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# Sky coverage assessment for the European ELT: a joint evaluation for MAORY/MICADO and HARMONI

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**Abstract.** The instruments developed for the upcoming Extremely Large Telescopes (ELTs) will need efficient Adaptive Optics (AO) systems to correct the effects of the atmospheric turbulence and allow imaging at the highest angular resolution. One of the most important requirement for ELT AO-assisted instruments will be to deliver diffraction-limited images in a significant part of the sky. For that, the instruments will be equipped with Laser Guide Stars (LGSs) providing most of the information required by AO instruments. But even with LGSs, AO systems still require the use of Natural Guide Stars (NGSs) to compensate for image motion (jitter) and some low order aberrations. These NGSs are eventually limiting the fraction of the sky that can be achieved by AO systems, the so-called Sky Coverage. In this paper, we first present the sky coverage assessment methods used for HARMONI (High Angular Resolution Monolithic Optical and Near-infrared Integral field spectrograph) and MAORY/MICADO (Multi-conjugate Adaptive Optics Relay/Multi-AO Imaging Camera for Deep Observations), that are both instruments for the Extremely Large Telescope of the European Southern Observatory (ESO). They are based on a semi-analytical description of the main contributors in the AO error budget, allowing for a fast estimation of the residual jitter. As such, these methods are well suited for statistical estimation of the sky coverage on multiple science fields, and/or to efficiently explore the system parameter space. We then compute the sky coverage of the two instruments in cosmological fields from the Cosmic Assembly Near-IR Deep Extragalactic Legacy Survey (CANDELS) catalog. The goal is to provide an insight on the possibilities given by two different types of tomographic AO systems, i. e. Laser Tomography AO (LTAO) with HARMONI and Multi-Conjugate AO (MCAO) with MAORY, on the same telescope. In particular, we show that HARMONI and MAORY/MICADO are complementary, meaning that the overall sky coverage of ESO's ELT is much improved for applications common to both systems.

**Keywords:** Adaptive optics, Wavefront sensing, Multi-Conjugate Adaptive Optics, Laser Tomography Adaptive Optics, Extremely Large Telescope.

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## 1 INTRODUCTION

The Extremely Large Telescope of the European Southern Observatory (ESO's ELT<sup>1</sup>) will have a theoretical resolution better than 15 milli-arcsec (mas) in K band, which will lead to observations of unprecedented quality. However, in order to reach this resolution in real conditions, it is necessary to compensate for the atmospheric turbulence effects on the incoming light with the help of an Adaptive Optics (AO) system. The AO system should be able to provide a satisfying performance

29 in a significant portion of the sky, i.e. a good Sky Coverage (SC), to allow the observation of many  
30 objects and consequently studies that are statistically robust.

31 The three first-light instruments of ESO's ELT, i. e. MAORY/MICADO (Multi-conjugate  
32 Adaptive Optics RelaY/Multi-AO Imaging Camera for Deep Observations),<sup>2,3</sup> HARMONI (High  
33 Angular Resolution Monolithic Optical and Near-infrared Integral field spectrograph)<sup>4,5</sup> and METIS  
34 (Mid-infrared ELT Imager and Spectrograph),<sup>6</sup> will first implement Single-Conjugated AO (SCAO)  
35 corrections. In this case, the AO system is using a single Natural Guide Star (NGS) and provides  
36 correction over a limited Field Of View (FoV) and SC. Indeed, this latter is limited by the fact  
37 that the SCAO system will typically require an NGS with a magnitude of  $R < 14$  within 10 arc-  
38 sec.<sup>7-9</sup> The associated SC (or the probability to find such a configuration) is then only about 1%  
39 of the whole sky.<sup>10</sup> To improve the SC, the ELTs will implement multi-Laser Guide Stars (LGSs),  
40 providing bright artificial sources at any place over the sky.<sup>11</sup> The use of multi-LGSs can then  
41 significantly improve the SC. But LGSs suffer from two major limitations, known as cone effect  
42 and Tip-Tilt (TT) indetermination<sup>12</sup>. The first one (cone effect) is simply due to the fact that the  
43 LGS is produced at a finite distance (the Sodium layer at about 90km above the telescope), hence  
44 the volume of turbulence its light crosses is conic, whereas it would be cylindrical for a Natural  
45 Guide Star (NGS). This cone effect prevents the use of a single LGS for an ELT-size telescope and  
46 multiple LGSs (typically 6 to 8) are thus deployed, in order to pave the turbulent volume. This  
47 paving of the turbulence allows a tomographic reconstruction and the turbulent wavefront can then  
48 be corrected along the optical axis (Laser Tomography AO, or LTAO),<sup>5</sup> with a single Deformable  
49 Mirror (DM) as in SCAO, or even on a wider FoV (Multi-Conjugate AO, or MCAO), with several  
50 DMs optically conjugated to different altitudes.<sup>13,14</sup> The second main limitation (TT indetermi-  
51 nation) comes from the fact that the laser light passes through the exact same path on the way up and

52 down.<sup>15</sup> Hence, the relative position of the LGS with respect to the background NGSs is unknown.  
53 As a first order, this means that the image position, or image jitter, cannot be compensated with  
54 a LGS. Therefore, all AO-LGS systems have to be complemented by the use of at least one NGS  
55 to control the fast image jitter induced by the atmospheric turbulence and other modes if needed.  
56 This or these NGSs can be faint, as only a few low order modes are to be evaluated, with typical  
57 foreseen limiting magnitude around  $H=19$  for ESO's ELT.<sup>5</sup> They may also be chosen in a technical  
58 field of a few arcminutes, resulting in a typical SC better than 50%.

59 At this point it is important to properly define the notion of sky coverage. Indeed, to be mean-  
60 ingful, the SC has to be associated to a given performance.<sup>16</sup> This performance may be expressed  
61 in terms of residual jitter (e.g. in mas), in nanometers of wavefront error or in resulting Strehl  
62 Ratio, and the SC will be the fraction of the sky over which the AO system will be able to de-  
63 liver this performance or better. It also important to emphasize that this performance will not be  
64 uniform across the sky, but will follow the density distribution of the stars. To a first order, SC  
65 will be 2 orders of magnitude better nearby the galactic plane than at the galactic poles, where the  
66 star density becomes very small.<sup>17</sup> Another illustrative example concerns the cosmological fields,  
67 where a large fraction of extra-galactic observations are done. In that sense, extra-galactic studies  
68 are not homogeneously distributed across the sky, but mostly concentrated on a few specific fields,  
69 where multi-wavelengths observations are available. Those fields have generally been chosen to  
70 be located outside of the galactic plane in order to avoid contamination by dust, but also, in regions  
71 lacking bright stars to allow for long expositions without risk of science detectors saturation.<sup>18</sup>  
72 This is obviously perpendicular to the AO needs in terms of NGS requirements, and biases the SC.  
73 But as these fields are of particular interest for the astronomical community, it is of importance to  
74 estimate the AO performance in these specific regions.

75 In this paper, we focus on the SC estimation of the two tomographic AO systems respectively  
76 providing a correction for HARMONI and MICADO. The first one is a LTAO system, called H-  
77 LTAO, and the second one, MAORY, is an MCAO system. We first present the sky coverage  
78 assessment methods of the two instruments. These methods are very similar and are based on an  
79 analytical description of the jitter control, which allows for a fast estimation of the performance.  
80 This latter point is crucial in the design phases of instrument, as one wants to explore a wide system  
81 and atmospheric parameter space. This also allows for statistical studies of SC, computing the  
82 performance for hundreds of scientific fields. Taking advantage of this computational capability,  
83 we compute the SC of H-LTAO and MAORY in synthetic fields from the Besancon galaxy model<sup>19</sup>  
84 and in known cosmological fields from the Cosmic Assembly Near-IR Deep Extragalactic Legacy  
85 Survey (CANDELS) catalog.<sup>20,21</sup> This comparison gives an insight on the possibilities provided  
86 by each instrument. In particular, we show that they have a complementary SC, meaning that the  
87 overall sky coverage is greatly improved for applications that are common to both systems.

88 The assumptions and simulation inputs are presented in Section 2, the semi-analytical methods  
89 are presented in Section 3 and the applications on synthetic fields and on cosmological fields are  
90 presented in Section 4. Finally, we discuss the results and conclude in sections 5 and 6.

## 91 **2 Assumptions and tools**

92 In this section, we list the tools and the default assumptions used throughout the study.

### 93 *2.1 Error budget approach*

94 In order to assess the performance in a given configuration, one needs either to perform a full end-  
95 to-end (E2E) simulation and/or use analytical/semi-analytical tools. In this latter approach, the

96 fundamental assumption is that all the contributors to the final performance are fully uncorrelated  
97 and can be estimated independently. This is an error budget approach, where the final performance  
98 is the result (the sum) of independent contributors. This approach is convenient as typically each  
99 term of the error budget can be estimated by analytical formulae, which makes the whole process  
100 extremely fast when compared to full E2E simulations. Of course, the performance assessment  
101 will not be as accurate as with E2E simulations, but it allows to narrow the parameter space effi-  
102 ciently. In this study we will follow this error budget approach, with the first assumption that all  
103 the High-Order (HO) part of the error budget (related to the LGSs measurements) are evaluated  
104 independently. We thus only focus on the Low-Order (LO) part of the error budget, and mostly on  
105 the residual jitter estimation.

## 106 *2.2 Atmospheric parameters*

107 The studies for both systems have been done using the same  $C_n^2$  profiles, that were provided by  
108 ESO.<sup>22</sup> Most of the SC study relies on the median profile, that has 35 layers ranging from 30 m to  
109 26500 m, with 70% of the turbulence below 600 m (approximate conjugation altitude of M4, the  
110 DM of ESO's ELT). The median seeing is 0.644'' (defined at zenith and at 500 nm) and the outer  
111 scale is 25 m. The other  $C_n^2$  profiles correspond to the 4 quartiles. They are very similar to the  
112 median profile in terms of energy distribution, but they have different seeings. In the following, we  
113 also assume that all the performances are estimated for a zenith angle of 30°.

## 114 *2.3 System parameters*

115 Being both tomographic AO systems, MAORY and H-LTAO have some similarities. However,  
116 they still are two different types of system, with different requirements: H-LTAO should provide a

117 good correction only on axis, while MAORY should provide a wide-field correction. Another main  
 118 difference is the patrol field of the NGS wavefront sensors, a.k.a. technical FoV: H-LTAO can pick  
 119 its NGS close to the axis, while MAORY must make use of NGSs far off axis to avoid vignetting  
 120 the scientific FoV. However, MAORY's NGSs can get a bit closer to the axis when MICADO uses  
 121 its small FoV, as the vignetting area decreases. The configuration for each system is listed in Tab.  
 122 1. The optimized FoV as stated in the table is the so-called FoV of interest in Fusco et al.<sup>23</sup>

Table 1: System configurations for H-LTAO and MAORY. For MAORY, the DM configuration is presented as baseline / upgrade. The inner border radius of MAORY's technical FoV takes into account the potential vignetting of the scientific FoV by the pick-off mirrors. The LGSs are uniformly distributed on a circle of the specified radius.

Parameter	MAORY	H-LTAO
Technical FoV (radius)	annulus: 49" - 80" (24" - 80" for small MICADO FoV)	60"
# DMs	baseline: 2 upgrade: 3	1
DM altitudes	baseline: 621 m (M4), 17.6 km upgrade: 621 m (M4), 7.4 km, 17.6 km	621 m (M4)
DM pitches	baseline: 0.5 m, 1.5 m (970 modes) upgrade: 0.5 m, 1 m (1690 modes), 1.5 m (970 modes)	0.5 m
# LGSs	6	6
# NGSs	up to 3	1
LGS asterism radius	45"	34"
# modes on the NGSs	2 (Tip/tilt)	2 (Tip/tilt)
# subapertures (NGS sensors)	2×2	2×2
Optimized FoV radius	30"	0" (on axis only)

123 The NGS wavefront sensors (WFS) for both MAORY and H-LTAO will have 2×2 subapertures  
 124 to be able to sense the focus at lower frequency and correct the sodium layer altitude variations  
 125 on the LGS WFSs.<sup>24</sup> In the following, we consider these sensors as tip/tilt sensors only (with the  
 126 appropriate noise due to their subaperture geometry) and we add a fixed amount of focus in the  
 127 error budget, that is the residual of the sodium altitude variations.

128 For H-LTAO, given that the Strehl Ratio (SR) on the NGSs might be low because of the off-axis  
129 distance, a solution to increase the signal-to-noise ratio on the NGS WFSs has been considered in  
130 the SC study. This solution consists in adding an Open-Loop DM (OLDM) in the path of the  
131 NGS WFS. This so-called Dual AO<sup>25</sup> would correct up to a few hundred wavefront modes in order  
132 to increase the sensitivity of the NGS WFSs. This solution is not required for MAORY, as the  
133 multiple DMs in the path can compensate for the atmosphere in a wider FoV.

#### 134 *2.4 Windshake*

135 The secondary mirror (M2) of ESO's ELT will be close to the dome aperture and thus undergo  
136 a rather strong wind in some cases, causing high-amplitude vibrations. ESO provided the first-  
137 light instruments consortia with a data package,<sup>26</sup> in which one can find a 300 seconds time series  
138 of the wavefront tip/tilt due to such a windshake. This time series corresponds to a worst case  
139 scenario: external wind 10 m/s (scenario), wind in the dome 8 m/s (scenario), frontal wind w.r.t.  
140 the telescope (worst condition), telescope zenith distance pointing 45° (worst condition for frontal  
141 wind), wind screen deployed up not to vignette the telescope aperture (normal operating setup).  
142 The temporal Power Spectral Densities (PSD) of the tip and tilt corresponding to the time series  
143 are plotted in Fig. 1. Depending on the telescope orientation with respect to the wind direction,  
144 one axis can be more excited than the other, which is the case in this scenario where the tilt gathers  
145 more energy than the tip. The tip/tilt from the windshake is isoplanatic (according to ESO studies),  
146 so one NGS is sufficient to sense it.

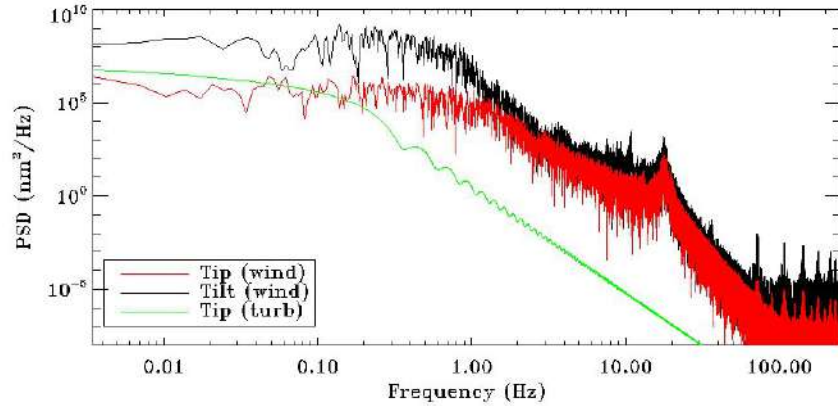


Figure 1: Temporal PSDs of the tip/tilt due to the windshake on M2, compared to the turbulence PSD. The PSDs are computed from the time series using a Hanning window.

147 Several strategies can be adopted for the correction:

- 148 • Telescope only: The windshake is corrected using the telescope probes only. The residual  
149 jitter is then independent from the NGS asterism used by the AO system.
- 150 • Sequential: The windshake is only corrected by one of the NGS sensors of the AO system.
- 151 • Cascaded: The windshake is mostly corrected by the telescope, then the residual is corrected  
152 by the NGS sensors of the AO system.

153 For H-LTAO, the 2 last approaches are considered, while only the sequential control has been  
154 studied for MAORY.

## 155 2.5 Stars statistics

156 The sky coverage analysis requires a list of stars with their respective positions and magnitudes.  
157 This list can either be directly taken from a real star catalog or generated from a galaxy model.  
158 In the latter case, stars are randomly placed with a uniform distribution in the FoV corresponding  
159 to the query. In the following we show results both with the CANDELS catalog<sup>20,21</sup> and with the  
160 Besancon galaxy model.<sup>19</sup>

### 161 **3 Sky coverage assessment method**

162 The approaches of MAORY and H-LTAO to assess the SC are very similar, as they follow the same  
163 steps. We describe these steps hereafter, specifying the differences between the two systems.

#### 164 *3.1 Asterism selection*

165 At this point we have a list of star positions and magnitudes in a wide FoV, and we generate a series  
166 of pointing coordinates with a uniform distribution. For each pointing, in the case of MAORY, we  
167 register all asterisms that are geometrically valid with respect to the technical FoV size, the pick-  
168 off mirror size and the overlap between sensors (Fig. 2). If no 3-star asterism is found, we look for  
169 2-star asterisms. Again, if we find no valid asterism, we select each star individually. The reason  
170 why we do not consider all asterisms (1 NGS, 2 NGSs or 3 NGSs) is purely computational. In the  
171 case of H-LTAO, we use only one NGS and there are no zonal constraints, we thus compute the  
172 performance for each individual NGS within the technical FoV.

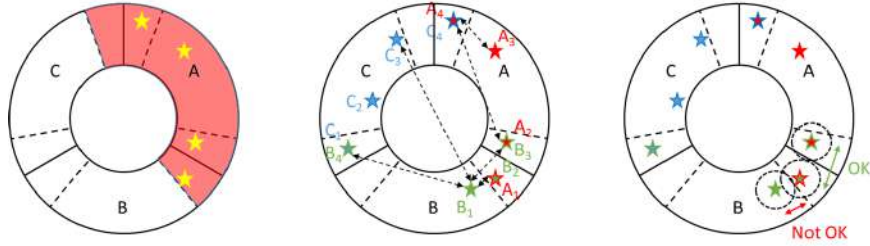


Figure 2: Method to detect a valid configuration in the case of MAORY. The sensors' dedicated working areas are delimited by the solid lines, while the dashed lines indicate the possible extension of these areas. Between two dashed lines, we thus have an overlap zone between two sensors. Steps are, from left to right: 1) Register all the stars under the limiting magnitude reachable by each sensor (remove those too close to the center to avoid vignetting). In this figure we show the example for WFS A (the same is done for WFSs B and C). The red color shows the total area eventually reachable by the WFS A. Here the star symbols are the potential NGSs for this sensor. 2) Compute distances between the registered stars. Here the stars are color-coded as a function of which sensor can reach it (filling color = dedicated zone, contour color = overlap zone): red for WFS A, green for WFS B and blue for WFS C. They are also named accordingly as indicated in the figure (a star reachable by two sensors will have two names). 3) Check the combinations that avoid collisions of the pick-off mirrors. For example, B2/A1 cannot be selected together with B1, but it can be selected together with B3.

### 173 3.2 Performance computation

174 The final performance is computed from these different terms:

- 175 • High orders: Residuals from the LGS sensing.
- 176 • Low orders: Residuals from the NGS sensing.
- 177 • Additional error: Constant residual from various sources (non AO-correctable). This in-
- 178 cludes, but is not limited to, wavefront errors due to non-common path aberrations, mis-
- 179 alignment and manufacturing.

180 The LO residuals are themselves separated in 3 parts: windshake, anisoplanatism/tomography,  
 181 noise propagation. We consider the temporal error from the low orders negligible with respect to  
 182 the rest of the error budget.

183 3.2.1 *High orders*

184 For MAORY, the HO residuals are derived from E2E simulations, with an equilateral triangle of  
185 bright NGSs ( $H = 10$ ) at  $55''$ . We verified that the HO residuals variation with the NGS asterism  
186 is negligible. We add a fixed amount of 190 nm, corresponding to non AO-correctable errors or  
187 other isoplanatic residuals, to these E2E residuals and we get the following HO residuals for the  
188 respective distances  $0''$ ,  $15''$ ,  $25''$  and  $30''$ :

- 189 • M4 + 1 Post-Focal DM (PFDM): 302 nm, 304 nm, 313 nm and 324 nm.
- 190 • M4 + 2 PFDMs: 298 nm, 302 nm, 308 nm and 314 nm.

191 For H-LTAO, the HO residuals are also derived from E2E simulations, including all the at-  
192 mospheric and telescope effects, but using a bright on-axis NGS. The performance is only to be  
193 estimated on-axis, and provides an error budget of 270 nm.

194 3.2.2 *Windshake*

195 As stated in section 2.4, 2 different strategies can be considered: sequential or cascaded.

196 For the sequential control, the windshake is sensed on the brightest available NGS. Indeed,  
197 with the AO system alone, a high loop frequency is needed to be able to correct the high-amplitude  
198 tip-tilt induced by the windshake. The residual tip/tilt is then computed by applying a temporal  
199 filter to the windshake PSD. This filter is:

- 200 • H-LTAO: a Linear Quadratic Gaussian (LQG) + second-order Auto Regressive (AR2) com-  
201 mand. The filter is optimized for the control update based on the NGS magnitude.
- 202 • MAORY: a filter of order 2, with its poles, zeros and gain optimized with respect to the NGS  
203 magnitude and SR, i. e. with respect to the noise level.

204 The residual in Mean Square Error (MSE) is then:

$$\text{MSE} = \int_0^{\infty} [|\text{RTF}|^2 \text{PSD}_{\text{wind}} + |\text{NTF}|^2 \text{PSD}_{\text{noise}}] df \quad (1)$$

205 with RTF the Rejection Transfer Function and NTF the Noise Transfer Function corresponding to  
206 the temporal filter. The computation of the noise level for H-LTAO is described in section 3.2.4.  
207 For MAORY, the noise level is computed from a Gaussian Point Spread Function (PSF) model,  
208 with the flux scaled with the SR, and from the weighting maps used for local slope computation.  
209 Note that this method, that should lead to results similar to the ones of H-LTAO, is only used for  
210 the windshake residual computation, and the noise considered for the tomographic correction of  
211 atmospheric tip/tilt is the one described in section 3.2.4.

212 The cascaded mode (only considered for H-LTAO) is a mix of the telescope and instrument  
213 control. The windshake PSD becomes a residual tip/tilt PSD, but an arbitrary level of jitter is  
214 still added to account for the errors related to the telescope probes. In this case, the command is  
215 optimized in frequency according to the noise level, hence according to the NGS magnitude and  
216 SR.

### 217 3.2.3 Pure tomographic error

218 The pure tomographic error is due to the asterism geometry. For MAORY, it is computed using  
219 the method detailed in Appendix A. For H-LTAO, only one NGS is used, so the tomographic error  
220 simply is the anisoplanatism error, that can be retrieved from the same formulas.

221 *3.2.4 Noise propagation*

222 For MAORY, in order to have a measurement noise level as a function of a star's distance and  
223 magnitude, we perform a series of E2E simulations changing only the NGS asterism, and we  
224 repeat it for 5 atmosphere occurrences. The NGSs are always on an equilateral triangle and all  
225 have the same magnitude. The loop frequency is adapted to the magnitude. In the end, we have  
226 a total of  $5 \times 18$  simulations for each considered configuration, using the following distances and  
227 magnitudes:

- 228 • Distance: 30", 55", 65" and 80".
- 229 • H magnitude: 10, 17, 18, 19, 20, 21.

230 For each of these simulations, the residual in the directions of the NGSs is averaged, so that we  
231 have a residual per distance and magnitude. Then, for each star of each asterism considered in the  
232 SC computation, we interpolate these residuals at the star's distance and magnitude and consider  
233 the obtained value as the noise level on that star. This means that we also include the aliasing error  
234 and the temporal error in the noise term. It does not have a significant impact on the final residual,  
235 since the aliasing error propagates the same way as the noise in the loop and we can consider the  
236 temporal error to be negligible with respect to the other terms (it amounts to about 0.4 mas at the  
237 faint end). The noise term is then propagated through the tomographic reconstructor as described  
238 in Appendix A. Note that this computation remains the same for both MICADO's large and small  
239 FoVs. The only difference between the two configurations is in the asterism selection.

240 In the case of H-LTAO, the noise on the Shack-Hartmann (SH) slopes has been computed  
241 based on E2E simulations, from which general abacuses are derived. The basic scheme of the E2E  
242 simulation is described by Fig. 3, and follows 5 steps: (1) Computation of short exposure SH PSFs

243 for various LTAO configurations and for various positions in the FoV. This computation includes  
 244 the full telescope pupil parameters (central obstruction value for instance) and Shark-Hartmann  
 245 configurations (number of sub-aperture, wavelength), (2) Known tilts are then added to each PSF,  
 246 (3) Additional defects (Atmospheric Dispersion Corrector residuals, static aberrations, object size)  
 247 can also be added, as well as various types of noise (photon, background, read-out-noise), (4)  
 248 centroiding is measured on those Shack-Hartmann images, with several possible techniques, (5)  
 249 the Tilt is reconstructed from the measurement, leading to the residual Tilt error and variance.

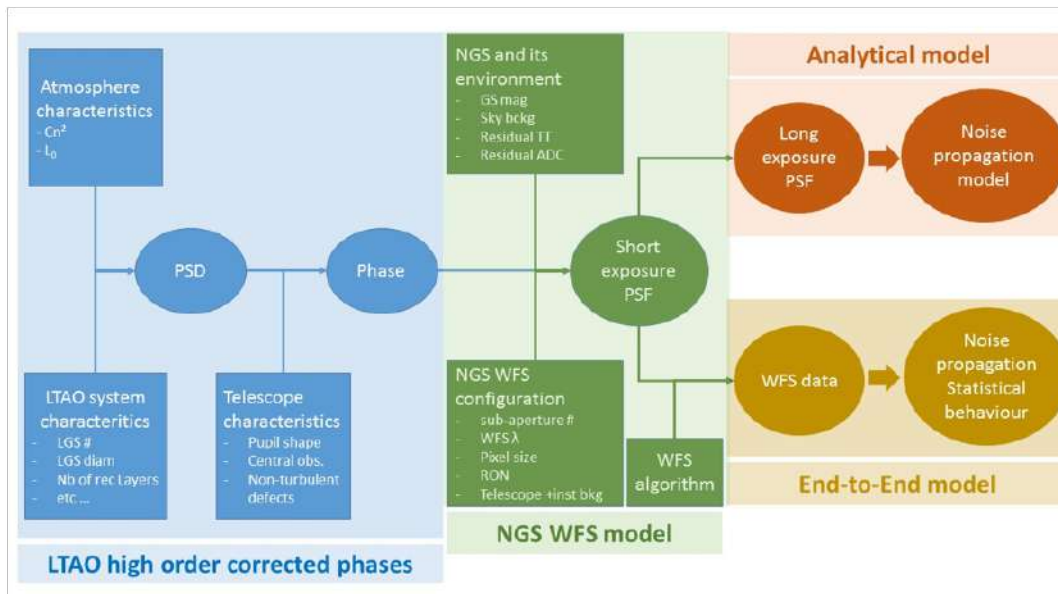


Figure 3: Global scheme of the E2E TT noise estimation.

250 This procedure is repeated for different position in the field, hence different level of AO cor-  
 251 rection. As an illustration, Fig. 4 shows the one axis Tilt error variance for different number of  
 252 photons and different positions of the NGS in the field. As a reference, 200 photons per frame  
 253 correspond to a magnitude H=18.5. This plots are then fitted with analytical formulas (see next  
 254 equations), which will eventually be used in the sky coverage analysis.

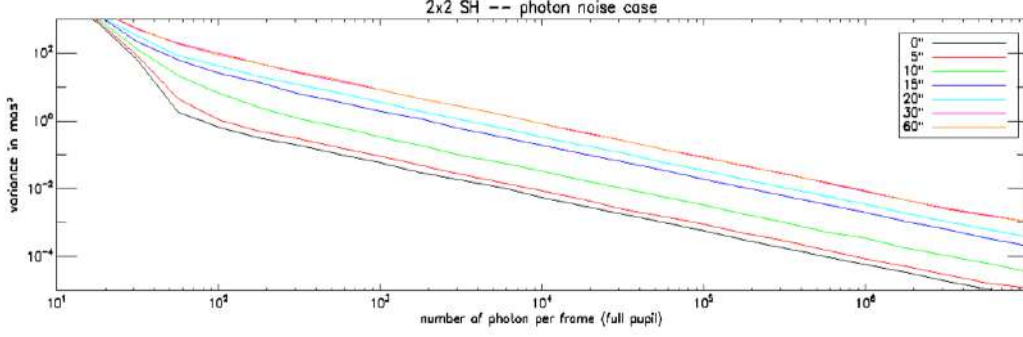


Figure 4: Example of noise computed for a 2x2 SHWFS, for different level of fluxes, and different position of the NGS in the field, in the case of an LTAO correction without OLDm.

255 In order to account for the residual phase (and thus the spread of photons outside the diffraction  
 256 core), the analytical variance formulas (as defined in Nicolle *et al.*<sup>27</sup>) have to be modified as  
 257 follows, for the photon noise and the Read-Out Noise (RON):

$$\sigma_{ph,sspup,SR}^2 = \frac{1}{SR} \frac{1}{2 \ln(2) N_{ph}} \frac{N_T^2}{N_D^2} \left( \frac{N_T^2 + N_W^2}{2N_T^2 + N_W^2} \right)^2 \quad (2)$$

258

$$\sigma_{ron,sspup,SR}^2 = \frac{\pi}{SR^2} \frac{ron^2}{32 \ln(2) N_{ph}^2} \left( \frac{N_T^2 + N_W^2}{N_D^2} \right)^2 \quad (3)$$

259 where  $N_{ph}$  is the number of photons,  $N_D$  is the Full Width at Half Maximum (FWHM) of the  
 260 diffraction-limited PSF,  $N_T$  is the FWHM of the actual PSF, and  $N_W$  the FWHM of the weighting  
 261 function used for the Weighted Center of Gravity.

262 When the PSF is no more diffraction limited, the FWHM ( $N_T$ ) becomes the major contributor  
 263 of the variance and the noise variances become again the classical ones:

$$\sigma_{ph,sspup,FWHM}^2 = \frac{1}{2 \ln(2) N_{ph}} \frac{N_T^2}{N_D^2} \left( \frac{N_T^2 + N_W^2}{2N_T^2 + N_W^2} \right)^2 \quad (4)$$

$$\sigma_{ron,sspup,FWHM}^2 = \frac{\pi}{32 \ln(2)} \frac{ron^2}{N_{ph}^2} \left( \frac{N_T^2 + N_W^2}{N_D^2} \right)^2 \quad (5)$$

265 In practice, we will use a combination of both analytic expressions in order to account for both  
 266 regimes. This is done using the following ad-hoc expression:

$$\sigma_{tot}^2 = \left( \frac{N_D}{N_T} \right)^2 \sigma_{SR}^2 + \left( 1 - \left( \frac{N_D}{N_T} \right)^2 \right) \sigma_{FWHM}^2 \quad (6)$$

267 In the SC study described below, the analytical laws will be used, which will allow us to quickly  
 268 estimate the measurement noise for different NGS magnitude and position in FoV, as well for  
 269 different configurations (central wavelength, number of subapertures, RON, elongation due to At-  
 270 mospheric Dispersion Corrector residual etc. . . ).

271 A numerical validation of the noise models presented in this section is shown in Appendix C.

### 272 3.3 Sky coverage computation

273 At this point, we are able to compute the jitter/SR for any direction in the scientific FoV, and thus  
 274 compute the SC.

275 For each pointing, we compute the LO residuals in the scientific FoV with all the possible  
 276 asterisms and we register the lowest residual. For H-LTAO, we only need to compute the residual  
 277 on axis, while for MAORY, the selection of the best asterism is based on the average LO residual  
 278 in the FoV (using the directions shown in Fig. 5). We then add the HO residuals given in section  
 279 3.2.1 to calculate the SR. Finally, we get the SC as the ratio between the number of fields giving a

280 SR (jitter) greater (less) or equal to a given value and the total number of fields:

$$SC(x) = \frac{\sum_i P_i(SR \geq x)}{N_{fields}} \quad \text{or} \quad SC(x) = \frac{\sum_i P_i(jitter \leq x)}{N_{fields}} \quad (7)$$

281 with  $P_i(SR \geq x) = 1$  ( $P_i(jitter \leq x) = 1$ ) if the  $i$ -th field has an asterism giving a SR (jitter)

282 greater (less) than  $x$  and  $P_i(SR \geq x) = 0$  ( $P_i(jitter \leq x) = 0$ ) otherwise.

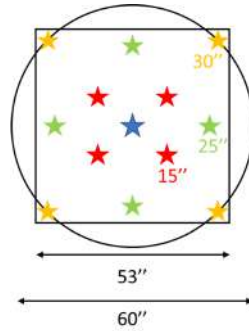


Figure 5: Directions used for the jitter/SR computation in the FoV of diameter 60". The square of side 53" corresponds to the large MICADO FoV. The stars are distributed as follows: 1 star on axis, 4 stars at 15", 4 stars at 25" and 4 stars at 30". When the small MICADO FoV is considered (20×20"), we average from 1 star on axis and 4 stars at 10" (placed in the same manner as the 4 stars at 15").

## 283 4 Sky coverage examples

### 284 4.1 Synthetic star fields

285 We first show an example of sky coverage computation with the Besancon galaxy model for two

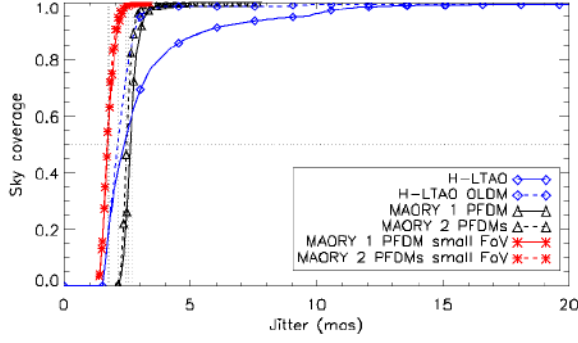
286 regions of the sky: 1×1 degrees at the following galactic coordinates:  $l = 90^\circ, b = -30^\circ$  and

287  $l = 90^\circ, b = -60^\circ$ . The results are plotted in Figs. 6 and 7 and the main numbers are reported in

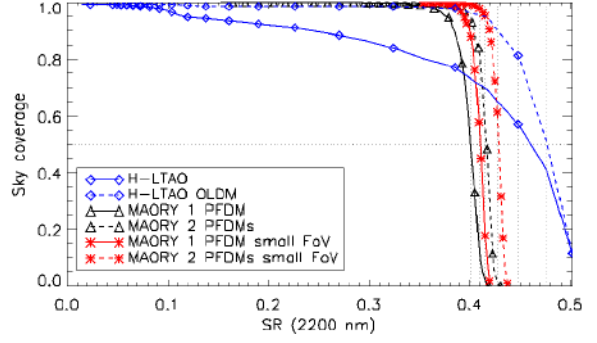
288 Tabs. 2 and 3. Here we see the effect of the star density decreasing when going further from the

289 galactic plane: lower jitter, or higher SR, can be obtained in all cases at  $b = -30^\circ$  with respect to

290  $b = -60^\circ$ .



(a) Jitter

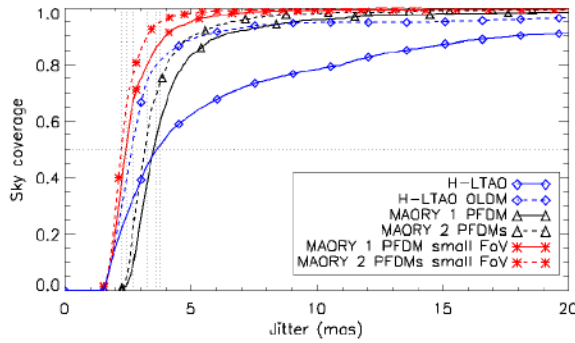


(b) SR

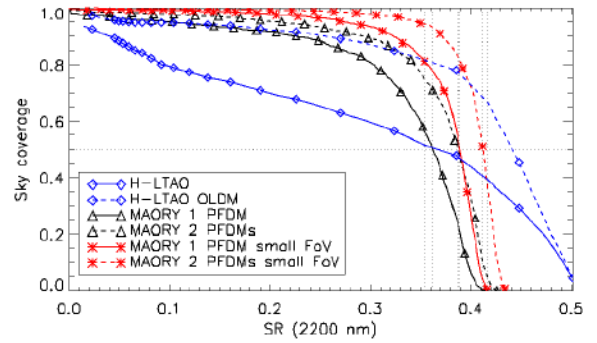
Figure 6: Sky coverage with H-LTAO or with MAORY at  $l = 90^\circ, b = -30^\circ$ . The jitter/SR is on axis for H-LTAO and averaged on a 1 arcmin diameter FoV (Large FoV) or on a  $20''$  diameter FoV (small FoV) for MAORY.

Instrument/configuration	Jitter (mas)			SR (2200 nm)		
	25% SC	50% SC	75% SC	25% SC	50% SC	75% SC
H-LTAO	2	2.4	3.3	0.48	0.45	0.38
H-LTAO OLDLM	1.8	2.1	2.6	0.48	0.48	0.45
MAORY 1 PFDM	2.4	2.6	2.8	0.41	0.4	0.39
MAORY 2 PFDMs	2.4	2.5	2.6	0.42	0.41	0.41
MAORY 1 PFDM small FoV	1.6	1.8	2	0.45	0.45	0.44
MAORY 2 PFDMs small FoV	1.6	1.7	1.9	0.46	0.45	0.45

Table 2: Jitter/SR reached by the considered systems for different levels of sky coverage at  $l = 90^\circ, b = -30^\circ$ .



(a) Jitter



(b) SR

Figure 7: Sky coverage with H-LTAO or with MAORY at  $l = 90^\circ, b = -60^\circ$ . The jitter/SR is on axis for H-LTAO and averaged on a 1 arcmin diameter FoV (Large FoV) or on a  $20''$  diameter FoV (small FoV) for MAORY.

Instrument/configuration	Jitter (mas)			SR (2200 nm)		
	25% SC	50% SC	75% SC	25% SC	50% SC	75% SC
H-LTAO	2.4	3.8	8.3	0.45	0.35	0.14
H-LTAO OLDLM	2.3	2.7	3.3	0.45	0.42	0.38
MAORY 1 PFDM	3	3.6	4.4	0.38	0.36	0.32
MAORY 2 PFDMs	2.8	3.3	3.9	0.4	0.39	0.35
MAORY 1 PFDM small FoV	2.1	2.4	3	0.43	0.42	0.4
MAORY 2 PFDMs small FoV	2	2.2	2.7	0.44	0.44	0.42

Table 3: Jitter/SR reached by the considered systems for different levels of sky coverage at  $l = 90^\circ$ ,  $b = -60^\circ$ .

## 291 4.2 Application for real cosmological fields

292 We now apply the SC computation to cosmological fields that are taken from the CANDELS  
293 catalogs.<sup>20</sup> The five specific fields are shown in Fig. 8, with namely COSMOS, UDS, EGS,  
294 GOODS-S and GOODS-N<sup>1</sup>. Table 4 summarizes some of the important characteristics of these  
295 fields for our present study.

Field Name	RA	DEC	Area	# of stars with $H(\text{Vega}) < 21$
GOODS-S	03h32m30s	-27°48'20"	~160arcmin <sup>2</sup>	620
GOODS-N	12h36m55s	+62°14'15"	~160arcmin <sup>2</sup>	240
UDS	02h17m49s	-05°12'02"	~204arcmin <sup>2</sup>	321
COSMOS	10h00m28s	+02°12'21"	~204arcmin <sup>2</sup>	474
EGS	14h17m00s	+52°30'00"	~205arcmin <sup>2</sup>	348

Table 4: Location, area and depth of the 5 cosmological fields used in the sky coverage estimation for MAORY and HARMONI.

296 These fields have been observed deeply and at multiple wavelengths with the Hubble Space  
297 Telescope as well as many other instruments. As such, they are privileged fields for extra-galactic  
298 studies, and will likely be observed extensively with ESO's ELT. However, the fields considered  
299 here correspond to regions where bright NGSs are few, as they were chosen to facilitate the imaging  
300 of extra-galactic objects. Of course, this is at the opposite of what the AO system requires to give an

<sup>1</sup>Note that GOODS-N and EGS will not be observable from ESO's ELT location. We still keep it for the sky coverage analysis as it provides a representative example of cosmological fields.

301 optimal performance. Therefore, evaluating the performance of the AO-assisted ELT instruments  
302 on these specific fields is of particular importance. These fields are also of interest as the catalogs  
303 available are complete down to  $H < 26$  (Vega), which is 5 magnitudes deeper than what is required  
304 by the AO systems. All the technical details about these fields can be found in Grogin et al.,<sup>20</sup> in  
305 the following we only made use of the publicly available H-band catalogs.

306 Figures 9, 10, 12, 13, 15, 16, 18, 19, 21 and 22 give the evaluated jitter and SR maps for these  
307 fields, and the statistics of the jitter and SR for each field are given in Figs. 11, 14, 17, 20 and 23.  
308 The main numbers of these statistics are reported in Tabs. 5 to 9. Note that we also consider the  
309 case in which the MICADO FoV is smaller ( $20 \times 20''$ ). In this configuration, the NGSs sensors of  
310 MAORY can reach stars closer to the axis, as shown in Tab. 1. The performance is then averaged  
311 as indicated in Fig. 5.

312 It is interesting to note that MAORY, with the large MICADO FoV, never reaches the minimum  
313 jitter (i. e. the jitter at 0% SC, see for example Fig. 11) as low as the one from H-LTAO: the best  
314 available asterism for MAORY is not the best that the system could actually expect with an infinite  
315 number of bright stars, while the best case for H-LTAO (i. e. an on-axis bright NGS) does happen  
316 here. The ability of AO systems to deal with this type of situation is particularly interesting as we  
317 are looking at the limits of their performance in presence of only few NGSs.

318 The two systems also offer a similar peak performance, with a SR of about 45% in K band<sup>2</sup>  
319 and a few mas of jitter. The median performance is quite dependent on the field and/or the config-  
320 uration of the system: the median jitter is below 5 mas for the best fields (COSMOS and UDS),  
321 leading to median SRs ranging between 30 and 40%, while the median jitter in the worst field

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<sup>2</sup>We recall that MAORY's performance is averaged on the whole scientific FoV, hence its peak performance on axis is higher (typically a few points of SR) than the numbers written here.

322 (GOODS-N) can go up to between 10 and 15 mas for the lowest-performing configurations, lead-  
323 ing to median SRs below 10%. Better configurations (H-LTAO with the OLDM, MAORY with 2  
324 PFDMs and/or with the small MICADO FoV) provide better robustness, with median SRs roughly  
325 ranging between 10 and 20% in the GOODS-N field. From this results, we can derive approximate  
326 equivalencies between the two systems. H-LTAO without the OLDM gives a performance that ap-  
327 proximately is between MAORY's baseline configuration (1 PFDM, large MICADO FoV) and the  
328 upgraded configuration (2 PFDMs, large MICADO FoV), though it provides a better SC at both  
329 ends (low SC/high performance and high SC/low performance). With the OLDM in H-LTAO, the  
330 performance gets similar to what we can expect with the small MICADO FoV for MAORY. In that  
331 case, the performance is closer to MAORY with 2 PFDMs at both ends and to MAORY with 1  
332 PFDM in the knee of the SC curve.

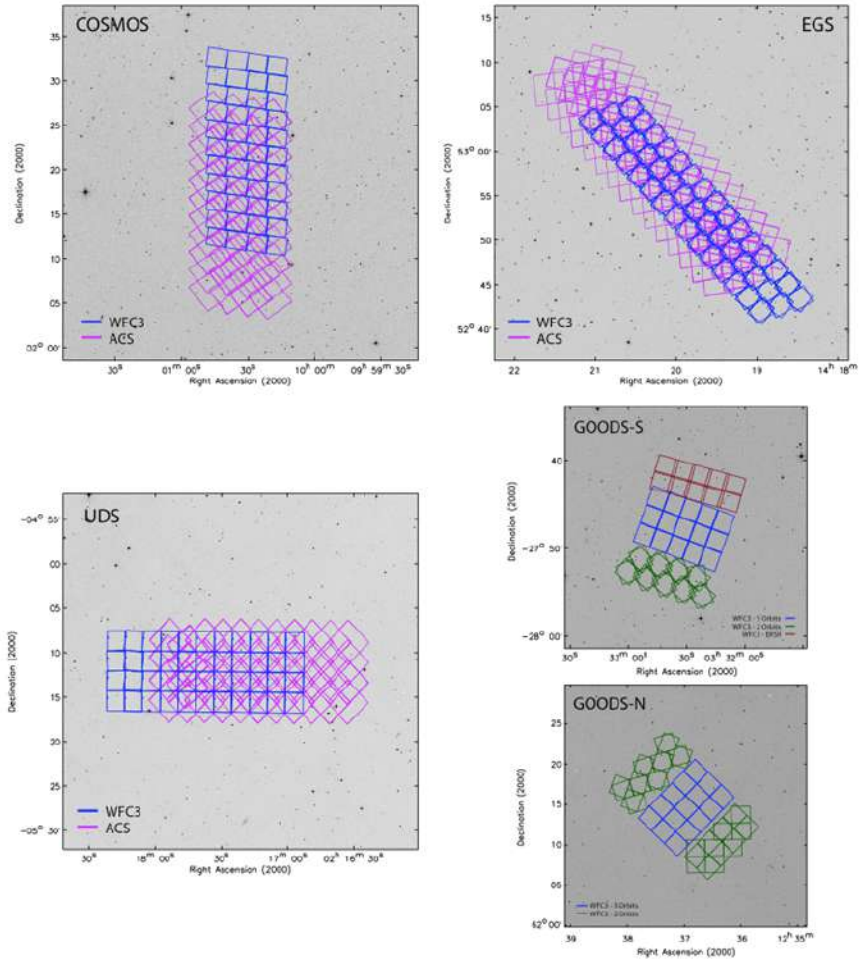


Figure 8: Cosmological fields considered in this section. Taken from Grogin et al.<sup>20</sup>.

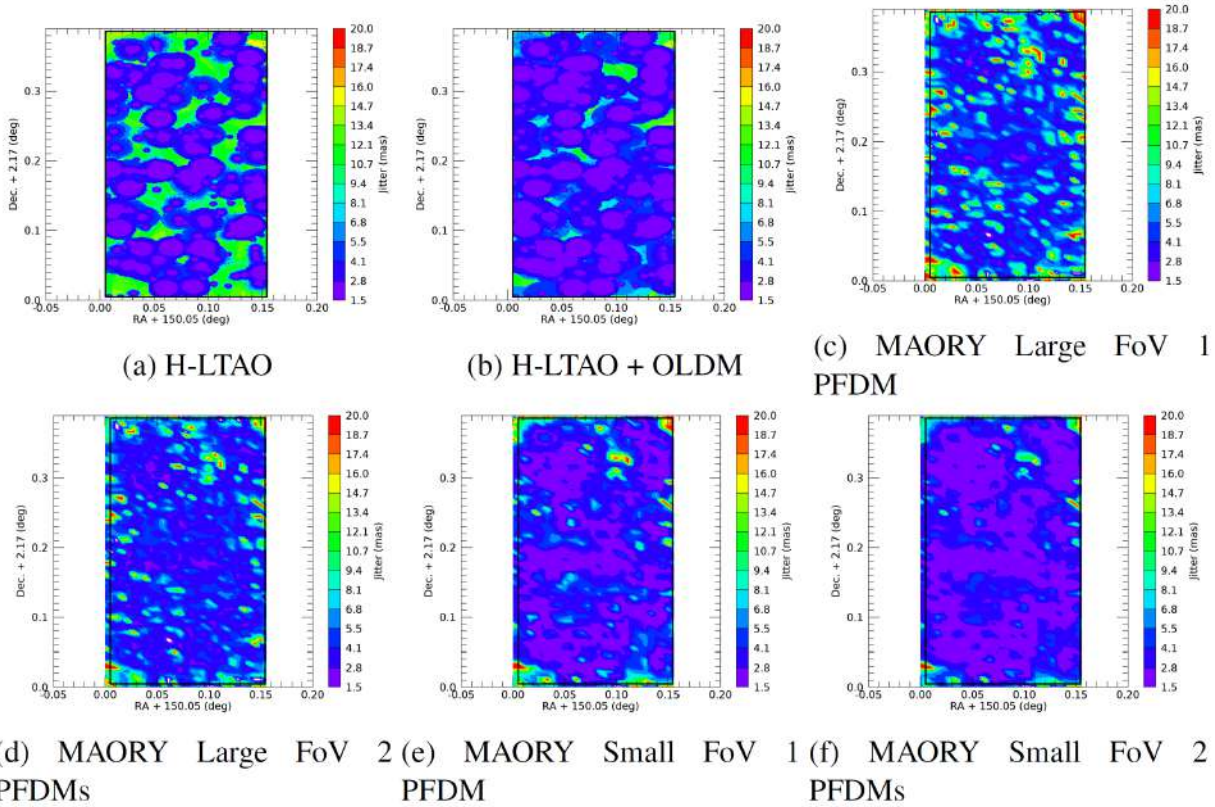


Figure 9: Jitter with H-LTAO (on axis) or with MAORY (averaged on the scientific FoV) for the COSMOS field. The jitter is limited to 20 mas for a better display. The black lines indicate the area used for the sky coverage computation.

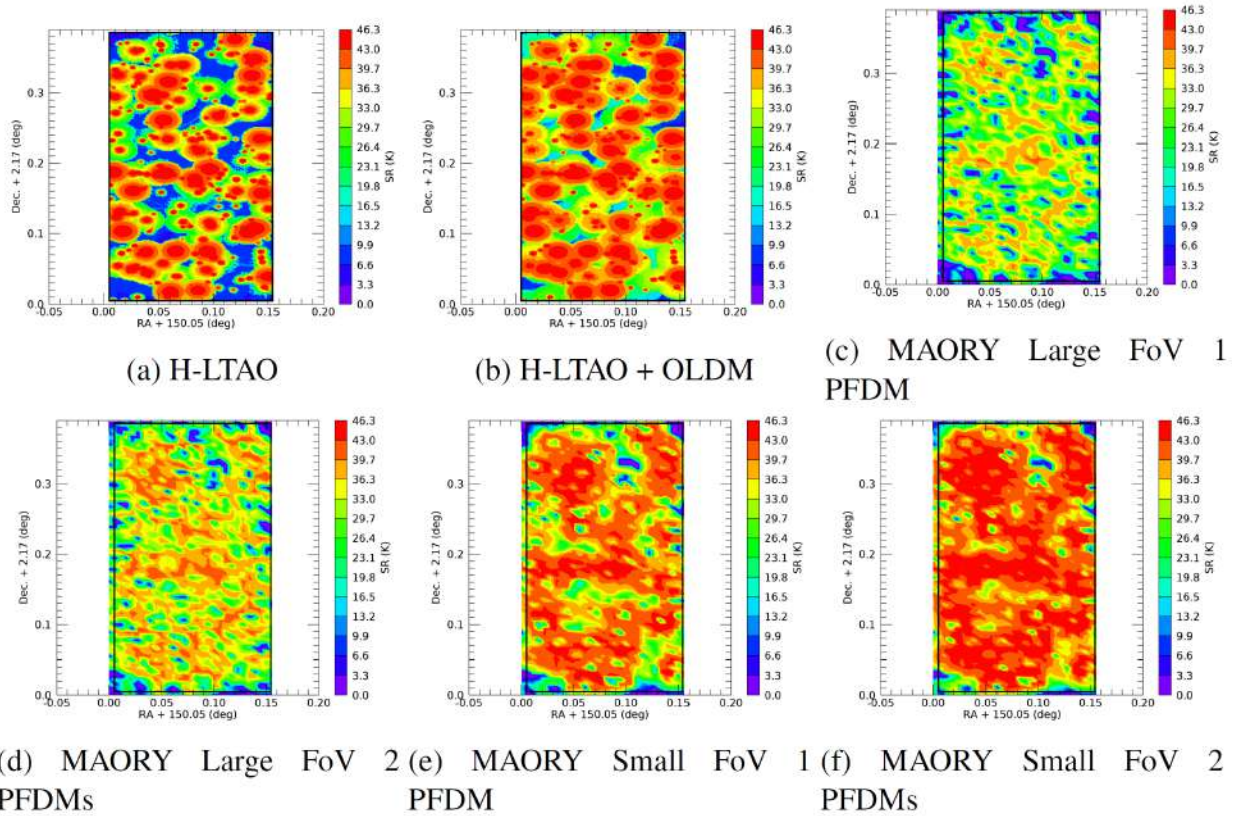


Figure 10: Strehl ratio in K band with H-LTAO (on axis) or with MAORY (averaged on the scientific FoV) for the COSMOS field. The black lines indicate the area used for the sky coverage computation.

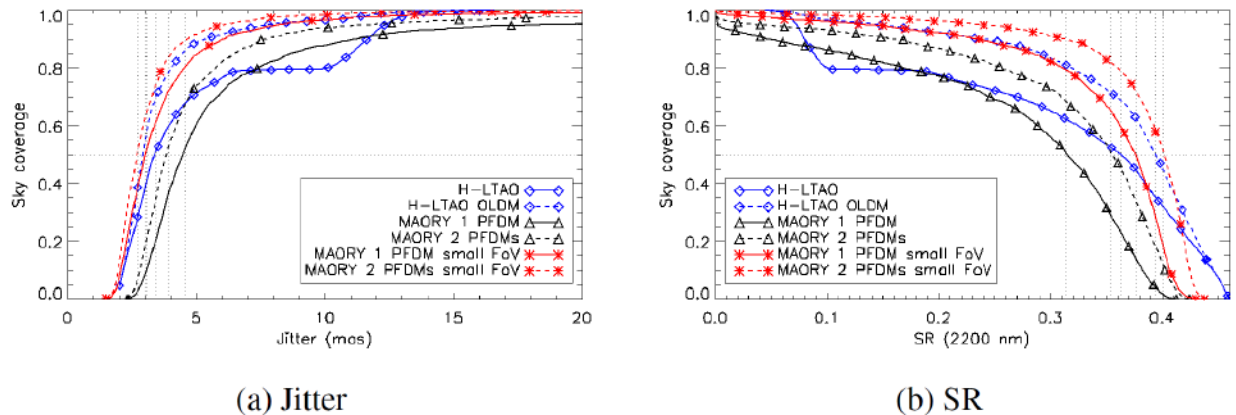


Figure 11: Sky coverage with H-LTAO or with MAORY for the COSMOS field. The jitter/SR is on axis for H-LTAO and averaged on a 1 arcmin diameter FoV (Large FoV) or on a  $20''$  diameter FoV (small FoV) for MAORY.

Instrument/configuration	Jitter (mas)			SR (2200 nm)		
	25% SC	50% SC	75% SC	25% SC	50% SC	75% SC
H-LTAO	2.6	3.4	5.7	0.41	0.36	0.22
H-LTAO OLDM	2.5	3	3.7	0.42	0.39	0.34
MAORY 1 PFDM	3.6	4.6	6.4	0.36	0.31	0.22
MAORY 2 PFDMs	3.2	3.9	5.2	0.39	0.35	0.29
MAORY 1 PFDM small FoV	2.4	3.1	4.2	0.42	0.4	0.34
MAORY 2 PFDMs small FoV	2.3	2.7	3.5	0.44	0.42	0.38

Table 5: Jitter/SR reached by the considered systems for different levels of sky coverage in the COSMOS field.

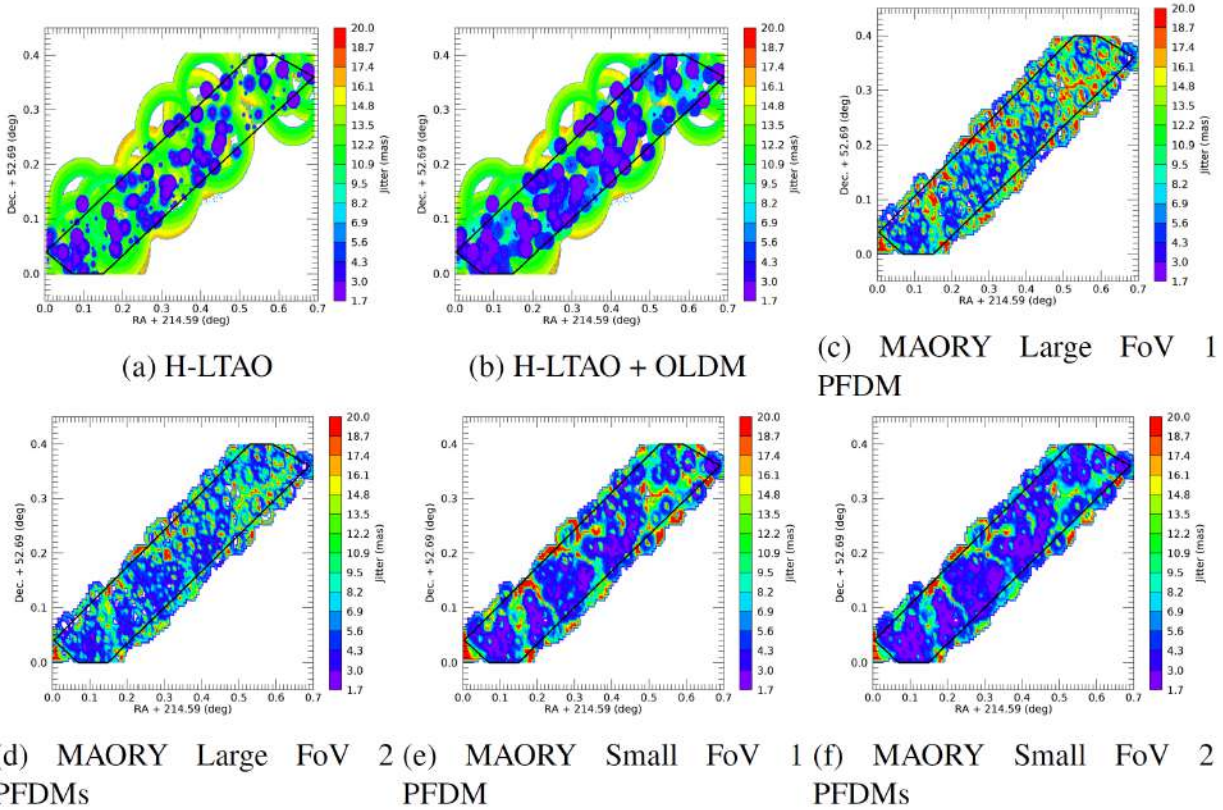


Figure 12: Jitter with H-LTAO (on axis) or with MAORY (averaged on the scientific FoV) for the EGS field. The jitter is limited to 20 mas for a better display. The black lines indicate the area used for the sky coverage computation.

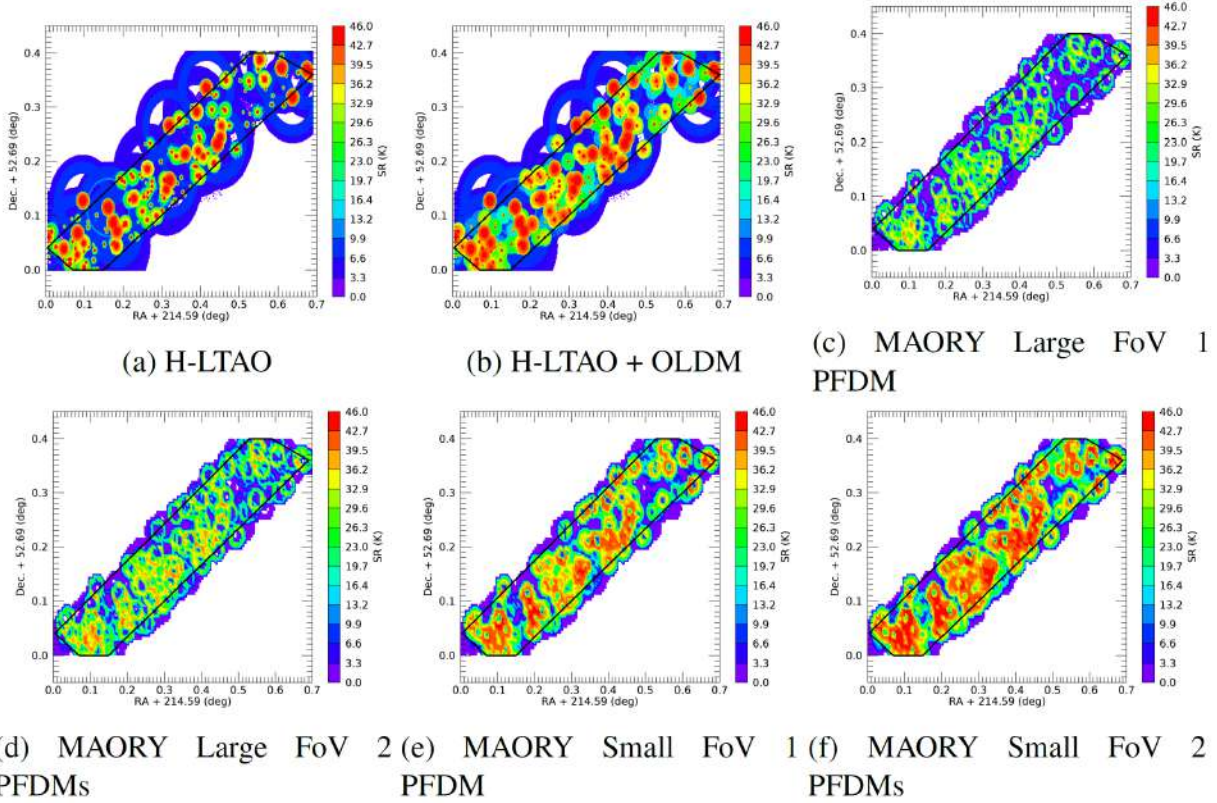


Figure 13: Strehl ratio in K band with H-LTAO (on axis) or with MAORY (averaged on the scientific FoV) for the EGS field. The black lines indicate the area used for the sky coverage computation.

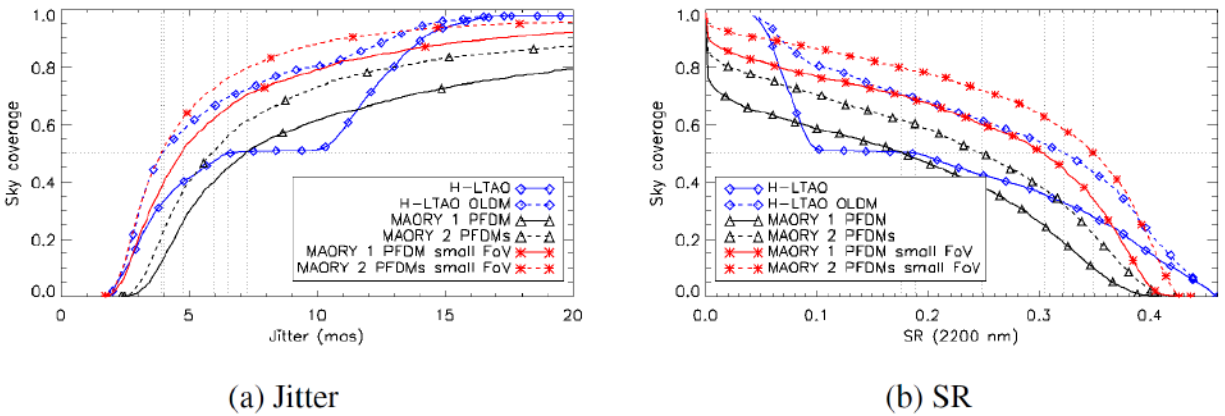


Figure 14: Sky coverage with H-LTAO or with MAORY for the EGS field. The jitter/SR is on axis for H-LTAO and averaged on a 1 arcmin diameter FoV (Large FoV) or on a 20" diameter FoV (small FoV) for MAORY.

Instrument/configuration	Jitter (mas)			SR (2200 nm)		
	25% SC	50% SC	75% SC	25% SC	50% SC	75% SC
H-LTAO	3.4	6.5	12.5	0.36	0.19	0.07
H-LTAO OLDM	3	4	8	0.39	0.32	0.14
MAORY 1 PFDM	4.8	7.3	16.4	0.30	0.18	0
MAORY 2 PFDMs	4.2	6	10.6	0.34	0.25	0.06
MAORY 1 PFDM small FoV	3.2	4.7	8.6	0.39	0.31	0.12
MAORY 2 PFDMs small FoV	2.9	3.9	6.4	0.41	0.36	0.23

Table 6: Jitter/SR reached by the considered systems for different levels of sky coverage in the EGS field.

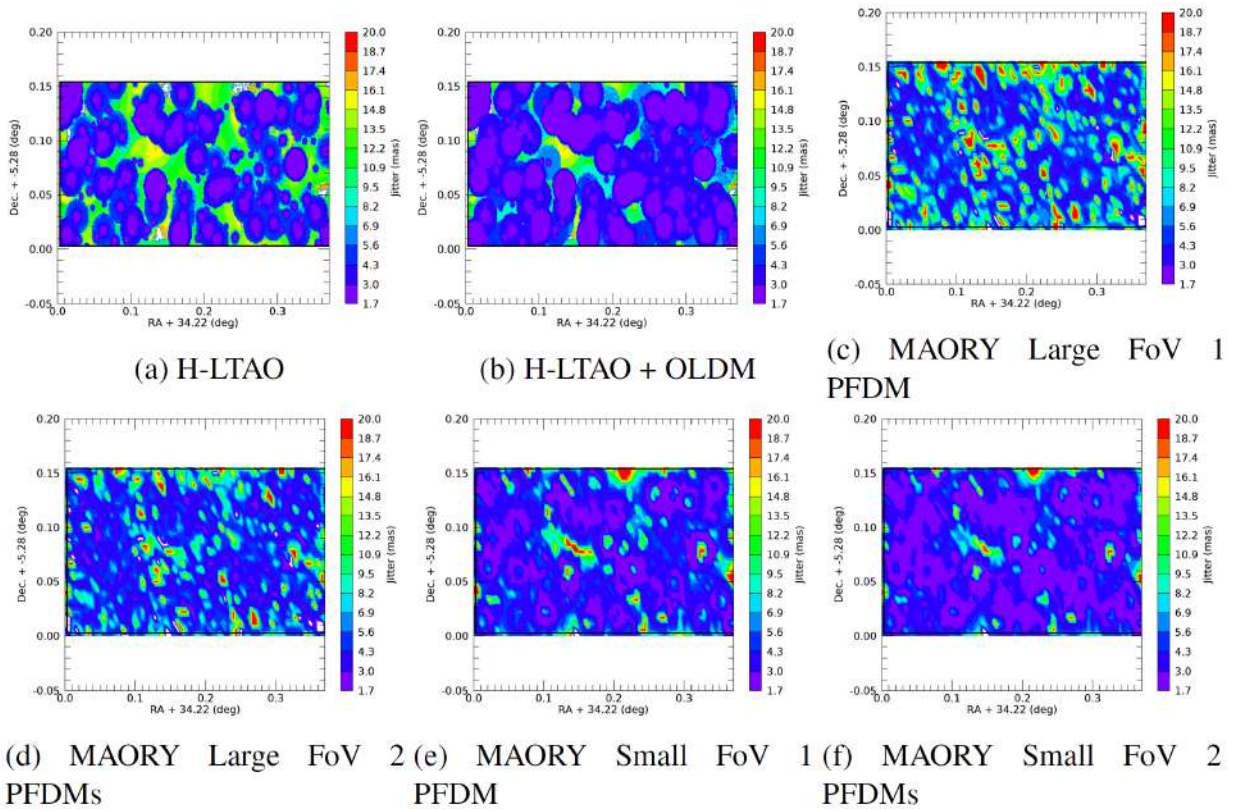


Figure 15: Jitter with H-LTAO (on axis) or with MAORY (averaged on the scientific FoV) for the UDS field. The jitter is limited to 20 mas for a better display. The black lines indicate the area used for the sky coverage computation.

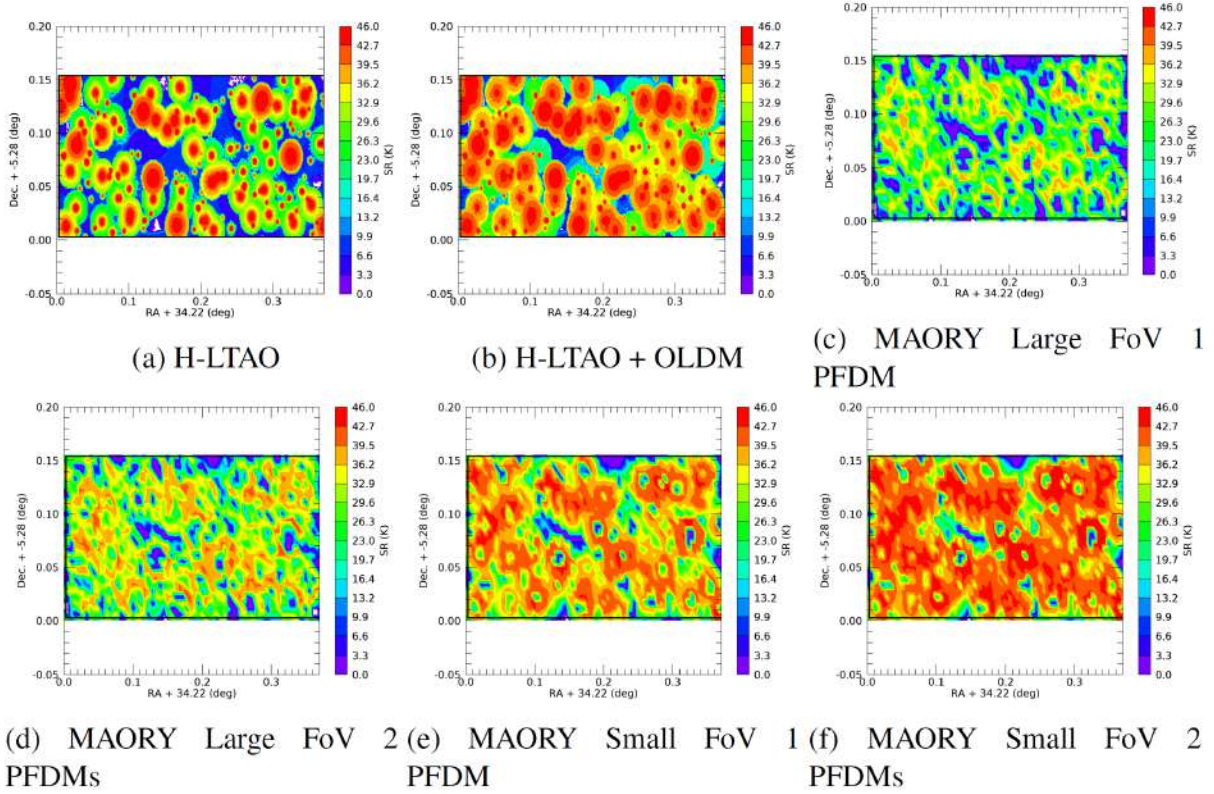


Figure 16: Strehl ratio in K band with H-LTAO (on axis) or with MAORY (averaged on the scientific FoV) for the UDS field. The black lines indicate the area used for the sky coverage computation.

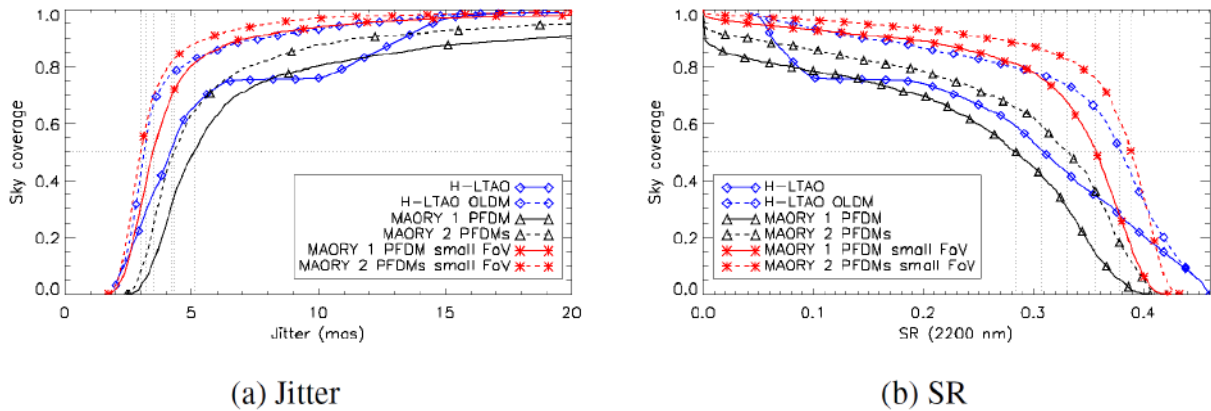


Figure 17: Sky coverage with H-LTAO or with MAORY for the UDS field. The jitter/SR is on axis for H-LTAO and averaged on a 1 arcmin diameter FoV (Large FoV) or on a 20'' diameter FoV (small FoV) for MAORY.

Instrument/configuration	Jitter (mas)			SR (2200 nm)		
	25% SC	50% SC	75% SC	25% SC	50% SC	75% SC
H-LTAO	3.1	4.2	6.5	0.39	0.31	0.19
H-LTAO OLDM	2.7	3.2	4	0.41	0.38	0.32
MAORY 1 PFDM	4	5.1	8	0.34	0.28	0.14
MAORY 2 PFDMs	3.5	4.3	6.3	0.37	0.33	0.23
MAORY 1 PFDM small FoV	2.9	3.5	4.5	0.40	0.38	0.32
MAORY 2 PFDMs small FoV	2.6	3	3.8	0.42	0.40	0.37

Table 7: Jitter/SR reached by the considered systems for different levels of sky coverage in the UDS field.

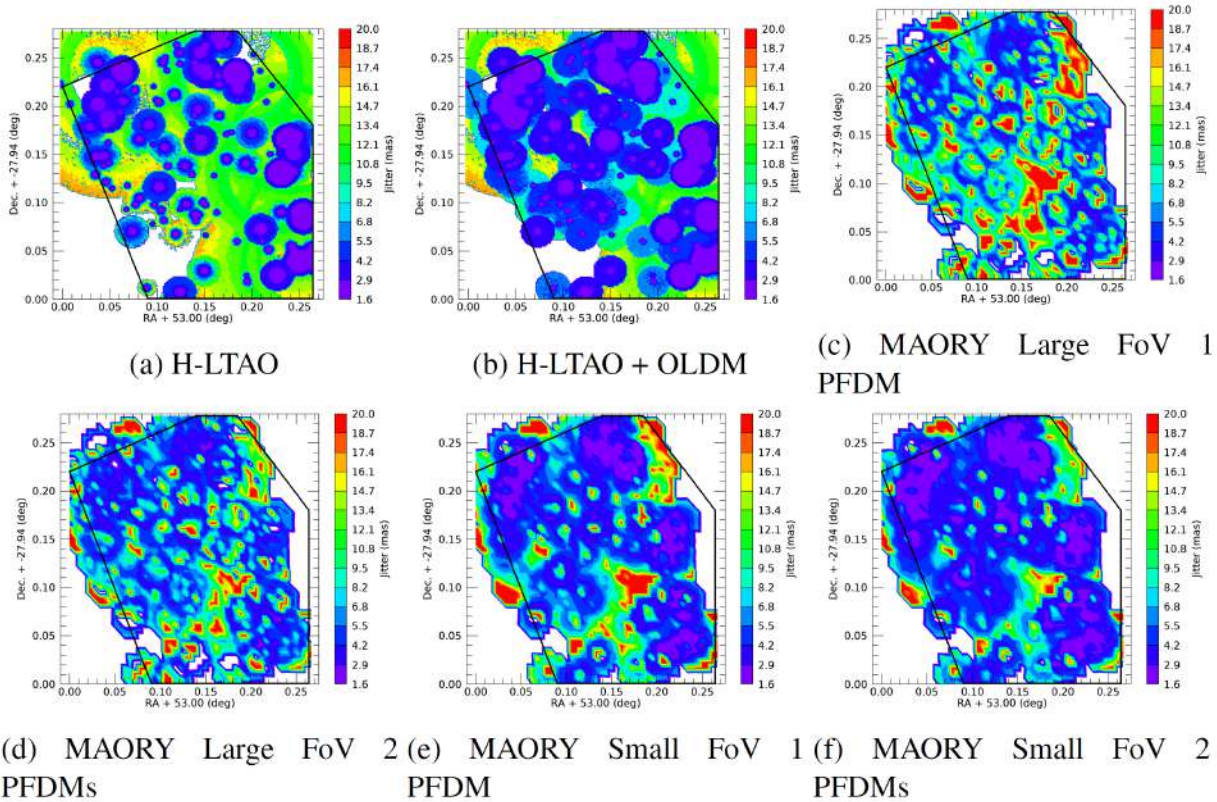


Figure 18: Jitter with H-LTAO (on axis) or with MAORY (averaged on the scientific FoV) for the GOODS-S field. The jitter is limited to 20 mas for a better display. The black lines indicate the area used for the sky coverage computation.

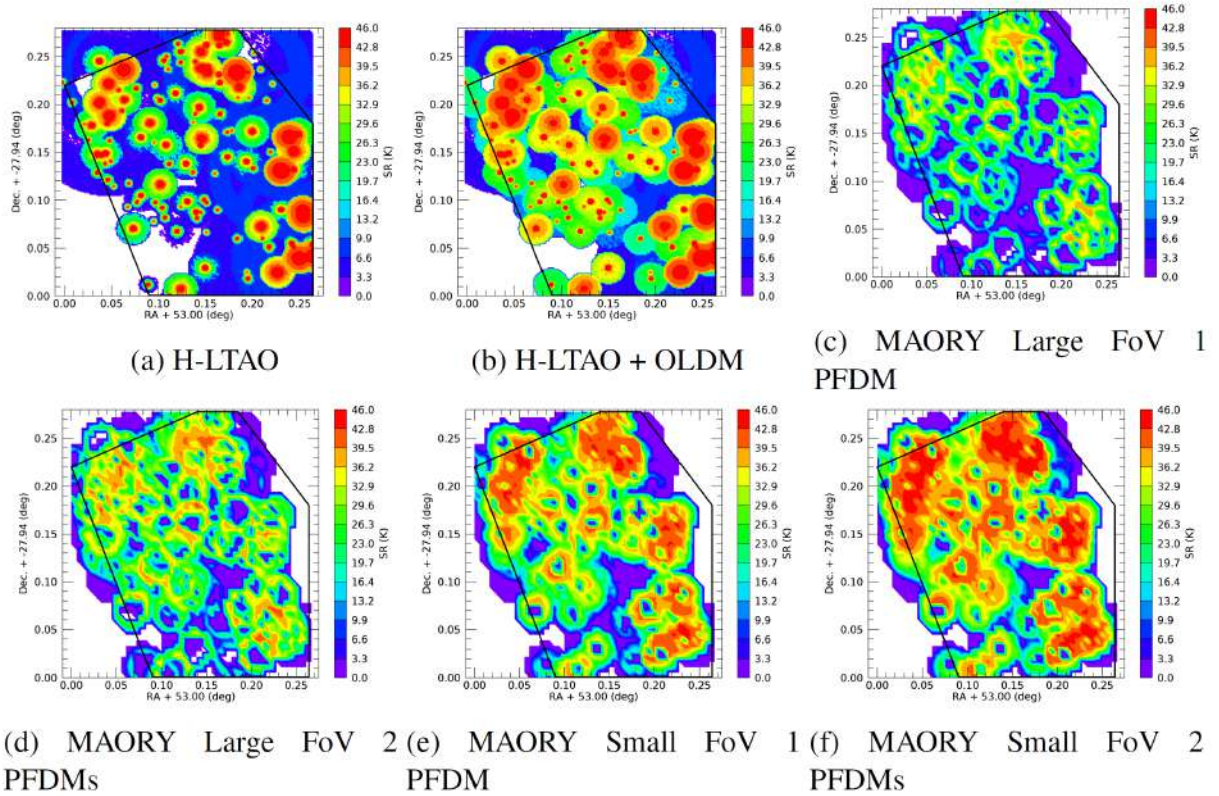


Figure 19: Strehl ratio in K band with H-LTAO (on axis) or with MAORY (averaged on the scientific FoV) for the GOODS-S field. The black lines indicate the area used for the sky coverage computation.

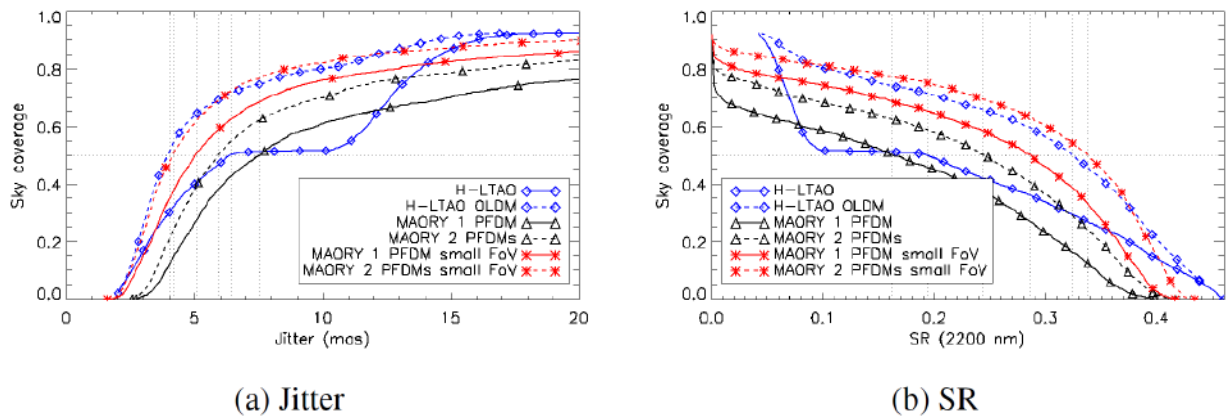


Figure 20: Sky coverage with H-LTAO or with MAORY for the GOODS-S field. The jitter/SR is on axis for H-LTAO and averaged on a 1 arcmin diameter FoV (Large FoV) or on a 20'' diameter FoV (small FoV) for MAORY.

Instrument/configuration	Jitter (mas)			SR (2200 nm)		
	25% SC	50% SC	75% SC	25% SC	50% SC	75% SC
H-LTAO	3.6	6.4	13.2	0.35	0.19	0.06
H-LTAO OLDM	3	4	7.7	0.39	0.32	0.15
MAORY 1 PFDM	4.9	7.5	18.1	0.29	0.16	0
MAORY 2 PFDMs	4.2	5.9	11.8	0.33	0.24	0.04
MAORY 1 PFDM small FoV	3.6	5.1	9.4	0.37	0.30	0.09
MAORY 2 PFDMs small FoV	3.1	4.2	6.9	0.40	0.35	0.20

Table 8: Jitter/SR reached by the considered systems for different levels of sky coverage in the GOODS-S field.

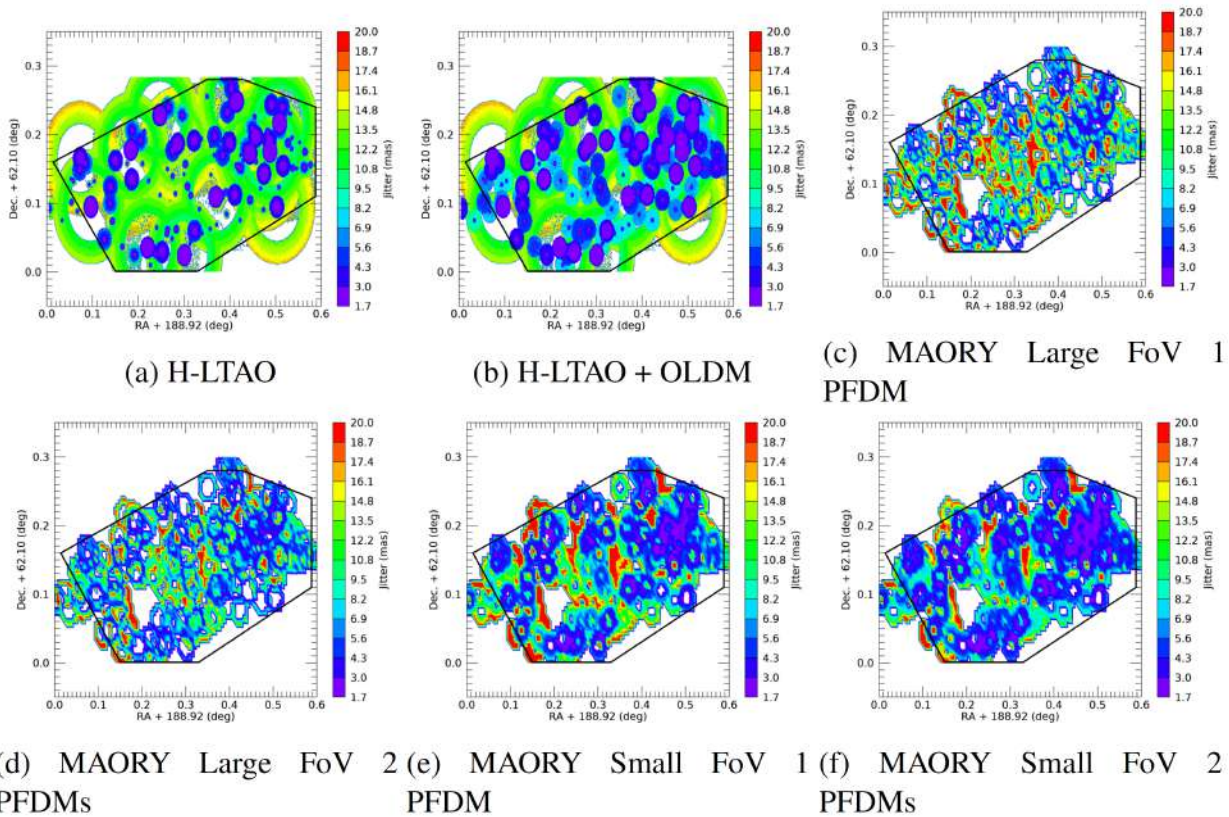


Figure 21: Jitter with H-LTAO (on axis) or with MAORY (averaged on the scientific FoV) for the GOODS-N field. The jitter is limited to 20 mas for a better display. The black lines indicate the area used for the sky coverage computation.

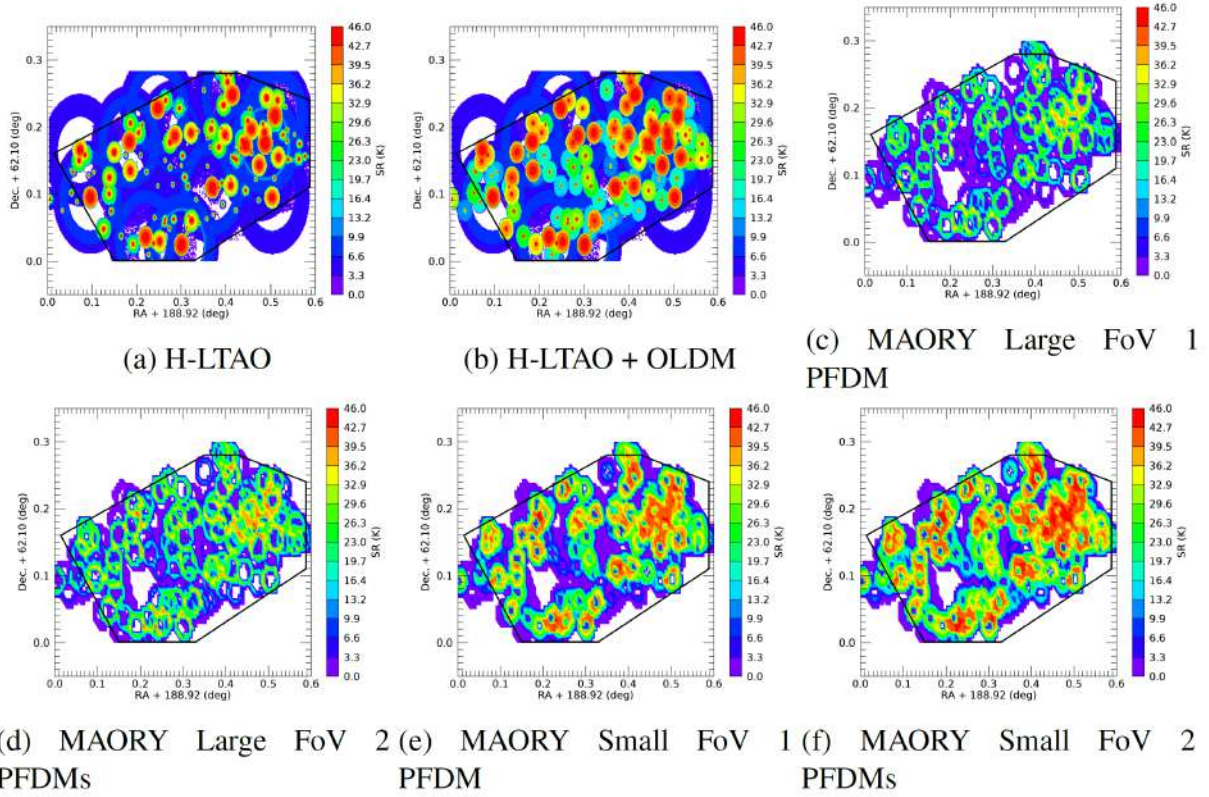


Figure 22: Strehl ratio in K band with H-LTAO (on axis) or with MAORY (averaged on the scientific FoV) for the GOODS-N field. The black lines indicate the area used for the sky coverage computation.

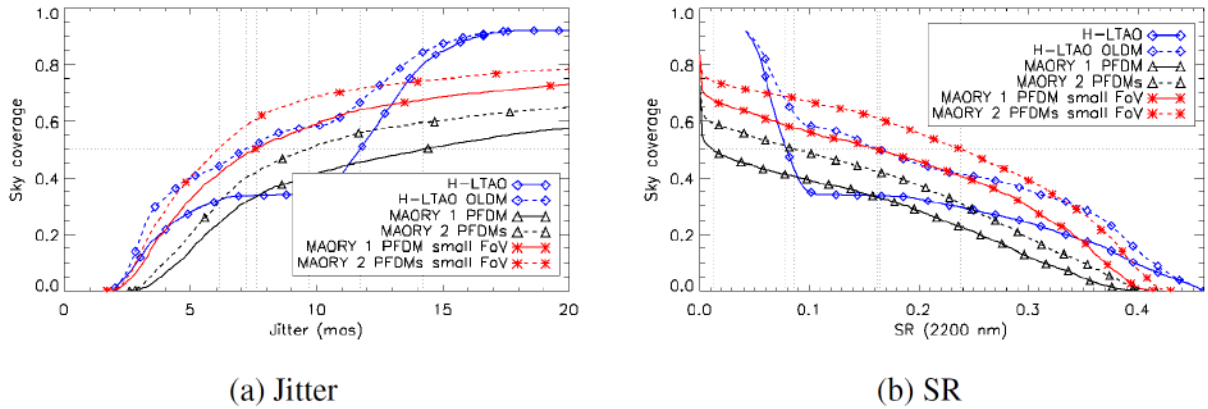


Figure 23: Sky coverage with H-LTAO or with MAORY for the GOODS-N field. The jitter/SR is on axis for H-LTAO and averaged on a 1 arcmin diameter FoV (Large FoV) or on a 20'' diameter FoV (small FoV) for MAORY.

Instrument/configuration	Jitter (mas)			SR (2200 nm)		
	25% SC	50% SC	75% SC	25% SC	50% SC	75% SC
H-LTAO	4.5	11.7	13.7	0.29	0.08	0.06
H-LTAO OLDM	3.3	7.2	12.8	0.37	0.16	0.07
MAORY 1 PFDM	6.3	14.2	119	0.22	0.01	0
MAORY 2 PFDMs	5.5	9.7	63	0.27	0.09	0
MAORY 1 PFDM small FoV	4.3	7.6	23.1	0.34	0.17	0
MAORY 2 PFDMs small FoV	3.8	6.1	15.1	0.37	0.24	0.01

Table 9: Jitter/SR reached by the considered systems for different levels of sky coverage in the GOODS-N field.

## 333 5 Discussion

334 The jitter and SR maps present a recurrent pattern: the performance of MAORY is best around  
335 the areas where the performance of H-LTAO is best. Indeed, H-LTAO needs only one NGS, that  
336 can be close to the optical axis of the telescope, whereas MAORY makes use of up to 3 NGSs,  
337 that need to be at a minimum distance of 49'' (large MICADO FoV) or 24'' (small MICADO FoV)  
338 to avoid vignetting the scientific FoV. This means that the SR delivered by H-LTAO peaks for  
339 pointings close to a bright star and drops quickly when going away from this star, while the SR  
340 delivered by MAORY reaches a maximum when the NGSs are not within the science FoV. Besides,  
341 we note that the two systems reach similar levels of jitter and SR on the bright end. They are thus  
342 complementary in terms of SC, meaning that the overall SC of ESO's ELT is extremely good for  
343 applications that are common to the two systems.

344 The H-LTAO performance (without OLDM) shows a sort of bimodal distribution, with a first  
345 plateau of performance up to a jitter limit around 10 mas, and then a second increase of SC. This  
346 behaviour is explained by the transition between the sequential and cascade mode, where in the  
347 first case we use the closest and brightest stars to perform most of the jitter control, while in the  
348 second case the telescope guide probe is mostly controlling the jitter. The transition between these

349 two regimes will highly depend on the telescope guiding performance, and could potentially be  
350 optimized. Note that if NGSs fainter than H=19 were considered, the plateau would appear at better  
351 performance. On the other hand, the gain brought by the OLDLM can also improve the performance  
352 in this exact regime, by providing a better residual jitter for far and faint NGS. This translates into  
353 a better SR over a wider area of these cosmological field. Is it however important to mention that  
354 the OLDLM performance gain presented in this study must be seen as the optimistic upper limit.  
355 Indeed, the OLDLM off-axis performance has been evaluated in an ideal case where only fitting  
356 and off-axis tomography were considered in the error budget. Taking into account a more realistic  
357 error budget for the OLDLM off-axis performance, including for instance the Open Loop control,  
358 DM creep, calibration issues etc... would reduce the expected off-axis Strehl, degrade the expected  
359 noise propagation, and eventually increase the residual jitter. The final and realistic performance to  
360 be expected with OLDLM certainly lies in between the two performance plots shown in this study.

361 For MAORY, the second PFDM also improves the overall SC, but the most significant gain in  
362 performance is when considering the small MICADO FoV instead of the large one: it surpasses  
363 by far the gain provided by the third DM. This is mostly thanks to the additional area given to the  
364 technical FoV, that allows the selection of more stars in general and especially ones closer to the  
365 optical axis, which provide a better tomography. It would thus be preferable for a MCAO system  
366 to get rid of the central obscuration of the technical FoV as much as possible, for example by  
367 sensing the NGSs at a wavelength not used by the science path. Though this solution might put  
368 difficult constraints on the design, it can be straightforwardly applied in a system such as MAVIS  
369 (MCAO-Assisted Visible Imager and Spectrograph),<sup>28</sup> which will do science in the visible.

## 370 **6 CONCLUSION**

371 The sky coverage of an instrument is a key feature for observations: having a good resolution on  
372 only a few objects strongly limits the studies that can be performed by the astronomers. It is thus  
373 important to make sure that future AO systems, such as MAORY and H-LTAO for ESO's ELT, will  
374 provide a satisfying SC.

375 In this paper, we presented the SC assessment methods of MAORY and HARMONI. Though  
376 there are some differences between the two approaches, the general method is the same, and could  
377 be applied for any other tomographic AO system: evaluate the high-order part of the wavefront  
378 error, which is independent from the NGS asterism, then compute the statistics of the low-order  
379 part using a series of randomly generated fields. The low-order residuals are the sum of a purely  
380 geometric tomographic error, that only depends on the NGS asterism geometry; the noise propaga-  
381 tion through the tomographic reconstruction, that depends on the wavefront sensor sensitivity, the  
382 NGSs' magnitudes and the correction level in the technical FoV; and the residual vibrations due to  
383 windshake. Other terms of wavefront error, that are independent from either the NGS asterism or  
384 the whole AO system itself, can be independently added afterwards.

385 We have then evaluated the performance of both MAORY and H-LTAO in already known cos-  
386 mological fields. These results can be taken as an indication of the image quality on MICADO and  
387 HARMONI in sky areas that are poor in bright stars, hence where the AO is pushed to its limits.  
388 We have confirmed that the use of an OLDm can increase the SC of a LTAO system. Likewise, the  
389 addition of a second post-focal DM for MAORY provides a significant gain in performance. We  
390 also noted that reducing the central obscuration of the technical FoV of MAORY, which happens  
391 when using MICADO's small FoV, leads to a much better performance as well. This encourages

392 the choice of a NGS sensing wavelength not used by the science for MCAO systems, though it  
 393 might be difficult in practice. Finally, we have shown that, if applications concur, the SC pro-  
 394 vided by the two systems can be complementary. The overall SC of the telescope is thus greatly  
 395 improved.

### 396 **Appendix A: Computation of tomographic error**

397 The goal of this appendix is to compute the LO residual in a direction  $\alpha$  due to the tomographic  
 398 reconstruction error. We assume that we will perform a split tomography, meaning that the high-  
 399 order (modes from focus, sensed with the LGSs) and low-order modes (tip/tilt, sensed with the  
 400 NGSs) will be estimated separately. We can thus assess the tomographic error using the NGSs  
 401 only. We can write the estimation of the LO modes in the direction  $\alpha$  as:

$$\hat{a} = R(s + n) \quad (8)$$

402 where  $\hat{a}$  is the estimate of  $a$ , the vector of LO modes coefficients in the direction  $\alpha$ ;  $s$  is the  
 403 vector grouping all the measured LO modes coefficients for all NGSs;  $n$  is the noise on these  
 404 measurements and  $R$  is the reconstructor. We define here the reconstructor as:

$$R = P_\alpha W \quad (9)$$

405 with  $P_\alpha$  the projection in the direction  $\alpha$  and  $W$  the tomographic reconstructor, computed with 2  
 406 layers (tip/tilt on ground layer and focus/astigmatism on altitude layer). The covariance matrix of  
 407 the LO modes in the direction  $\alpha$  can then be expressed as (assuming the noise is not correlated

408 with  $a$  nor  $s$ ):

$$\langle (a - \hat{a})(a - \hat{a})^t \rangle = C_{aa} - C_{as}R^t - RC_{as}^t + RC_{ss}R^t + RC_{nn}R^t \quad (10)$$

409 with  $C_{xy} = \langle xy^t \rangle$  the covariance between  $x$  and  $y$ . The formula to compute these covariance

410 matrices for Zernike modes is given in Appendix B. In this paper, the noise covariance matrix

411  $C_{nn}$  is considered diagonal, with a uniformly distributed noise on the 2 LO modes (example for a

412 3-NGS asterism with MAORY):

$$C_{nn} = \begin{bmatrix} \sigma_1^2/2 & 0 & \dots & \dots & \dots & 0 \\ 0 & \sigma_1^2/2 & 0 & \dots & \dots & \vdots \\ \vdots & 0 & \sigma_2^2/2 & 0 & \dots & \vdots \\ \vdots & \dots & 0 & \sigma_2^2/2 & 0 & \vdots \\ \vdots & \dots & \dots & 0 & \sigma_3^2/2 & 0 \\ 0 & \dots & \dots & \dots & 0 & \sigma_3^2/2 \end{bmatrix} \quad (11)$$

413 with  $\sigma_k^2$  the total noise variance on the  $k$ -th NGS.

414 We can finally define the tomographic error as:

$$\sigma_{tomo,tot} = \sqrt{\sigma_{tomo,0}^2 + \sigma_{tomo,n}^2} \quad (12)$$

415 with

$$\sigma_{tomo,0}^2 = C_{aa} - C_{as}R^t - RC_{as}^t + RC_{ss}R^t \quad (13)$$

416 the pure tomographic error and

$$\sigma_{\text{tomographic}}^2 = RC_{nn}R^t \quad (14)$$

417 the tomographic noise error.

## 418 **Appendix B: Computation of Zernike modes covariances**

419 The covariance between 2 Zernike mode coefficients  $a_j$  and  $a_k$  (in Noll's definition<sup>29</sup>) in the direc-  
420 tion of 2 different stars at coordinates  $\vec{\theta}_1$  and  $\vec{\theta}_2$  is, for a telescope of radius  $R$ :<sup>30</sup>

$$\begin{aligned} \langle a_j(\vec{\theta}_1) a_k(\vec{\theta}_2) \rangle = & (-1)^{m_k} \sqrt{(n_j + 1)(n_k + 1)} i^{n_j + n_k} 2^{1 - 0.5(\delta_{0m_j} + \delta_{0m_k})} \\ & \times \int dh \frac{1}{\pi R^2 \left(1 - \frac{h}{z_1}\right) \left(1 - \frac{h}{z_2}\right)} \\ & \times \int_0^\infty \frac{df}{f} W_\varphi(h, f) J_{n_j+1} \left(2\pi f R \left(1 - \frac{h}{z_1}\right)\right) J_{n_k+1} \left(2\pi f R \left(1 - \frac{h}{z_2}\right)\right) \\ & \times \left\{ \cos\left((m_j + m_k) \arg(\vec{\theta}_1 - \vec{\theta}_2)\right) \right. \\ & + \frac{\pi}{4} \left( (1 - \delta_{0m_j}) \left((-1)^j - 1\right) + (1 - \delta_{0m_k}) \left((-1)^k - 1\right) \right) \\ & \times i^{3(m_j + m_k)} J_{m_j + m_k} \left(2\pi f h |\vec{\theta}_1 - \vec{\theta}_2|\right) \\ & + \cos\left((m_j - m_k) \arg(\vec{\theta}_1 - \vec{\theta}_2)\right) \\ & + \frac{\pi}{4} \left( (1 - \delta_{0m_j}) \left((-1)^j - 1\right) - (1 - \delta_{0m_k}) \left((-1)^k - 1\right) \right) \\ & \left. \times i^{3|m_j - m_k|} J_{|m_j - m_k|} \left(2\pi f h |\vec{\theta}_1 - \vec{\theta}_2|\right) \right\} \end{aligned} \quad (15)$$

421 with  $n_j$  and  $m_j$  the radial and azimuthal orders of the  $j$ -th polynomial,  $\delta_{0x}$  the Kronecker delta

422 between 0 and  $x$ ,  $h$  the layer altitude,  $z_1$  and  $z_2$  the altitudes of the 2 stars (= infinity for NGSs),

423  $J_n(x)$  the Bessel function of first kind of order  $n$ ,  $f$  the spatial frequency and  $W_\varphi(h, f)$  the turbulent

424 phase PSD for the layer at altitude  $h$ . The turbulent PSD used in this paper is the one defined by  
425 Von-Karman:

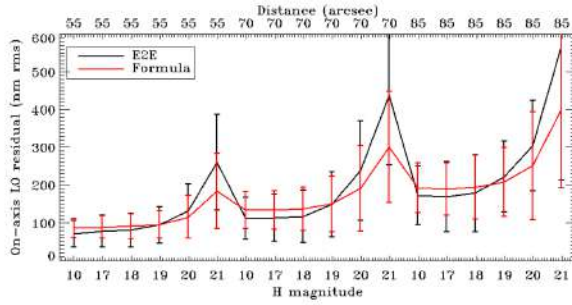
$$W_\varphi(h, f) = 0.023r_0(h)^{-\frac{5}{3}} \left( f^2 + \frac{1}{L_0^2} \right)^{-\frac{11}{6}} \quad (16)$$

426 with  $r_0$  the Fried parameter and  $L_0$  the outer scale (= 25 m throughout the paper).

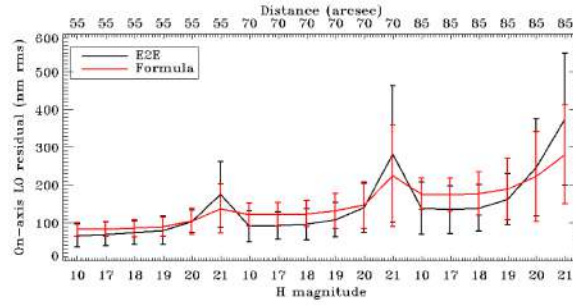
### 427 **Appendix C: Model validation**

428 For MAORY, the LO residual (without windshake) in one direction of the scientific FoV is com-  
429 puted from the noise error interpolation described in section 3.2.4 and the tomographic error de-  
430 scribed in section 3.2.3. This residual can be verified with E2E simulations. We performed a sanity  
431 check of the residual on axis with the asterisms used for the noise interpolation on one hand (Fig.  
432 24), and random 3-NGS asterisms on the other hand (Fig. 25). The random asterisms have dis-  
433 tance and magnitude values chosen from the ones of section 3.2.4 and the angle values are limited  
434 to steps of  $30^\circ$ . The pick-up mirror collisions were not considered, so 2 stars could fall at the same  
435 place, thus some of the asterisms are equivalent to 2-NGS asterisms.

436 We have a good match between the real residual and the estimated one. On the equilateral  
437 asterisms (Fig. 24), we see that we might overestimate a bit the error at high flux and underestimate  
438 it at low flux, but we always fall within the error bars, which we consider a sufficient level of  
439 precision for this analysis. Looking at random asterisms (Fig. 25) that represent more significantly  
440 what we can expect in the SC analysis, the match is satisfying as well. We thus consider that our  
441 model is valid.



(a) 1 PFDM



(b) 2 PFDMs

Figure 24: Comparison of the LO residual on axis from the end-to-end simulations and from the formula. Left: with 1 PFDM. Right: with 2 PFDMs. All asterisms are equilateral triangles, with all the stars at the same distance and the same magnitude (indicated on the x axes).

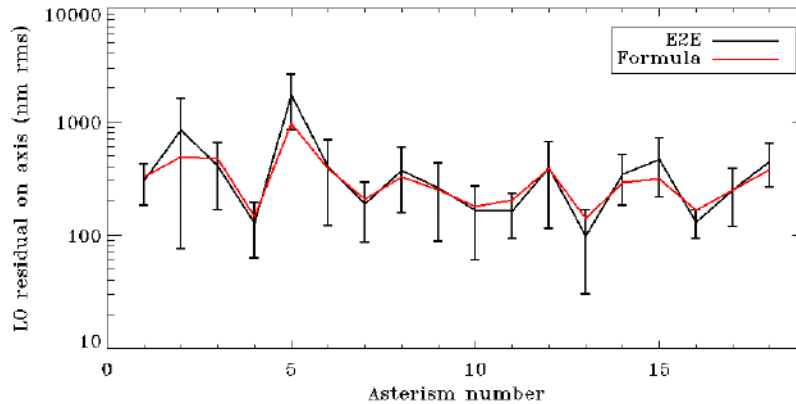


Figure 25: Comparison of the LO residual on axis from the end-to-end simulations and from the formula, for 18 random asterisms and 2 DMs.

442 In the case of H-LTAO, a comparison between the E2E simulation and the modified analytical  
 443 model is shown in figure 26.

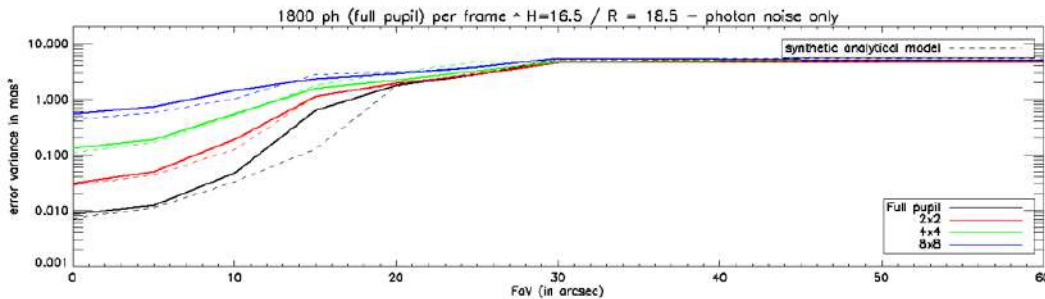


Figure 26: Evolution of the noise variance (in  $\text{mas}^2$ ) as a function of the FoV position. Solid line = Simulation. Dotted line = analytical expression in the good SR regime, Dashed-line = FWHM regime.

444 Note that we checked that similar good match between the analytical model and the E2E sim-  
445 ulations were valid for other fluxes levels. We also checked the good behaviour of the model when  
446 RON is added.

#### 447 *Disclosures*

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549 & Development department of LAM.

550 Biographies and photographs of the other authors are not available.

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