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# Spectrophotometric Modeling and Mapping of Ceres

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36 **Abstract:**

37

38 We report a comprehensive analysis of the global spectrophotometric properties of Ceres  
39 using the images collected by the Dawn Framing Camera through seven color filters from April to  
40 June 2015 during the RC3 (rotational characterization 3) and Survey mission phases. We derived  
41 the Hapke model parameters for all color filters. The single-scattering albedo of Ceres at 554 nm  
42 wavelength is  $0.14\pm 0.04$ , the geometric albedo is  $0.096\pm 0.005$ , and the bolometric Bond albedo is  
43  $0.035\pm 0.002$ . The phase function of Ceres presents appreciable forward scattering starting from  
44 about  $90^\circ$  phase angle that cannot be fitted with a single-term Henyey-Greenstein (HG) single-  
45 particle phase function (SPPF), suggesting stronger forward scattering component than other  
46 asteroids previously analyzed with spacecraft data. We speculate that the forward scattering  
47 characteristic of Ceres might be related to its ubiquitous distribution of phyllosilicates and high  
48 abundance of carbonates on the surface. The asymmetry factors calculated from the best-fit two-  
49 term HG SPPFs show a weak wavelength dependence from  $-0.04$  at 438 nm increasing to  $0.002$   
50 at  $>900$  nm, suggesting that the phase reddening of Ceres is dominated by single-particle scattering  
51 rather than multiple scattering or small-scale surface roughness. The Hapke roughness parameter  
52 of Ceres is derived to be  $20^\circ\pm 6^\circ$ , with no wavelength dependence. We further grouped the  
53 reflectance data into  $1^\circ$  latitude-longitude bins over the surface of Ceres, and fitted with both  
54 empirical models and the Hapke model to study the spatial variations of photometric properties.  
55 Our derived albedo maps and color maps are consistent with previous studies [Nathues, A., et al.,  
56 2016, Planet. Space Sci. 134, 122-127; Schröder, S.E., et al., 2017, Icarus 288, 201-225]. The  
57 SPPF over the surface of Ceres shows an overall correlation with albedo distribution, where lower  
58 albedo is mostly associated with stronger backscattering and vice versa, consistent with the general  
59 trend among asteroids. On the other hand, the Hapke roughness parameter does not vary much  
60 across the surface of Ceres, except for the ancient Vendimia Planitia region that is associated with  
61 a slightly higher roughness. Furthermore, the spatial distributions of the SPPF and the Hapke  
62 roughness do not depend on wavelength. Based on the wavelength dependence of the SPPF, we  
63 hypothesize that the regolith grains on Ceres either contain a considerable fraction of  $\mu\text{m}$ -sized or  
64 smaller particles, or a strongly affected by surface of internal scatterers of this small size.

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67 *Keywords:* Asteroid Ceres; Asteroids, surfaces; Photometry; Spectrophotometry

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69 Proposed Running Head: Spectrophotometric Modeling and Mapping of Ceres

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## 71 1. Introduction

72 In orbit around Ceres since March 2015, NASA’s Dawn spacecraft has collected a large  
73 amount of multispectral imaging data by the onboard Framing Camera (FC) in the visible  
74 wavelength, allowing for a detailed study of the photometric properties of Ceres. This article  
75 focuses on the analysis of the global spectrophotometric properties of Ceres, as well as a mapping  
76 of photometric properties through modeling parameters using the FC data.

77 Ceres has been shown to be an active world that is strongly affected by water (ice and/or  
78 hydrates) on its surface and crust (Sizemore et al., submitted). The prevalent distribution of  
79 ammoniated phyllosilicates suggests a widespread aqueous alteration in Ceres’ interior (De Sanctis  
80 et al. 2015; Ammannito et al. 2016). Abundant hydrogen most likely reveals a global distribution  
81 of water ice and/or hydration beneath the surface, more abundant at mid- to high-latitude  
82 (Prettyman et al., 2017). A few kilometer-sized water ice patches are identified in isolated regions  
83 associated with young craters (Combe et al. 2016). Pitted terrains (Sizemore et al., 2017) and  
84 flow-like geomorphological features (Schmidt et al., 2017) are additional indicators of abundant  
85 water ice in the shallow subsurface. Although conflicting evidence exists about the amount of  
86 water ice contained in Ceres’ crust (Hiesinger et al., 2016; Bland et al., 2016), it is clear that the  
87 present physical properties on the surface of Ceres are strongly affected by water ice, and are very  
88 different from “dry” asteroids such as Vesta (cf. Keil, 2002).

89 Before Dawn’s observations of Ceres, the photometric properties of Ceres had been studied  
90 exclusively from ground-based observations of its phase function (see a review in Reddy et al.,  
91 2015). The historical phase function data of Ceres appear to be consistent with an IAU H-G model  
92 with  $H=3.34$  and  $G=0.10$  to  $0.12$  (Tedesco, 1989; Tedesco et al., 2002), and with a Hapke model<sup>1</sup>  
93 having a single-scattering albedo (SSA),  $w=0.070$ , an asymmetry factor of the single-term Henyey-  
94 Greenstein (1pHG) single-particle phase function (SPPF),  $\xi=-0.40$ , an amplitude  $B_0=1.6$  and a  
95 width  $h=0.06$  of the shadow-hiding opposition effect, and an assumed macroscopic roughness  $\bar{\theta}$   
96 of  $20^\circ$  (Helfenstein and Veverka, 1989). Reddy et al. (2015) reported ground-based observations  
97 of Ceres with a spare set of FC color filters (Sierks et al., 2011), and a set of Hapke parameters of  
98  $w=0.083$ ,  $\xi=-0.37$ ,  $B_0=2.0$ ,  $h=0.036$ , with an assumed roughness of  $20^\circ$ . Li et al. (2006) used  
99 images from the Hubble Space Telescope (HST) to perform a photometric modeling with the  
100 Hapke model, although they had to adopt  $\xi=-0.40$  based on Helfenstein and Veverka (1989)  
101 because of the small range of about  $2^\circ$  in the phase angles of their data. They derived an SSA of  
102  $0.070$  and a geometric albedo of  $0.092$  at  $555$  nm wavelength. The high roughness of  $44^\circ$  that Li  
103 et al. (2006) reported is likely a modeling artifact (see Section 4.3), and the roughness derived  
104 from various Dawn datasets were all between  $20^\circ$  and  $30^\circ$  (Li et al. 2016a, Schröder et al. 2017,  
105 Ciarniello et al. 2017).

106 Schröder et al. (2017) present a comprehensive study of the photometric properties of Ceres  
107 based on FC images. They reported that the “disk-function” of Ceres, which describes the  
108 dependence of surface reflectance on local topography (incidence angle,  $i$ , and emission angle,  $e$ ),  
109 can be described by both the Akimov disk-function model (Shkuratov et al., 2011) and the Hapke

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<sup>1</sup> The symbols of all Hapke parameters from the literature have been adopted following the formula, parameters and symbols as described in Section 3.1

110 model equally well. They found a set of Hapke parameters based on a two-parameter Henyey-  
111 Greenstein (2pHG) function, with parameters  $w=0.11$ ,  $B_0=4.0$ ,  $h=0.02$ ,  $\bar{\theta}=22^\circ$ ,  $b=0.30$ , and  $c=0.65$ ,  
112 but their values of  $h$ ,  $b$ , and  $c$  were all manually chosen. After correcting for disk-function,  
113 Schröder et al. (2017) used RC3 data to map out the normal albedo  $A_N$  and phase slope  $\nu$  of Ceres  
114 by fitting the equigonal albedo  $A_{eq}(\alpha)$  at each latitude-longitude position on the whole surface with  
115 a simple exponential model,  $A_{eq}(\alpha) = A_N \exp(-\nu\alpha)$ , where  $\alpha$  is phase angle. While the albedo  
116 map derived this way is consistent with that derived by the traditional photometric correction  
117 showing many bright features associated with geologically young craters, the phase slope map  
118 appears to be mostly featureless on a global scale, with some slight correlation with the geological  
119 settings of craters on local scales. This contrasts with Vesta, where a clear correlation between the  
120 phase slope and geological settings is evident and has been interpreted as roughness driven by  
121 geological age (Schröder et al., 2013a).

122 Ciarniello et al. (2017) reported their comprehensive photometric analysis of Ceres with the  
123 Hapke model in both the visible and near-infrared wavelengths using the Dawn visible and infrared  
124 spectrometer (VIR, De Sanctis et al. 2011) data. At 550 nm wavelength, assuming  $B_0=1.6$  and  
125  $h=0.06$ , they fitted a set of photometric parameters  $w=0.14\pm 0.02$ ,  $\bar{\theta}=29^\circ\pm 6^\circ$ , and derived an  
126 asymmetry factor  $\xi = bc = -0.11\pm 0.08$  from their best-fit 2pHG parameters. This model is mostly  
127 consistent with the model derived by Schröder et al. (2017), although some differences exist, which  
128 could arise from their different treatments of the opposition, as well as the slightly different  
129 approaches in model fitting. Phase reddening is observed throughout visible to near-infrared  
130 wavelengths.

131 In April 2017, Dawn collected data at phase angles  $0^\circ - 7^\circ$  for the purpose of studying the  
132 opposition effect of Ceres' regolith, particularly in the extremely bright Cerealia Facula. Schröder  
133 et al. (submitted) analyzed the data with primarily an empirical approach, and reported that the  
134 opposition effect of Ceres is typical for its spectral type. The characteristics of the opposition  
135 effect of Ceres do not vary systematically with wavelength, and do not vary across the studied  
136 region between  $-60^\circ$  and  $+30^\circ$  in latitude and  $160^\circ$  to  $280^\circ$  in longitude, with an exception in the  
137 fresh ejecta of Azacca crater that displays an enhancement at phase angles  $<0.5^\circ$ . The broadband  
138 visible geometric albedo of Ceres is precisely measured at  $0.094\pm 0.005$  at opposition. However,  
139 the Hapke model failed to converge to a reasonable set of parameters for the opposition effect.

140 The goals of our study are: 1. To derive a set of global Hapke photometric model parameters  
141 in all color filters to characterize the light scattering behaviors of Ceres' surface; 2. To provide  
142 maps of photometric models in all color wavelengths in order to understand the variations of  
143 photometric properties across the whole surface of Ceres. We will present the data that we used,  
144 as well as the processing and reduction in Section 2, describe the details of the models in Section  
145 3. The results of global photometric modeling will be reported in Section 4, and the photometric  
146 model mapping results in Section 5. Section 6 discusses the implications of our results.

## 147 **2. Dataset**

### 148 *2.1. Data and Calibration*

149 We used images collected by the FC (Sierks et al., 2011) in this study. The FC has two  
150 identically manufactured cameras, and FC2 is the primary camera used for most of Ceres

151 observations and the basis of our work. The camera has a pixel scale of  $93.7 \mu\text{rad}$ , a  $1024 \times 1024$   
152 CCD detector, making a square field-of-view (FOV) of  $5.5^\circ$  on a side. It is equipped with a  
153 wideband clear filter centered at  $730 \text{ nm}$  wavelength, and seven color filters centered at  $439 \text{ nm}$  to  
154  $965 \text{ nm}$  with bandpasses of about  $40 \text{ nm}$  (about  $90 \text{ nm}$  for the  $965 \text{ nm}$  filter).

155 For the purpose of covering the whole surface of Ceres at the full spectral range of the FC, we  
156 used all color images collected during the first two science orbits, the “RC3” (rotational  
157 characterization 3) orbit at a radius of about  $14,000 \text{ km}$  and “Survey” orbit at a radius of about  
158  $4900 \text{ km}$ . Both orbits are circular polar orbits where the spacecraft moved from north pole towards  
159 south pole on the day side of Ceres, with the angle between the orbital plane and the Sun-Ceres  
160 line about  $7^\circ$  and  $14^\circ$ , respectively. The RC3 observations included five observing sequences, two  
161 of which were executed on the night side of Ceres to search for dust near the surface of Ceres (Li  
162 et al., 2015), whereas the other three, termed RC3-equator, RC3-north, and RC3-south, were  
163 executed on the day side using all filters at the sub-spacecraft latitude near the equator and around  
164  $40^\circ$  north and south, respectively. We only included the RC3 images taken from May 4 to 7, 2015  
165 on the day side of Ceres in our study. Ceres filled about 70% of the FOV of FC2 in the RC3  
166 images at a pixel scale of  $\sim 1.3 \text{ km/pixel}$ . In the Survey orbit, the FC captured images on the day  
167 side only using both clear and all seven color filters. The FOV is about half the diameter of Ceres,  
168 and the pixel footprint is about  $0.45 \text{ km}$ . The RC3 dayside and Survey images have higher spatial  
169 resolution in all color filters than those collected during approach to Ceres. Compared to those  
170 collected in later mission phases at lower altitude, the RC3 and Survey images cover a wide range  
171 of emission angles for the whole surface of Ceres with a minimal correlation between scattering  
172 angles and latitude, making a good set of data for a comprehensive study about the global  
173 photometric properties of Ceres.

174 The basic calibration of the FC2 images follows the steps outlined in Schröder et al. (2013b).  
175 Images are calibrated to a dimensionless unit of radiance factor (RADF), which is the ratio between  
176 the brightness of a surface to that of a perfectly scattering Lambert surface of the same size and  
177 distance to the Sun and observer, but illuminated at normal direction (Hapke 1981). RADF is  
178 synonymous to the commonly referred quantity  $I/F$ . The FC color images are affected by an in-  
179 field stray light component (Schröder et al., 2014a; Kovacs et al., 2013), for which we did not  
180 make attempt to correct, but rather smoothed it out to some extent in the reduction of photometric  
181 data as will be discussed in detail in the next section. All raw and calibrated data used in our study  
182 have been archived at Planetary Data System Small Bodies Node (Nathues et al., 2015a; Nathues  
183 et al., 2016a).

## 184 2.2. Photometric data reduction

185 In order to fit the data to photometric models, which describe the dependence of RADF on  
186 scattering geometry ( $i, e, \alpha$ ), we need to calculate the scattering geometry of all pixels in all images,  
187 extract the data and organize them in the form of  $\text{RADF}(i, e, \alpha)$ , and reduce in a way that best  
188 facilitates the model fitting of our purposes.

189 The local scattering geometry ( $i, e, \alpha, \lambda, \phi$ ), with  $\lambda$  and  $\phi$  being latitude and longitude,  
190 respectively, are calculated with the USGS Integrated Software for Imagers and Spectrometers  
191 ISIS3 (Anderson et al., 2004; Becker et al., 2012), which uses NAIF SPICE data archived at the

192 Planetary Data System (Krening et al., 2012) to determine the position and pointing of the  
193 spacecraft, the target, and the Sun. We used the shape model of Ceres derived primarily from the  
194 data acquired during Dawn’s HAMO (high-altitude mapping orbit) phase of Dawn mission  
195 (Preusker et al., 2016; Roatsch et al., 2016a), which has a grid spacing of 135 m, or about 3× finer  
196 than the Survey data that we used in this photometric study, and covers about 98% of Ceres’  
197 surface with a vertical accuracy of about 10 m. The shape model is expressed in a Ceres-fixed  
198 reference frame that has the  $z$ -axis aligned with the rotational axis of Ceres and the prime meridian  
199 set by the small crater Kait (Roatsch et al., 2016b).

200 Given the large number of images that we used, the photometric data from each filter contain  
201 about 42 million points, making it impractical to fit all together. Thus, we binned the data in  
202 scattering geometry space with a bin size of  $5^\circ$  in all three angles ( $i, e, \alpha$ ), reducing the total number  
203 of data points to about 4000 in each filter. The photometric data points with  $i > 80^\circ$  or  $e > 80^\circ$  are  
204 discarded from the model fitting to avoid pixels too close to the limb or terminator. Schröder et  
205 al. (2017) demonstrated that  $80^\circ$  is a good cutoff point for photometric data modeling that  
206 maximizes the surface coverage on Ceres, while still minimizing the registration uncertainty and  
207 the potential problem in photometric models near the limb and terminator. Fig. 1 shows the  
208 reduced photometric data from filter F2 as an example of the data that we fitted to models.

209 In order to map out the photometric model parameters across the surface of Ceres (Section 5),  
210 we divided the surface of Ceres into latitude-longitude grids of width  $1^\circ$  in size in both directions,  
211 and went through the geocentric coordinates of all pixels in all images and put the  $\text{RADF}(i, e, \alpha)$   
212 data into their corresponding grid. For each grid, we can fit a photometric model independently.  
213 Note that the changing physical area of grid with latitude does not affect photometric modeling  
214 results, although it will affect the number of data points in the grid and in turn the model quality.  
215 We did not project the images into latitude-longitude plane before extracting the photometric grid  
216 data as done by Schröder et al. (2013a, 2017) to avoid interpolation between pixels, although the  
217 effect of our averaging over the grid should be equivalent to interpolation.

218 The characteristics of the photometric grid data are shown in Fig. 2. In latitude between about  
219  $\pm 50^\circ$ , each  $1^\circ$  latitude-longitude grid contains more than 600 data points. The minimum incidence  
220 angles over the surface of Ceres have a strong correlation with latitude, which is unavoidable  
221 because incidence angle is determined by subsolar latitude that does not change much due to the  
222 low obliquity of Ceres (Russell et al., 2016). The maximum incidence angles are always greater  
223 than  $80^\circ$ , because the RC3 data always contain the whole surface of Ceres inside the FOV, thus  
224 covering the entire terminator. The coverage for emission angle is between a few degrees to  $> 80^\circ$ ,  
225 again resulting from the full coverage of Ceres by the camera FOV in the RC3 data. For the  
226 distribution of the minimum phase angle, although some pattern is visible, the range is narrow with  
227 a width of about  $3^\circ$ . Because we do not plan to fit the opposition effect (see Sections 3 and 4), this  
228 distribution is not expected to have significant consequence on our modeling results. On the other  
229 hand, the maximum phase angle varies substantially across the surface, from  $< 50^\circ$  near the equator  
230 to nearly  $90^\circ$  towards the poles, with a strong latitudinal trend. The reason for this distribution and  
231 the latitudinal correlation is that only the RC3 data, which contains the whole Ceres disk in the  
232 FOV, can provide a uniform coverage in phase angle across the whole surface. However, the RC3  
233 data were collected only near three discrete sub-spacecraft latitudes of  $0^\circ$  and  $\pm 40^\circ$ , thus could only  
234 reach a maximum phase angle of  $< 50^\circ$  for the whole surface of Ceres. The Survey data, which

235 provide coverage at higher phase angles when the spacecraft was at high latitude, are mostly nadir-  
 236 pointed and only contain the center half of Ceres' disk in the FOV, missing the low-latitude region.  
 237 Therefore, mid- to low-latitude regions do not have data at phase angles  $>50^\circ$ . For this reason, we  
 238 have to be cautious about the modeling related to the phase function, primarily the macroscopic  
 239 roughness and SPPF, and check for any similar patterns between the resulting maps and the  
 240 distribution of maximum phase angle to avoid interpreting modeling artifacts. Also, when study  
 241 the spatial variations of parameters, we should compare locations at similar latitudes.

242 The characteristics of stray light has been analyzed by Schröder et al. (2014a; Kovacs et al.,  
 243 2013). Stray light increases the scene brightness by up to 10-14% for filters F4 (916 nm), F6 (828  
 244 nm), F7 (652 nm), and F8 (438 nm), and up to 4-6% for the other three filters. The spatial  
 245 distribution of stray light in the FOV depends on the brightness distribution of the scene and is not  
 246 uniform in RC3 and Survey images, especially those containing limb and/or terminator. Therefore,  
 247 stray light could affect photometric modeling in two aspects: 1. It increases the modeled albedos  
 248 by increasing the scene brightness; and 2. It changes the distribution of brightness with respect to  
 249 scattering geometry. On the other hand, the photometric data reduction step that we described  
 250 above effectively averages all the pixels that are within the same scattering geometry bin but could  
 251 distribute all over the FOVs from many images. Therefore, the different effects of stray light in  
 252 the  $RADF(i, e, \alpha)$  data from different images should be smoothed out to some extent in this process,  
 253 and the net results are an increased model albedo than the true value by roughly the fraction of  
 254 stray light, and an increased model scatter. Other parameters that describe the  $(i, e, \alpha)$  dependence  
 255 of RADF should not be affected, including the phase function, because the measured RADF is  
 256 increased by stray light by the same scaling factor at different scattering geometries, equivalently  
 257 an effect of increased albedo. Given that reflectance is proportional to albedo for a dark surface  
 258 like Ceres', we just need to scale our modeled albedo based on the estimate of stray light  
 259 contributions for respective filters (Schröder et al., 2014a) to derive the true albedo. In our  
 260 discussions of the modeling results, we will avoid basing our analysis on the absolute values of  
 261 the best-fit parameters unless they are consistent with previous modeling values, in order to  
 262 minimize the impact of stray light on our conclusions.

### 263 3. Photometric models

264 Schröder et al. (2017) have demonstrated that, among the photometric models that they tested,  
 265 the Hapke model and the Akimov model are the best to describe the photometric behaviors of  
 266 Ceres. Therefore, we base our analysis primarily on the framework of the Hapke model, as well  
 267 as the Akimov disk-function coupled with a linear magnitude phase function in our photometric  
 268 model mapping. We also include the Lommel-Seeliger (LS) disk-function in our analysis for its  
 269 simplicity.

#### 270 3.1. Hapke model

271 We adopted a form of Hapke model as follows,

$$272 \quad RADF(i, e, \alpha) = \frac{w}{4} \frac{\mu_{0e}}{\mu_{0e} + \mu_e} [B_{SH}(B_0, h; \alpha)p(\alpha) + H(\mu_{0e}, w)H(\mu_e, w) - 1]S(\bar{\theta}; i, e, \alpha) \quad \dots(1)$$

273 In this form,  $\mu_{0e}$  and  $\mu_e$  are the cosines of local  $i$  and  $e$  corrected for roughness,  $\bar{\theta}$ , respectively.  $B_{SH}$   
 274 is the shadow-hiding opposition effect with two parameters, the amplitude,  $B_0$ , and width,  $h$ . The  
 275 form of  $B_{SH}$  adopted here is the same as previously used in Li et al. (2004; 2006).  $H(\mu, w)$  is the  
 276 Chandrasekhar H-function, where  $H(\mu_{0e}, w)H(\mu_e, w) - 1$  characterizes multiple scattering  
 277 assuming isotropic single-scattering. We adopted the approximated form of H-function suggested  
 278 by Hapke (2002).  $S(\bar{\theta}; i, e, \alpha)$  is the correction for surface roughness,  $\bar{\theta}$ . We followed the  
 279 formulism of roughness correction as in Hapke (1984).  $p(\alpha)$  is the SPPF, which could take a 1pHG  
 280 form that has a single parameter called asymmetry factor,  $\xi$ ,

$$281 \quad p(\xi; \alpha) = \frac{1-\xi^2}{(1+2\xi \cos \alpha + \xi^2)^{3/2}} \quad \dots(2)$$

282 where  $-1 \leq \xi \leq 1$ , characterizing the spatial distribution of the scattered light from a single particle  
 283 with respect to  $90^\circ$  phase angle, with  $\xi < 0$  associated with predominantly backscattering,  $\xi > 0$   
 284 associated with predominantly forward scattering, and  $\xi = 0$  isotropic scattering. When the SPPF  
 285 takes this form, the Hapke model as in Eq. (1) has a total of five parameters. Alternatively, the  
 286 SPPF could take a 2pHG form with two parameters,  $b$  and  $c$ ,

$$287 \quad p(b, c; \alpha) = \frac{1+c}{2} \frac{1-b^2}{(1-2b \cos \alpha + b^2)^{3/2}} + \frac{1-c}{2} \frac{1-b^2}{(1+2b \cos \alpha + b^2)^{3/2}} \quad \dots(3)$$

288 where  $0 \leq b \leq 1$  and  $-1 \leq c \leq 1$ . The first term represents backward scattering, while the second  
 289 term represents forward scattering. Parameter  $b$  determines the strength of the anisotropy of the  
 290 phase function, with larger values indicating stronger anisotropy; whereas parameter  $c$  determines  
 291 whether the scattering is predominantly backward ( $c > 0$ ) or forward ( $c < 0$ ), or symmetric ( $c = 0$ ).  
 292 The asymmetry factor,  $\xi = -bc$ , has the same meaning as for 1pHG. This form of  $p(\alpha)$  makes  
 293 the Hapke model have six parameters total. Note that the  $c$  parameter here needs to be linearly  
 294 scaled to range  $[0, 1]$  in order to be consistent with the 2pHG in the Hapke model form adopted  
 295 by the USGS ISIS software. In our modeling effort, we tried both 1pHG and 2pHG SPPF for the  
 296 purposes of consistency check and better understanding the photometric behaviors of Ceres.

297 Hapke (2002) updated the model by considering anisotropic multiple scattering. For a dark  
 298 surface with a geometric albedo of about 0.10 (Li et al., 2016b; Schröder et al., 2017), we expected  
 299 multiple scattering to play a minor role, and decided not to include anisotropic multiple scattering  
 300 in our modeling. Hapke (2002) also added coherent backscattering opposition effect (CBOE) to  
 301 the model. CBOE generally appears at phase angles  $< 2^\circ$ , while our data, with a minimum phase  
 302 angle of about  $7^\circ$ , do not allow the determination of CBOE. In addition, CBOE is a multiple  
 303 scattering phenomenon, which is expected to be weak on a dark surface like Ceres'. Therefore,  
 304 we did not include CBOE in our model. Hapke (2008) further considered the effect of porosity in  
 305 the optically active regolith. We did not include porosity in our modeling effort because for a dark  
 306 surface, the porosity parameter is equivalently a scaling factor for the reflectance and cannot be  
 307 separated from SSA, and because the lack of data within the opposition geometry prevents us from  
 308 deriving the porosity.

### 309 3.2. Empirical model

310 In the simple type of empirical models, reflectance RADF is separated into two parts, the  
 311 equigonal albedo and the disk function (Kaasalainen et al., 2001; Shkuratov et al., 2011),

$$312 \quad RADF(i, e, \alpha) = A_{eq}(\alpha)D(i, e, \alpha) \quad \dots(4)$$

313 where the disk-function,  $D(i, e, \alpha)$ , describes the dependence of RADF on local topography ( $i, e$ ),  
 314 which could depend on  $\alpha$ . In our analysis, the disk-function takes either the LS function model,

$$315 \quad D(i, e) = 2 \frac{\cos i}{\cos i + \cos e} \quad \dots(5)$$

316 or the parameter-less Akimov disk function model (Shkuratov et al., 2011),

$$317 \quad D(\alpha, b, l) = \cos \frac{\alpha}{2} \cos \left[ \frac{\pi}{\pi - \alpha} \left( l - \frac{\alpha}{2} \right) \right] \frac{(\cos b)^{\alpha/(\pi - \alpha)}}{\cos l} \quad \dots(6)$$

318 where  $b$  and  $l$  are photometric latitude and longitude, respectively. Same as the LS function, the  
 319 Akimov model results in a disk of constant brightness at  $\alpha = 0^\circ$ , or equivalently the same values  
 320 for normal albedo and geometric albedo.

321 After correcting for the disk function, the equigonal albedo  $A_{eq}$  only depends on phase angle.  
 322 We adopted a linear model in magnitude space to describe  $A_{eq}(\alpha)$ ,

$$323 \quad A_{eq}(\alpha) = A_n 10^{-0.4\beta\alpha} \quad \dots(7)$$

324 where  $A_n$  is normal albedo, and  $\beta$  is the phase slope parameter in mag/deg.

325 We note that this linear-magnitude phase function (Eq. 7) is essentially an exponential phase  
 326 function model, same as the one adopted by Schröder et al. (2017) but with a different scaling  
 327 factor from their slope parameter,  $\nu$ , and the modeling results can be directly related by  $\nu = 52.77\beta$ .  
 328 In our photometric model mapping (Section 5), we included both  $\beta$  and  $\nu$  parameters to compare  
 329 with the previous results in order to confirm the features that we observed. We did not apply these  
 330 empirical models for global photometric modeling, though (Section 4).

### 331 3.3. Model fitting

332 The best-fit photometric model is defined in a  $\chi^2$  sense. We defined the relative root mean  
 333 square (RMS) to quantify the model quality,

$$334 \quad Rel. \, RMS = \frac{1}{\bar{r}} \sqrt{\frac{1}{n} \sum_{i=1}^n (r_i - r_{i,model})^2} \quad \dots(8)$$

335 where  $r_i$  is the measured RADF, and  $r_{i,model}$  is the modeled RADF, the sum is over all  $n$  data points,  
 336 and  $\bar{r}$  is the average RADF of all data points. The minimization of RMS is performed with the  
 337 Levenberg-Marquardt algorithm with constrained search space for the model parameters (Moré,  
 338 1978; Markwardt, 2009).

339 Because of the inter-correlation between the Hapke parameters, sometimes the fit converges  
340 to a local minimum rather than the global minimum. To avoid this potential problem, we  
341 performed our model fitting with at least 100 trials with randomly generated initial parameters.  
342 For more than 90% of the trials, the models were able to converge to a small area around the best-  
343 fit model. For the model fitting to empirical models, we used the same curve fitting algorithm as  
344 for the Hapke model.

#### 345 4. Global photometric modeling

346 We focused on the Hapke models to derive the global photometric properties of Ceres. The  
347 minimum phase angle of about  $7^\circ$  in our data does not allow us to reliably model the opposition  
348 effect. Even with the data within the opposition acquired in April 2017, the Hapke modeling still  
349 could not return a satisfactory fit with reasonable opposition effect parameters either (Schröder et  
350 al., submitted). Therefore, we tried two cases in the model fitting: 1) fixing  $B_0=1.6$  and  $h=0.06$  as  
351 found by Helfenstein and Veverka (1989), and 2) set free both parameters. We also fitted the data  
352 with both 1pHG and 2pHG SPPF in the Hapke model, making a total of four cases to compare.  
353 The best-fit parameters of all seven color filters are plotted in Fig. 3.

354 As indicated by the RMS, the models with 2pHG perform consistently better than those with  
355 1pHG. Inspecting the ratio of measured RADF to modeled RADF with respect to scattering angle  
356 reveals an obvious trend with phase angle for the 1pHG model (Fig. 4a), but not for the 2pHG  
357 Hapke model (Fig. 4c). In either model form, the ones with free opposition parameters performed  
358 better than the ones with fixed parameters, simply because of more freedom allowed in the former.  
359 For both model forms, when the opposition parameters were set free, the  $B_0$  parameters always  
360 ended up at the imposed upper limit of 6.0 (Fig. 3). On the other hand, the model quality of those  
361 two cases for the 2pHG Hapke model is close to one another. These observations suggest: 1)  
362 2pHG is necessary to model the photometric behavior of Ceres, even though we do not include  
363 any data from the forward scattering direction ( $\alpha > 90^\circ$ ); 2)  $B_0$  and  $h$  cannot be constrained from  
364 our data; 3) Because the photometric parameters in Hapke model are entangled, perhaps except  
365 for  $\bar{\theta}$  which is mostly determined by the  $(i, e)$  dependence of reflectance and thus to a less extent  
366 entangled with others, precautions has to be used when compare the photometric parameters at  
367 different wavelengths and with other objects.

368 Because the 2pHG case with fixed opposition parameters has similar quality as the case that  
369 allows the opposition parameters to change, and because the latter results in very noisy parameter  
370 spectra for  $h$  and  $\xi$ , we decided to base our analysis of the modeling results primarily on the results  
371 from 2pHG Hapke model with fixed opposition parameters (Table 1, filled blue circles in Fig. 3).  
372 The model parameters for 1pHG Hapke model with fixed  $B_0$  and  $h$  parameters are also reported in  
373 Table 2 for the purpose of comparing with previous Hapke model analyses of other asteroids,  
374 almost all of which have been performed with the 1pHG form.

##### 375 4.1. Model uncertainty

376 Because of the complicated entanglement among the Hapke parameters, their model  
377 uncertainties cannot be directly derived from statistical principles of least- $\chi^2$  fit. We estimated the  
378 uncertainties following the similar approach by Helfenstein and Shephard (2011) and Li et al.

379 (2013). We fixed the value of the parameter under consideration in a range surrounding the best-  
380 fit value, and fitted the remaining parameters (still with  $B_0$  and  $h$  fixed) to find the  $\chi^2$ 's, which is  
381 essentially the term inside the square root in Eq. 8. Then the  $1-\sigma$  uncertainty range for this  
382 particular parameter is defined as the locus where  $\chi^2$  is less than twice the minimum  $\chi^2$ . An  
383 example for the uncertainty estimate is shown in Fig. 5 for the roughness parameter.

384 In addition, we visually inspected how the model fitting worsens when perturbing the  
385 parameter under consideration away from the best-fit value, to judge whether the uncertainty  
386 estimates are sensible. Different parameters have to be inspected with different approaches. For  
387 the roughness parameter, we compared the model fitting to the brightness scans along photometric  
388 equators and mirror meridians at various phase angles, similar to the experiment in Li et al. (2013).  
389 For the phase function parameters,  $b$  and  $c$ , and for the SSA, we compared the data after correcting  
390 for the LS term  $\mu_{0e}/(\mu_{0e} + \mu_e)$  and roughness correction  $S(\bar{\theta}; i, e, \alpha)$  with the surface phase  
391 function model  $B(B_0, h; \alpha)p(\alpha) + H(w, \mu_{0e})H(w, \mu_e) - 1$ . The inspection suggests that our  
392 error estimates are reasonable.

393 The formal uncertainties that we derived are similar for all bands: about  $\pm 6^\circ$  for the roughness  
394 parameter; about  $\pm 0.06$  for the phase function parameter  $b$ ; about  $-0.08$  and  $+0.05$  for parameter  $c$ ,  
395 very asymmetric with respect to the best-fit values; and about  $-0.04$  and  $+0.05$  for the SSA. Note  
396 that we should consider these error bars systematic in the sense that they do not represent the  
397 relative model scatter from one band to the next. The error estimate that we discussed here is  
398 related to how well the model describes the photometric behavior of Ceres' surface, given the  
399 measurement noise. On the other hand, the scatter in the spectrum of the best-fit parameter is a  
400 good measurement of the robustness of the wavelength trend. Therefore, although the systematic  
401 errors are all much larger than the ranges of variations in the spectra for the best-fit parameters, as  
402 long as the scatter is small enough compared to the overall wavelength trend, we consider that  
403 such trend reflects the real wavelength dependence of Ceres' photometric behavior.

#### 404 4.2. Phase Function

405 As shown in Fig. 6a, compared to 1pHG, the best-fit 2pHG function for Ceres results in a disk-  
406 integrated phase function that decreases more steeply at moderate phase angles from  $20^\circ$  to  $60^\circ$ ,  
407 then curves up at higher phase angles. This behavior is also evident in the systematic trend of the  
408 ratio between measured RADF and modeled RADF with respect to phase angle, where when using  
409 1pHG to fit the data, the measurement is lower than the best-fit model at moderate phase angles  
410 while higher at higher phase angles (Fig. 4a). The use of 2pHG removed such a systematic trend  
411 (Fig. 4c), and resulted in a lower RMS that is statistically significant. Therefore, we conclude that  
412 the phase function of Ceres can only be satisfactorily characterized by the 2pHG but not the 1pHG.

413 For both the 1pHG and 2pHG modeling, the disk-integrated phase function of Ceres shows  
414 dependence on wavelength where the strength of backscattering decreases with wavelength  
415 monotonically from 438 nm to 961 nm (Fig. 6b, c, d). This wavelength trend is consistent with  
416 phase reddening, which for Ceres was first reported by Tedesco et al. (1983) from ground-based  
417 data. Li et al. (2016b), based on the measurements from all the previous ground-based data that  
418 they could find, showed that the spectral slope of Ceres monotonically increases with phase angle

419 to at least  $20^\circ$  phase angle. Most recently Ciarniello et al. (2016), Longobardo et al. (2016) also  
420 reported phase reddening of Ceres based on Dawn data.

421 While the existence of stray light prevents us from quantifying phase reddening of Ceres and  
422 comparing it with other objects, we can still qualitatively characterize it based on the wavelength  
423 dependence of the phase function, because there is no monotonic wavelength dependence for stray  
424 light (Schröder et al., 2014a). First, the monotonic decrease of the phase slope of Ceres with  
425 wavelength is different from that of Vesta, whose phase slope decreases until 750 nm, which is  
426 just outside of its  $1\text{-}\mu\text{m}$  mafic band where its spectrum starts to turn down, then increases towards  
427 965 nm, which is near the center of the  $1\text{-}\mu\text{m}$  band (Li et al., 2013). The phase reddening on Vesta  
428 appears to depend on its spectral slope, where positive spectral slope corresponds to phase  
429 reddening and negative spectral slope corresponds to phase bluing. While for Ceres, the spectrum  
430 is flat across the wavelength range of our data (cf. Rivkin et al., 2011; Nathues et al., 2015b), yet  
431 the strength of phase reddening seems to be comparable to or even slightly stronger than that of  
432 Vesta as judged from the phase function ratio plot (Fig. 6c, d). This difference suggests that albedo  
433 is not a dominant cause of phase reddening for Ceres. We will further discuss this phenomenon  
434 in Section 6.3. Second, the phase function ratio curves of Ceres have different shapes from those  
435 of Vesta. The indications are that at phase angles lower than  $20^\circ$ , which is approximately the  
436 maximum phase angle accessible from the ground, Vesta displays stronger phase reddening than  
437 Ceres. This is consistent with observations (Reddy et al., 2011; Li et al., 2016b). On the other  
438 hand, at higher phase angles, especially  $>80^\circ$ , Ceres could have stronger phase reddening than  
439 Vesta. This result can be tested with Dawn VIR data of both objects taken at high phase angle.

#### 440 4.3. Roughness

441 Surface roughness affects the photometric behavior of a surface in two aspects: It changes the  
442 dependence of reflectance on local topography ( $i, e$ ), and it decreases the forward scattered light,  
443 i.e., increases the slope of the surface phase function. The effects of roughness increase with phase  
444 angle, thereby a reliable determination of roughness requires disk-resolved data at moderate to  
445 high phase angles, preferably  $> 60^\circ$  (Helfenstein, 1988). As a geometric parameter, roughness  
446 itself should be independent of wavelengths. For a very bright surface where multiple scattering  
447 substantially diminishes shadows, the modeled value of roughness could be lower than true value.  
448 In this case, if the surface has a strongly sloped spectrum, then the modeled roughness could show  
449 a wavelength dependence. Neither case applies to Ceres.

450 In our modeling, the roughness parameter is consistently modeled to be within a narrow range  
451 of  $18^\circ$  to  $21^\circ$  without significant wavelength dependence, consistent with it being a geometric  
452 parameter. The average roughness of  $20^\circ \pm 6^\circ$  is consistent with the values previously derived based  
453 on Dawn data (Li et al. 2016a, Schröder et al. 2017, Ciarniello et al. 2017). A very high value of  
454  $44^\circ \pm 5^\circ$  was reported by Li et al. (2006), based on HST data. However, that value could be  
455 unreliable for two reasons: 1. The HST data were taken at low phase angles between  $5^\circ$  and  $8^\circ$ ,  
456 where the effect of roughness is weak; and 2. The camera that they used, the High-Resolution  
457 Channel of the Advanced Camera for Surveys, has a wide point-spread-function (PSF) that  
458 encircles  $<80\%$  energy even in a 10 pixel radius aperture (Avila et al., 2017). Such a PSF results  
459 in significant limb darkening for the extended disk of Ceres, which was about 30 pixels in diameter  
460 in those HST images.

#### 461 4.4. Albedo

462 All modeled albedo quantities, including the SSA, geometric albedo, and Bond albedo are  
463 strongly dependent on the photometric calibration of the data. As mentioned before, stray-light  
464 affects the photometric calibration of FC images. Even though we tried to account for it by a  
465 simple scaling based on Schröder et al. (2014a) in our modeled albedo quantities, the effect is still  
466 evident from the scatter in the albedo spectra (Fig. 3). Despite the scatter, the overall shapes of all  
467 albedo spectra are consistent with ground-based observations, and the blue slope of the geometric  
468 albedo spectrum is consistent with previous results (Li et al., 2016).

469 The SSA of Ceres is  $0.14 \pm 0.04$  at 555 nm, based on the 2pHG model with fixed opposition  
470 parameters. This value has an excellent agreement with that derived from the VIR data (Ciarniello  
471 et al., 2016), which used exactly the same form of Hapke model as we did. On the other hand, this  
472 value of SSA is much higher than previous modeling results from ground (Reddy et al., 2015) and  
473 HST data (Li et al., 2006). We suspect that such a difference is caused by the use of 1pHG in their  
474 modeling. In our modeling attempts with the 1pHG, the derived SSA was closer to the previously  
475 derived values, although still higher (Table 2). With data covering a much wider range of phase  
476 angles than before and a 2pHG that appears to systematically better fit the data than a 1pHG, we  
477 consider the value we derived here more reliable than previous modeling results. The geometric  
478 albedo of Ceres based on the best-fit Hapke parameters is  $0.096 \pm 0.005$  at 554 nm, which is  
479 consistent with previous determinations (Reddy et al., 2015, Li et al., 2006, Ciarniello et al. 2016),  
480 and in an excellent agreement with the measurement from opposition (Schröder et al., submitted).  
481 We note that the modeled geometric albedo here is based on an assumed opposition effect, and the  
482 agreement is a coincidence to some extent. On the other hand, the Bond albedo depends on the  
483 overall shape of the phase function, and thus can generally be more reliably determined than  
484 geometric albedo, as indicated by the consistent results from all modeling cases (Fig. 3). The Bond  
485 albedo of Ceres at 554 nm is  $0.035 \pm 0.002$ , and the uncertainty is completely dominated by the  
486 calibration uncertainty of the FC data. Given the flat spectrum of Ceres across visible and near-  
487 infrared, we can use this value as its bolometric Bond albedo, too.

#### 488 5. Photometric model mapping

489 The traditional approach of studying the photometric variations on the surface of an object is  
490 through “photometric mapping”, that is, to fit a photometric model for the whole area of interest,  
491 then use that model to correct images to a common viewing and illumination geometry, and finally  
492 mosaic images together to generate a reflectance map of the area. This approach implicitly  
493 assumes that all photometric properties other than albedo are uniform, or, equivalently, it folds the  
494 variations in all other photometric properties into those of albedo (Li et al., 2015).

495 With sufficient data available, it is possible to study the variations in photometric properties  
496 other than albedo. As the first attempt of this kind for solar system small bodies, Li et al. (2007)  
497 fitted the Hapke model to individual terrains on comet 19P/Borrelly and reported large variations  
498 in albedo, phase function, and roughness, although their mapping may not be reliable given the  
499 small amount of images available from flyby observations and the small size of the terrains that  
500 they defined relative to the image resolution. Schröder et al. (2013a) and Schröder et al (2017),  
501 using Dawn observations of Vesta and Ceres, respectively, fitted an exponential phase function

502 model (Eq. 7) to the photometric data for each latitude-longitude grid after corrected for the  
503 dependence on ( $i$ ,  $e$ ) with the Akimov disk-function, and derived the maps of both normal albedo  
504 and phase slope. The successful mapping process allowed them to analyze the maps in the context  
505 of geology and geomorphology for both objects.

506 The simple exponential model adopted by Schröder et al. (2013a, 2017) cannot distinguish  
507 between the effects of surface roughness and the particle phase function, because both would  
508 change the slope of phase function in a similar manner and the model uses one single parameter to  
509 describe the phase slope. In addition, because roughness could change the disk-function of a  
510 surface, the use of a parameter-less disk-function such as the LS model or the parameter-less  
511 Akimov model could miss such effects. In this work, we pursued a similar mapping process but  
512 with the more sophisticated Hapke model, with the hope of separating the variations due to  
513 roughness and particle phase function. We refer to this process as “photometric model mapping”  
514 to distinguish it from the traditional approach of “photometric mapping”.

515 On the other hand, caution has to be used when interpreting the maps of Hapke parameters.  
516 While it is generally accepted that the Hapke model is able to describe the general scattering  
517 behaviors of particulate surfaces, the true physical meanings of the model parameters have always  
518 been under intensive investigation and debate (e.g., Shepard and Helfenstein, 2007; 2011;  
519 Shkuratov et al., 2012; Hapke, 2013; etc.). For example, although the roughness parameter affects  
520 the disk-function and improves the fit to reflectance data with respect to local topography, it is  
521 never entirely clear what its true physical indications to planetary surfaces are and at what size  
522 scale (Helfenstein, 1988; Shepard and Campbell, 1998; Helfenstein and Shepard, 1999). In some  
523 work the roughness parameter has been dropped entirely, and its effect on phase function has been  
524 included in the phase function parameters (e.g., Shepard and Helfenstein, 2011). Another example  
525 is the SPPF, which has been criticized as non-physical because no natural particles are  
526 backscattering as suggested by the Hapke modeling results for planetary surfaces in almost all  
527 cases (Shkuratov et al., 2012). Given these limitations, we shall be careful about the interpretations  
528 of the parameter maps, and always refer to the geological and geomorphological context as well  
529 as the laboratory results. In particular, we consider that the roughness parameter is introduced as  
530 a separate parameter because it has an effect on the disk-function that cannot be fully compensated  
531 by any other parameters. Variations in this parameter should indicate variations of one or some  
532 physical properties, even though the particular mechanism is unclear. Our interpretations of SPPF  
533 will also be mostly based on relevant laboratory studies (e.g., McGuire and Hapke, 1995; Souchon  
534 et al., 2011; Pommerol et al., 2013; Pilorget et al., 2016).

535 In order to assess the robustness of this mapping process, we considered four models: 1) the  
536 LS disk-function (Eq. 5) and the linear phase function in magnitude (Eq. 7); 2) the Akimov disk-  
537 function (Eq. 6) and the linear phase function in magnitude (Eq. 7); 3) the Hapke model using  
538 1pHG (Eqs. 1 and 2); and 4) the Hapke model using 2pHG (Eqs. 1 and 3). With much fewer data  
539 points in each latitude-longitude grid than the global photometric modeling, we had to limit the  
540 data in each grid to  $i < 60^\circ$  and  $e < 60^\circ$  in order to better avoid extreme geometries to ensure the  
541 model fitting quality. Modeling with a cutoff at  $80^\circ$  results in nearly twice as high relative RMS  
542 and noisy parameter maps that are hard to interpret. The fitting yields a number of maps for every  
543 case: the relative RMS map, the maps of all parameters of the corresponding model, and the normal,  
544 geometric, and Bond albedo maps. With the model parameter maps produced for all seven FC

545 color filters, we were also able to study the spatial variations of the spectrum of every photometric  
546 parameter. Note, however, that the extremely bright Cerealia Facula inside Occator crater is  
547 saturated in many of the images we used, and therefore the modeling for that feature is not reliable.  
548 We do not include this feature in our discussion in this article. In addition, in our analysis of the  
549 photometric parameter maps, we focus on the global surface of Ceres and features larger than tens  
550 of km in size due to the  $1^\circ$  resolution in our latitude-longitude grid, which corresponds to 8 km  
551 near the equator.

### 552 *5.1. Mapping with empirical models*

553 Before applying photometric model mapping with the Hapke model, we performed mapping  
554 with the Akimov disk-function (Eq 6) and the LS disk-function (Eq. 5), coupled with a simple  
555 linear magnitude phase function model (Eq. 7). The resulting maps with Akimov disk-function  
556 model are displayed in Fig. 7. The relative RMS are generally between 2-5%, and for the band  
557 between  $\pm 40^\circ$  latitude  $< 3\%$ , indicating good model fitting. The normal albedo map and phase  
558 slope map are entirely consistent with those derived by Schröder et al. (2017) with the same  
559 modeling process but using RC3 data only. With this sanity check, we are confident that our  
560 photometric model mapping process was able to produce results as expected.

561 The mapping results using the LS disk-function are similar to the Akimov model mapping  
562 results, with only slight differences (Fig. 8). The largest difference in the normal albedo map  
563 appears in the ejecta field to the northwest side of Occator crater, where the LS model results in a  
564 slightly lower albedo. The overall absolute scales of normal albedo maps are similar. The phase  
565 slope derived from the LS model is overall higher (steeper phase slope) than that derived from the  
566 Akimov model by about 10%. The model RMS map is slightly higher than that of the Akimov  
567 model map by about 1%. The higher model RMS is also consistent with the remark by Schröder  
568 et al. (2017) that the Akimov disk function performs better than the LS function for Ceres.

### 569 *5.2. Hapke model mapping*

570 As for the global photometric modeling, we set the opposition parameters with  $B_0=1.6$  and  
571  $h=0.06$ . The mapping results from the Hapke model with 1pHG are shown in Fig. 9. However,  
572 the Hapke model with 2pHG could not generate satisfactory maps: the maps of the SSA,  $b$  and  $c$   
573 all contain many features that have obvious characteristics that are similar as in the map of  
574 maximum phase angle (Fig. 2), and therefore must be modeling artifacts. Because the modeling  
575 of 2pHG requires data at high phase angle to constrain both single-scattering phase function  
576 parameters, the lack of data at sufficiently high phase angle for the low latitude regions and the  
577 sharp boundaries between low and high latitude regions are the likely reasons that the 2pHG Hapke  
578 model did not work well for this mapping. We therefore did not include those maps in our  
579 discussion, except for the normal albedo maps.

580 Spatial variations are evident in all three free parameters, i.e., the SSA, the asymmetry factor,  
581 and the roughness. The SSA map shows overall similar characteristics as the normal albedo maps  
582 as derived from empirical models (Figs. 7 and 8), as well as the reflectance maps generate with  
583 traditional photometric correction approach (e.g., Fig. 7 in Schröder et al. 2017), suggesting that  
584 albedo variations dominate the reflectance variations on Ceres.

585 The asymmetry factor parameter  $\xi$  shows a similar distribution as the phase slope maps derived  
586 from empirical models (Figs. 7 and 8). The strength of backscattering shows an overall anti-  
587 correlation with albedo for the low latitude region inside of  $\pm 30^\circ$  latitude (Fig. 10), where relatively  
588 low albedo is associated with stronger backscattering and vice versa. This correlation suggests  
589 that SPPF, rather than roughness, dominates the spatial variations in the phase slope maps as we  
590 derived earlier and reported by Schröder et al. (2017). This trend is similar to the general  
591 correlation between albedo and phase function in asteroids (Li et al., 2015), and is attributed to the  
592 fact that brighter, more transparent regolith grains tend to be more forward scattering.

593 The roughness map also shows some degree of spatial distribution (Fig. 9). However,  
594 compared with the characteristic maps of photometric mapping data (Fig. 2), we immediately  
595 notice that it has some sawtooth pattern at about  $\pm 30^\circ$ - $45^\circ$  latitude that is similar to the map of  
596 maximum phase angle distribution. Between these two latitudinal boundaries, the maximum phase  
597 angle is dominated by RC3 data; while outside these boundaries towards high latitude areas, the  
598 maximum phase angle is dominated by Survey data. Because the modeling of roughness is most  
599 sensitive to high phase angle data (Helfenstein 1988), the existence of these features in the  
600 roughness map is certainly an artifact due to the sharp boundary in the maximum phase angle. In  
601 addition, the belt-like low roughness region centered at latitude  $+5^\circ$  and extending east-west  
602 between longitude  $20^\circ$  and  $100^\circ$  (greenish in the map) is probably also a modeling artifact because  
603 it does not appear to be associated with any geological context. Other than those artifacts, there  
604 do not seem to be other identifiable artifacts in the map.

605 The roughness map does not show an overall correlation with albedo on the global scale (Fig.  
606 10). However, on regional scales, there appear to be some correlations. The most prominent ones  
607 are the following. The relatively bright region along the northern side of Vendimia Planitia has  
608 relatively high albedo, weaker backscattering, and higher roughness. The Nawish crater region  
609 between the Vendimia Planitia and Hanami Planum has relatively low albedo, stronger  
610 backscattering, but also higher roughness than overall Ceres. On the other hand, the Hanami  
611 Planum, which has Occator crater located near just off the center, has relatively low albedo,  
612 moderate backscattering, but no obvious deviation in roughness from the surroundings. The range  
613 of roughness variations is about  $5^\circ$ . Although only slightly higher than the range of spectral  
614 variations of roughness (Fig. 3, Section 4.3), which we considered as modeling scatters, the spatial  
615 variations of roughness should be real as the patterns are clearly visible above the model scatter  
616 (background noise) in the map. The Hapke model mapping results we discuss here suggest that  
617 the variations in phase slope over the surface of Ceres as revealed by empirical models (Figs. 7  
618 and 8) are more likely dominated by SPPF than roughness. Although the physical meaning or  
619 scale size of the Hapke roughness is not entirely understood, Hapke model mapping is still able to  
620 break the ambiguity between particle phase function and roughness and reveal the physical nature  
621 of these phase slope variations to some extent.

622 Compared with the global geologic map of Ceres (Williams et al., 2018a), the region where  
623 the highest roughness distributes appears to be associated with the ancient Vendemia Planitia basin  
624 underlying the young craters Dantu and Kerwan. Therefore, the high Hapke roughness in the  
625 Dantu crater region is associated with the fresh, possibly doubly excavated materials from  
626 relatively deep crust compared to other places on Ceres. Other young craters that are also  
627 associated with bright materials, such as Haulania and Occator etc., do not have this double-

628 excavation setting and are not associated with high Hapke roughness. Furthermore, the Kerwan  
629 crater floor appears to be quite smooth in Survey and HAMO images (Williams et al., 2018b), but  
630 heavily cratered by small craters in LAMO (low-altitude mapping orbit) images with resolutions  
631 of about 35 m/pix. The high Hapke roughness could be associated with these small craters that  
632 are below the resolution of the data we used. In short, the areas on Ceres with high Hapke  
633 roughness, whatever its true physical interpretations are, could be related to Vendemia Planitia  
634 (Kerwan and Dantu) and their associated materials and geomorphology.

### 635 *5.3. Normal albedo*

636 The normal albedo maps derived from empirical models are shown in Figs. 7 and 8, and those  
637 derived from Hapke model with 1pHG and 2pHG are shown in Fig. 11. Despite the fact that the  
638 2pHG Hapke model produced substantial artifacts in its individual parameter maps, the map of  
639 normal albedo is almost identical to that produced by the 1pHG Hapke model. This is because  
640 normal albedo is defined at  $0^\circ$  phase angle, it is minimally affected by the maximum phase angle  
641 of data used in modeling.

642 Comparisons among the normal albedo maps produced by all four models show an excellent  
643 agreement in the spatial distribution and the relative brightness scale almost everywhere down to  
644 the size of  $\sim 20$  km, with only a slight difference in the north-west ejecta field of Occator crater as  
645 mentioned before. We consider these maps high fidelity. On the other hand, the absolute albedo  
646 scales of the maps produced by empirical models are lower than those of maps produced by Hapke  
647 models by about 24%. This is due to the fact that the empirical phase function that we adopted  
648 (Eq. 7) does not include the opposition effect, while the Hapke models do.

649 The histogram of the normal albedo map (after re-projected to sinusoidal projection) of Ceres  
650 shows a narrow, single-peak distribution (Fig. 12). The average normal albedo is 0.10 based on  
651 the normal albedo map, consistent with the normal albedo of 0.096 from the global photometric  
652 modeling using the 1pHG Hapke model (Section 4). Note that the geometric albedo and average  
653 normal albedo of Ceres are within 1% of one another, but not exactly the same. The distribution  
654 of normal albedo is narrow, with a full-width-at-half-maximum of about 6% of the average, in  
655 excellent agreement with the previous observations from HST at about 30 km/pixel (Li et al., 2006).  
656 Generally, higher spatial resolution is able to bring up more extreme albedo features, if existent,  
657 to broaden the albedo distribution for planetary surfaces. Therefore, any features with extreme  
658 albedo on Ceres must be at scales smaller than a few km. The overall albedo distribution on Ceres  
659 is quite narrow, despite the existence of some small areas with extremely high albedo, such as  
660 Cerealia Facula (Li et al., 2016b, Schröder et al., 2017).

661 The normal albedo, and by extrapolation the Bond albedo, of Ceres is rather uniform, and  
662 therefore the amount of absorbed solar energy therefore varies little over the globe. We zonally  
663 averaged the albedo map and repeated the depth-to-ice calculations described in Schorghofer  
664 (2016) and Prettyman et al. (2017). Changes in predicted depth-to-ice are less than 1%, and these  
665 albedo variations are too small to explain the hemispheric asymmetry observed in the hydrogen  
666 content (Prettyman et al., 2017).

### 667 *5.4. Wavelength dependence (color)*

668 In this section, we discuss the spatial variations of the wavelength dependence of the Hapke  
669 parameters on the surface of Ceres. Such variations manifest themselves as changes in the  
670 parameter maps from band to band. For this study, we generated various color composite maps  
671 by assigning the maps of the same parameter at various selected wavelengths, or the ratios of maps  
672 from different wavelengths, to red, green, and blue channels. One color composite map we used  
673 assigns F5 (960 nm), F3 (750 nm), and F8 (440 nm) filters to RGB channels, respectively. This  
674 color composite is termed “enhanced color” in our work. The second color composite has the ratio  
675 of F5/F3, F3, and the ratio of F3/F8 in RGB, respectively, and is termed “ratio-albedo color”,  
676 although it can be used for more parameters than just albedo. The third color composite uses the  
677 ratios of F5/F3, F2/F3, and F8/F3 for RGB, respectively, and we call it “ratio color”. The enhanced  
678 color scheme is exactly what was adopted in the initial study of Ceres color properties by Nathues  
679 et al. (2016b), and similar to what was used by Schröder et al. (2017) where they replaced F3 with  
680 F2 (550 nm). The ratio color scheme is also the same as those used by Nathues et al. (2016b) and  
681 Schröder et al. (2017). We will use all three color-composite to study normal albedo maps, and  
682 the enhanced color only to study asymmetry factor and roughness maps. The meaning of these  
683 color composites will be discussed for each parameter.

684 The three-color composite maps of Ceres are shown in Fig. 13. The wavelength dependence  
685 of normal albedo is a spectrum in the usual sense. The enhanced color map corresponds to the  
686 color of the surface of Ceres in our common sense, but extends to UV (440 nm) and NIR (960 nm)  
687 with much exaggerated color stretch. Our enhanced color composite and ratio color composite  
688 appear to be similar to the previously reported maps by Nathues et al. (2016b) and Schröder et al.  
689 (2017), although with different stretches in color channels and different projections. We do not  
690 discuss them in detail here, and readers are referred to previous studies for the analysis and  
691 interpretations.

692 The enhanced color map of asymmetry factor is shown in Fig. 14. Overall the color variations  
693 in the map are bland, with only slight brightness patterns but not much color patterns. Some  
694 patterns, such as the sawtooth pattern at 120° to 300° longitude and -30° and 0° latitude, have  
695 similar distribution as the maximum phase angle map (Fig. 2) and must be artifacts. It is hard to  
696 say whether the slight magenta and greenish color contrast between west and east hemispheres is  
697 real or not, but given that its strength is similar to the sawtooth artifacts, they are likely artifacts.  
698 In addition, the horizontal line at about -20° latitude extending around the globe should also be an  
699 artifact due to its highly regular shape that does not appear to correlate with any geological features  
700 on Ceres. Compared to the asymmetric factor map in a single band (Fig. 9), the areas where  
701 backscattering is relatively enhanced in 20° to 120° longitude and 0° to +20° latitude, and in 160°  
702 to 230° longitude and 0° to 30° latitude disappears. The regions associated with some bright craters,  
703 such as Haulani and Kupalo where backscattering is relatively weak, are also invisible.

704 From disk-integrated photometric modeling, we showed that the SPPF of Ceres has less  
705 backscattering towards longer wavelength (Fig. 3, Tables 1 and 2). This behavior is similar across  
706 the surface of Ceres, as suggested by the spectra of  $\xi$  for a few areas that we checked (Fig. 14).  
707 To avoid possible artifacts in latitudinal direction because of the different ranges of scattering  
708 geometry (especially the maximum phase angle, Fig. 2), the features we checked are between 0°  
709 and +30° longitude. They all have similar overall slope across the visible wavelengths of the FC  
710 filters, despite the scatters at some wavelengths, although the absolute values are different, with

711 bright craters such as Haulani relatively less backscattering than dark areas such as the dark ejecta  
712 of Occator crater. In summary, the color map of asymmetry factor suggests that its wavelength  
713 dependence does not vary much over its surface.

714 Similar to the asymmetry factor, the roughness parameter does not show much wavelength  
715 dependence over the whole surface of Ceres either (Fig. 15). The band with light magenta color  
716 at  $0^\circ$  to  $15^\circ$  latitude over the full longitude, as well as the sawtooth shaped patterns, are all artifacts,  
717 again due to the distribution of maximum phase angle (Fig. 2). As we discussed before, roughness  
718 should not depend on wavelength. The roughness spectra of five locations on Ceres all show  
719 similar shapes as the global average roughness parameter as shown in Fig. 3.

## 720 **6. Discussion**

### 721 *6.1. Forward scattering*

722 As discussed in Section 4.2, the phase function of Ceres is better described with a 2pHG, and  
723 1pHG results in a systematic bias in the model. Similar behavior has not been previously reported  
724 for other asteroids using spacecraft images (Table. 3). Asteroids (2867) Šteins was studied with  
725 1pHG and 2pHG, as well as 3-parameter HG function where there are two separate parameters for  
726 backward and forward scattering terms in Eq. 3, and 1pHG was able to fit the phase function well  
727 (Spjuth et al., 2012). Asteroid (21) Lutetia (Masoumzadeh et al., 2015) and (4) Vesta (Li et al.  
728 2013) were modeled with the 1pHG only and the models performed well for both objects without  
729 systematic bias. Domingue et al. (2002) used 2pHG to model (433) Eros with the NEAR/MSI  
730 data, but found  $c = 0$ , suggesting that the phase function can be well fitted by 1pHG. Clark et al.  
731 (2002) and Li et al. (2004) were both able to fit the phase functions of Eros in the near-IR and  
732 visible wavelengths, respectively, with the 1pHG. (253) Mathilde was modeled with both 1pHG  
733 and 3pHG, and the 1pHG fitted data well (Clark et al., 1999). (243) Ida (Helfenstein et al., 1996),  
734 (951) Gaspra (Helfenstein et al., 1994), and (25143) Itokawa (Kitazato et al., 2008) were all fitted  
735 well with the 1pHG, although these data were either much poorer in quality than those from later  
736 missions or have relatively narrower coverages in phase angle. It could be possible that other  
737 asteroids also require 2pHG to describe their forward scattering behavior should sufficient data at  
738 high phase angles be available. But our results do suggest that, compared to other asteroids, the  
739 forward scattering of Ceres starts at relatively lower phase angles.

740 The fact that Ceres' regolith might be more forward scattering than that of other asteroids is  
741 intriguing. We can gain some insights about the physical characteristics of Ceres regolith from its  
742 phase function based on relevant laboratory work of planetary surface simulants (McGuire and  
743 Hapke, 1995; Souchon et al., 2011). In the plot of  $b$  vs.  $c$  as measured from the laboratory (Fig.  
744 8a in Souchon et al., 2011), Ceres is in a location between the grains with medium and low  
745 densities of internal scatterers. Therefore, the regolith grains of Ceres are expected to have rough  
746 surfaces and contain relatively fewer internal scatterers compared to those on other asteroids.

747 What might cause such differences in the physical properties of regolith grains on Ceres  
748 compared to other asteroids? The primary difference between Ceres and other asteroids on the  
749 global scale is probably the ubiquitous phyllosilicates distribution and the relatively high  
750 abundance of carbonates (De Sanctis et al., 2015; Ammannito et al., 2016). For those asteroids  
751 listed in Table 3, the only other one that could have a similar composition as Ceres is Mathilde.

752 However, neither the  $0.7\ \mu\text{m}$  nor the  $2.8\ \mu\text{m}$  feature that are commonly associated with hydration  
753 in phyllosilicates is evident in the spectrum of Mathilde, whose near-IR spectrum appears to be  
754 consistent with a sample of Murchison heated to  $900^\circ\text{C}$  (Binzel et al., 1996; Rivkin et al., 1997).  
755 Modeling suggested that the average temperatures at and near the surfaces of Ceres are never  
756 expected to exceed  $300\text{ K}$  (e.g., McCord and Sotin, 2005; Castillo-Rogez and McCord, 2010;  
757 Neveu et al., 2015; Formisano et al., 2016a; b). In addition, ample evidence suggests that water  
758 ice, water of hydration, or even liquid water is present on or close to the surface of Ceres (e.g.,  
759 Combe et al., 2016; Ruesch et al., 2016; Sizemore et al., 2017; Prettyman et al., 2017; Schmidt et  
760 al., 2017; Nathues et al., 2017, etc.). Therefore, the surface regolith of Ceres is aqueously altered,  
761 never heated, and rich in water ice and/or hydration. Interestingly, laboratory experiments showed  
762 that Mars soils analogs become more forward scattering after wetting by a few percent of water or  
763 water ice, and even after completed drying up (Pommerol et al., 2013). The SPPF of Ceres is also  
764 compatible with that of the phyllosilicate sample nontronite in the visible as measured in the  
765 laboratory (Pilorget et al., 2016). Therefore, the water-rich and aqueously altered composition of  
766 Ceres might be associated with its relatively strong forward scattering compared to other asteroids  
767 imaged by spacecraft so far. We should probably expect similar behaviors for other asteroids of  
768 similar compositions.

## 769 *6.2. Spatial variations in phase function*

770 Empirical modeling shows that the slope of the surface phase function varies across the surface  
771 of Ceres (Section 5.1, Figs. 7 and 8, and Schröder et al., 2017). The phase function combines the  
772 effects of opposition effect, SPPF, and roughness. While it is relatively certain that the variations  
773 in Vesta's surface phase function are likely caused by roughness associated with various geological  
774 settings (Schröder et al., 2013a), it is not clear that geological settings are the predominant causes  
775 for such variations in the case of Ceres. Our photometric mapping with the Hapke model suggests  
776 that it is likely the SPPF, rather than the roughness parameter, that dominates such variations.

777 The spatial variations of  $\xi$  across Ceres surface appear to be correlated with albedo (Figs. 9 &  
778 10). For the range of SSA of  $0.09 - 0.12$ , the corresponding variations in  $\xi$  is about  $-0.35$  to  $-0.31$   
779 (Fig. 10). The SPPF is generally determined by the physical characteristics of regolith grains  
780 (McGuire and Hapke, 1995; Souchon et al., 2011). We consider that the most likely cause for  
781 these variations should be the transparency of regolith grains, where grains with relatively higher  
782 transparency increases the albedo, and make the scattering function relatively more isotropic (less  
783 backscattering). Because the correlation between albedo and phase slope is commonly found for  
784 asteroids (Li et al., 2015), it seems prudent that, for the interpretations of any phase slope variations,  
785 we should first check whether there is any correlation with albedo. If such correlation exists, one  
786 must first estimate how much variation in phase slope might be caused by the variations in the  
787 SPPF, before attributing phase slope variations to roughness variations.

788 Based on these principles, we went back and checked our interpretations for the photometric  
789 variations of Ceres as presented here, as well as those for Vesta as presented by Schröder et al.  
790 (2013a). For Ceres, the variations in phase slope are in general correlated with albedo (Figs. 7 and  
791 8), and we show that most of these variations are caused by variations in SPPF (Figs. 9 and 10).  
792 The variations in roughness are concentrated in local areas, but generally minimal on a global scale.  
793 For Vesta, on the other hand, the areas where there are prominent variations in phase slope

794 generally do not show prominent variations in normal albedo, or show a correlation with normal  
795 albedo that are opposite to the general albedo-phase slope correlation aforementioned. Those areas  
796 include the ejecta field of Cornelia crater and the southern floor of Numisia crater (Fig. 13 in  
797 Schröder et al., 2013a), the ejecta field of Tuccia crater, the debris field in the southern part of  
798 Antonia crater floor, and the wall of Mariamne crater (Fig. 14 in Schröder et al., 2013a). Therefore,  
799 the interpretation that the phase slope variations for those areas are due primarily to roughness but  
800 not SPPF is justified.

### 801 *6.3. Phase reddening (wavelength dependence of light scattering)*

802 At a first glance, the phase reddening behavior of Ceres does not seem to be special when  
803 compared to other objects (Section 4.2). However, a detailed analysis offers us some insights into  
804 the phase reddening as well as the physical properties of Ceres regolith grains.

805 Phase reddening is equivalent to wavelength dependence of surface phase function, or  
806 specifically, shallower phase slope (less backscattering) towards longer wavelengths. For  
807 asteroids with a silicate composition, such as Vesta, Eros, and Itokawa, it has long been noticed  
808 that their asymmetry factors,  $\xi$ , only show a weak dependence on wavelength (Li et al., 2013;  
809 Clark et al., 2002; Li et al., 2004; Kitazato et al., 2008; Li et al., 2018), whereas their spectra show  
810 a general red slope outside the 1- $\mu\text{m}$  and 2- $\mu\text{m}$  mafic bands (e.g., Reddy et al., 2011; Murchie and  
811 Pieters, 1996; Abe et al., 2006). In the Hapke model framework (Eq. 1), increased albedo at longer  
812 wavelengths increases the multiple scattering term,  $H(\mu_0, w)H(\mu, w) - 1$ , relative to the single  
813 scattering term. Therefore, it is generally considered that the increase of multiple scattering  
814 towards longer wavelengths causes phase reddening, while the SPPF should not have much effect  
815 (Muinonen et al., 2002). The deepening of the 1- $\mu\text{m}$  band with increasing phase angle for Vesta  
816 (Reddy et al., 2011) is also consistent with this hypothesis. In addition, recent laboratory studies  
817 suggested that small-scale surface roughness could also play a role in determining the  
818 characteristics of phase reddening (Beck et al., 2012; Schröder et al., 2014b).

819 Compared to silicate composition asteroids, Ceres has a much lower albedo, and displays a flat  
820 spectrum in the visible and near-IR spectral range (cf. Rivkin et al., 2011; Nathues et al., 2015b).  
821 Multiple scattering is thus expected to be much lower than for those asteroids and should not  
822 change much with wavelength. On the other hand, the SPPF of Ceres clearly shows a trend of  
823 weaker backscattering towards longer wavelengths (Fig. 3). Therefore, phase reddening of Ceres  
824 is not likely controlled by multiple scattering, but more likely by single scattering and/or small-  
825 scale roughness.

826 If single scattering is the cause of phase reddening for Ceres, what could cause the wavelength  
827 dependence of SPPF for Ceres? Laboratory studies suggested that SPPFs are affected by, among  
828 other factors, the characteristics of surface and/or internal scatterers of grains (Souchon et al.,  
829 2011). Pilorget et al. (2016) analyzed the wavelength dependence of the SPPFs of the laboratory  
830 samples of basalt, olivine, phyllosilicate, and carbonate, and showed similar behavior in their  
831 carbonate sample (magnesite) in the visible, where more forward scattering (decreasing  $c$ ) and less  
832 prominent anisotropic lobe (decreasing  $b$ ) appear with increasing wavelength. The SPPFs of all  
833 other samples have different types of wavelength dependence. Based on the SEM imaging and  
834 the absorptivity analysis of their samples, Pilorget et al. (2016) suggested that the interaction of

835 light with the surface structure of scattering grains, such as the roughness and the  $\mu\text{m}$  scale particles  
836 covering the surface, causes the wavelength dependence of their scattering behaviors. Therefore,  
837 we hypothesize that the regolith grains on the surface of Ceres either contain a considerable  
838 fraction of  $\mu\text{m}$ -sized or smaller grains, as suggested by Vernazza et al. (2017), or are strongly  
839 affected by those small-scale surface or internal scatterers, such as defects, impurities, or voids.  
840 The scattering efficiency of these small scatterers in the visible decreases with wavelength, and so  
841 the grains tend to be more transparent and less backscattering at longer wavelengths where the  
842 internal scatterers become less significant. Based on this hypothesis, the similar wavelength  
843 dependence of the asymmetry factor across the whole surface of Ceres (Section 5.4, Fig. 14)  
844 indicates that the properties of internal scatterers in Ceres regolith grains do not vary spatially. On  
845 the other hand, those other asteroids whose SPPFs do not depend on wavelengths may have  
846 regolith grains that are larger in size, or contain internal scatterers a few  $\mu\text{m}$  or larger.

847 The small grain size in Ceres regolith is consistent with the measured thermal inertia of the  
848 surface as well as with vapor diffusivity requirements inferred from nuclear spectroscopy. Earth-  
849 based observations indicate the thermal inertia of Ceres is about  $15 [\text{J m}^{-2} \text{K}^{-1} \text{s}^{0.5}]$  (Rivkin et al.,  
850 2011). Recent laboratory measurements by Sakatani et al. (2018) confirm extremely low thermal  
851 conductivity values for small grain size and high porosity. For the thermal environment on Ceres  
852 specifically, the thermal inertia value is consistent with particle sizes well below  $100 \mu\text{m}$   
853 (Schorghofer, 2016). The existence of near surface water ice at mid- and high-latitudes (Prettyman  
854 et al., 2017) also requires small grain size because this ice is lost to space by diffusion through the  
855 porous surface, with smaller pore sizes leading to slower diffusion. Models of ice loss suggest that  
856 the shallow depths to ice are best matched if the grain size (which affects pore size) is assumed to  
857 be around  $1 \mu\text{m}$  (Prettyman et al., 2017).

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1063 Table 1. Best-fit parameters of Ceres with the Hapke model using a 2pHG.  $B_0=1.6$  and  $h=0.06$   
 1064 are fixed in the model fitting. The albedos listed here are all corrected for stray light by scaling  
 1065 (see Section 2.2 for details).  
 1066

| Filter | $\lambda$ (nm) | $w$   | $b$   | $c$    | $\xi$  | $\bar{\theta}$ (°) | $A_{\text{geo}}$ | $A_{\text{Bond}}$ | RMS (%) |
|--------|----------------|-------|-------|--------|--------|--------------------|------------------|-------------------|---------|
| F2     | 554            | 0.143 | 0.372 | 0.081  | -0.030 | 19.6               | 0.096            | 0.037             | 3.6     |
| F3     | 748            | 0.139 | 0.364 | 0.048  | -0.018 | 19.2               | 0.089            | 0.036             | 3.6     |
| F4     | 916            | 0.141 | 0.361 | -0.006 | -0.002 | 20.4               | 0.086            | 0.034             | 4.6     |
| F5     | 961            | 0.140 | 0.358 | -0.001 | 0.000  | 19.3               | 0.085            | 0.034             | 4.0     |
| F6     | 828            | 0.148 | 0.366 | -0.006 | 0.002  | 20.3               | 0.092            | 0.036             | 5.1     |
| F7     | 652            | 0.140 | 0.372 | 0.025  | -0.009 | 19.7               | 0.090            | 0.036             | 4.4     |
| F8     | 438            | 0.124 | 0.380 | 0.098  | -0.037 | 19.7               | 0.086            | 0.032             | 4.5     |

1067  
 1068 Table 2. Best-fit parameters of Ceres with the Hapke model using 1pHG.  $B_0=1.6$  and  $h=0.06$  are  
 1069 fixed in the model fitting. The albedos listed here are all corrected for stray light by scaling (see  
 1070 Section 2.2 for details).  
 1071  
 1072

| Filter | $\lambda$ (nm) | $w$   | $\xi$  | $\bar{\theta}$ (°) | $A_{\text{geo}}$ | $A_{\text{Bond}}$ | RMS (%) |
|--------|----------------|-------|--------|--------------------|------------------|-------------------|---------|
| F2     | 554            | 0.104 | -0.310 | 18.7               | 0.094            | 0.035             | 5.3     |
| F3     | 748            | 0.100 | -0.297 | 18.5               | 0.086            | 0.033             | 5.4     |
| F4     | 916            | 0.100 | -0.287 | 19.4               | 0.083            | 0.032             | 6.2     |
| F5     | 961            | 0.100 | -0.283 | 18.5               | 0.082            | 0.032             | 5.7     |
| F6     | 828            | 0.105 | -0.292 | 19.4               | 0.089            | 0.034             | 6.6     |
| F7     | 652            | 0.100 | -0.303 | 18.8               | 0.088            | 0.033             | 6.0     |
| F8     | 438            | 0.089 | -0.323 | 18.8               | 0.084            | 0.030             | 5.9     |

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Table 3. List of asteroids imaged by spacecraft and modeled with the Hapke model.

| Object          | Type | Range of Phase Angle (°)                        | 1p vs. 2p HG        | Reference                 |
|-----------------|------|---|---------------------|---------------------------|
| (1) Ceres       | C    | 7 – 95 (Dawn/FC)                                | 1p & 2p (better)    | This work                 |
|                 |      | 7 – 135 (Dawn/VIR)                              | 2p                  | Ciarniello et al. (2017)  |
| (2867) Šteins   | E    | 0 – 130 (Rosetta/OSIRIS)                        | 1p (best), 2p, & 3p | Spjuth et al. (2012)      |
| (21) Lutetia    | X    | 0.5 – 95 (Rosetta/OSIRIS)                       | 1p                  | Masoumzadeh et al. (2015) |
| (4) Vesta       | V    | 8 – 81 (Dawn/FC)                                | 1p                  | Li et al. (2013)          |
| (433) Eros      | S    | 54 – 89 (NEAR/MSI)<br>4 – 58 (Ground)           | 2p, $c = 0$         | Domingue et al. (2002)    |
|                 |      | 1.2 – 37, 76 – 111<br>(NEAR/NIS)                | 1p                  | Clark et al. (2002)       |
|                 |      | 54 – 108 (NEAR/MSI)<br>1 – 57 (Ground)          | 1p                  | Li et al. (2004)          |
| (253) Mathilde  | C    | 42 – 136 (NEAR/MSI)<br>1 – 17 (Ground)          | 1p and 3p           | Clark et al. (1999)       |
| (243) Ida       | S    | 20 – 60, 110 (Galileo/SSI)<br>0.6 – 21 (Ground) | 1p                  | Helfenstein et al. (1996) |
| (951) Gaspra    | S    | 33 – 51 (Galileo/SSI)<br>2 – 25 (Ground)        | 1p                  | Helfenstein et al. (1994) |
| (25143) Itokawa | S    | 0.5 – 39 (Hayabusa/NIRS)                        | 1p                  | Kitazato et al. (2008)    |
|                 |      | 0 – 39 (Hayabusa/AMICA)                         | 1p                  | Li et al. (2018)          |

1077

1078 **Figure Captions**

1079

1080 Figure 1. Reduced photometric data from filter F2. The three panels show RADF plotted with  
1081 respect to phase angle (upper), incidence angle (middle), and emission angle (lower). Data points  
1082 with  $i > 80^\circ$  or  $e > 80^\circ$  are discarded.

1083

1084 Figure 2. Photometric grid data characteristics. Pannel content is noted on the top of every panel.  
1085 Note that their color bar scales are all different.

1086

1087 Figure 3. Best-fit Hapke parameters for all four model cases (see text). The SSA plot is corrected  
1088 for stray light by a simple scaling as described in Section 2.2, although the bumps at 550 nm and  
1089 830 nm suggest that the correction may not be clean. The plots of  $b$  and  $c$  are for 2pHG model  
1090 only. The fits with the opposition parameter  $B_o$  and  $h$  free all result in  $B_o = 6.0$ , which is the upper  
1091 limit imposed in the model fitting, and the two lines are on top of one another. The statistical error  
1092 bars from the model fit itself are plotted, but in most cases are smaller than the symbols and not  
1093 visible. The vertical bars in the three plots for SSA,  $A_{\text{geo}}$ , and  $A_{\text{Bond}}$  (the three plots in the bottom  
1094 row) represent the approximate photometric calibration error bars of 5%. See text for a full  
1095 analysis of the modeling uncertainties.

1096

1097 Figure 4. Quality plots of the Hapke model fitting with the F2 filter data (555 nm). Panels (a) and  
1098 (b) are for 1pHG model, and panels (c) and (d) are for 2pHG model. In these two cases, the  
1099 opposition parameters are fixed at  $B_o = 1.6$  and  $h = 0.06$ . The ratio between measured RADF and  
1100 modeled RADF with respect to phase angle  $\alpha$  for the 1pHG (panel a) shows an obvious systematic  
1101 trend, which does not appear in the plot for 2pHG (panel c). There is no systematic trend with  
1102 respect to  $i$  and  $e$  for either the 1pHG or 2pHG form of the Hapke model. The model RMS's are  
1103 5.3% and 3.6% for the 1pHG and 2pHG cases, respectively.

1104

1105 Figure 5.  $\chi^2$  plot with respect to fixed roughness parameter  $\bar{\theta}$  as an example for our uncertainty  
1106 estimate of Hapke model parameters. The lower and upper horizontal dashed lines mark the  
1107 position of minimum  $\chi^2$  and of twice of the minimum. The range of uncertainty for  $\bar{\theta}$  is estimated  
1108 to range from  $13^\circ$  to  $27^\circ$ .

1109

1110 Figure 6. Panel (a) is the best-fit single-particle phase function to Ceres data in all seven color  
1111 filters. Solid lines are 2pHG results, and dashed lines are 1pHG results. The lines of 438 nm are  
1112 plotted at the original y-scale, while the lines for all other bands are shifted upward by an increment  
1113 of 0.1 in y-axis for clarity. Panel (b) is the corresponding disk-integrated phase function, with the  
1114 same legend as panel (a). All phase functions are normalized to unity at opposition, with the y-  
1115 scale of the phase curves of 438 nm at the original scale and all other lines scaled upward by an  
1116 increment of 20% in y-axis for clarity. Panel (c) is the ratio of disk-integrated phase function to  
1117 the one at 961 nm (the longest wavelength in our dataset). Panel (d) is the same as panel (c) but  
1118 zoomed in to show phase angles between  $0^\circ$  and  $30^\circ$ .

1119

1120 Figure 7. Maps of linear magnitude phase function model parameters with the Akimov disk-  
1121 function in F2 (555 nm). The white areas at high latitudes are not mapped due to insufficient  
1122 number of data points that satisfy our cutoff criteria. The map of phase slope  $\nu$  and that of  $\beta$  are

1123 identical except for a scaling factor. The normal albedo map and the  $\nu$  map are displayed with the  
1124 same scale bars as in Figure 10 of Schröder et al. (2017), and can be compared directly.

1125  
1126 Figure 8. Same as in Figure 7 but derived with the LS disk-function model. The color scales in  
1127 normal albedo map and the RMS map are the same as in Figure 7, but those of the phase slope  
1128 maps are slightly different.

1129  
1130 Figure 9. Maps of parameters and RMS of Ceres in F2 filter derived with the 5-parameter Hapke  
1131 model. White areas are not mapped due to insufficient data points in the grid.

1132  
1133 Figure 10. The upper panel shows the correlation between SSA and asymmetry factor for the  
1134 region between  $\pm 30^\circ$  latitude in the F2 filter, where lower albedo corresponds to relatively stronger  
1135 backscattering, and vice versa. The lower panel shows the overall lack of correlation between  
1136 albedo and roughness parameter for the same area. We did not include high latitude regions  
1137 outside of  $\pm 30^\circ$  in this study because the photometric maps are not sufficiently reliable.

1138  
1139 Figure 11. Normal albedo maps in F2 filter derived from the Hapke model with 1pHG (upper  
1140 panel) and 2pHG (lower panel).

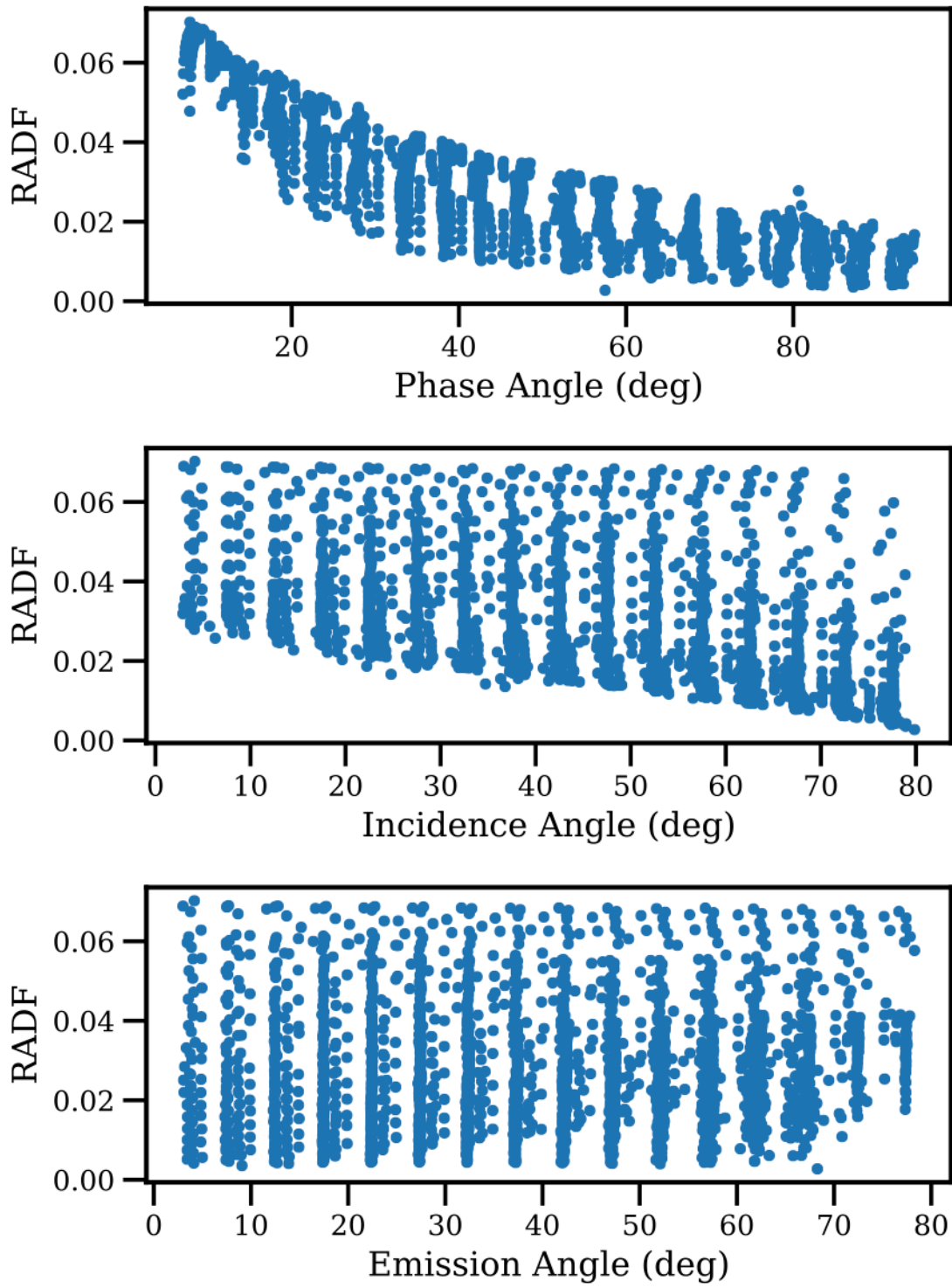
1141  
1142 Figure 12. Ceres' normal albedo histogram in F2 filter.

1143  
1144 Figure 13. Color composite maps of Ceres: enhanced color map (upper panel), ratio-albedo color  
1145 map (middle panel), and ratio color map (lower panel). See text for the color assignment scheme  
1146 and description of these color maps. Some major geological features are marked in the maps right  
1147 above the corresponding labels.

1148  
1149 Figure 14. Enhanced color map of the asymmetry factor  $\xi$  (upper panel), and the spectral plot of  
1150  $\xi$  for selected regions (lower panels). The bottom left panel plots the spectra directly and the  
1151 bottom right panel plots the same spectra normalized to the values at 750 nm. The plot uses  
1152 average values inside  $4^\circ \times 4^\circ$  boxes centered at the features as marked in the map. The color  
1153 variations in this color map are mild.

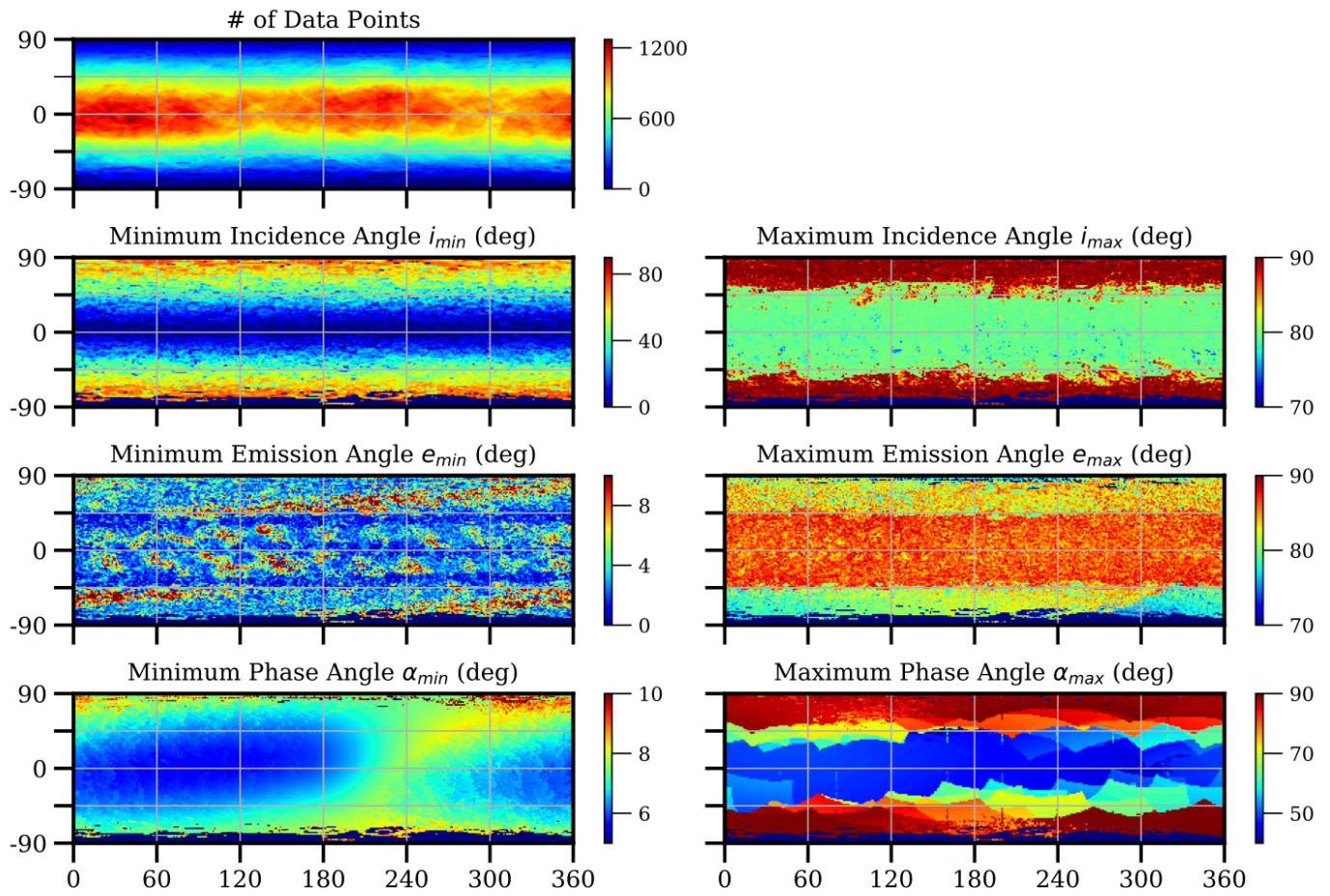
1154  
1155 Figure 15. Enhanced color map of roughness (upper panel) and roughness "spectra" of selected  
1156 regions on Ceres (lower panel). The horizontal band in light magenta color along the equator, as  
1157 well as the sawtooth patterns are all likely artifacts due to the change in maximum phase angles  
1158 for the data used in the modeling. The plot uses average values inside  $4^\circ \times 4^\circ$  boxes centered at the  
1159 features as marked in the map. No wavelength dependence of roughness is evident across Ceres'  
1160 surface.

1161 **Figure 1**



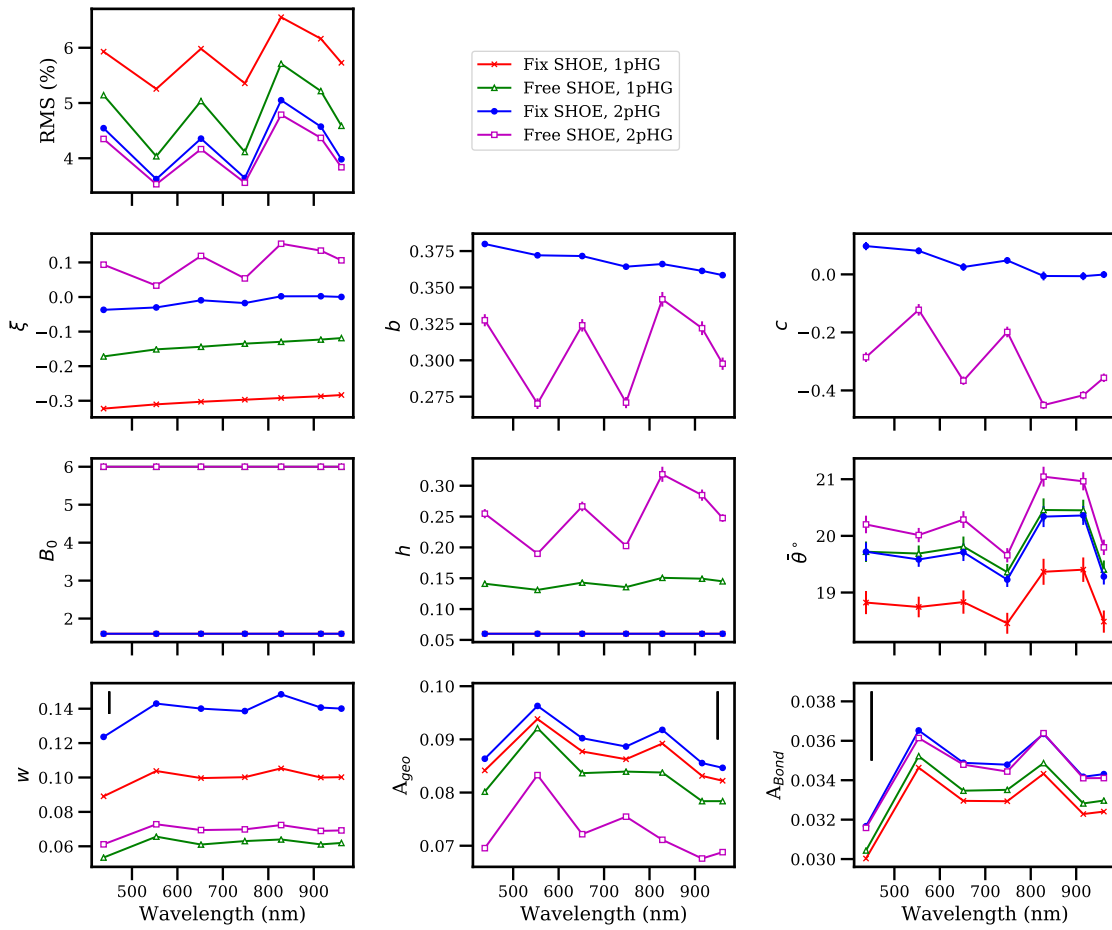
1162  
1163

1164 **Figure 2**  
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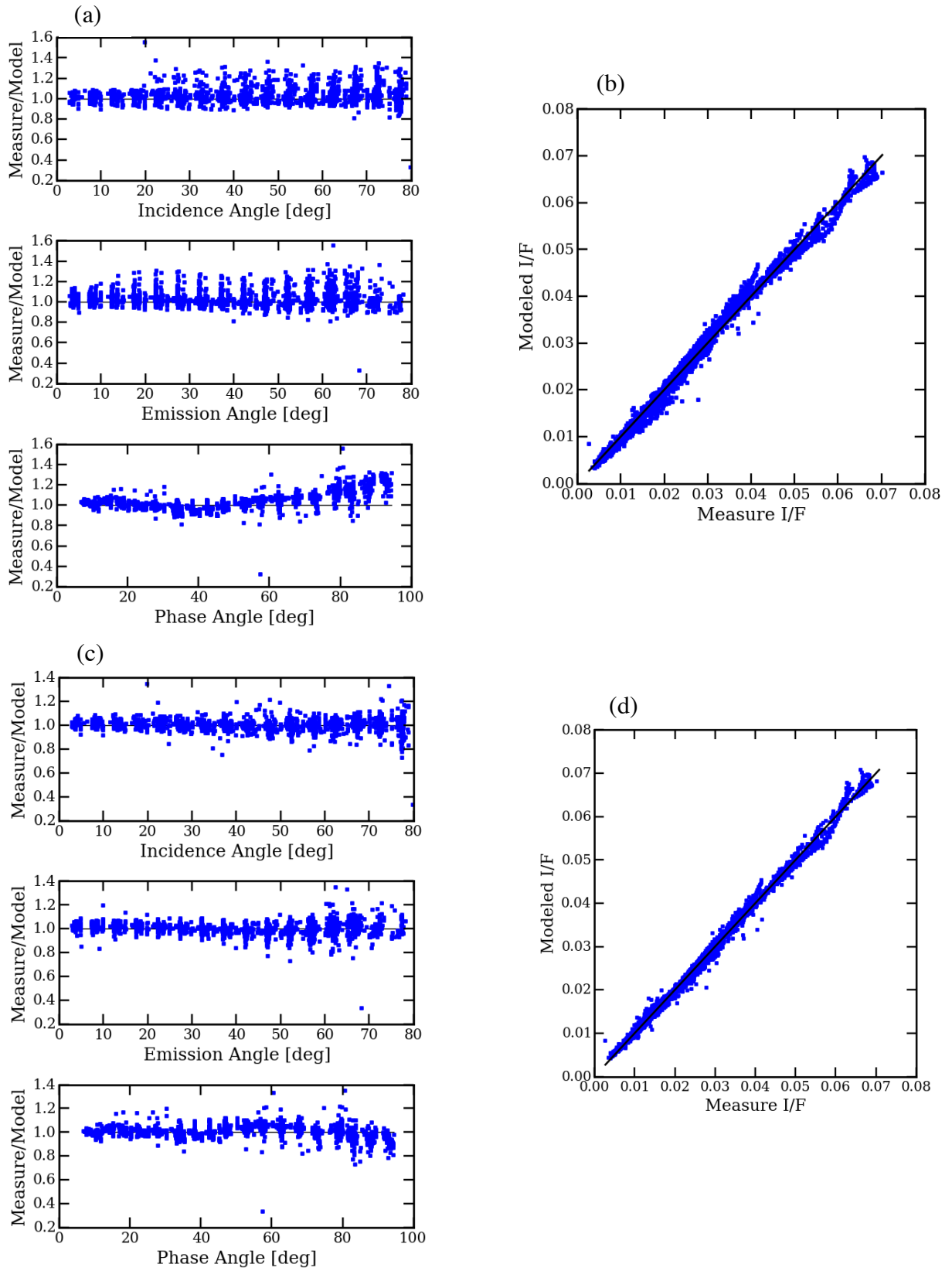
1168 **Figure 3**



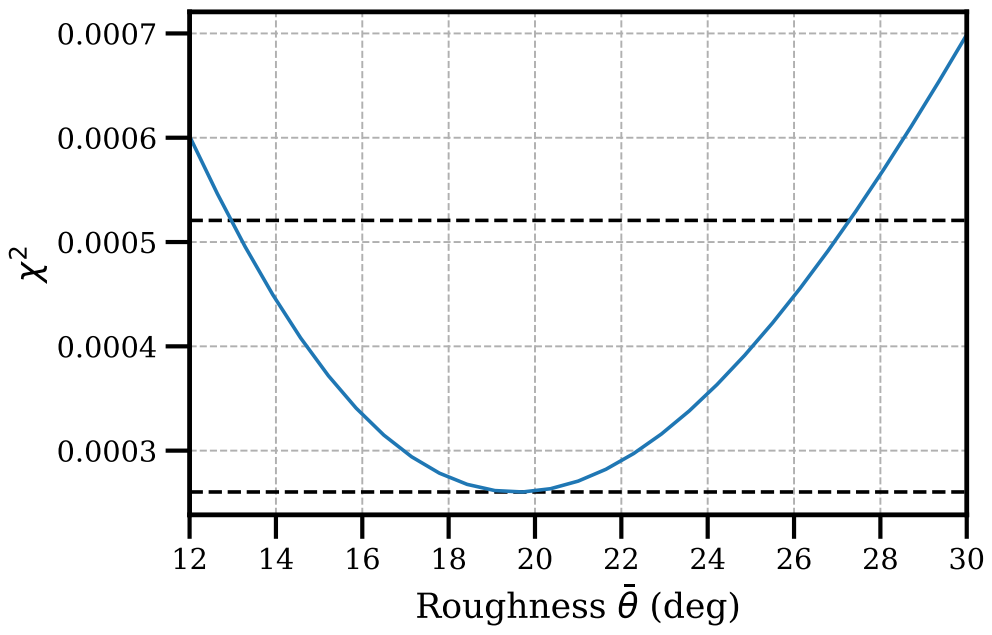
1169

1170

1171 **Figure 4**

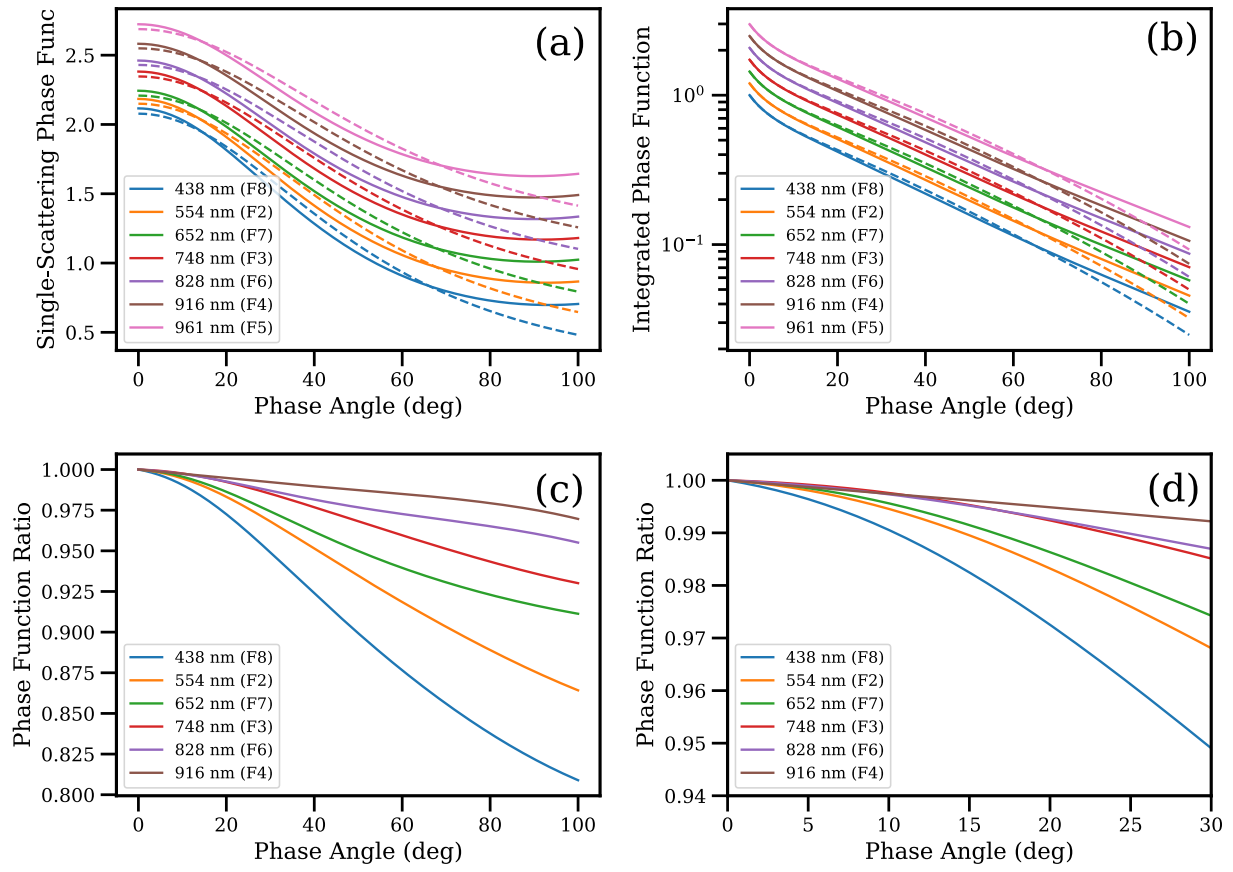


1172 **Figure 5**  
1173



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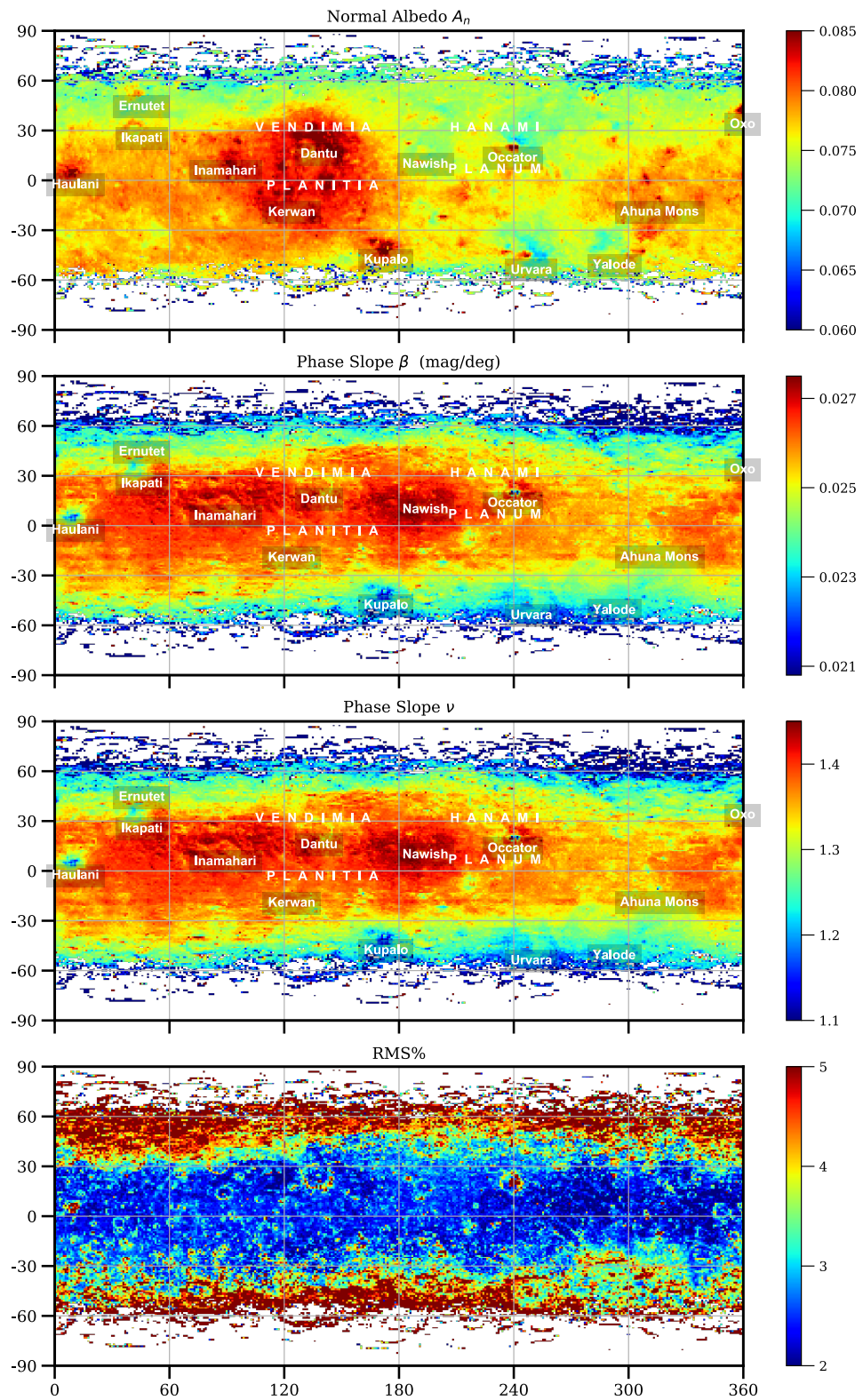
1175 **Figure 6**



1176

1177

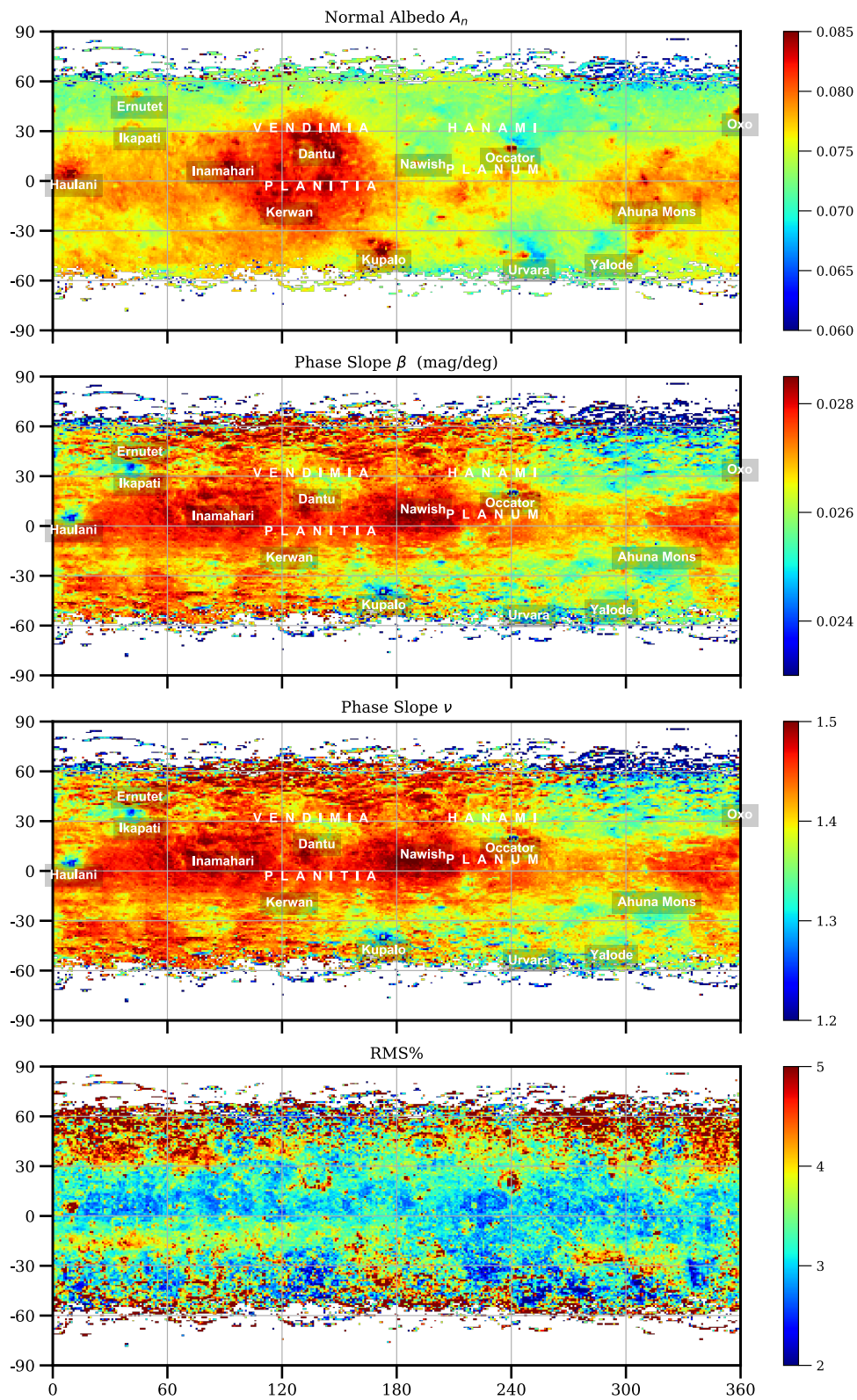
1178



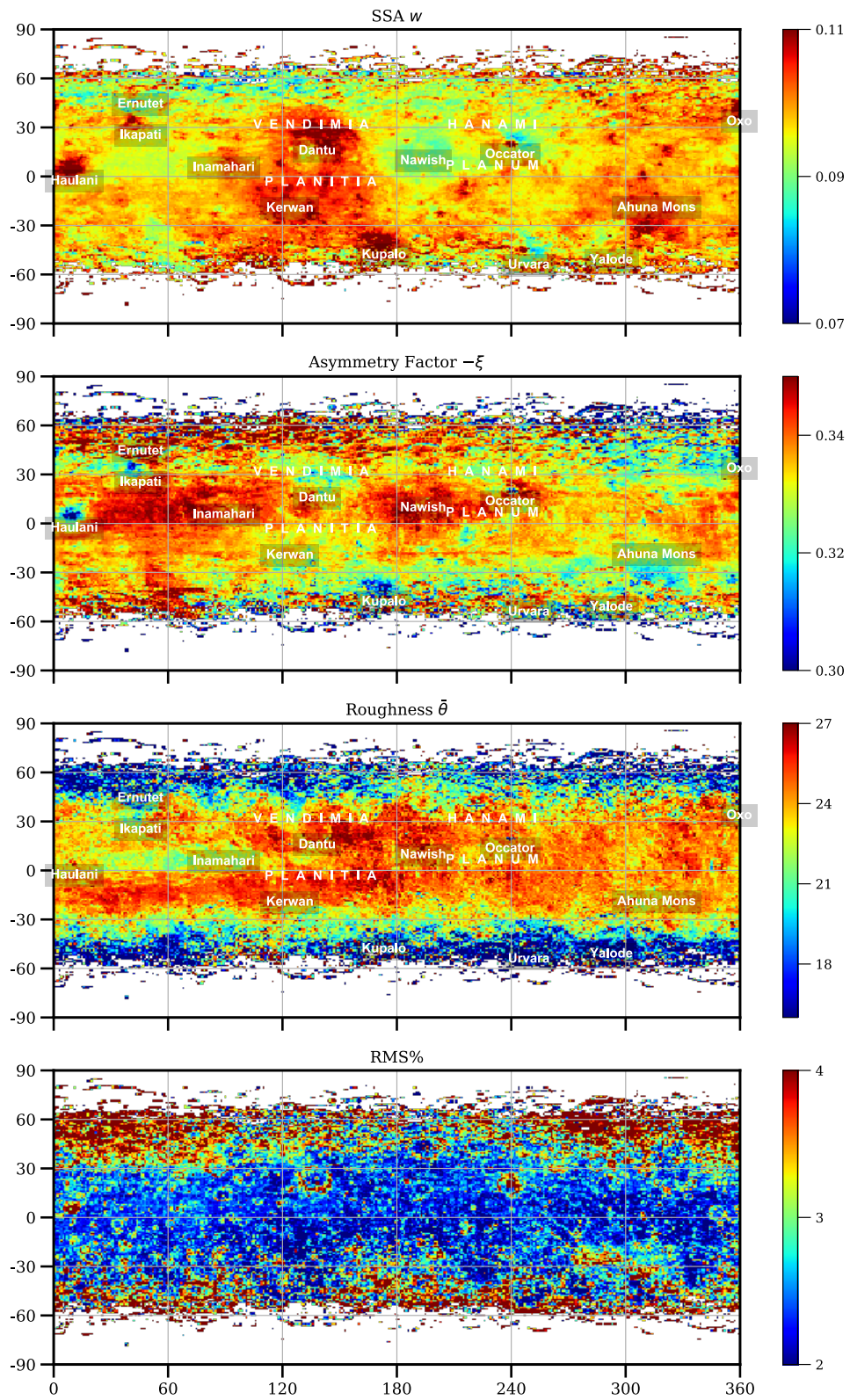
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1181

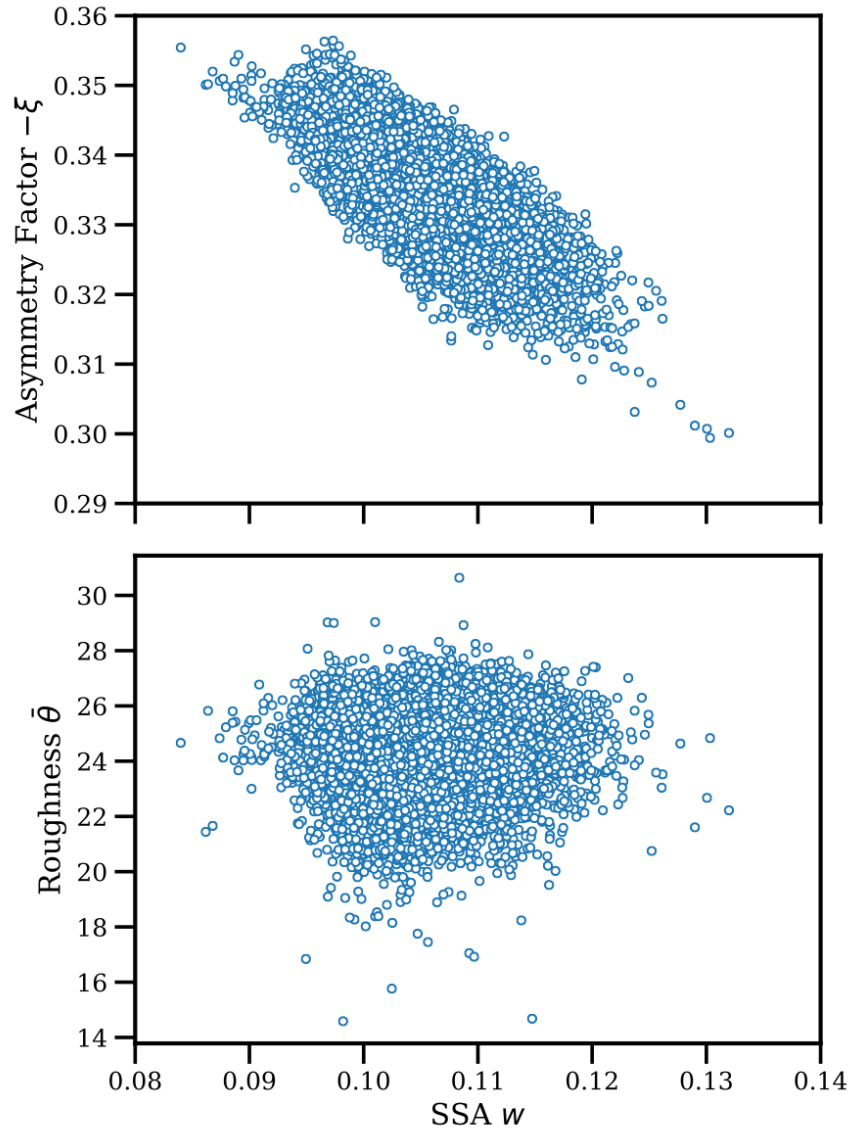
1182 **Figure 8**



1183  
1184

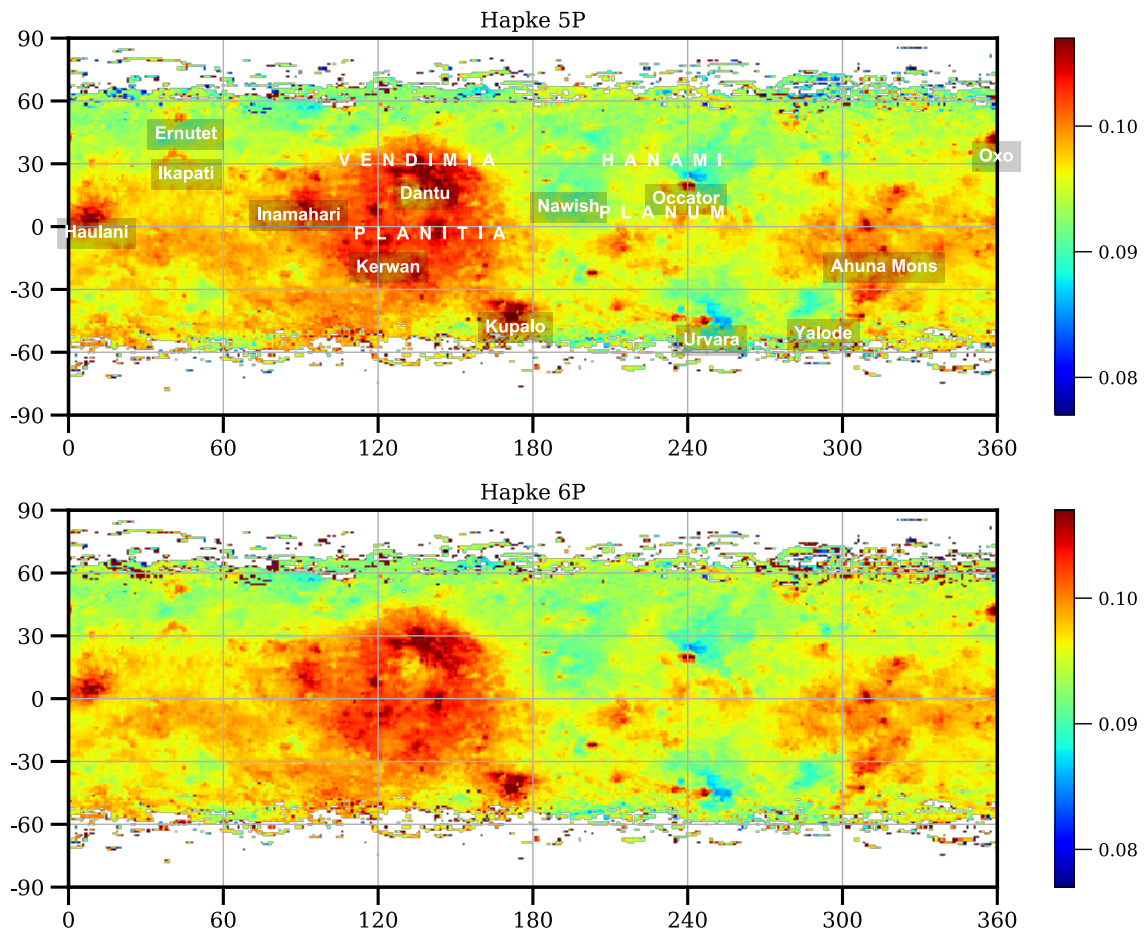


1187 **Figure 10**  
1188



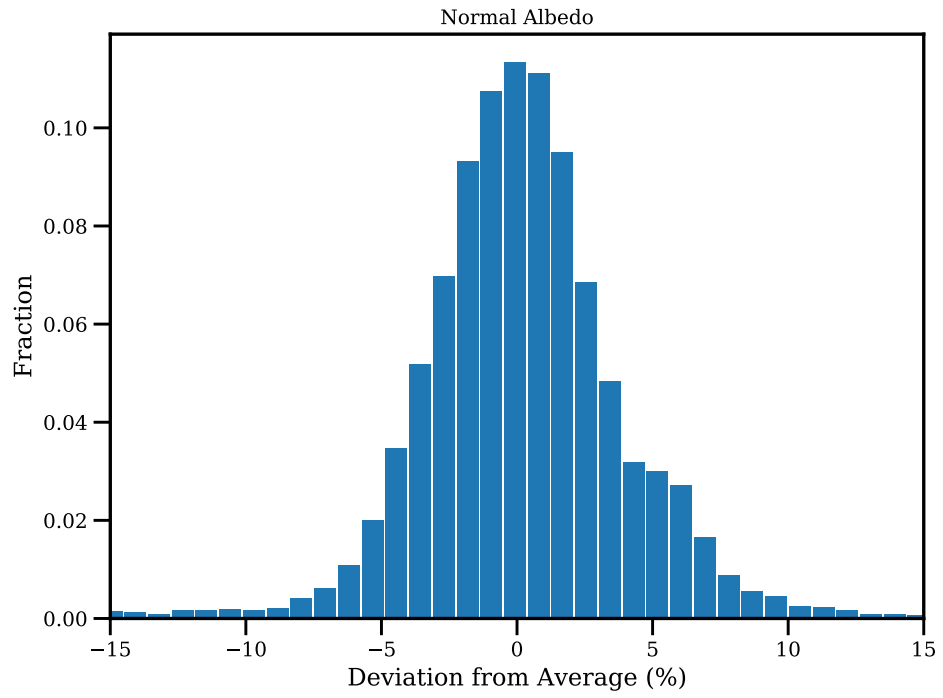
1189  
1190

1191 **Figure 11**



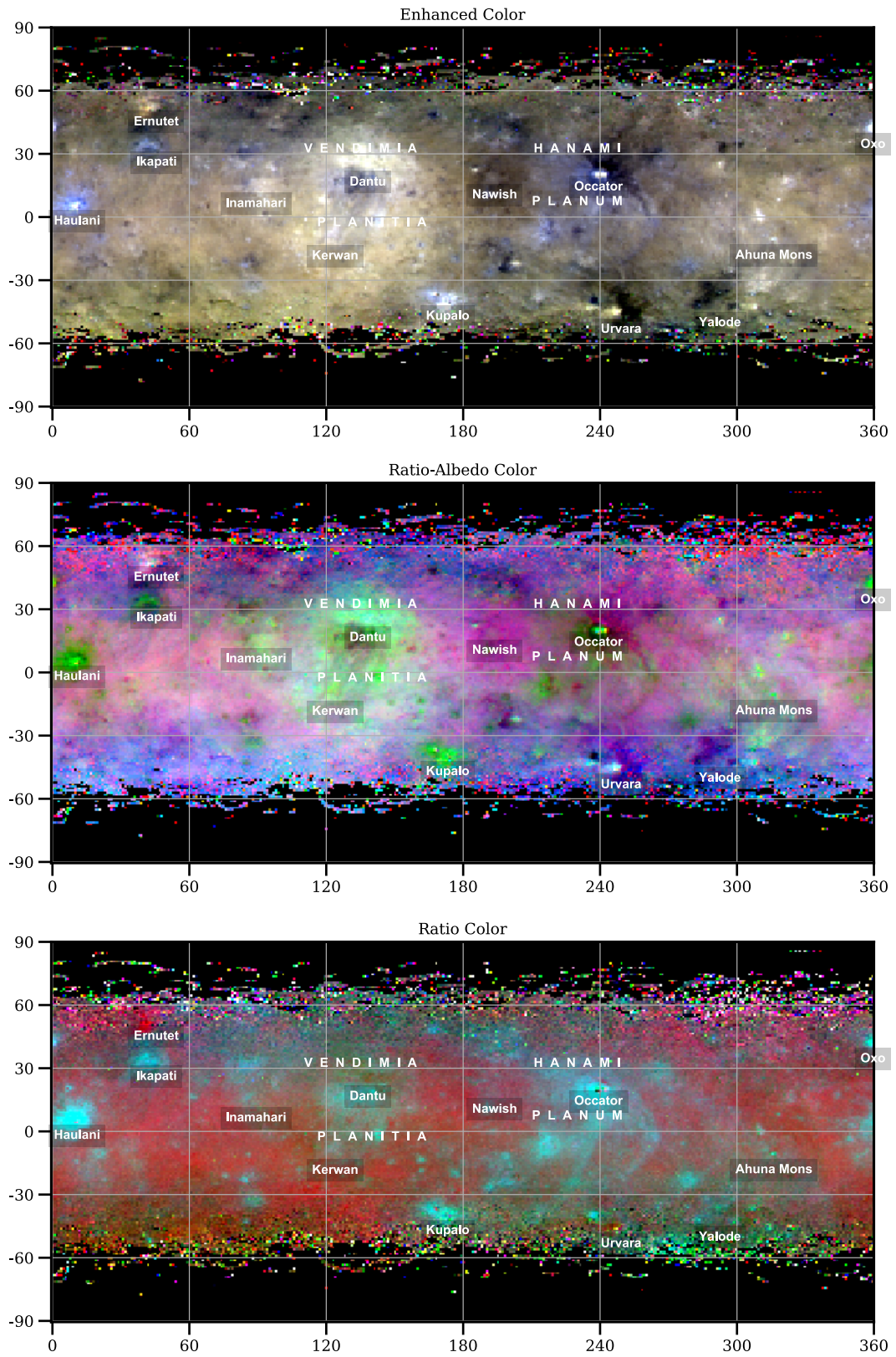
1192  
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1195

1196 **Figure 12**  
1197



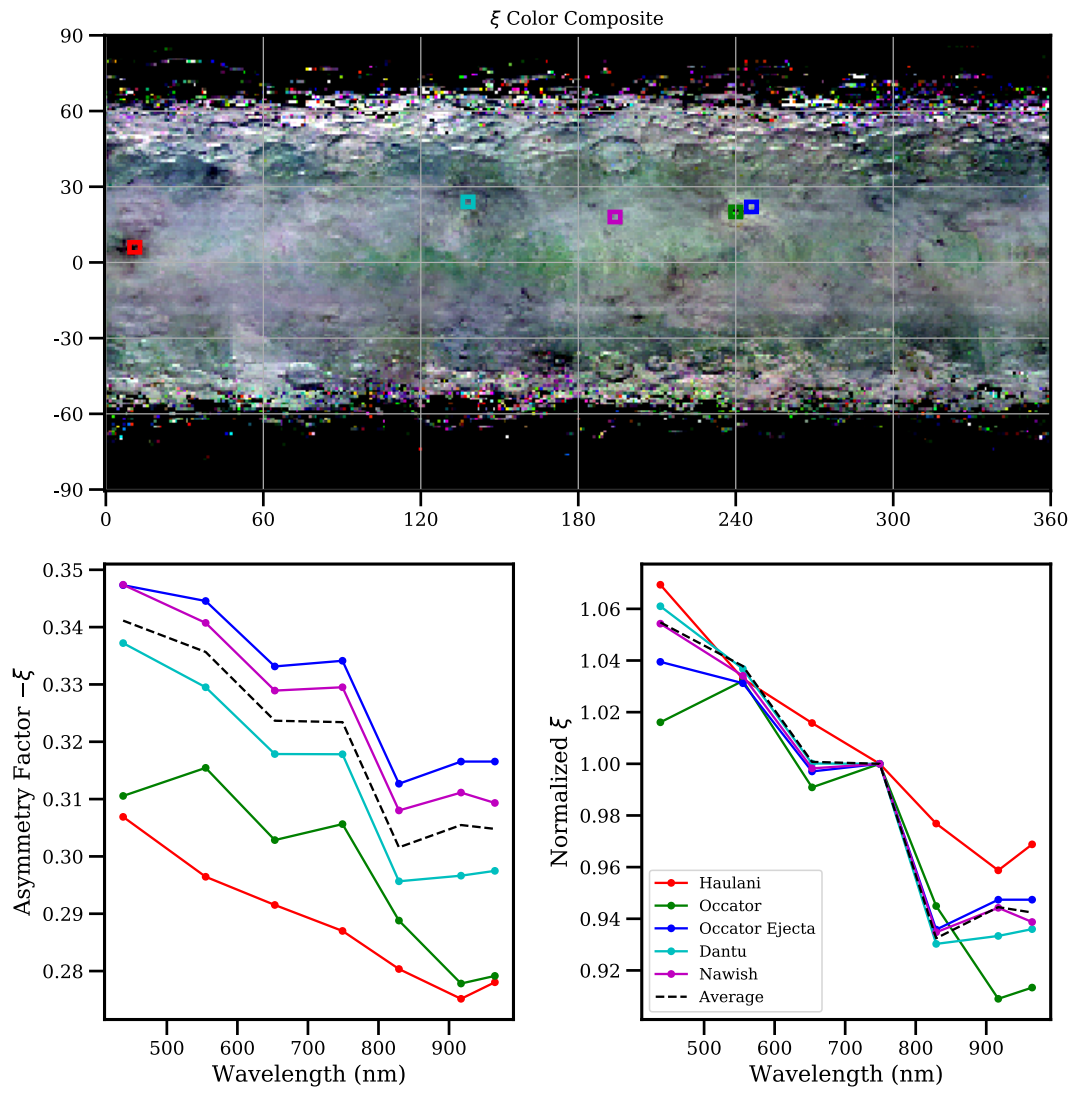
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1200 **Figure 13**



1201

1202 **Figure 14**



1203

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1205

1206 Figure 15

