G-Jones and B-Jones terms are simulated separately to provide independent, fine-grained variability of gains along the time and frequency axes.

3.6 Noise considerations

3.6.1 Thermal noise

Noise due to various factors such as receiver electronics, atmospheric emission, background radiation and ground (i.e. spillover) radiation affect the system sensitivity adversely. The system temperature, T_{sys} , equivalent to the power per unit frequency due to the noise, P_N , accounts for this noise and is given by

$$T_{\text{sys}} = \frac{P_N}{k_B}, \quad (26)$$

where k_B is the Boltzmann constant.⁸ The system temperature is often expressed in terms of the system equivalent flux density (SEFD), which is defined as the flux density of a source that would deliver the same amount of power as the system

$$\text{SEFD} = \frac{2k_B T_{\text{sys}}}{\eta A_e}, \quad (27)$$

where η is the antenna efficiency and A_e is the effective area of the antenna. MEQSV2 accepts the station-dependent SEFD values as inputs from which the per-baseline rms uncertainty, σ_{pq} , on a visibility in units of Jy is computed (Thompson et al. 2017):

$$\sigma_{pq} = \frac{1}{\eta} \sqrt{\frac{\text{SEFD}_p \text{SEFD}_q}{2\Delta\nu\tau}}, \quad (28)$$

where A_e denotes the effective area of the telescope and η comprises any relevant efficiency terms, such as the antenna aperture efficiency, η_{ap} , the correlator efficiency, η_{corr} . $\Delta\nu$ is the bandwidth and τ the integration time. For standard 2-bit quantization, η is set to 0.88. Since the system noise is broadband and mostly stationary, it can be described using a Gaussian distribution and the uncertainty in their measurements can be reduced by increasing the number of independent measurements $N = 2\Delta\nu\tau$.

In the RIME implementation, this thermal noise becomes an additive term per polarization, distributed normally with zero mean and a variance of σ_{pq}^2 per visibility:

$$\mathbf{V}_{pq} = \mathbf{V}'_{pq} + \mathcal{N}(0, \sigma_{pq}^2). \quad (29)$$

This additive thermal noise matrix has the same dimensionality as the data, varying with time, baseline, frequency and polarization.

3.6.2 Visibility weighting

The MS format allows for the estimated rms noise values and visibility weights to be recorded alongside the data. The σ_{pq} values computed above are used to generate per-visibility baseline-dependent thermal noise. These terms are added with the increase in the sky brightness temperature due to the troposphere (Section 3.3.1) and are used to fill the SIGMA and SIGMA.SPECTRUM columns in the MS. Inverse-variance weighting is used to fill in the visibility weights columns WEIGHT and WEIGHT_SPECTRUM.

4 POLSOLVE: POLARIZATION LEAKAGE ESTIMATION

We use POLSOLVE to validate the instrumental polarization capabilities of MEQSV2. POLSOLVE is part of POLTOOLS,⁹ the polarimetry toolbox developed for CASA, aimed at the simulation, calibration, and basic analysis of polarimetric VLBI observations (Martí-Vidal et al. 2021). It uses the full RIME, simplified for the case of narrow-field observations, to estimate and correct for instrumental polarization using observations of spatially resolved polarization calibrators.

POLSOLVE has many advantages compared to the AIPS¹⁰ task LPCAL (Leppanen et al. 1995), the main algorithm used by the VLBI community for polarimetric calibration. It uses a non-linear model of polarization leakage derived from the full RIME for handling high leakage values. POLSOLVE can also model the frequency-dependent

⁸The tropospheric contribution to the increase in T_{sys} (Section 3.3.1) is added to the noise budget as mentioned below.

⁹<https://launchpad.com/casa-poltools>.

¹⁰<http://www.aips.nrao.edu>.

variations in D-terms to enable calibration of wide fractional bandwidths and perform D-term estimates based on cross-polarization self-calibration. We take advantage of many of these features in performing the validation tests for this paper.

The AIPS-based GPCAL¹¹ pipeline also addresses many of the shortcomings of LPCAL (Park et al. 2021) and has been shown to be consistent with POLSOLVE (Event Horizon Telescope Collaboration 2021a). A detailed discussion of the impact of these features on the quality of VLBI polarimetry are discussed in Martí-Vidal et al. (2021). Below, we give a brief description of the procedure and equations used by POLSOLVE.

4.1 The POLSOLVE fitting parameters

POLSOLVE uses the Levenberg-Marquardt algorithm (Press 2007) to minimize the error function $\chi^2(\mathbf{x})$, where \mathbf{x} consists of parameters that model both source and instrumental polarization, divided into two subsets. It uses two different approaches to account for the polarization structure of a source, both of which assume that the source brightness distribution can be described as a linear combination of source components (e.g. point sources, for the case of CLEAN deconvolution, Högbom 1974).

The first approach divides the set of CLEAN components $\{I_i\}$ into N_s subsets, also called source ‘subregions’, for which a constant fractional polarization is assumed. The corresponding model visibility functions for Stokes Q and U are given by

$$V_Q = \sum_s \left(q_s \sum_k^{n_s} \mathcal{F}(I_k^s) \right) \quad \text{and} \quad V_U = \sum_s \left(u_s \sum_k^{n_s} \mathcal{F}(I_k^s) \right), \quad (30)$$

where N_s is the number of subregions with constant fractional polarization, n_s is the number of CLEAN components inside the s -th subregion, and I_k^s is k -th CLEAN component of Stokes I in the s -th subregion. The vectors $\mathbf{q} = \{q_s\}$ and $\mathbf{u} = \{u_s\}$ are the parameters that POLSOLVE fits for.

The second approach uses independent, and fixed ($N_s = 0$), source models for the brightness distributions of Q and U . The model visibilities for Q and U then take the simple form

$$V_Q = \sum_i^{N_q} \mathcal{F}(Q_i) \quad \text{and} \quad V_U = \sum_i^{N_u} \mathcal{F}(U_i), \quad (31)$$

where $\{Q_i\}$ and $\{U_i\}$ are the (e.g. CLEAN) components used to model the polarization source structure. In this case, POLSOLVE performs a cross-polarization self-calibration estimate of the D-terms.

Instrumental polarization is represented by two complex quantities per antenna, corresponding to each polarization. The leakage terms obey the equation

$$\begin{pmatrix} V_{RR}^i & V_{RL}^i \\ V_{LR}^i & V_{LL}^i \end{pmatrix} = \begin{pmatrix} 1 & D_R^p \\ D_L^p & 1 \end{pmatrix} \begin{pmatrix} V_I^{uv} & V_P^{uv} \\ V_I^{*uv} & V_P^{*uv} \end{pmatrix} \times \begin{pmatrix} 1 & (D_L^*)^q \\ (D_R^*)^q & 1 \end{pmatrix}, \quad (32)$$

where V_k^i is the i -th observed visibility of polarization product k (where k is one of RR , RL , LR , or LL) and u and v are the coordinates in Fourier space. We construct the functions for the complex polarization vector, \mathbf{P} , in the form

$$V_P = V_Q + jV_U \quad \text{and} \quad V_{P^*} = V_Q - jV_U. \quad (33)$$

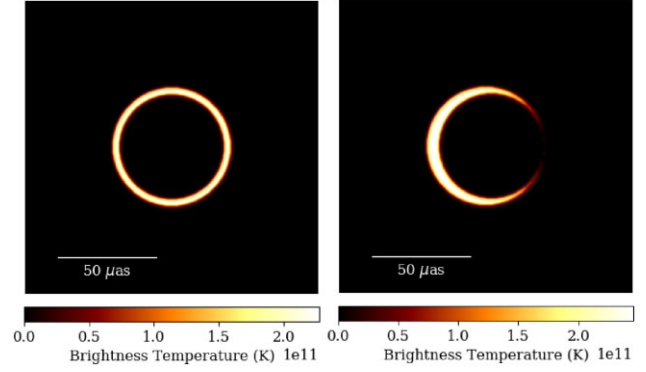


Figure 7. Symmetric ring (*left*) and asymmetric crescent (*right*) models from Kamruddin & Dexter (2013) used in generating synthetic data for Sections 5 and 6.

These visibilities are assumed to be fully calibrated for atmospheric effects and electronic antenna gains (computed in the frame of the antenna mounts).

5 SIMULATING MM-WAVE OBSERVATIONS

MEQSV2 was developed primarily for generating synthetic data for the EHT at mm-wavelengths, but it can equally well be applied to any array, including proposed arrays such as ngEHT and ngVLA. For instance, MEQSV2 can be used to perform a more elaborate exploration of the VLBI capabilities of MeerKAT for performing extragalactic surveys presented in Deane (2016). MEQSV2 has also been used to generate 5 GHz synthetic EVN observations for simulating phase corruptions affecting astrometric uncertainties (van Langevelde et al. 2019). Roelofs et al. (2020) use MEQSV2 for generating uncalibrated synthetic data observed using an extended EHT array with additional stations located at potential future sites.

We generate synthetic data with MEQSV2 for three mm-wavelength arrays: EHT2017 array, ngVLA in its long baseline array configuration, and ALMA array in its extended configuration. The EHT2017 array consists of the stations shown in Table 1 observing at a frequency of 230 GHz. The ngVLA array consists of all 18 stations in the Long Baseline Array (LBA) and the central core reduced to a single high-sensitivity site, observing at 86 GHz. ALMA consists of 43 12-metre diameter antennas in its most extended configuration, observing at 230 GHz. An asymmetric crescent (Kamruddin & Dexter 2013) created with EHT-IMAGING, with the inner radius offset by $3 \mu\text{as}$ in the horizontal direction was used as the source model (Fig. 7, *right*).

Various propagation path effects described in the previous section such as pointing offsets, tropospheric effects, receiver gains, polarization leakage, and thermal noise are introduced. Nominal pointing offsets based on a priori station information are used (e.g. Roelofs et al. 2020). The aperture efficiencies were determined using targeted observations of planets of known brightness temperatures as calibrators (Event Horizon Telescope Collaboration 2019c). The individual station SEFDs are determined by extrapolating measured system temperatures to zero airmass (Janssen et al. 2019; Roelofs et al. 2020).

We adopt the median values measured by VLBI-MONITOR¹² at the individual sites during the 2017 EHT observing campaign (Event

¹¹<https://github.com/jhparkastro/gpcal>.

¹²<https://bitbucket.org/vlbi>.

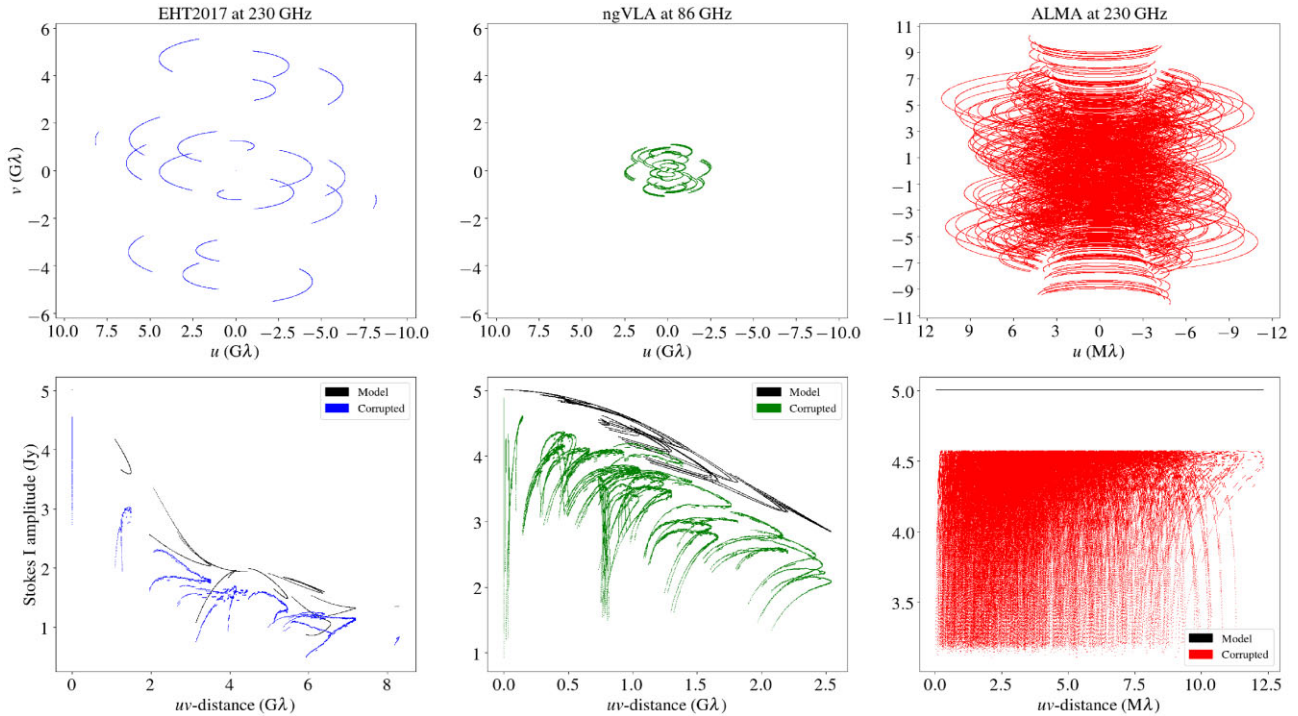


Figure 8. Top row: The uv -coverages of EHT2017, ngVLA, and ALMA towards M87; note that the ALMA baselines are three orders of magnitude shorter than the other two. Bottom row: Model (black) and corrupted Stokes I visibility amplitudes as a function of uv -distance, as observed by each array. ALMA, due to its relatively short baselines, does not resolve the source and the model visibilities show up as a horizontal line at 5 Jy.

Horizon Telescope Collaboration 2019b) for the weather parameters. For stations for which this information does not exist, it was obtained through climatological modelling using the data sets from Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2) from the NASA Goddard Earth Sciences Data and Information Services Center (GES DISC; Gelaro et al. 2017) and the AM atmospheric model software (Paine 2019). The atmospheric conditions over all the ALMA antennas are the same, though independently simulated for each antenna.

The top row of Fig. 8 shows the uv -coverages for the three arrays in the direction of M87 at their respective observing frequencies, showing how the different arrays complement each other. The bottom row of Fig. 8 shows both the corrupted and uncorrupted Stokes I visibility amplitudes for each array as a function of uv -distance. The $60 \mu\text{s}$ crescent is fully resolved by EHT2017 as expected. At 86 GHz, ngVLA resolves it partially, clearly seeing the source as having some extended structure. ALMA, with its longest baselines of only 12 km, sees the crescent as a point source at 230 GHz and the model visibilities show up as a straight line at 5 Jy in the figure. In the following sections, we concentrate only on the EHT2017 array.

6 EFFECT OF BANDPASS ON CLOSURE QUANTITIES

In this section, we estimate the magnitude of systematic non-closing errors introduced by complex bandpass gains in EHT observations at 230 GHz using synthetic data. We introduce station-based bandpasses of varying shapes, spline-interpolated to all frequency channels as shown in Fig. 9. The phases are sampled conservatively within the uniform range of $\pm 30^\circ$ (Michael Janssen, private comm). Two different sky models from (Kamruddin & Dexter 2013), generated using EHT-IMAGING were used: a symmetric ring with outer radius

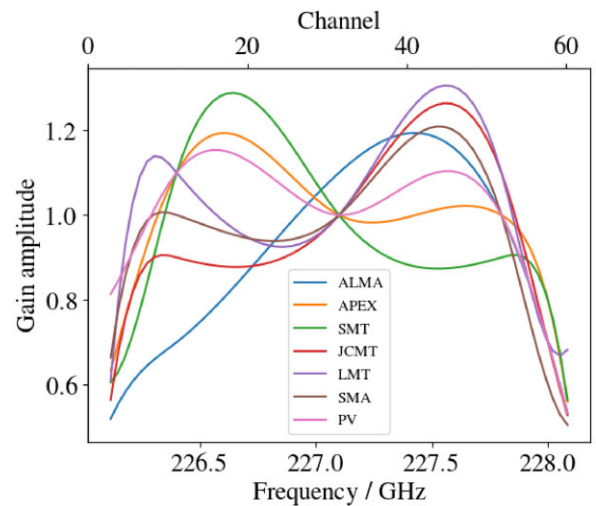


Figure 9. Station-based bandpass amplitudes used in generating synthetic data for Section 6.

$30 \mu\text{s}$ and width $3 \mu\text{s}$, and an asymmetric crescent with the inner radius offset by $3 \mu\text{s}$ in the horizontal direction (Fig. 7). The total flux of the ring was set to 5 Jy to ensure that the S/N of the data was high so that systematic non-closing errors could be unambiguously detected. Thermal noise generated using equation (28) was applied to all datasets. All synthetic data sets used in this subsection are generated with a time resolution of 1 s and a frequency resolution of 31.25 MHz per frequency channel, with 64 channels, spanning a bandwidth of 2 GHz. These data are then band-averaged to a single channel of width 2 GHz. We generated four categories of synthetic

data, with 10 data sets to each category, differing in the realization of thermal noise:

- (i) symmetric ring with only thermal noise corruption,
- (ii) symmetric ring with thermal noise and bandpass corruption,
- (iii) asymmetric crescent with only thermal noise corruption, and
- (iv) asymmetric crescent with thermal noise and bandpass corruption.

Closure quantities are formed around a closed loop of stations to eliminate the effects of station-based gain errors (e.g. Blackburn et al. 2020). Closure phases are formed over a closed triangle pqr as

$$\psi_{C,pqr} = \arg(V_{pq}V_{qr}V_{rp}), \quad (34)$$

and closure amplitudes are formed over a quadrangle of stations $pqrs$:

$$\ln A_{C,pqrs} = \ln \frac{V_{pq}V_{rs}}{V_{pr}V_{qs}}, \quad (35)$$

where ‘ln’ is the natural logarithm. The closure phases (CP) and the log closure amplitudes (LCA) are susceptible to systematic non-closing errors. The intra-site baselines ALMA-APEX and JCMT-SMA enable one to form ‘trivial’ closure quantities, between different combinations of these four stations. The trivial CP and LCA so formed should ideally be zero, but factors such as band-averaging without correcting for bandpass errors and intrinsic large-scale asymmetry in source structure can break the assumptions of trivial closure.

We evaluate the magnitude of systematic non-closing errors in trivial closure quantities following the EHT data validation procedures outlined in Event Horizon Telescope Collaboration (2019c) and in Wielgus et al. (2019). We employ the MAD_0 (median absolute deviation from zero) statistic, which, for a normally distributed variable Y with zero mean takes the form

$$MAD_0(Y) = 1.4826 \text{ median}(|Y|), \quad (36)$$

where the subscript 0 denotes that the raw moment is estimated. The scaling factor 1.4826 ensures that MAD_0 acts as an unbiased estimator of standard deviation for normally distributed data. The total uncertainty, σ , associated with the trivial closure quantities defined above is given by

$$\sigma^2 = \sigma_{\text{th}}^2 + s^2, \quad (37)$$

where σ_{th} is the known thermal component and s denotes the systematic non-closing error, modeled as a constant. For a trivial closure quantity X , we solve for the characteristic value of s by enforcing a following condition:

$$MAD_0\left(\frac{X}{\sigma}\right) = MAD_0\left(\frac{X}{\sqrt{\sigma_{\text{th}}^2 + s^2}}\right) = 1. \quad (38)$$

The MAD_0 values estimated using equation (36) and the characteristic magnitude of systematic errors s calculated with equation (38) for the four data sets are given in Table 2. The uncertainties are estimated by obtaining these values for 10 data sets with different thermal noise realizations.

If the error budget is exactly accounted for, then the MAD_0 estimator is equal to 1. This is evident from the estimated MAD_0 values being very close to unity when thermal noise is the only corruption introduced. The corresponding systematic errors are about 0.08° for trivial closure phases and less than 0.4 per cent for trivial log closure amplitudes. In the presence of bandpass gains that are not accounted for in the error budget, the MAD_0 values and the systematic errors increase noticeably. For the trivial closure phases,

the MAD_0 values indicate that the reported errors are too small by factors of 2.76 and 3.86, for the symmetric ring and asymmetric crescent models respectively; for trivial log closure amplitudes, these factors increase to about 4 and 7.5 respectively. The estimated systematics are about 0.7° for trivial closure phases and up to 5 per cent for trivial log closure amplitudes.

For the scale of bandpass gains assumed here, these errors are comparable to the magnitude of the systematic non-closing errors for EHT 2017 observations of 3C 279 and M87 (2° for closure phases and less than 4 per cent for log closure amplitudes) quantified by Event Horizon Telescope Collaboration (2019c). Alongside the many factors such as intrinsic source structure or polarisation leakage, this indicates how unaccounted-for bandpass errors can have a similar effect on the closure quantities. The spectral capabilities of MEQSV2 will enable us to perform a thorough study of EHT bandpass characteristics and their effect on the observed data.

7 SIMULATING INSTRUMENTAL POLARIZATION

7.1 Simulating polarized sources with leakage

To validate the implementation of instrumental polarization in MEQSV2 using POLSOLVE, we generate six synthetic data sets, corrupted with instrumental polarization, time-varying complex gains, and thermal noise. The accuracy to which D-terms can be estimated depends on various factors such as station parameters and intrinsic source polarization structure. The source models we use are shown in the first column of Fig. 10. The truth images convolved with the nominal EHT beam are shown in the second column. Source model 1 is a highly polarized ring while model 2 is an offset crescent with low polarization. In both models, the polarized structure closely follows the Stokes I distribution. Models 3-5 are ring sources with differing EVPA structures. Model 6 represents the characteristic source structure of any VLBI calibrator source at cm and mm-wavelengths. We choose models differing in EVPA structures and fractional polarization. All source model images are generated using EHT-IMAGING.

The polarization calibration is performed by running POLSOLVE in iterative self-calibration mode. The D-terms for ALMA-APEX and, optionally, JCMT-SMA, are solved for using a point source model and are fixed for the rest of the calibration process. A model of the source is generated using CLEAN and multiple iterations of phase and amplitude self-calibration are performed with decreasing solution intervals. Finally, several iterations of POLSOLVE and CLEAN are performed, by fixing the full polarization model to the output of CLEAN at each iteration.

The reconstructed images convolved with the CLEAN beam are shown in the third column of Fig. 10. The polarization peaks displayed in each panel are found to correlate well between the ground-truth images and the reconstructed images, as are the Stokes I distribution and the polarization structure. Fig. 11 shows the D-terms recovered by POLSOLVE for each data set for all stations, along with the ground-truth D-term values. The recovered D-terms correlate highly with the ground-truth values. The recovered D-terms for model 1 are the least accurate owing to its high intrinsic fractional polarization. D-terms of similar magnitude applied to models with low fractional polarization are estimated more accurately. The D-term estimates for the stations participating in intra-baseline fitting (ALMA-APEX and JCMT-SMA) are the most tightly constrained and those corresponding to the station with the longest baselines on a short (u, v) track, PV, have the largest dispersion.

Table 2. Estimated MAD_0 values and characteristic magnitudes of systematic errors in the trivial closure quantities in the synthetic data sets described in Section 6.

Type of data set	Trivial CP		Trivial LCA	
	MAD_0	s (deg.)	MAD_0	s (%)
(i) Symmetric ring (thermal noise only)	1.012 ± 0.042	0.041 ± 0.011	1.148 ± 0.051	0.4 ± 0.1
(ii) Symmetric ring (thermal noise + bandpass gains)	2.76 ± 0.408	0.681 ± 0.088	4.074 ± 0.361	4.7 ± 0.5
(iii) Asymmetric crescent (thermal noise only)	1.118 ± 0.067	0.077 ± 0.016	0.984 ± 0.04	0.08 ± 0.02
(iv) Asymmetric crescent (thermal noise + bandpass gains)	3.862 ± 0.363	0.684 ± 0.071	7.484 ± 0.829	3.8 ± 0.5

7.2 Frequency-dependent polarization leakage

MEQSV2 can also introduce frequency-dependent variations in the simulated D-terms. The D-terms were generated independently for each channel by sampling them from a normal distribution. We use POLSOLVE in iterative self-calibration mode to solve for these D-terms, treating them as independent complex numbers per frequency channel (see Section 4).

We use Model 3 from Fig. 10 to generate synthetic data with 2 GHz bandwidth divided into 32 spectral windows, with frequency-varying D-terms introduced alongside complex gains and thermal noise. Fig. 12 shows the simulated D-terms and the D-terms recovered by POLSOLVE with error bars. The estimated D-terms correlate remarkably well with the simulated values. The magnitude of the errors are similar to that corresponding to model 3 in Fig. 11.

8 DISCUSSION

Synthetic observations provide uniform data sets with known source and instrumental properties for performing various kinds of feasibility studies. Since MEQSV2 aims to compute data corruptions from first principles, it can be used to perform a systematic exploration of realistic site and antenna parameters, which helps in commissioning new sites and optimizing observing schedules (e.g. Blackburn et al. 2020). Conversely, with known site and antenna characteristics, the synthetic data can be used to quantitatively compare different astrophysical source models that characterize the observed emission.

The realistic synthetic data provided by MEQSV2 can be used to validate new imaging, calibration, and parameter estimation techniques. Synthetic data generated with MEQSV2 have been used to test ZAGROS, a fully-Bayesian fringe-fitting framework for analyzing VLBI observations (Natarajan et al. 2020). These data can potentially be used to compare different parameter estimation frameworks currently being used for analyzing EHT data, such as THEMIS (Broderick et al. 2020), MARCH (Psaltis et al. 2020), and DMC¹³ (Pesce 2021). Fully end-to-end pipelines starting from synthetic data generation with MEQSV2, followed by a priori calibration and posterior estimation using statistical visibility analysis packages are under active development to enable large-scale feasibility studies. The modular nature of the software lends itself easily to constructing such end-to-end pipelines that allow calibration to start further up the signal chain (e.g. Natarajan et al. 2020). The computational requirements for such large-scale studies necessitate adapting these software packages to a High Performance Computing (HPC) environment.

The experiments performed in this paper are intended to illustrate the capabilities of MEQSV2. More elaborate studies of the various propagation path effects on calibration and imaging must be performed for future site selection and testing the capabilities and limitations of new algorithms. More exhaustive exploration of

frequency-dependent gain variations and their effects on closure quantities (see Section 6) need to be performed to fully understand the bandpass characteristics of the EHT array and solve for them.

Section 7 illustrates how fractional polarization intrinsic to the source and the lack of short baselines to a station can affect the recovery of station-based instrumental polarization. The accuracy of the measured D-terms can be improved by performing a self-consistent multi-source fit to the D-terms, if observations of multiple sources are available for the same epoch (e.g. Martí-Vidal et al. 2021). More complex experiments devised to study this effect will help to fully exploit the full-polarization data products generated by EHT observations.

9 SUMMARY AND OUTLOOK

We present MEQSV2, a synthetic data generation software package capable of performing synthetic VLBI observations with full polarimetric, time and frequency-resolved astrophysical models and realistic propagation path effects. It can also be seamlessly integrated with calibration tools such as the EHT CASA pipeline to perform additional calibration steps to mimic VLBI data at different stages along the propagation path.

We conduct preliminary investigations of how source model asymmetry affects closure quantities in the presence of complex bandpass effects. We also validate the polarimetric capabilities of MEQSV2 by generating synthetic EHT observations of polarized geometric source models with instrumental polarization and estimating the D-terms using POLSOLVE, showing that the reconstructed images correlate very well with input source models.

Future versions will be able to simulate more polarimetric effects such as Faraday rotation in the ISM and ionospheric phase corruption effects for simulating cm-VLBI radio observations. The ability to input more time and frequency-variable weather parameters will also be introduced. We also plan to take full advantage of new distributed and parallel computing algorithms and software packages for generating synthetic data sets on a large scale much faster.

MEQSV2 currently optionally splits large data sets into subsets for processing, to accommodate systems with low memory specifications. Such features can easily be ported to forward-modelling software packages such as CODEX-AFRICANUS (Perkins et al. in prep) which provide distributed CPU and GPU computing functionality. For handling large data sets, we plan to use DASK-MS (Perkins et al. in prep), which uses DASK, an open source library for parallel programming in Python (Rocklin 2015), to scale computations. DASK-MS can convert MS v2.0 data between the CASA table format, and other high performance, cloud-native formats such as PARQUET (Vohra 2016) and ZARR (Miles et al. 2021). These new formats are explicitly designed for parallel, distributed processing and offer superior disc I/O performance, which will accelerate the synthetic data generation process. Finally, we also plan to set up a publicly available online interface to MEQSV2, which can be of use to students

¹³<https://github.com/dpesce/eht-dmc>.

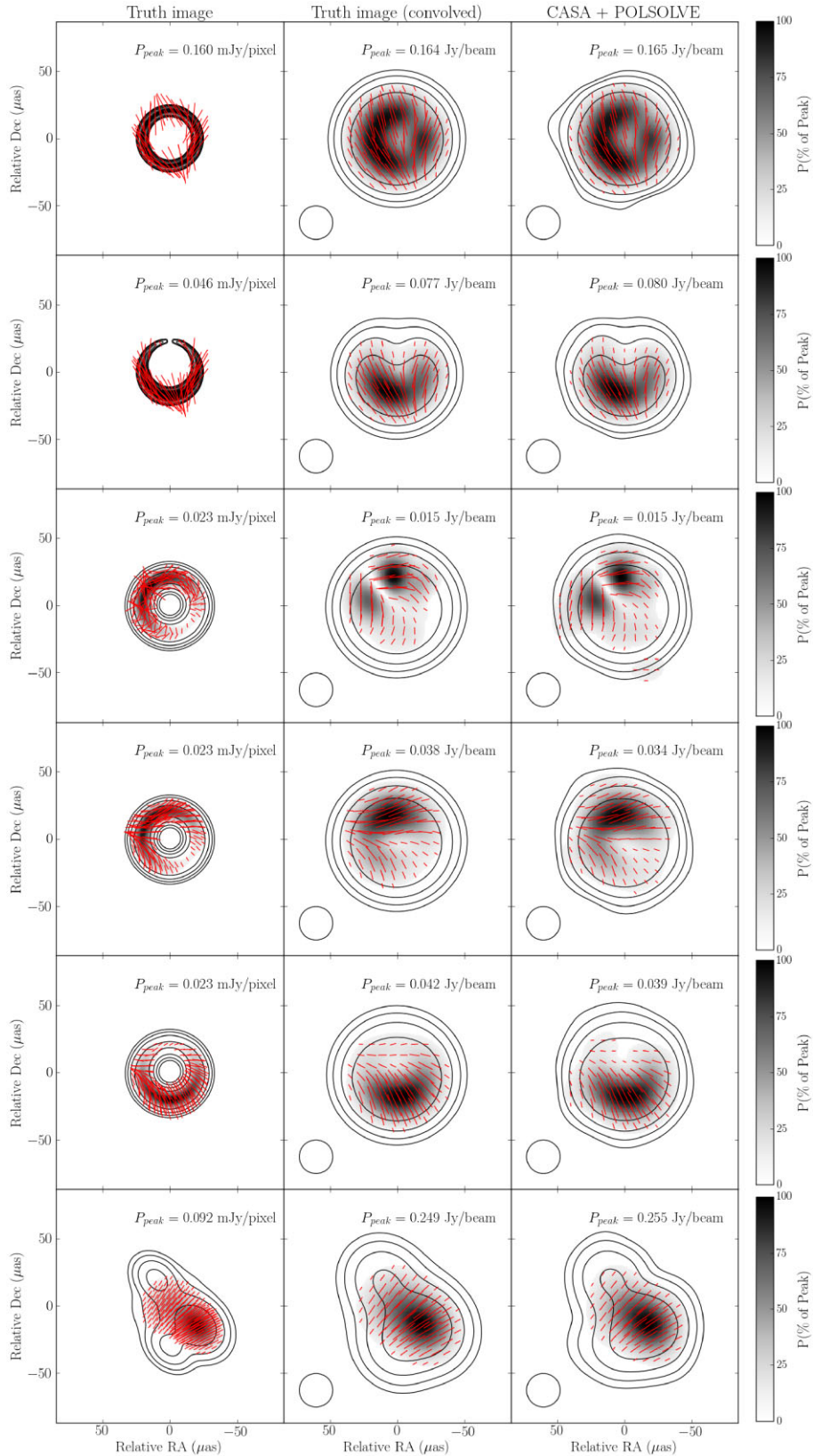


Figure 10. From left to right: source model used in the simulations, model convolved with the nominal EHT beam of FWHM $25 \mu\text{as}$, and CLEAN model obtained from the synthetic data convolved with the same $25 \mu\text{as}$ beam. Contours indicate total intensity and are logarithmically spaced between 1 and 100 percent of the intensity peak; grayscale images show polarization intensity; lines indicate the EVPA distribution, with lengths proportional to the local fractional polarization.

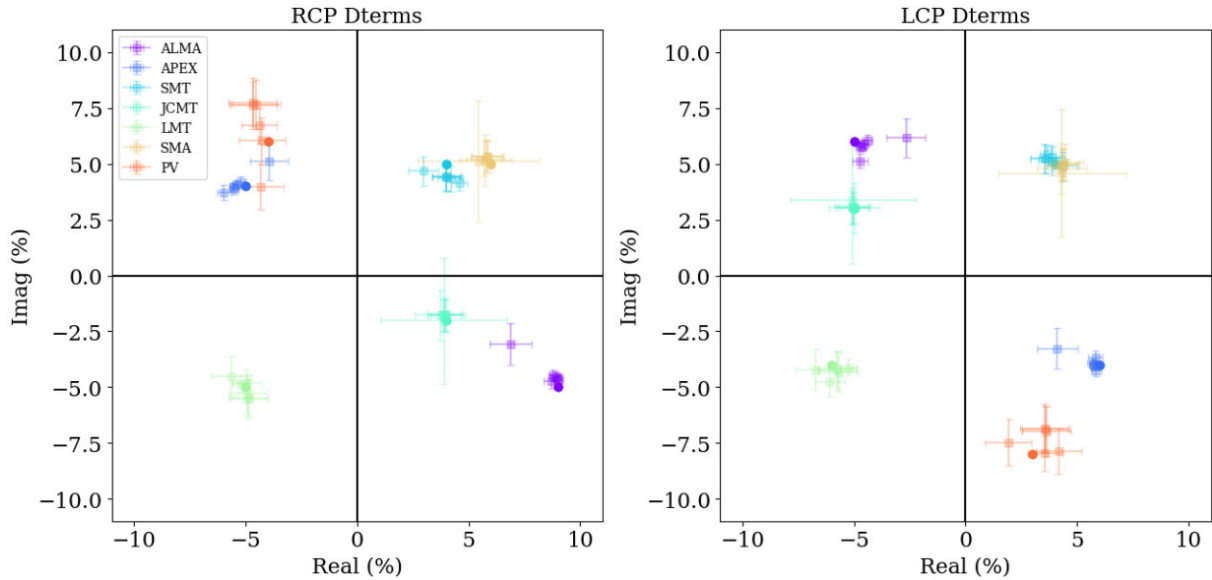


Figure 11. D-term estimates for all stations from POLSOLVE in iterative self-calibration mode for synthetic data sets described in Section 7.1. Each square with error bar corresponds to one data set. The filled circles denote the ground-truth D-term values.

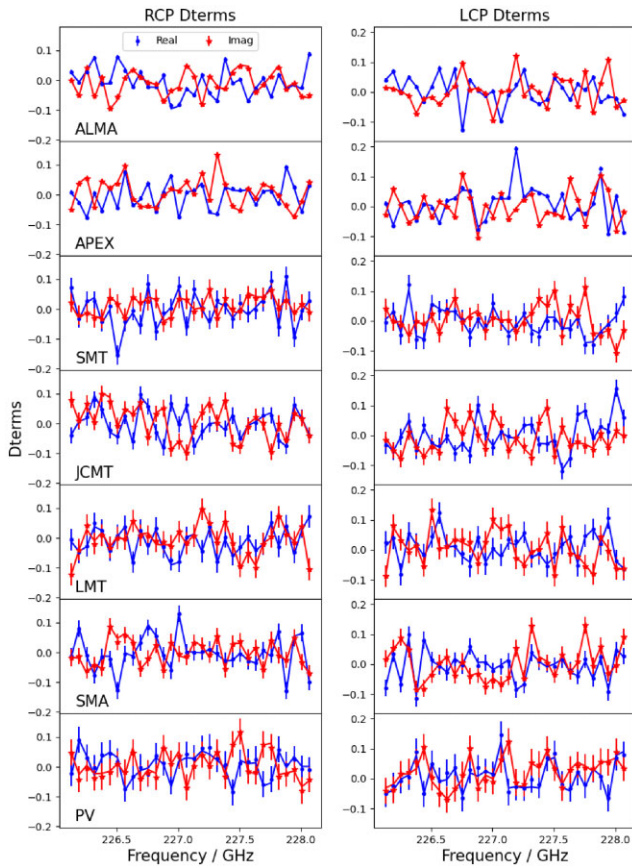


Figure 12. POLSOLVE estimates of per-channel D-terms in polarization self-calibration mode. The solid lines represent the ground-truth values, while the circles and asterisks represent the POLSOLVE estimates.

and teachers alike without them having to invest in the requisite computing power.

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DATA AVAILABILITY

MEQSV2 is open source and publicly available on GitHub at <https://github.com/rdeane/MeqSilhouette>. Its documentation can be found

at <https://meqsilhouette.readthedocs.io>. Bugs and other issues can be reported by creating an issue on the repository.

The data underlying this article will be shared on reasonable request to the corresponding author.

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