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1 **Observations of high energy electrons in Jupiter's innermost radiation belts and**
2 **polar regions by the Juno radiation monitoring investigation: Perijoves 1 and 3**

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19
20 **High latitude sampling of relativistic electrons at inner edge of Jovian radiation belts**

21 Abstract

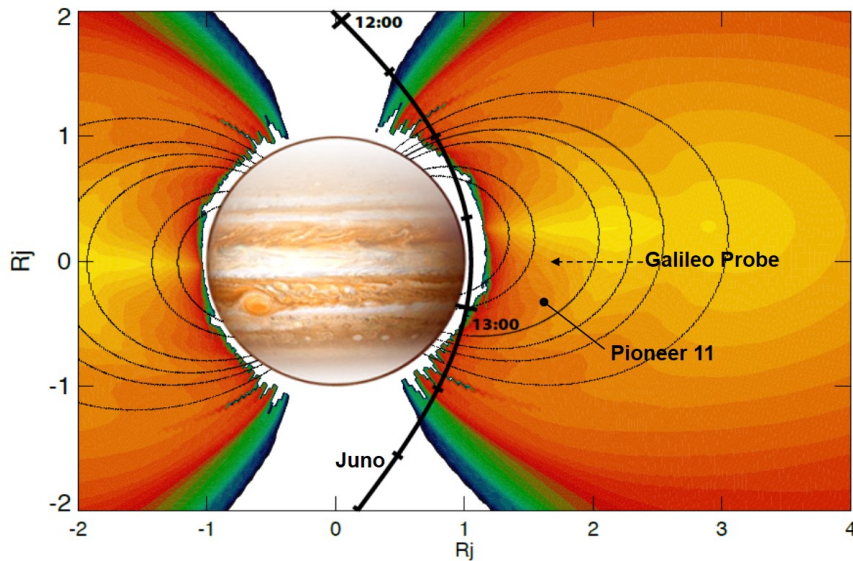
22 Juno's "Perijove 1" (August 27, 2016) and "Perijove 3" (December 11, 2016) flybys through the
23 innermost region of Jupiter's magnetosphere (1.06 Jovian radii at closest approach) provided the
24 first look at Jupiter's innermost radiation environment. The Juno Radiation Monitoring
25 Investigation collected particle counts and noise signatures from penetrating high energy particle
26 impacts in images acquired by the Stellar Reference Unit and Advanced Stellar Compass star
27 trackers and the JIRAM infrared imager. This coordinated observation campaign sampled
28 radiation at the inner edges of the high latitude lobes of the synchrotron emission region as well
29 as more distant environments. The inferred omnidirectional >5 MeV and >10 MeV electron
30 fluxes derived from these measurements will provide valuable constraints for models of
31 relativistic electron environments in the innermost radiation belts. Several intense bursts of high-
32 energy particle counts were also observed by the Advanced Stellar Compass in both polar
33 regions.

34

35 1 Introduction

36 Jupiter's inner magnetosphere is largely unexplored. Our understanding of the relativistic
37 electron population within the innermost radiation belts has been guided by remote observation
38 of synchrotron emission and in situ measurements obtained during two prior missions. Pioneer
39 11 provided energetic particle counts during its high inclination flyby, passing to within ~ 1.6 R_J
40 of Jupiter in 1974 (-13° latitude at closest approach), and the Galileo probe sampled energetic
41 particles along its approximately equatorial trajectory into Jupiter's atmosphere in 1995 [*Bolton*
42 *et al.*, 2004; *Fischer et al.*, 1996]. However, these two encounters provide limited coverage of
43 this complex environment. Juno's unprecedented polar orbit enables data collection at high
44 magnetic latitudes, through the inner edges of the high latitude lobes of the synchrotron region (a
45 region inside ~ 3.5 R_J where synchrotron emission from relativistic electrons contributes to the
46 Jovian radio emission [*Carr et al.*, 1983]), and to within 1.06 R_J at periapsis (Figure 1). Juno's
47 32 planned science orbits with $\sim 12^\circ$ longitude separation will provide extensive sampling of
48 energetic particles within Jupiter's innermost radiation belts and polar regions.

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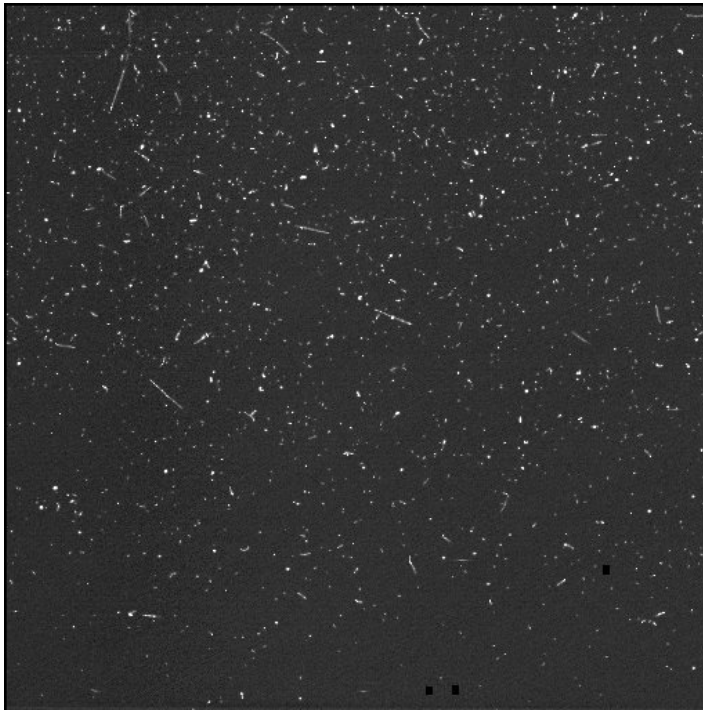
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51 **Figure 1.** Juno’s “Perijove 1” trajectory through the inner magnetosphere on August 27, 2016.
 52 Times shown along the black trajectory curve are given in UTC with tick marks at 15 minute
 53 intervals. The spacecraft range was 1.06 R_j (4,147 km from the cloud tops) at closest approach;
 54 12:50:44 UTC. The flyby provided a first look at territory not sampled by in-situ high energy
 55 particle instrumentation during past missions. Markers indicate the range of the Pioneer 11
 56 spacecraft at its closest approach to the planet, and the path of the Galileo Probe into Jupiter’s
 57 atmosphere.

58

59 Juno’s Radiation Monitoring (RM) Investigation acquires profiles of Jupiter’s >10-MeV
 60 electron environment within unexplored regions of the Jovian magnetosphere using a novel
 61 measurement approach. The detectors inside Juno’s heavily shielded instruments register impacts
 62 from penetrating charged particles (particles with enough energy to traverse the heavily shielded
 63 instrument enclosures). Particle impacts upon sensitive focal plane arrays result in elevated noise
 64 signals within clusters of pixels proximate to the ionization events created by the particle “hits.”
 65 RM actively retrieves this noise in collaborative observation campaigns of “radiation image” and
 66 penetrating particle count collection at targeted locations within the magnetosphere during each
 67 of Juno’s perijove passes. Instruments contributing to the campaign include the spacecraft
 68 Stellar Reference Unit (SRU), the Magnetic Field Investigation’s Advanced Stellar Compass
 69 camera head D imager (ASC) [Connerney *et al.*, 2017], and the JIRAM infrared imager [Adriani
 70 *et al.*, 2014]. A combination of on-board and ground-based image processing is used to extract
 71 the characteristic signatures of penetrating high energy electrons (Figure 2) and derive count
 72 rates to characterize the external environment. The count rate processing algorithms were
 73 designed using knowledge of the distinctive signals and morphology of noise generated by
 74 external particles in each of these instruments. Extensive pre-flight and post-launch radiation
 75 testing, combined with Geant4 Monte Carlo analysis [Allison, 2006] of particle interactions
 76 within the shielded instruments, provided recognizable radiation signatures unique to each
 77 instrument [Becker *et al.*, 2016].

78



79

80 **Figure 2.** SRU CCD image collected at 13:18:16.336 UTC August 27, 2016 within Jupiter's
81 inner radiation belts (1.4R_J; -54.3° dipole magnetic latitude, derived from the VIP4 model
82 [Connerney *et al.*, 1998]). The image illustrates characteristic imager noise signatures (white)
83 due to penetrating relativistic electrons. Three small pixel regions in the lower part of the image
84 (black squares) were removed prior to particle count rate processing due to the presence of star
85 signal above the particle noise detection threshold.

86

87 Geant4 shielding analysis was performed for each instrument to characterize the
88 penetration efficiencies of omnidirectional high energy electrons as a function of their external
89 energy (prior to entering the instrument). These probabilities were interpolated over energy and
90 integrated using modeled Jovian environment spectra from Garrett *et al.* [2005], Divine and
91 Garrett [1983], and Garrett *et al.* [2003] to assess instrument count rate response in similar
92 environments. The external energy above which the dominant contribution to the overall count
93 rate was made was identified in order to define an approximate energy channel and count rate
94 metric for each instrument. This allows the external environment flux to be inferred from the
95 count rates of noise events observed in the respective images (Table 1). Simultaneous
96 observations gathered by the instruments provide comparative spectral information due to
97 differing spectral sensitivities brought about by significant differences in instrument shielding.

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101

102 **Table 1.** Approximate energy channels and measurement ranges of the Juno RM instruments.
 103 Lower energies are expected to make a relatively small contribution to the count rate in hard
 104 spectral environments. Measurement ranges apply for the nominal 1-sec JIRAM imager
 105 exposures and 125-ms single field ASC imaging.

Instrument	Energy sensitivity (electrons)	Measurement Range (count rate)	Inferred external omnidirectional flux (electrons cm ⁻² s ⁻¹)
SRU CCD imager	>10-MeV	4E2-1.6E7 (counts cm ⁻² CCD s ⁻¹)	2E4-1E9
JIRAM infrared imager	>5-MeV	4E5-1E7 (penetrating events s ⁻¹)	1.2E6-3E7
ASC CCD imager (on-board particle counter)	>10-MeV	600-10,000 (reported CCD impacts per image)	3E5-5E6

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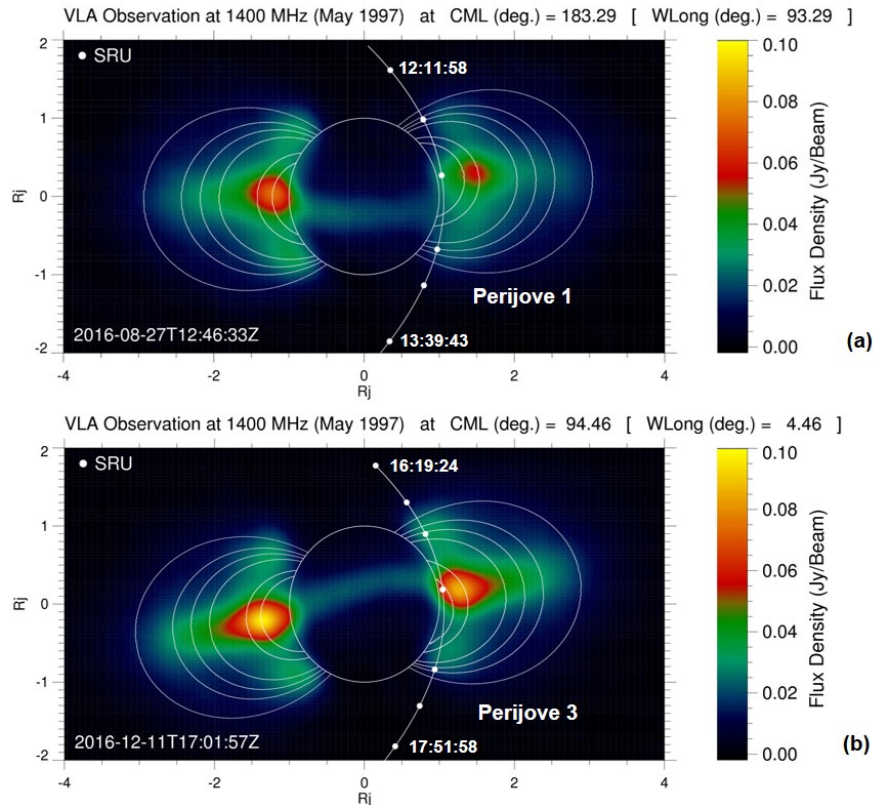
107 In this letter we discuss the first high latitude sampling of >10 MeV electrons at the
 108 innermost edge of the Jovian radiation belts and observations of high energy penetrators in
 109 Jupiter's polar regions during Juno's Perijove 1 and Perijove 3 flybys.

110 2 Observations

111 The SRU, ASC, and JIRAM imagers made coordinated measurements of the Jovian
 112 radiation environment during Juno's first science pass over the poles and through the inner
 113 magnetosphere from ~12:00 to 13:45 UTC on August 27, 2016. ASC particle count data (an on-
 114 board count of the transient radiation signatures detected within each image field) were collected
 115 at a 4 Hz sampling rate throughout the passage. Six SRU image samples were collected at
 116 targeted opportunities within the inner magnetosphere and polar regions (Figure 3a). The
 117 significantly lower SRU sampling rate is due to constraints levied by its primary engineering
 118 function, the support of spacecraft attitude determination. Fifty-one JIRAM images were
 119 collected during the observation period, including 6 coincident with SRU image collection.

120 Perijove 3 did not include JIRAM science observations due to a spacecraft engineering
 121 issue related to on-board JIRAM data management. SRU and ASC RM data were collected.
 122 Figure 3 shows Perijove 3 SRU imaging locations within the period of ~16:15 to 18:00 UTC on
 123 December 11, 2016. In addition to 4 Hz ASC particle count sampling, ASC images collected at
 124 the times of SRU imaging were also retrieved during Perijove 3 for SRU observation times up to
 125 and including Juno's passage through the northern high latitude lobe of the synchrotron region.
 126 Ground processing of the ASC images confirmed the count rates reported by the ASC on-board
 127 particle counting algorithms at the same sampling times.

128



129

130 **Figure 3.** SRU image collection locations (white dots) along Juno's Perijove 1 (a) and Perijove 3
 131 (b) science passes. Integrated flux density of synchrotron emission is shown (~ 21 cm, averaged
 132 over 20 degrees of longitude) for context. The synchrotron map projections (after *Santos-Costa*
 133 *et al.* [2014]) are given for the central meridian longitude corresponding to the System III West
 134 longitude of the spacecraft near the time of the magnetic equator crossing of each flyby (crossing
 135 times are shown in the lower left corners of the maps). Magnetic field lines are plotted for $L=1.3,$
 136 $1.5, 2, 2.25, 2.5, 3$ and were calculated using the VIP4 magnetic field model [*Connerney et al.,*
 137 1998]. SRU image collection was targeted to occur as Juno's trajectory grazed the inner edges of
 138 the high latitude lobes of the synchrotron region.

139

2.1 Perijove 1 Results

140 Figure 4a shows inferred omnidirectional >5 MeV and >10 MeV electron fluxes as a
 141 function of time derived from JIRAM, ASC, and SRU measurements collected during Perijove 1.
 142 Fluxes inferred from the three instruments are remarkably consistent. Fluxes decrease near the
 143 orbits of Io and Amalthea, and increase radially inward of each satellite's orbital radius. Similar
 144 behavior is also seen a bit more subtly near the orbit of Thebe. Similar dips and peaks were
 145 observed in the Pioneer electron flux data [*Fillius, 1976*] and Galileo probe data [*Fischer et al.,*
 146 1996], attributed to satellite absorption (sweeping) effects [*Fillius, 1976*]. ASC detected fluxes
 147 inward to a spacecraft range of $1.18R_j$ (dipole magnetic latitude 37.6°) at 12:35 UTC; detection
 148 resumed outbound from closest approach (CA) at 13:00 UTC ($1.1R_j$, dipole magnetic latitude
 149 -21.2°).

150

151 In Figure 4 we compare RM electron fluxes to omnidirectional >10 MeV electron fluxes
 predicted by the physical model of *Santos-Costa and Bolton* [2008] (grey curves) and the

152 empirical model of *Garrett et al.* [2005] (pink curves). Differences are observed between the RM
153 flux measurements and the model predictions; the measurements were generally found to be
154 lower than predicted fluxes within the synchrotron region. The discrepancies are largest for
155 spacecraft locations $<1.3 R_j$ corresponding approximately to calculated L-shells inside the orbit
156 of Amalthea.

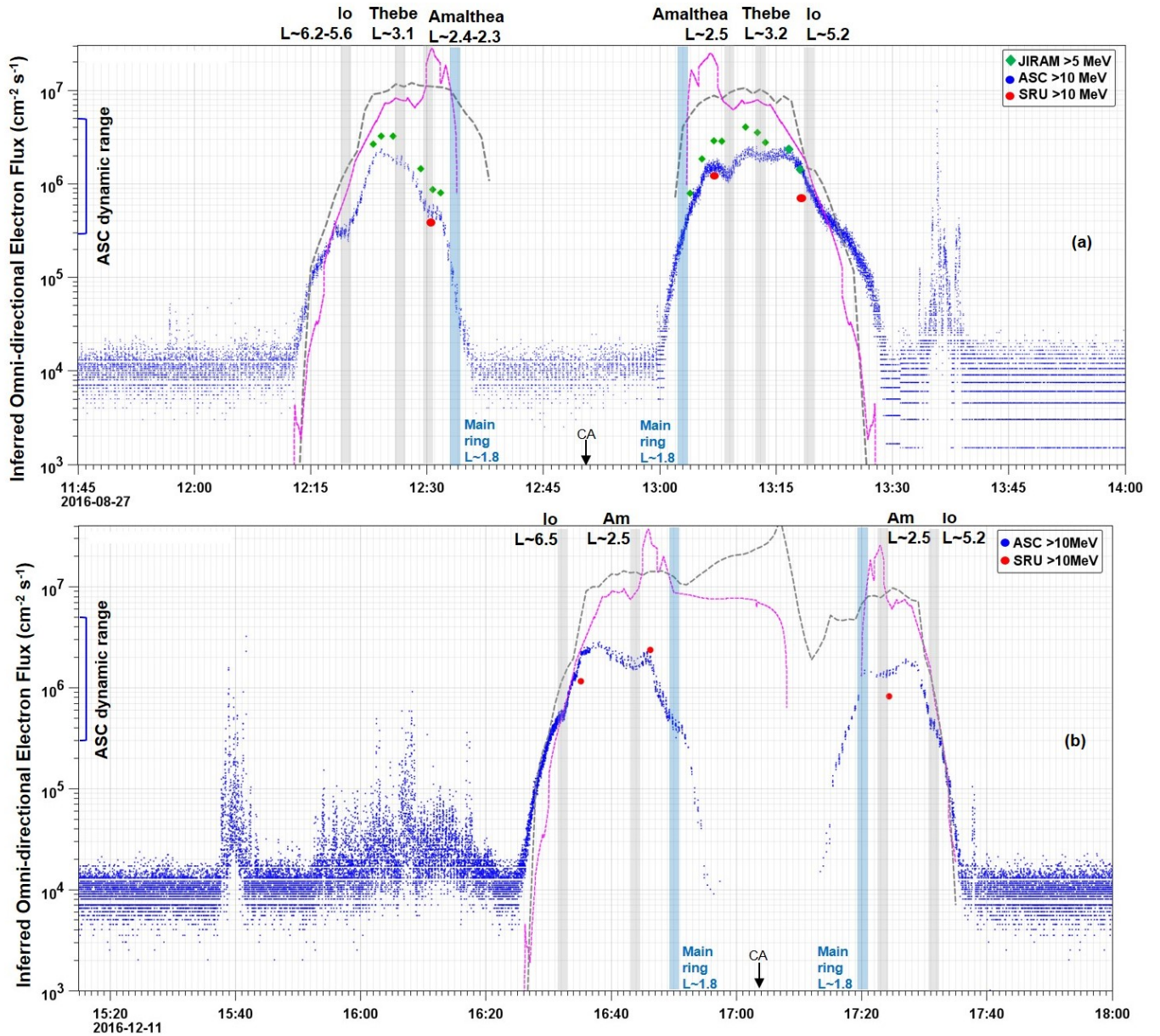
157 An intense radiation peak was observed in the ASC particle counter data at 13:35:45
158 UTC. It was accompanied by detection of many bright objects in the field of view of all four
159 ASC camera heads, though not precisely at the same instant; the four ASC camera heads scan
160 two distinct directions in the sky. This interesting event occurred as the spacecraft was transiting
161 the southern polar region outbound from Perijove 1 ($1.8R_j$, -71.8° dipole magnetic latitude) and
162 coincides with an intense, asymmetric bi-directional electron beam identified by Juno's JEDI
163 [*Mauk et al.*, 2014] investigation at the same time [*Mauk et al.*, 2016]. JEDI investigators noted
164 that the beam occurred near the statistical main auroral oval and appeared to be quite spectrally
165 hard. Neither SRU nor JIRAM images were collected at this particular location; however a
166 JIRAM image collected immediately following at 13:36:07 UTC contained penetrating particle
167 signatures at a relatively low level, below the calibrated measurement range for JIRAM radiation
168 measurements.

169 2.2 Perijove 3 Results

170 Figure 4b shows derived >10 MeV electron fluxes as a function of time along the
171 trajectory from ASC particle counter measurements and SRU images collected during Perijove 3.
172 Times during which the spacecraft traversed L-shells associated with the Jovian satellites and
173 ring are indicated in Figure 4a, along with omnidirectional >10 -MeV electron flux model
174 predictions. As observed with Perijove 1, RM measurements during Perijove 3 indicated lower
175 than anticipated electron fluxes in the innermost radiation belts. Similar dips in flux were
176 observed in the vicinities of Io and Amalthea, followed by small peaks inside their respective
177 orbits. In contrast to the model predictions, a drop off in ASC particle count detection occurred at
178 16:53 at a range of $1.12 R_j$ and a clear radiation "gap" was observed by the ASC in the equatorial
179 region near closest approach (CA at 17:03:40; $1.06 R_j$, 5.7° latitude, 5.5° SIII W longitude). This
180 is consistent with the energetic electron flux measurements of the Galileo probe EPI instrument
181 [*Fischer et al.*, 1996] which showed a disappearance of particles inside $\sim 1.25 R_j$ at a similar SIII
182 W longitude (4.94° W longitude, 6.53° latitude at time of probe entry according to *Bell* [1996]).
183 The SRU image collected at 17:02:11.981 ($1.06 R_j$, 9.5° latitude, 4.6° SIII W longitude)
184 confirmed the absence of high energy electron flux in this region. The ASC resumed particle
185 detection outbound from periapsis at 17:15, at ranges $>1.12 R_j$.

186 The ASC again reported intense bursts of penetrating particle counts as Juno transited
187 Jupiter's polar regions during the Perijove 3 flyby. Events were observed in the north at 15:40
188 and from $\sim 15:54$ - $16:17$, as well as at 17:38 soon after exiting the radiation belts in the south.

189



190

191 **Figure 4.** Omnidirectional fluxes of >5 MeV and >10MeV electrons derived from penetrating
 192 particle count rates observed by the SRU, ASC, and JIRAM imagers along Juno's Perijove 1 (a)
 193 and Perijove 3 (b) trajectories. SRU and JIRAM measurements with count rates lower than the
 194 calibrated measurement range, or zero, have been omitted from the plot. ASC data have been
 195 corrected for electronic shutter time and filtered to remove samples affected by straylight. ASC
 196 data points at $\sim 10^4$ are due to a small star field dependent bias caused by dim stars reported
 197 amongst the count of penetrators (established with data collected during Juno's Cruise period
 198 outside of the Jovian magnetosphere). Grey bars show regions of L shell (calculated using the
 199 VIP4 magnetic field model [Connerney *et al.*, 1998] and the magnetodisc model of Connerney,
 200 Acuña, and Ness [1981]) associated with the orbits of several Jovian moons; blue bars mark L
 201 shells associated with the main Jovian ring; closest approach is marked "CA." Omnidirectional

202 >10 MeV electron flux predictions using *Santos-Costa and Bolton* [2008] (grey dashed lines)
203 and *Garrett et al.* [2005] (pink dashed lines) with VIP4 are shown for comparison.

204

205 **3 Discussion**

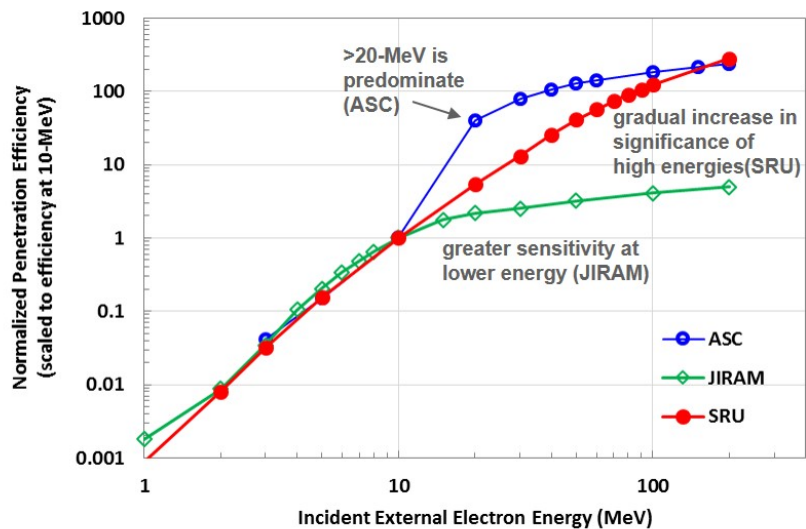
206 The differences seen between the model predictions and RM's inferred >10 MeV electron
207 flux measurements suggest that RM measurements will be very beneficial in improving our
208 understanding of electron energy spectra and pitch angle distributions close to the planet. Juno is
209 the first spacecraft to encounter these regions within the inner radiation belts. Departures of the
210 measured magnetic field from VIP4 model predictions (discussed in *Bolton et al.* [2017]) may
211 also contribute to the differences as VIP4 magnetic field predictions were used in deriving the
212 modeled electron fluxes.

213 An added consideration is that the RM instruments are detecting penetrating electrons
214 from rather broad energy channels (>5 MeV and >10 MeV), and a distribution of penetration
215 efficiency energy "bins" exists within each channel (Figure 5). The fractional contribution to the
216 total count rate from a given energy bin would be expected to vary as a result of differences in
217 spectral hardness of the ambient external particle population. Simultaneous measurements by the
218 RM instruments provide the opportunity to study these higher external energies by comparing
219 the responses of the different instruments at the same sampling locations. As illustrated in Figure
220 5 the three instruments have differing sensitivities to energies above 10 MeV. The ASC count
221 rates are significantly influenced by the >20-MeV electron population within the external
222 environment, whereas the SRU exhibits a more gradual increase in sensitivity to higher energies
223 due to its very different shielding configuration. JIRAM is relatively more sensitive at energies
224 <10 MeV and provides added information about this part of the external spectra. Modeling work
225 incorporating electron flux data from the JEDI investigation (~20-1000 keV) will further guide
226 the analysis. RM measurements show great promise in aiding our understanding of Jupiter's
227 radiation belts, particularly with respect to pitch angle and energy distributions within the inner
228 edges of Jupiter's radiation belts. Measurements from Juno's ongoing longitude mapping of the
229 inner magnetosphere will also be very important to our understanding of the data. Magnetic Field
230 Investigation, JEDI, and Microwave Radiometer investigations will provide key data sets.

231 In future orbits, a greater number of SRU images will be collected near Juno's expected
232 crossings of the northern and southern auroral ovals (coordinated with JIRAM and ASC image
233 retrieval when compatible) to increase the likelihood of measuring additional energetic electron
234 beam events with multiple RM instruments. Such measurements would corroborate inferred flux
235 levels detected by the on-board ASC particle counter and provide additional insight into the
236 energy distribution at the higher energies, contributing to the further study of the energy spectra
237 of these events.

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239



240

241 **Figure 5.** Comparison of the spectral sensitivities of the ASC, SRU, and JIRAM. The
 242 probabilities of omnidirectional high energy electrons to penetrate instrument shielding and
 243 create image noise events (“penetration efficiencies”) have been normalized to the value at 10-
 244 MeV for each instrument.

245

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 254 Inquiries regarding obtaining data may be directed to the lead author. © 2017. All rights
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